Search for Solar ⁸B Neutrinos in the PandaX-4T Experiment Using Neutrino-Nucleus Coherent Scattering

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A search for interactions from solar ⁸B neutrinos elastically scattering off xenon nuclei using PandaX-4T commissioning data is reported. The energy threshold of this search is further lowered compared with the previous search for dark matter, with various techniques utilized to suppress the background that emerges from data with the lowered threshold. A blind analysis is performed on the data with an effective exposure

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of 0.48 tonne year, and no significant excess of events is observed. Among the results obtained using the neutrino-nucleus coherent scattering, our results give the best constraint on the solar 8B neutrino flux. We further provide a more stringent limit on the cross section between dark matter and nucleon in the mass range from 3 to 9 GeV/c^2 .

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Because of complex fusion processes inside the Sun, neutrinos are continuously generated in large amount. As liquid xenon (LXe) detectors dedicated to dark matter (DM) direct search [1-3] have been developed into the multitonne scale in recent years, they are now able to reach the sensitivity to detect solar neutrinos via coherent elastic nuclear scattering (CE\(\nu\)NS). Among all sources of solar neutrinos, neutrinos produced in the β decay of ⁸B are the most likely ones to be detected due to the 15 MeV Q value. The flux of ⁸B solar neutrinos on Earth has been measured to be approximately 5×10^6 cm⁻² s⁻¹ [4,5], and its CE ν NS has an energy spectrum hardly distinguishable from that of a 6 GeV/c² DM particle in LXe. No experimental determination of the solar neutrino flux using its $CE\nu NS$ signal has been made yet. Recently, the XENON1T collaboration published a search for the ⁸B CE_{\(\nu\)}NS signal using 0.6 tonne year data with no excess found [6]. Because of the low nuclear recoil (NR) energy from the ${}^{8}B$ CE ν NS, it is crucial to lower the energy threshold. In this Letter, we report a search for CEνNS induced by the solar ⁸B neutrinos using the commissioning data of PandaX-4T (Run0) based on a blind analysis, with a dedicated data selection, which lowered the energy threshold (defined as the energy having signal acceptance of 1%) from 1.33 to 0.95 keV.

The PandaX-4T dark matter direct search experiment is located in the China Jinping underground laboratory (CJPL) [7,8]. The PandaX-4T experiment utilizes a dualphase xenon time projection chamber (TPC) with a sensitive volume of 3.7 tonne of LXe, and two arrays of photomultipliers (PMTs) on the top and bottom of the TPC, consisting of 169 and 199 Hamamatsu 3-inch R11410-23 PMTs, respectively. Both the primary scintillation (S1) and the delayed proportional scintillation from drifted electrons (S2) of an event are collected by the PMTs, allowing 3D position reconstruction with a resolution of about a few millimeter for S2s of ~100 photoelectron (PE) on the longitudinal and transverse directions, based on the time difference between the S1 and S2, and the PMT pattern of the S2, respectively. The waveforms of the PMTs are digitized by CAEN V1725 digitizers and read out under the self-trigger mode when the pulse amplitude is approximately 1/3 PE above the baseline [9]. More details of the detector apparatus can be found in Refs. [9-11]. PandaX-4T has reported the most stringent constraint on the spinindependent cross sections between the nucleon and DM with the DM mass from 5 GeV/ c^2 to 10 TeV/ c^2 [10] using the 0.63-tonne-year data from Run0.

Compared with the search reported in Ref. [10], new data selections are developed to enhance the detection efficiency and to minimize the extra background that emerged from data. Thresholds of the S1 and S2 are lowered to 0.3 PE and 65 PE (both in charge), respectively, as compared with the 2 PE and 80 PE in Ref. [10]. The systematics of the background and the energy reconstruction at such a low threshold form the core of this analysis. With these thresholds, two sets of data used in Ref. [10] with a total live time of about 7.5 days show a higher noise rate, likely due to microdischarging in the TPC, and are removed from this analysis. The data selection cuts used in this analysis are described as follows. We adopt four selection cuts from the previous analysis [10], the diffusion cut (S2 widths compatible with the expected fluctuation on the electron arrival time), the veto PMT cut (no signal in the PMTs outside the field cage), the fiducial volume cut (FV, 2.67 tonnes), and the single scatter cut (only one S2 above 50 PE in the 1-ms event window). Events with large signals are observed to be followed by small afterglow signals in PandaX-4T and other experiments [12,13]. These afterglow signals usually are single electrons (SEs) which have a strong correlation with the previous large S2 in both time and position. Compared with Ref. [10], a more stringent afterglow veto based on the time and position difference to the previous event is implemented. Events with a time difference to previous S2 (> 2000 PE) less than 50 ms or position difference smaller than 100 mm are excluded. In addition, we veto the event unless the total charge per unit time and the number of S1s in the preceding 1-ms window have returned to normal. The afterglow veto cut also includes a set of "activity" requirements on an event waveform, that the ratio between the main S2 charge and the total event charge $\mathcal{F}_{S2} = q_{S2}/q_{\text{event}} > 5/6$ $150/q_{\text{event}}$, the integrated charge in the preceding event window to be less than 20 PE, and the main S1 to be the only signal within 4 µs around it. The effective live time of this analysis is estimated to be 64.7 days.

The signal expectation in this analysis is produced by a two-step simulation. The first step is the same as in Ref. [10], in which the correlated distributions in S1 and S2 are produced according to a fit to the calibration data, later referred to as the signal model. In the second step, a dedicated waveform simulation (WS) is developed. The waveform of the S1 is assembled using sampled S1 hits from the neutron calibration data, similar to the procedure in Ref. [14]. The waveform of the S2 at any given position

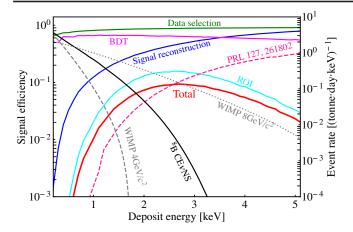


FIG. 1. Total efficiency (red solid line) to the solar 8B neutrino CE ν NS in this analysis with the number of S1 hits to be 2 or 3. The blue, green, cyan, and magenta solid lines represent the signal efficiencies due to the signal reconstruction, data selection, ROI, and BDT, respectively. The signal efficiency in the previous study [10] is also given in the pink dashed line as a reference. The ideal spectra of the solar 8B CE ν NS and the DM-nucleus interaction with the DM mass of 4(8) GeV/c² with an assumed DM-nucleon cross section of 10^{-44} cm² are overlaid as well in the black solid and gray dashed (dotted) curves, respectively, with the scale indicated on the right axis.

is assembled using individual SE waveforms from the data, with the reconstructed position within a 40-mm radius circle. The width of the overall assembled waveform at a given depth in the TPC is required to satisfy the diffusion relation observed from the data. Effects of PMT afterpulsing, delayed electrons [13,15–17], and photoionization of impurities after a large S2 are implemented in the WS according to the data. More details can be found in the Appendix.

The total efficiency to the ⁸B CE_{\nuNS} consists of four components (see Fig. 1): (1) the signal reconstruction, (2) the data selections discussed two paragraphs earlier, (3) the region of interest (ROI), and (4) a cut based on boosted decision tree (BDT, see later text). The signal reconstruction includes clustering of PMT hits into signal pulses, classification of the signal pulses into S1s and S2s, and pairing of the classified S1s and S2s into incident events. Each step of the signal reconstruction is affected by the presence of dark noises and stray electrons. For the ROI, we require the number of coincident PMT hits in an S1 to be either 2 or 3 in this analysis. The events with only a single-hit S1 are mostly accidental background originating from the PMT dark noises, and are excluded from the ROI due to a poor signal-to-background ratio. The S2 charge range, uncorrected for spatial dependence, is further optimized to be 65-230 PE for 2-hit S1 and 65-190 PE for 3-hit S1 based on the expected signal-to-background ratio. This ROI requirement has dominating effects on the signal efficiency. The efficiencies of (1), (2), and (3) are estimated

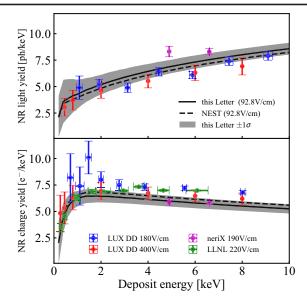


FIG. 2. Comparison between the light (top panel) and charge (bottom panel) yields used in this analysis (in the solid black lines) with the nominal NEST v2.3.6 [19] (dashed black lines) and other measurements taken at different drift electric fields [21–24]. The 1σ uncertainty from Ref. [20] for the light and charge yields are shown in the gray bands.

using the WS and validated by the neutron calibration data, with their fractional difference (14%) taken as the systematic uncertainty.

We take the calculated deposit energy spectrum of the solar ⁸B CE_{\(\nu\)}NS in LXe from Ref. [18], which is shown in Fig. 1. The signal model implements the light and charge production in LXe following the NEST v2.3.6 parametrization [19], and the response of signal detection in the PandaX-4T detector, similar to Ref. [10]. The light and charge yields are extrapolated from the one used in Ref. [10], which has its model parameters fit to the neutron calibration data in the energy region of the DM search (see Fig. 2). We adopt the relative uncertainties of the light and charge yields from NEST [20], which is based on a global fit to all available measurements, and conservatively assume them to be uncorrelated.

The background composition is the same as Ref. [10]. With loosened S1 and S2 selections, the accidental coincidence (AC) background increases significantly in comparison to Ref. [10], which dominates the overall background. The electronic recoil (ER), NR, and surface background are estimated using the same method as in Ref. [10] but with the new data selections and the ROI cut.

The rate of the AC background is estimated using random S1s and S2s identified in the data. The S2s are first selected from a waveform (~ 1000 per day within 65 to 300 PE); then we search backward for 1.5 ms for a main S1. The 1.5-ms window is chosen so that the corresponding "activity" cuts are sufficiently similar to those mentioned earlier. The AC pair is formed when the time difference

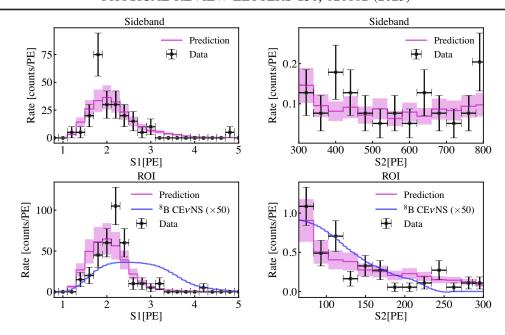


FIG. 3. The S1 (left panels) and S2 (right panels) spectra in the sideband (top panels) and ROI (bottom panels) for the 2-hit data, with the data and corresponding predictions overlaid. The shaded regions represent the 1σ uncertainty of the prediction (30%). We also overlay the expected ⁸B CE ν NS spectra (scaled up by 50) in the bottom panels, shown in blue solid lines. The goodness-of-fit p values of the S1 and S2 spectra in the sideband and ROI are all no less than 0.1.

between the S1 and S2 is within [0.9, 1.5] ms, beyond the TPC's maximum drift time (off window), to guarantee that there is no correlation. To enlarge the statistics of the AC samples, a "scrambled" waveform dataset is constructed. The waveform of the selected S2 is concatenated after a 1-ms segment randomly selected from our recorded data, which on average contains 6.3 (0.01) of the S1-like signals with the S1 hit equals to (larger than) 1, primarily from dark noises. This "scrambled" data get passed to the aforementioned software reconstruction and data selection. The predicted number of AC events in the ROI in the 2- and 3-hit regions can be found in Table I. The diffusion cut is the most effective cut, which suppresses the AC by a factor of 8 or so. The AC model is validated using the events with the S2 in the range from 300 to 800 PE (referred to as the sideband data) and within the FV, which is dominated by the AC (see Table II). The comparison between the sideband data and the prediction is given in Table II, yielding a good agreement. The comparison between the S1 and S2 spectra of the prediction and the sideband data for the 2-hit region is shown in Fig. 3. To be conservative, we take 30%, which is the difference (error-weighted standard deviation) in the normalized S2 spectra, as the systematic uncertainty of the AC model.

A BDT algorithm [25] is trained to optimize the ⁸B CEvNS selection against the AC background. The S2s of the AC events are mostly generated out of the fiducial region (such as the surface of electrodes and the gas region), and the S1s are mostly dark noises (see Ref. [26]), both having different characters from the physical events. The input variables of the BDT concern features related to the charge, width, top-bottom asymmetry, and PMT top patterns of the S1 and S2 signals. The training and testing samples of the ⁸B signal in the BDT are from the WS with the (S1, S2) distribution following our ⁸B signal model. The BDT cut value and the S2 range for each S1 hit bin are determined by maximizing the probability of discovering a ⁸B signal under our background model, with results summarized in Table I. The optimized BDT efficiency of the ⁸B signal is shown in Fig. 1. The BDT

TABLE I. ROI comparison: Prediction vs observation in the optimized S2 ranges. The number of pre- and post-BDT events are listed in separate rows. The observed events after unblinding are shown in the last column.

N _{hit}	S2 range (PE)	BDT	ER	NR	Surface	AC	Total prediction	⁸ B	Observation
2	65–230	pre post	0.04 0.02	0.10 0.04	0.14 0.03	62.43 1.41	62.71 1.50	2.32 1.42	59 1
3	65–190	pre post	0.01 0.00	0.05 0.02	0.08 0.03	0.79 0.02	0.93 0.07	0.42 0.29	2 0

TABLE II. Sideband comparison: Prediction vs observation for S2 within [300, 800] PE.

$\overline{N_{hit}}$	Physical	AC	Total	Observation
1	9.4	2060.5	2069.9	2043
2	10.1	33.8	43.9	47
3	6.9	2.2	9.1	7

reduces the ⁸B CE_{\nuNS} signal (AC background) by about 39% (98%) and 31% (96%), respectively, for the 2- and 3-hit bins. Most of the rejection power against the AC is gained through the parameters related to the S2 waveform shape and its top charge pattern, and we observe almost no correlation in the S1 and S2 discriminants. The uncertainties of the BDT efficiency to the ⁸B CE\(\nu\)NS and the DM signals are studied using the neutron calibration data. To improve the statistics in the ROI, especially for S2 less than 100 PE, the minor S2s of the neutron double-scatter events are used. A difference of 14% and 13% are observed for the 2-hit and 3-hit ROI, respectively, taken as the systematic uncertainties. The systematic uncertainty of the BDT efficiency to the AC background is estimated by checking the performance on an alternative AC model using a more traditional approach based on the random pairing of the isolated S1s and S2s [26], leading to an uncertainty of 19% and 18% in the 2-hit and 3-hit bins.

The data within the ROI were blinded before we finalized the data selection, the background and signal models, the ROI, and the BDT optimization. We then unblinded the data and checked the events before and after applying the BDT. We show the comparison of the S1 and S2 spectra between the prediction and data before applying the BDT in Fig. 3. The observed number of the events in the ROI for the 2- and 3-hit regions are given in Table I. After unblinding, 1 (with S1 = 1.6 PE and S2 = 165 PE) and 0 events that survive the BDT are found in the 2- and 3-hit ROI, respectively.

We perform a simple statistical interpretation based on 2-bin profile likelihood ratio (PLR) analysis [27] using the 2- and 3-hit data. The binned likelihood is defined as [28]

$$\mathcal{L} = G(\delta_{\epsilon})G(\delta_{s})G(\delta_{b})G(\delta_{\Phi})$$

$$\times \left[\prod_{i} G(\delta_{\text{BDT},s}^{i})G(\delta_{\text{BDT},b}^{i}) \frac{\lambda_{i}^{N_{i}}}{N_{i}!} e^{-\lambda_{i}} \right], \qquad (1)$$

where the index i represents the hit number of S1 (2 or 3), and δ (δ^i) is series of the constrained nuisance parameters, which are correlated (independent) between the 2- and 3-hit bins with a Gaussian penalty G with the mean at zero. The set of parameters includes δ_{ϵ} , δ_{s} , δ_{b} , $\delta^{i}_{\mathrm{BDT},s}$, $\delta^{i}_{\mathrm{BDT},b}$, and δ_{Φ} , corresponding to the relative uncertainties of the pre-BDT efficiency (including the signal reconstruction, data selection, and ROI), the NR signal rate, the AC background rate,

TABLE III. List of the constrained nuisance parameters that are included in the final statistical interpretation (see text), along with the standard deviations (stdev.) of their Gaussian constraints.

		Sto	lev.		
Nuisance param	2-hit	3-hit	Estimated by		
Pre-BDT efficiency	δ_{ϵ}	0.	14	WS vs NR	
NR signal rate $\delta_s f_i$		f_{i}		NEST uncertainty [20]	
AC rate	δ_b	0.	30	Prediction vs sideband	
BDT efficiency to signal	$\delta^i_{ ext{BDT},s}$	0.14	0.13	WS vs NR	
BDT efficiency to AC	$\delta^i_{\mathrm{BDT},b}$	0.19	0.18	Alternative models [26]	
Solar 8B flux δ_{Φ}		0.04		Ref. [5]	

the BDT cut efficiency to the NR signals, the BDT efficiency to the AC background, and the ${}^8\mathrm{B}$ neutrino flux, respectively. The 1σ values of the nuisance parameters are summarized in Table III. The parameter δ_s is factored together with the fractional uncertainty of the signal rate f_i which depends on the signal spectrum (f_i^{ν} for the ${}^8\mathrm{B}$ CE ν NS signal and f_i^{χ} for the DM signal), in order to reflect the common origin of f_i . Typical numbers of f_i are 0.45 (0.60), 0.29 (0.39), and 0.16 (0.24) for 4-GeV/c² DM, the ${}^8\mathrm{B}$ CE ν NS, and 8-GeV/c² DM in the 2-hit (3-hit) region. λ_i is the expected count while N_i is the observed count. Specifically, under the hypotheses of (a) the solar ${}^8\mathrm{B}$ neutrino CE ν NS without the DM, and (b) the low mass DM with the ${}^8\mathrm{B}$ CE ν NS background, the expected counts can be written as

$$\begin{split} \lambda_{i}^{\nu} &= N_{\nu} (1 + \delta_{s} f_{i}^{\nu}) (1 + \delta_{\epsilon}) (1 + \delta_{\text{BDT},s}^{i}) \\ &+ N_{\text{AC}} (1 + \delta_{b}) (1 + \delta_{\epsilon}) (1 + \delta_{\text{BDT},b}^{i}) + N_{\text{other}}, \\ \lambda_{i}^{\chi} &= N_{\chi} (1 + \delta_{s} f_{i}^{\chi}) (1 + \delta_{\epsilon}) (1 + \delta_{\text{BDT},s}^{i}) \\ &+ N_{\nu} (1 + \delta_{s} f_{i}^{\nu}) (1 + \delta_{\epsilon}) (1 + \delta_{\text{BDT},s}^{i}) (1 + \delta_{\Phi}) \\ &+ N_{\text{AC}} (1 + \delta_{b}) (1 + \delta_{\epsilon}) (1 + \delta_{\text{BDT},b}^{i}) + N_{\text{other}}, \end{split}$$

where N_{ν} , N_{AC} , N_{other} , and N_{χ} are the nominal numbers of counts for the ^{8}B CE ν NS, AC, other background events (including ER and neutron), and low mass DM, respectively. The total backgrounds predicted in the 2- and 3-hit ROI for the solar ^{8}B neutrino search are 1.50 and 0.07, respectively, in an exposure of 0.48 tonne year, as shown in Table I. The observed number of events is consistent with both background-only hypotheses in searching for the ^{8}B CE ν NS and the low mass DM in Eq. (2), representing a probability of 53% and 17% of observing the same or fewer number of events than the data, respectively.

Using a similar procedure as in Refs. [10,27], we give the 90% confidence level (C.L.) upper limit on the solar 8B neutrino flux using the CE ν NS channel, pushing the upper limit to $9.0 \times 10^6/\text{cm}^2/\text{s}$, in comparison to $(5.46 \pm 0.66) \times 10^6/\text{cm}^2/\text{s}$ from the standard solar model B16-GS98 [29].

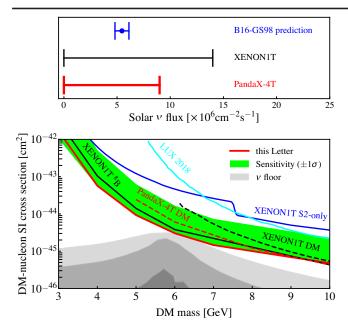


FIG. 4. Top panel: our constraint on the solar neutrino flux using the $\text{CE}\nu\text{NS}$ analysis, along with the XENON1T results [6] using the same detection channel and the B16-GS98 standard solar model prediction [29]. Bottom panel: updated constraints on the DM-nucleon spin-independent cross section. The red solid and dashed lines represent the PandaX-4T results from this and the previous searches [10], respectively. The black solid and dashed lines represent the results from XENON1T with and without optimization in the low-energy region [6,30]. Several results from other experiments [31,32] are also shown. The neutrino floors (probability for an ideal xenon detector to see less-than-3 σ -significance DM signal) [18] under different exposure assumptions (1, 10, and 1000 tonne-year from top to bottom) are shown in the gray shaded regions. The green region represents the $\pm 1\sigma$ sensitivity band for the DM search.

If the signal model adopted by XENON1T [6] is used, the upper limit of the solar ⁸B neutrino flux will be lowered by 13%. If the signal model uncertainty (δ_s) is eliminated from the fit, the upper limit will be reduced by 10%. Under the nominal 8B CE ν NS rate, we also obtain the best constraints on the spin-independent DM-nucleon cross section with mass in the range of 3 to 9 GeV/c². The results are summarized in Fig. 4. In Fig. 4, we also show the ⁸B neutrino floor curves from Ref. [18] under ideal background assumption. The current stage of PandaX has clearly entered into the sensitive region for neutrinos, so this result could also be cast into interesting parameter space of neutrino interactions. The lack of $CE\nu NS$ excess from this Letter and XENON1T [6] also motivates further investigations on the response of LXe TPC to ultralow energy nuclear recoils.

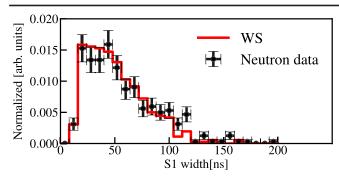
In summary, a search for $CE\nu NS$ from the solar 8B neutrinos as well as the low-mass DM-nucleon interactions is performed using the PandaX-4T commissioning data with 0.48 tonne year exposure. In the analysis, we have

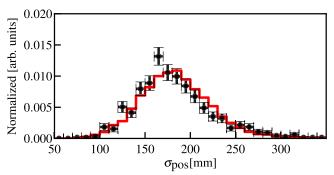
further optimized the data selection and developed various techniques to lower the energy threshold and to control the accidental background. No significant excess is observed, leading to the strongest upper limit on the solar 8B neutrino flux using $CE\nu NS$, and on the spin-independent DM-nucleon cross section within the mass range from 3 to 9 GeV/c^2 . This manifests the potential of PandaX-4T as a highly sensitive multipurpose dark matter and astrophysical neutrino observatory.

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Appendix: Waveform simulation.—To have sufficient high-purity samples for estimating the efficiency in the ROI and for training the BDT algorithm, a WS is developed, which includes our best knowledge from the data. The WS not only simulates the S1 and S2 pulses, but also simulates the accompanying noises that could appear in the event waveform, such as the PMT afterpulsing, the delayed electrons, the photoionization, and the spurious S1s [13,15–17].

The simulation of the S1 and S2 pulse waveforms is data driven. The simulated S1 pulse waveform is sampled using the real S1 hits from the neutron calibration data with the charge from 20 to 80 PE. The width distribution of the simulated S1s and S1s from the neutron calibration data in the ROI can be found in the top panel of Fig. 5. The simulated S2 pulse waveform is reassembled using the SE waveforms obtained from the data. The SEs are sampled within a circle with a radius $R_0 = 40$ mm based on their reconstructed positions. R_0 is tuned to match the rootmean-square distance of all fired top PMTs, weighted by charge, from the position of the top PMT that sees the most S2 charge (σ_{pos}). With $R_0 = 40$ mm, the comparison of σ_{pos} between the neutron calibration data and WS are shown in the middle panel of Fig. 5. The pileup of the SEs is required to follow a Gaussian distribution with the Gaussian σ equal to $\sqrt{2DT}$, where D is the longitudinal diffusion coefficient in LXe and T is the drift time of the simulated S2. The value of D is obtained to be $28 \text{ cm}^2/\text{s}$ by matching the S2





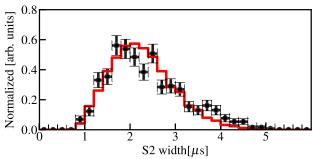


FIG. 5. The comparisons between the neutron calibration data and WS of S1 width (top), $\sigma_{\rm pos}$ (middle), and S2 width (bottom) normalized distributions in the ROI.

width vs drift time distribution of the neutron calibration data. The comparison of the *S*2 width distribution between the neutron calibration data and WS in the ROI can be found in the bottom panel of Fig. 5.

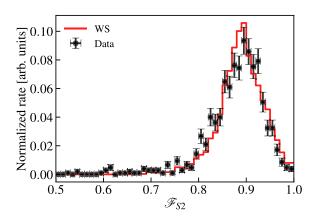


FIG. 6. Comparison between the \mathcal{F}_{S2} distributions from the WS (red solid line) and data (black dots with error bars).

Dark counts and noises are included by inserting randomly picked 1-ms-long waveforms from all the recorded waveforms into the simulated event window. PMT afterpulsing are already included, since the simulated S1 and S2 are both sampled using the waveforms from the data. Delayed electrons and impurity photoionization can cause small delayed S2 signals after a large S2. The time profile and probability of such delayed S2s are obtained by analyzing the data waveforms after the main S2. The parameter which is mostly sensitive to the noise and afterglows is the \mathcal{F}_{S2} that is defined in the main text. Figure 6 shows the comparison between the \mathcal{F}_{S2} distributions from the neutron calibration data and the WS.

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