

# CHCRUS

This is the accepted manuscript made available via CHORUS. The article has been published as:

# Single top-quark production at the Tevatron and the LHC

Andrea Giammanco and Reinhard Schwienhorst Rev. Mod. Phys. **90**, 035001 — Published 18 July 2018 DOI: 10.1103/RevModPhys.90.035001

# CP3-17-45

## April 22, 2018

# <sup>1</sup> Single top-quark production at the Tevatron and the LHC

2	Andrea Giammanco*
3	Centre for Cosmology, Particle Physics and Phenomenology,
4	Université catholique de Louvain,
5	Louvain-la-Neuve, B-1348,

6 Belgium

7 Reinhard Schwienhorst<sup>†</sup>

- <sup>8</sup> Michigan State University,
- 9 East Lansing, MI 48823,
- 10 USA

## Abstract

12	This paper provides a review of the experimental studies of processes with
13	a single top quark at the Tevatron proton-antiproton collider and the LHC
14	proton-proton collider. Single top-quark production in the $t$ -channel pro-
15	cess has been measured at both colliders. The $s$ -channel process has been
16	observed at the Tevatron, and its rate has been also measured at the center-
17	of-mass energy of 8 TeV at the LHC in spite of the comparatively harsher
18	background contamination. LHC data also brought the observation of the
19	associated production of a single top quark with a ${\cal W}$ boson as well as with
20	a $Z$ boson. The Cabibbo-Kobayashi-Maskawa matrix element $\left V_{tb}\right $ is ex-
21	tracted from the single-top-quark production cross sections, and $t$ -channel
22	events are used to measure several properties of the top quark and set
23	constraints on models of physics beyond the Standard Model. Rare final
24	states with a single top quark are searched for, as enhancements in their
25	production rates, if observed, would be clear signs of new physics.

<sup>\*</sup> andrea.giammanco@uclouvain.be

 $<sup>^{\</sup>dagger}$  schwier@pa.msu.edu

## 26 CONTENTS

27	I.	Introduction	4
28	II.	Hadron colliders and experiments	8
29		A. Tevatron	9
30		1. CDF	10
31		2. D0	11
32		B. LHC	11
33		1. ATLAS	12
34		2. CMS	13
35		3. LHCb	14
26	III	Cross section measurements	15
30	111.	A Tevatron	16
20		1. Observation of single top quark production	10
38		2. Tousteen larger measurements and a sharped observation	10
39		2. Tevation legacy measurements and s-channel observation	10
40		5. Tevatron combination	20
41		4. s-channel	21
42		B. LHC	22
43		1. <i>t</i> -channel	22
44		2. $W$ -associated $(tW)$	26
45		3. $tW$ plus $t\bar{t}$ in fiducial regions	30
46		4. s-channel	31
47		5. Z-associated $(tZq)$	32
48		C. Summary of the inclusive cross-section measurements	35
49	IV.	SM parameter extraction and searches for new physics leading to anomalous couplings	36
50		A. Constraints on $ V_{tb} $ and other CKM matrix elements	37
51		B. Cross section ratios as inputs for PDF extraction	40
52		C. Top-quark mass	42
53		D. $tWb$ vertex structure	44
54		E. Searches for Flavor Changing Neutral Currents	48

55		F. <i>H</i> -associated single top-quark production $(tH)$	49
56	V.	Conclusions and Outlook	51
57		Acknowledgments	53
58		References	55
59		Figures	68
60		Tables	86

## 61 I. INTRODUCTION

The top quark is the heaviest elementary particle in the Standard Model (SM), having a 62 mass of more than 170 GeV (Patrignani et al., 2016). According to the description of the 63 origin of fermion masses provided by the SM (also valid in many of its extensions) (Weinberg, 64 1967), we can relate the top-quark mass to the strength of the interaction between top-quark 65 and Higgs-boson fields (a so called "Yukawa coupling", here indicated as  $y_t$ ), obtaining a 66 value of order unity. After the discovery of the Higgs boson (ATLAS Collaboration, 2012c; 67 CMS Collaboration, 2012b) this has been confirmed by direct studies of its couplings (AT-68 LAS and CMS Collaborations, 2016). The top quark therefore plays an outsized role in 69 electroweak symmetry breaking due to its large mass, which also makes it a sensitive probe 70 to physics beyond the SM (BSM). 71

The relationship between the mass and the decay width of an elementary fermion allows 72 to determine for the top quark a lifetime of order  $10^{-25}$  s, a couple of orders of magnitude 73 shorter than the timescale of the so called hadronization process, that "dresses" colored 74 quarks into color-neutral hadrons. That a decay mediated by a weak interaction may be 75 faster than a process mediated by the strong interaction is at first sight surprising; intuitively, 76 this is due to the fact that the top-quark mass is larger than the sum of the W and b masses, 77 therefore there is no barrier to overcome and we have a two-body decay  $t \to Wb$  with a real 78 W boson, instead of the usual three-body decay mediated by a virtual W boson. The top 79 quark is the only quark to decay before it can hadronize (Bigi *et al.*, 1986), providing the 80 unique opportunity to study a "naked" quark. 81

At hadron colliders, the predominant production process is top-quark pair production 82  $(t\bar{t})$ , mediated by the strong force. In contrast, this article is devoted to various mechanisms 83 that produce single top quarks or antiquarks, mediated in the SM by electroweak interactions 84 and possibly receiving contributions from BSM physics. While the pair-production process 85 was discovered more than twenty years ago (Abachi et al., 1995; Abe et al., 1995) and 86 entered the domain of precision physics many years ago, single top-quark production has 87 been observed less than a decade ago at the Tevatron (Aaltonen et al., 2009a; Abazov et al., 88 2009). In comparison to  $t\bar{t}$  production, the single top-quark signal is small and difficult to 89 separate from the backgrounds (including  $t\bar{t}$  itself), hence the measurement precision for 90 its cross sections and other properties has generally been relatively modest until recently. 91 Nevertheless, despite being mediated by the weak interaction, single top-quark production 92 has a production cross-section that is within an order of magnitude of  $t\bar{t}$  production. This is 93 due to the more copious bottom quark and gluon content of the proton at the smaller energy 94 required to produce a single top quark ( $\approx 200 \text{ GeV}$ ) compared to two of them ( $\approx 400 \text{ GeV}$ ), 95 as pointed out by Willenbrock and Dicus (1986) for the first time. 96

In the SM, single top-quark production is a charged-current electroweak process that 97 involves the tWb vertex in the production of the top quark and in its decay, with only 98 negligible contributions from tWd and tWs couplings, and even smaller contributions from 99 Flavor-Changing Neutral Currents (FCNC). Precise measurements of single top-quark cross 100 sections are motivated by their sensitivity to new physics that modifies either the produc-101 tion or the decay vertex or both (Aguilar-Saavedra, 2009a). The single top-quark produc-102 tion cross section under the SM assumptions is proportional to the square of the Cabibbo-103 Kobayashi-Maskawa (CKM) (Cabibbo, 1963; Kobayashi and Maskawa, 1973) matrix element 104  $V_{tb}$  (Alwall et al., 2007; Lacker et al., 2012). The three most abundant and most studied 105 single top-quark processes are illustrated at Born level in Fig. 1. Their production cross 106 sections differ between the Tevatron proton-antiproton collider and the LHC proton-proton 107 collider. The t-channel process proceeds through the exchange of a W boson between a 108 light-quark line and a heavy-quark line and has the largest production cross section at both 109 colliders. The s-channel process is the production and decay of a heavy off-shell W boson. 110 Since it starts from a quark-antiquark initial state, this process has a comparatively large 111 cross section in  $p\bar{p}$  collisions (roughly half that of the *t*-channel, at the Tevatron) and a com-112 paratively small cross section in pp collisions at the LHC. The W-associated production, or 113

tW, has a top quark and a W boson in the final state. Its initial state consists of a gluon and a b quark, and its production cross section at the Tevatron center-of-mass (CM) energy is so small that this was never observed at that collider, while at LHC energies it is the second-largest production mechanism.

Being produced by parity-violating electroweak processes, the top quarks in single top-118 quark production are always polarized. The degree of polarization is close to 100% in t- and 119 s-channel production (Jezabek and Kuhn, 1994; Mahlon and Parke, 2000), in striking differ-120 ence to  $t\bar{t}$  production, where the SM expects them to be completely unpolarized. Both the 121 timescales for production ( $\approx 1/m_t$ ) and decay ( $1/\Gamma$ , where  $\Gamma$  is about 2 GeV) of the top quark 122 are smaller than the hadronization time scale ( $\approx 1/\Lambda_{QCD}$ , where  $\Lambda_{QCD} \approx 0.2$  GeV) which, 123 in turn, is an order of magnitude smaller than the spin decorrelation time ( $\approx m_t/\Lambda_{\rm QCD}^2$ ). 124 Thus the top-quark polarization is transferred to its decay products and can be accessed 125 through their angular distributionss, as described in Section IV.D. 126

Different BSM scenarios predict different effects in the different production channels (Tait 127 and Yuan, 2000), and this motivates the study of all of them, in conjunction with  $t\bar{t}$  proper-128 ties, to exploit their complementarity. Some of these new-physics effects in t-channel and tW129 production might be mimicked by inaccuracies in the gluon or b-quark parton distribution 130 functions (PDF) at large  $x_B^{-1}$  and it is therefore necessary to rule out this possibility by 131 additional dedicated inputs. Precise measurements of the cross sections of the three main 132 production modes may have a deep impact on PDF constraints, with the three channels 133 being complementary to each other and also to  $t\bar{t}$  production. For example, the t-channel 134 and tW cross sections are sensitive to the b-quark PDF and anti-correlated with the W/Z135 cross section, while the s-channel (essentially a Drell-Yan process, hence correlated with the 136 W/Z cross section) is insensitive to the b-quark PDF and can therefore act as a control pro-137 cess (Guffanti and Rojo, 2010). Moreover, the integrated or differential charge asymmetry 138 in t-channel production provides a powerful input to constrain PDFs, similar to the case of 139 W-boson production, in a region of  $x_B$  very relevant for several searches. Examples of new 140 physics that might influence t-channel production include a vector-like fourth generation 141 quark with chromo-magnetic couplings (Nutter et al., 2012), a color triplet (Drueke et al., 142 2015), and FCNC interactions of the top quark with the gluon and the charm quark (Aguilar-143

<sup>&</sup>lt;sup>1</sup> The symbol  $x_B$  is used to indicate the quantity "Bjorken x", i.e. the fraction of the incoming proton's total momentum involved in the parton-level scattering.

Saavedra, 2009a). The s-channel mode is also sensitive to new resonances decaying to a top
quark (Drueke et al., 2015), while the tW mode is sensitive to vector-like quarks (AguilarSaavedra, 2009b) and resonances decaying to a top quark and a W boson (Nutter et al.,
2012).

Experimentally, the study of top quarks proceeds by the reconstruction of its decay 148 products. Almost all top quarks decay into a W boson and a b quark (Aaltonen *et al.*, 149 2013, 2014a; Abazov et al., 2011a; CMS Collaboration, 2014a). The former promptly decays 150 either into a charged lepton and a neutrino, or into a light quark-antiquark pair. The 151 presence of an isolated electron or muon, in particular, is used as a selection requirement 152 in almost all single top-quark production studies, as those two particles are particularly 153 easy to identify with large efficiency and low background contamination even in the busy 154 particle environment created by hadron-hadron collisions. The neutrino is undetectable 155 because of its negligible cross section of interaction with the detector material. But the 156 large momentum that it carries, being boosted by the decay of the massive W boson, which 157 is in turn boosted by the decay of the even more massive top quark, is conspicuous by 158 its absence: the large momentum imbalance of the system formed by all visible particles 159 can be used to reconstruct the neutrino momentum. At hadron colliders, this quantity 160 is meaningful only in the plane transverse to the beam directions (the fraction of proton 161 or antiproton momentum carried by the interacting quarks or gluons is only known on a 162 statistical basis via their PDF), and therefore it is customary to define a missing transverse 163 momentum or missing transverse energy  $(E_T)$ . The jets from *b*-quark hadronization can be 164 separated on a statistical basis from those originating from lighter quarks (i.e., those jets 165 can be "b-tagged"). The heavier a quark is, the more asymmetric is the sharing of energy 166 among the hadronization products (Bjorken, 1978); in particular, a b-flavored hadron carries 167 about 70% of the original momentum of the corresponding b quark (Abbiendi et al., 2003; 168 Abdallah et al., 2011; Abe et al., 2002; Heister et al., 2001). The long lifetime of this b-169 flavored hadron  $(10^{-12} \text{ s})$  corresponds to a flight distance of the order of millimeters, which 170 can be measured in the detectors. Charged leptons,  $E_T$  and b-tagged jets are among the tell-171 tale signs of the presence of top quarks in a collision event; to further identify the production 172 mechanism, the presence or absence of accompanying objects is crucially exploited, as we 173 will show in the following sections. The single top-quark signal is further separated from 174 the backgrounds through the use of multi-variate analysis (MVA) algorithms that combine 175

<sup>176</sup> kinematic properties of the reconstructed objects into a powerful discriminant.

Ten years ago, Gerber et al. (2007) extrapolated the Tevatron single top-quark studies 177 to LHC conditions; it was already clear, at the time of that report, that the large increase 178 in cross section would make precision measurements possible. We recommend Boos and 179 Dudko (2012) as reading material for the relevant theoretical issues, while Husemann (2017) 180 and Cristinziani and Mulders (2017) provide recent overviews of the full LHC top-quark 181 physics program. Giammanco (2016) wrote a previous experimental review of single top-182 quark studies, limited to the LHC experiments and written before the first measurements 183 at 13 TeV were available. 184

The theoretical cross section for single top-quark production in the t-channel has been 185 computed at next-to-leading order (NLO) in quantum chromo-dynamics (QCD) (Campbell 186 et al., 2004; Cao et al., 2005a; Cao and Yuan, 2005; Harris et al., 2002; Schwienhorst et al., 187 2011), including next-to-next-to-leading log (NNLL) corrections (Kidonakis, 2011) and at 188 next-to-next-to-leading order (NNLO) (Berger et al., 2016; Brucherseifer et al., 2014). The 189 cross section for the s-channel process has been computed at NLO (Campbell et al., 2004; 190 Cao et al., 2005b; Harris et al., 2002; Heim et al., 2010), and including NNLL corrections (Ki-191 donakis, 2010a). The cross section for the tW process has been computed at NLO (Campbell 192 et al., 2004), and including NNLL corrections (Kidonakis, 2010b). For each process, both 193 total and differential cross sections are available. 194

This review is organized as follows: The Tevatron and LHC colliders and experiments are described in Section II, the cross section measurements are summarized and compared in Section III, the extraction of parameters from the cross-section measurements and searches for new physics are described in Section IV. We conclude in Section V, providing some thoughts on the future of this research direction.

## 200 II. HADRON COLLIDERS AND EXPERIMENTS

Only two particle colliders have had sufficient CM energy and integrated enough luminosity to produce top quarks — the Tevatron proton-antiproton collider at Fermilab (Holmes, 1998; Lebedev and Shiltsev, 2014; Wilson, 1977) and the LHC proton-proton collider at CERN (Evans and Bryant, 2008). The different initial states lead to different production processes: At the Tevatron, hard-scale processes (including all top-quark production

mechanisms or processes involving the exchange of massive mediators) are dominated by 206 quark-antiquark initial states, while at the LHC they are dominated by initial states with 207 one or two gluons. In addition, the LHC has accumulated large amounts of proton-proton 208 (pp) collision data at three different CM energies, 7 TeV, 8 TeV, and 13 TeV, while the 209 Tevatron accumulated a large amount of proton-antiproton data at 1.96 TeV. The Tevatron 210 initially collected data at 1.8 TeV, with sufficient statistics to discover the top quark in 211 pair production (Abachi et al., 1995; Abe et al., 1995), but insufficient to measure single 212 top-quark production (Abbott et al., 2000; Acosta et al., 2002). 213

The algorithms for the identification and reconstruction of the so-called analysis objects 214 (e.g., electrons, muons, hadronic jets) are similar though not identical at the different exper-215 iments, reflecting their complementary strengths. The focus in single top-quark selections 216 is on identifying isolated high- $p_T$  electrons or muons together with large  $\not\!\!E_T$  and one or 217 more jets, at least one of which is required to be b-tagged to identify the b quark from 218 the top-quark decay. The Tevatron experiments, CDF and D0, use two different jet recon-219 struction algorithms with different cone sizes. The LHC experiments ATLAS and CMS use 220 the same anti- $k_T$  algorithm (Salam and Soyez, 2007), though during Run 1 different radius 221 parameters were used. The  $p_T$  thresholds for leptons and jets at the Tevatron are typically 222 lower (15 GeV to 20 GeV) than at the LHC (20 GeV to 30 GeV), giving higher accep-223 tances for single top-quark events, compensated partially by the harder spectrum caused 224 by the larger CM energies at the LHC. All b-tagging algorithms in these four experiments 225 exploit information related to the lifetime of the b-flavored hadrons, in many cases combined 226 with complementary information such as the mass and track multiplicity of the secondary 227 vertices (when present) and/or by the observation of charged leptons inside the jet. The 228 b-tagging efficiencies, for similar light-quark rejection factors, are smaller at the Tevatron 229 (50% to 65%) (Abazov et al., 2014; Acosta et al., 2005b) compared to the LHC (65% to 230 85%) (ATLAS Collaboration, 2016c; CMS Collaboration, 2013b). 231

## A. Tevatron

The Tevatron was a proton-antiproton collider with two interaction regions that were surrounded by two multi-purpose experiments, CDF and D0, to record the collisions. Run 1 at the Tevatron lasted from 1992 to 1996 and delivered  $0.12 \text{ fb}^{-1}$  of data at a CM energy of 1.8 TeV. That was sufficient to produce top-quark pairs via the strong interaction, leading
to the top-quark discovery (Abachi *et al.*, 1995; Abe *et al.*, 1995). Run 2 at the Tevatron
lasted from 2002 to 2011, delivering 10 fb<sup>-1</sup> of data at a CM energy of 1.96 TeV and kicking
off the single top-quark program.

240 *1. CDF* 

The CDF (Collider Detector at Fermilab) experiment (Acosta *et al.*, 2005a) in Run 2 at 241 the Tevatron consisted of a magnetic spectrometer surrounded by calorimeters and muon de-242 tectors. The charged-particle tracking system was contained in a 1.4 T solenoid. CDF had a 243 precision tracking system, with silicon microstrip detectors providing charged-particle track-244 ing close to the beam pipe. It was surrounded by an open-cell drift chamber which covered 245 a radial distance out to 137 cm and provided up to 96 measurements of the track position. 246 The fiducial region of the silicon detector extended in pseudorapidity  $|\eta|$  up to  $|\eta| = 2$ , while 247 the drift chamber provided full radial coverage up to  $|\eta| = 1$ . Segmented electromagnetic 248 and hadronic (iron-scintillator) sampling calorimeters surrounded the tracking system and 249 measured the energy of interacting particles, covering the range  $|\eta| < 3.6$ . The momentum of 250 muons was measured by drift chambers and scintillation counters out to  $|\eta| = 1.5$ . The CDF 251 trigger system selected events in a three-level architecture. The first (hardware-based) level 252 accepted events at a rate of up to 30 kHz, while the second (firmware and software-based) 253 level reduced the rate to less than 750 Hz, and the third (software-based) level reduced that 254 rate to up to 200 Hz. 255

In the offline analyses of CDF data, jets were identified using a fixed-cone algorithm with a 256 cone radius of 0.4. Heavy-flavor jets were b-tagged based on secondary vertex reconstruction. 257 Electrons were reconstructed as charged particles in the tracking system that leave the 258 majority of their energy in the electromagnetic section of the calorimeter. Muons were 259 identified as charged particles in the tracker that leave hits in the muon chambers located 260 261 the calorimeter, projected in the transverse plane of the detector, with corrections to take 262 into account the calibration of the energy that could be attributed to analysis objects such 263 as jets, electrons or muons. CDF collected an integrated luminosity of  $9.5 \text{ fb}^{-1}$  in Run 2. 264

265 *2. D0* 

The D0 detector (Abazov *et al.*, 2006) in Run 2 at the Tevatron had a central tracking 266 system consisting of a silicon microstrip tracker and a central fiber tracker, both located 267 within a 2 T superconducting solenoidal magnet. The central tracking system was designed 268 to optimize tracking and vertexing at detector pseudorapidities of  $|\eta| < 2.5$ . A liquid-argon 269 sampling calorimeter had a central section covering  $|\eta| < 1.1$  and two endcap calorimeters 270 that extended coverage to  $|\eta| < 4.2$ . An outer muon system, with pseudorapidity coverage 271 of  $|\eta| < 2$ , consisted of a layer of tracking detectors and scintillation trigger counters in a 272 magnetic field of 1.8 T provided by iron toroids. Events were selected by a three-level trigger 273 system, with the first two (hardware-based and hardware/software-based) levels accepting 274 an event rate of about 1 kHz, which was reduced to less than 100 Hz with the software-based 275 third level. 276

In the offline analyses, jets were identified as energy clusters in the electromagnetic and 277 hadronic parts of the calorimeter, reconstructed using an iterative mid-point cone algorithm 278 with radius R = 0.5 (Blazey et al., 2000). Heavy-flavor jets were b-tagged based on a 279 multivariate analysis (MVA) algorithm that combines the information from the impact pa-280 rameters of tracks and from variables that characterize the properties of secondary vertices 281 within jets. Electrons were identified as energy clusters in the calorimeter with a radius of 282 0.2, matched to a track. Muons were identified as segments in the muon system that are 283 matched to tracks reconstructed in the central tracking system. The  $E_T$  was measured with 284 the calorimeter and corrected for the presence of reconstructed objects. D0 collected an 285 integrated luminosity of 9.7  $\text{fb}^{-1}$  in Run 2. 286

## 287 B. LHC

The Large Hadron Collider (LHC) operates since 2009 as a proton-proton, proton-lead and lead-lead collider <sup>2</sup>, at CM energies ranging from 900 GeV to 13 TeV. Collisions happen at four beam-crossing points, and data are recorded by seven experiments: the multi-purpose experiments ATLAS (ATLAS Collaboration, 2008) and CMS (CMS Collaboration, 2008), the *b*-physics experiment LHCb (LHCb Collaboration, 2008), the heavy-ion experiment AL-

 $<sup>^{2}</sup>$  A short "pilot run" in October 2017 also provided few hours of xenon-xenon collisions.

ICE (ALICE Collaboration, 2008), the forward-physics experiments TOTEM (at the CMS 293 collision point) (Berardi et al., 2004a,b) and LHCf (at the ATLAS collision point) (Adriani 294 et al., 2006), and the MoEDAL experiment (at the LHCb collision point) optimized for 295 the search of magnetic monopoles and other highly-ionizing hypothetical particles (Pinfold 296 et al., 2009). The following run periods are of relevance for the studies reported in this 297 review: 7 TeV runs in 2010 and 2011, with about 5  $\text{fb}^{-1}$  of good data collected by each 298 of the multi-purpose experiments; 8 TeV run in 2012, where about 20  $\text{fb}^{-1}$  of data were 299 collected per experiment; and 13 TeV runs since 2015, with around 40  $\text{fb}^{-1}$  per experiment 300 collected by the end of 2016. The LHC and the experiments continue to operate well at the 301 time of writing, with much larger datasets expected to be collected. Only the experiments 302 that contribute to single top-quark studies (ATLAS, CMS, and LHCb) are described in this 303 section. 304

305 *1. ATLAS* 

The ATLAS (A Toroidal LHC ApparatuS) experiment (ATLAS Collaboration, 2008) is 306 a multi-purpose particle detector with a forward-backward symmetric cylindrical geometry. 307 ATLAS comprises an inner detector (ID) surrounded by a thin superconducting solenoid 308 providing a 2 T axial magnetic field, a calorimeter system and a muon spectrometer in a 309 toroidal magnetic field. The ID tracking system covers the pseudorapidity range  $|\eta| < 2.5$ 310 and consists of silicon pixel, silicon microstrip, and transition radiation tracking detectors. 311 Lead/liquid-argon sampling EM and forward calorimeters and steel/scintillator-tile central 312 hadronic calorimeters provide energy measurements with pseudorapidity coverage of  $|\eta| < 1$ 313 4.9. The muon spectrometer surrounds the calorimeters and consists of large air-core toroid 314 superconducting magnets with trigger and tracking chambers out to  $|\eta| < 2.7$ . Events 315 are selected in Run 1 in a three-level trigger system with the first (hardware-based) level 316 accepting an event rate of less than 75 kHz and Level 2 and the event filter (both software-317 based) reducing the accepted rate to about 400 Hz. In Run 2, there are two trigger levels, 318 accepting event rates of 100 kHz and 1 kHz, respectively. 319

Jets are reconstructed using the anti- $k_T$  jet clustering algorithm (Salam and Soyez, 2007) with a radius parameter of R = 0.4. Heavy-flavor jets are *b*-tagged based on a combination of multivariate algorithms which take advantage of the long lifetime of *b*-flavored hadrons and

the topological properties of secondary and tertiary decay vertices reconstructed within the 323 jet. Electrons are reconstructed from energy clusters in the calorimeter which are matched 324 to inner detector tracks. Electrons are identified in the pseudorapidity region  $|\eta| < 2.47$ , 325 excluding the transition region between barrel and endcap calorimeters of  $1.37 < |\eta| < 1.52$ . 326 Muons are reconstructed by combining matching tracks reconstructed in both the inner 327 detector and the muon spectrometer up to  $|\eta| < 2.5$ . An upgrade of the silicon pixel 328 detector, with the addition of a fourth layer of pixel sensors closer to the beam pipe, was 329 performed between Run 1 and Run 2, enhancing the ATLAS performances in tracking and 330 vertexing and consequently improving *b*-tagging performances. 331

<sup>332</sup> During the runs at 7 TeV, in 2010 and 2011, ATLAS accumulated respectively 35  $pb^{-1}$  and <sup>333</sup> about 5 fb<sup>-1</sup> of data usable for physics analysis. In 2012, about 20 fb<sup>-1</sup> were accumulated <sup>334</sup> at 8 TeV, while about 3 fb<sup>-1</sup> and 33 fb<sup>-1</sup> were collected at 13 TeV in 2015 and 2016, <sup>335</sup> respectively.

## 336 2. CMS

The CMS (Compact Muon Solenoid) experiment is, similarly to ATLAS, a multi-337 purpose detector with cylindrical forward-backward symmetry. It features a supercon-338 ducting solenoid of 6 m internal diameter, providing a magnetic field of 3.8 T. Within the 339 solenoid volume are a silicon pixel and strip tracker, a lead tungstate crystal electromagnetic 340 calorimeter (ECAL), and a brass and scintillator hadron calorimeter (HCAL), each com-341 posed of a barrel and two endcap sections. Forward calorimeters extend the pseudorapidity 342 coverage provided by the barrel and endcap detectors. Muons are measured in gas-ionization 343 detectors embedded in the steel flux-return voke outside the solenoid. A more detailed de-344 scription of the CMS detector can be found in CMS Collaboration (2008). Events of interest 345 are selected using a two-tiered trigger system (CMS Collaboration, 2017i). The first level 346 (L1), composed of custom hardware processors, uses information from the calorimeters and 347 muon detectors to select events at a rate of around 100 kHz. The second level, known as 348 the high-level trigger (HLT), consists of a farm of processors running a version of the full 349 event reconstruction software optimized for fast processing, and reduces the event rate to 350 less than 1 kHz before data storage. 351

All single top-quark analyses published by the CMS collaboration have profited from the

performances of the so called particle-flow (PF) algorithm (CMS Collaboration, 2017f). The 353 PF algorithm (also called global event reconstruction) reconstructs and identifies each indi-354 vidual particle with an optimized combination of information from the various elements of 355 the CMS detector. The energy of photons is directly obtained from the ECAL measurement. 356 The energy of electrons is determined from a combination of the electron momentum at the 357 primary interaction vertex as determined by the tracker, the energy of the corresponding 358 ECAL cluster, and the energy sum of all bremsstrahlung photons spatially compatible with 359 originating from the electron track. The energy of muons is obtained from the curvature 360 of the corresponding track. The energy of charged hadrons is determined from a combi-361 nation of their momentum measured in the tracker and the matching ECAL and HCAL 362 energy deposits, corrected for zero-suppression effects and for the response function of the 363 calorimeters to hadronic showers. Finally, the energy of neutral hadrons is obtained from the 364 corresponding corrected ECAL and HCAL energy. Jets and  $E_T$  are reconstructed using as 365 input the list of particles provided by the PF algorithm. Jets are reconstructed with the the 366 anti- $k_T$  jet clustering algorithm with a radius parameter of R = 0.5 in Run 1 and R = 0.4367 in Run 2. Heavy-flavor jets are b-tagged based on a combination of multivariate algorithms 368 which take advantage of the long lifetime of b-hadrons and the topological properties of 369 secondary and tertiary decay vertices reconstructed within the jet. 370

During the runs at 7 TeV, in 2010 and 2011, CMS accumulated respectively 36  $pb^{-1}$ and 5  $fb^{-1}$  of certified data, defined as the data collected when all sub-detectors and the magnet are fully operational. In 2012, 20  $fb^{-1}$  were accumulated at 8 TeV, while 2.3  $fb^{-1}$ and 36  $fb^{-1}$  of certified data were recorded at 13 TeV in 2015 and 2016, respectively.

375 *3.* LHCb

The LHCb detector (LHCb Collaboration, 2008) is a single-arm forward spectrometer with pseudo-rapidity acceptance of  $2 < \eta < 5$ , designed for the study of particles containing *b* or *c* quarks. A warm dipole magnet provides an integrated field of 4 Tm and surrounds the tracking systems, which include a vertex locator and silicon microstrip tracker. Additional tracking stations are located outside the magnet, made of silicon microstrips and Ring Imaging Cherenkov counters. The calorimeter has a preshower, electromagnetic, and hadronic part. Five muon stations based on multi-wire proportional chambers, one in front of and the rest behind the calorimeters, record the trajectory of muons. Events are recorded by a two-level triggering: a hardware-based Level 0 which accepts events at a rate of about 1 MHz and a software-based HLT that reduces the rate to about 2 kHz. Events passing the muon trigger have been used for top-quark analysis (Section III.B.3.)

## <sup>394</sup> III. CROSS SECTION MEASUREMENTS

The cross sections of four single top-quark production mechanisms have been measured 395 at the hadron colliders. The cross section of t-channel production, Fig. 1(a), is largest at 396 both the Tevatron and LHC colliders, about 1/3 of the top-quark pair production cross 397 section. The production of s-channel single top quarks, Fig. 1(b), is initiated at Born level 398 by  $q\bar{q}'$  annihilation and the cross section is therefore larger in  $p\bar{p}$  than in pp collisions (at 399 the same CM energy), about half that of t-channel production at the Tevatron. The cross 400 section of tW production, Fig. 1(c), while being experimentally inaccessible at the Tevatron, 401 is the second largest one at the LHC due to the higher CM energy and larger gluon PDF. 402 The much rarer tZq process has been observed only recently thanks to the large statistics 403 accumulated by the LHC in Run 2. 404

Figure 2 compares the pseudorapidity distributions of the light quark in the dominant 405 t-channel production at Born level (LO) and NLO between the Tevatron and the LHC (Cao 406 et al., 2005a; Schwienhorst et al., 2011). At the Tevatron, the distribution is asymmetric 407 due to the proton-antiproton initial state. The light quark that recoils against the top quark 408 (antiquark), often called "spectator" quark, goes preferentially along the direction of the 409 incoming proton (antiproton). At the LHC, the pseudorapidity distribution is symmetric, 410 thus only  $|\eta|$  is shown. For the same reason, the cross sections for the production of top 411 quarks and antiquarks are different. The light quark distribution peaks more forward at the 412

LHC than at the Tevatron due to the larger CM energy, and more forward for top quarks 413 than top antiquarks because the incoming light quark is a valence quark for top-quark 414 production. 415

The single top-quark analyses in the *t*-channel and *s*-channel at the Tevatron and the 416 LHC select events in the lepton plus jets (l+jets) final state<sup>3</sup>, which requires a high- $p_T$  lepton 417 and at least one b-tagged jet. The exception is one CDF analysis, which selects events with 418 large  $E_{\tau}$  and b-tagged jets. The tW measurements select events in the dilepton final state. 419 The searches for tZq production exploit the trilepton final state, where the price paid in 420 terms of leptonic branching fractions of the Z boson and of the top quark gets compensated 421 in terms of purity. 422

In this article we follow the usual convention in the High-Energy Physics community<sup>4</sup> 423 of indicating with the words "evidence" and "observation" a significance of the signal with 424 respect to the background-only hypothesis that surpasses three and five standard deviations, 425 respectively. 426

#### A. Tevatron 427

At the Tevatron, the *t*-channel process has the largest predicted production cross section 428 of  $2.10 \pm 0.13$  pb (Kidonakis, 2011) and is easiest to separate from the backgrounds due to 429 the unique signature of a forward light-quark jet, see Figs. 1(a) and 2. The s-channel process 430 has a smaller predicted production cross section of  $1.05 \pm 0.06$  pb (Kidonakis, 2010a). Both 431 theory predictions have been computed at NLO, including NNLL corrections, and for a top-432 quark mass of 172.5 GeV. The tW cross section is  $0.10\pm0.01$  pb (Kidonakis, 2017b), too small 433 to disentangle from other processes with similar final states, and it is therefore neglected in 434 all Tevatron analyses. Due to the challenge of separating the signal from the background 435 and the two signals from each other, the Tevatron experiments report both combined s + t-436 channel measurements, where the ratio between the two processes is assumed to take the SM 437 value, and individual measurements for t-channel and s-channel. The SM ratio assumption 438 is suitable for the early measurements that aim to establish the existence of this signal and 439

<sup>3</sup> Here and anywhere in this article, symbol l is used to refer to a charged lepton (electron or muon),  $p_x$  and  $p_y$  indicate momentum components along the x and y axis chosen as orthogonal directions to the beam

axis, and  $p_T \equiv \sqrt{p_x^2 + p_y^2}$  (transverse momentum). <sup>4</sup> The authors are aware of the shortcomings of this convention, especially in cases where the signal expectation is precisely determined in the SM; see discussion in Dorigo (2015).

<sup>440</sup> provide the first  $|V_{tb}|$  extraction. It does limit the sensitivity to new physics <sup>5</sup>, for which a <sup>441</sup> two-dimensional cross-section fit is more appropriate as presented in Section III.A.3.

## 442 1. Observation of single top-quark production

The amount of data collected in Run 1 at the Tevatron at a CM energy of 1.8 TeV was not 443 sufficient to accumulate a measurable sample of single top-quark events and only upper limits 444 on the production cross section were set (Abazov et al., 2001; Abbott et al., 2000; Acosta 445 et al., 2002). In Run 2, Tevatron delivered collisions at a CM energy of 1.96 TeV. Tighter 446 constraints were set (Abazov et al., 2005), then evidence for single top-quark production 447 was reported by D0 in 2006 (Abazov et al., 2007a, 2008) and by CDF in 2008 (Aaltonen 448 et al., 2008a). The production of single top-quark events was first observed in 2009 by 449 CDF (Aaltonen et al., 2009a, 2010) and D0 (Abazov et al., 2009). The two measurements 450 were also combined (CDF and D0 Collaborations, Tevatron Electroweak Working Group, 451 2009). 452

Two approaches are critical in the Tevatron single top-quark discovery. First, no attempt is made to separate the *t*-channel and *s*-channel production modes, though the analyses are mostly sensitive to *t*-channel production due to its larger expected cross section and distinct kinematic properties, in particular the forward light-quark jet, the pseudorapidity of which is shown in Fig. 2. The number of expected signal events with two jets and one *b*-tag in 3.2/2.3 fb<sup>-1</sup> for CDF/D0 was 85/77 for the *t*-channel and 62/45 for the *s*-channel.

Second, the Tevatron single top-quark searches and measurements rely on MVA tech-459 niques to separate the small signal from the large backgrounds with large systematic uncer-460 tainties. And not just MVAs, but the discovery sensitivity is only reached when multiple 461 MVAs are combined in another MVA. Figure 3 shows the discriminant distributions in the 462 two CDF analyses that enter the observation: The super discriminant, from a combination 463 464 which vetoes isolated leptons (Aaltonen et al., 2010). The super discriminant only has a 465 single bin with more than 5 signal events expected, and the MJ discriminant also has very 466 few signal events in the signal-enriched region. Figure 4 shows the combination discriminant 467

<sup>&</sup>lt;sup>5</sup> This approach is only rigorous as a test for models that coherently modify the cross section of both channels, such as an anomalous tWb coupling.

for the D0 analysis. Even in the signal-enriched region close to an MVA output of 1, there are only about 8 expected signal events for an expected background of about 10 events. The combined cross section for *t*-channel and *s*-channel production is obtained in a Bayesian likelihood analysis, assuming the SM ratio of the two processes. The same approach is also used to combine the two measurements, and the combined *t*-channel plus *s*-channel (t + s)cross section is  $2.76^{+0.58}_{-0.47}$  pb (CDF and D0 Collaborations, Tevatron Electroweak Working Group, 2009).

CDF required a data sample about 50% larger than D0 to observe single top-quark pro-475 duction due to a downward fluctuation in the data, as can be seen in Fig. 3(left), while D0 476 had an upward fluctuation in data in the signal region, see Fig. 4. An additional reason was 477 the limited accuracy of single top-quark theory modeling. Only leading order (LO) genera-478 tors existed at the time, while the production cross section receives contributions from both 479 the  $2 \rightarrow 2$  process shown in Fig. 5(a) and the  $2 \rightarrow 3$  process shown in Fig. 5(b). The  $2 \rightarrow 2$ 480 process corresponds to the 5-flavor-number scheme (5FNS) where the parton distribution 481 functions include b quarks. The  $2 \rightarrow 3$  process is a part of the real corrections in QCD to the 482  $2 \rightarrow 2$  process in this scheme. However, this diagram actually contributes a large fraction 483 of the selected single top-quark events (Cao *et al.*, 2005a). Alternatively, when generating 484 events in the 4-flavor-number scheme (4FNS) where the parton distribution functions do 485 not include b quarks, the  $2 \rightarrow 3$  process in Fig. 5 is the LO process (Frederix et al., 2012). 486 Consequently, LO generators need to employ a matching scheme that includes both dia-487 grams. D0 employs the SingleTop generator (Boos et al., 2006), based on COMPHEP (Boos 488 et al., 2004), which matches the kinematics of the scattered b quark to NLO prediction. This 489 approach gives reasonable agreement with NLO distribution (Binoth et al., 2010; Campbell 490 et al., 2009). This is not the case for the CDF signal model, which was tuned by comparing 491 the LO parton-level distribution to NLO (Aaltonen et al., 2010). For the analysis with the 492 full Tevatron Run 2 dataset, the CDF signal model was updated to NLO using POWHEG 493 generator (Alioli *et al.*, 2009; Re, 2011). 494

## 495 2. Tevatron legacy measurements and s-channel observation

The CDF and D0 analyses with the full Tevatron dataset of about 10  $\text{fb}^{-1}$  utilize the same analysis techniques as the observation analyses described above. CDF combines two

measurements, one in the l+jets channel, and one in the MJ channel. The first measurement 498 499 tonen et al., 2014b). The data events are separated into four categories by jet multiplicity 500 (2-jet and 3-jet) and b-tag multiplicity (1-tag and 2-tag). The single top-quark signal is sep-501 arated from the backgrounds using a Neural Network (NN) discriminant, trained separately 502 in each analysis region, using only s-channel events as the signal in the training for 2-jet, 503 2-tag events, and only t-channel events as the signal in the training for all other events. This 504 dedicated training enhances the separate sensitivity to s-channel and t-channel. In addition, 505 simulated samples with variations related to the main systematic uncertainties (jet energy 506 scale, factorization and renormalization scales) are included in the training in order to re-507 duce the sensitivity to these sources of uncertainty. The NN discriminant for 1-tag events 508 is shown in Fig. 6. 509

The second measurement selects events containing large  $E_T$ , b-tagged jets, but no identi-510 fied leptons (Aaltonen *et al.*, 2016) in 9.5  $\text{fb}^{-1}$  of data. Events are separated into six regions 511 by jet multiplicity (2 or 3) and b-tag categories (exactly one tight, one tight and one loose, 512 and two tight tags). In total, 22,700 events are selected in data, of which 530 are expected to 513 be from single top-quark production. This amount of signal is similar to the l+jets analysis, 514 but the background here is much larger. The signal is separated from the large background 515 from QCD multijet events with a NN. The t-channel (s-channel) signal is isolated from the 516 background in 1 b-tag (2 b-tag) events with a separate NN. The resulting NN output for 517 events with two b-tagged jets is shown in Fig. 6. The  $E_T$  +jets analysis has less sensitivity 518 than the l-jets one, but still contributes in the combination and enhances the single-top 519 sensitivity. 520

The l+jets and MJ discriminants are combined in a likelihood fit that includes all bins of the MVA distributions in all channels of both measurements, with a coherent treatment of the systematic uncertainties and their correlations (Aaltonen *et al.*, 2016). The resulting two-dimensional posterior probability density as a function of the *t*-channel and *s*-channel cross sections for CDF is shown in Fig. 7(left).

<sup>526</sup> D0 measures the combined single top-quark cross section using a combination of several <sup>527</sup> MVA techniques (Abazov *et al.*, 2013) using 9.7 fb<sup>-1</sup> of data, selecting events in the *l*+jets <sup>528</sup> channel. Each event is required to have an electron or a muon with  $p_T > 20$  GeV and two or <sup>529</sup> three jets, at least one of which is required to be *b*-tagged. The leading jet is required to have

 $p_T > 25$  GeV, while all other jets have  $p_T > 20$  GeV. The missing transverse momentum is 530 required to be  $\not\!\!E_T > 20$  GeV for 2-jet events and  $\not\!\!E_T > 25$  GeV for 3-jet events. Events where 531 a hadronic jet is misidentified as a lepton are rejected through additional event topology 532 requirements. In total, 12,000 data events are selected, of which 630 are expected to be from 533 single top-quark production. The t-channel and s-channel signals are separated from the 534 large background with three MVA discriminants: a Bayesian NN (BNN), a boosted decision 535 tree (BDT), and a matrix element (ME) discriminant. The inputs to the BNN and the BDT 536 are kinematic properties of individual analysis objects and whole-event features, and include 537 the output of the b-tag algorithm. In the ME method, also known as dynamic likelihood 538 method (Kondo, 1988, 1991), a discriminant is built using probabilities calculated from the 539 squared matrix element for each signal and background process hypothesis based on the 540 corresponding leading-order Feynman diagrams, and thus in principle uses all the kinematic 541 information available for the event. The three individual discriminants are then combined 542 in another BNN to form the final discriminant. The methods are optimized separately for 543 t-channel (where s-channel is included as part of the background) and s-channel (where 544 t-channel is included as part of the background) in each of four regions (2 or 3 jets, 1 or 2 545 b-tags). The signal region for the two discriminants is shown in Fig. 8. The cross section 546 is measured in a Bayesian likelihood analysis (Bertram et al., 2000). The resulting two-547 dimensional posterior as a function of t-channel and s-channel single top-quark production 548 cross sections for D0 is shown in Fig. 7(right). 549

## 550 3. Tevatron combination

The results from the two experiments are combined starting from the s- and t-channel 551 discriminants in the two CDF (Aaltonen et al., 2014b, 2016). and one D0 (Abazov et al., 552 2013) analyses listed above. The various channels of the different analyses are combined by 553 taking the product of their likelihoods and simultaneously varying the correlated uncertain-554 ties and by comparing data to the predictions for each contributing signal and background 555 process. The combined Tevatron cross sections are measured using a Bayesian statistical 556 analysis (Bertram et al., 2000). No assumption is made about the ratio of the t-channel and 557 s-channel cross sections (unlike for the single top-quark discovery). The several hundred bins 558 of the individual discriminants are sorted by their t-channel and s-channel signal/background 559

ratios as s - t and rebinned. This discriminant is shown in Fig. 9. The *t*-channel signal appears on the left, at large negative values. The *s*-channel signal appears on the right, at large positive values. The signal+background distribution shows good agreement with the data over the full discriminant range. The largest background in both the *t*-channel and *s*-channel signal regions is from *W*-boson production in association with jets (*W*+jets), with smaller contributions from  $t\bar{t}$  production and other backgrounds.

The two-dimensional Bayesian posterior density as a function of the t-channel and s-566 channel cross sections is shown in Fig. 10(left). The measurement agrees with the SM predic-567 tion and is also compared to several new physics models for illustration. FCNC couplings of 568 the top quark to the gluon (Abazov et al., 2007b; Tait and Yuan, 2000) increase the t-channel 569 cross section. A possible fourth generation (Alwall et al., 2007) results in an increased top-570 quark coupling to first- and second-generation quarks and thus reduces the s-channel cross 571 section while increasing the t-channel cross section. A top-flavor model (He et al., 2000; Tait 572 and Yuan, 2000) with an additional boson coupling to the top quark increases the s-channel 573 cross section and has no impact on t-channel production. A charged "top pion"  $^{6}$  results in 574 a s-channel resonance decaying to a top quark and a bottom quark (Tait and Yuan, 2000). 575

## 576 4. s-channel

The existence of s-channel production has been established few years ago by the combi-577 nation of Tevatron measurements (Aaltonen et al., 2014c) and it is one of the few "Tevatron 578 legacies" that have not been surpassed in precision by the LHC experiments. The input 579 measurements and procedure are the same as described in Section III.A.3, but here, the 580 likelihood fit is one-dimensional for the s-channel signal, including t-channel single top-581 quark production in the background. The combined discriminant, rebinned to bring out the 582 s-channel signal, is shown in Fig. 11(left). The dominant background in the signal region is 583 from W+jets production and top-quark pair production. The *t*-channel contribution in the 584 s-channel signal region is negligible. 585

<sup>6</sup> The term "top pion" refers to hypothetical composite bosons formed by top and bottom quarks and antiquarks, predicted in models with additional strong interactions that only act on third-generation quarks, generally known as "top-color" models (Hill, 1991, 1995). These models seek to explain the largeness of the top-quark mass by a top-quark condensation that plays the role of the Higgs field, in analogy with the phenomenon of superconductivity. Top pions play for such a theory the same role that the SM pions, formed by up and down quarks and antiquarks, play in QCD.

The cross section is measured to be  $1.29^{+0.26}_{-0.24}$  pb, consistent with the SM expectation. The significance of the excess of the data over the background expectation is 6.3 standard deviations. A summary of the Tevatron *s*-channel measurements is shown in Fig. 11(right). The Tevatron cross section measurements are summarized in Fig. 10(right) and are compared to the LHC measurements in Fig. 24.

## 591 B. LHC

Single top-quark production at the LHC is dominated by the *t*-channel, even more than at 592 the Tevatron. The production cross section for the *t*-channel, shown in Table I, is sufficiently 593 large to produce millions of single top quarks, enough to measure the cross section inclusively 594 and differentially and to measure top-quark properties precisely (see Section IV). The cross 595 section for the production of a top quark in association with a W boson, shown in Table III, 596 is second-largest, and is sufficiently high to observe this process at the LHC. The s-channel 597 cross section, shown in Table IV, is small due to its quark-antiquark initial state and so far 598 only evidence for this process has been reported. 599

## 600 1. t-channel

The ATLAS and CMS experiments have recorded proton-proton data at various CM 601 energies. The t-channel production mode (Fig. 1(a)) has the largest cross section, and is the 602 only single top-quark process whose cross section has been measured at four CM energies 603 so far. Effort has also gone into providing precise theoretical predictions for this mode. 604 The t-channel cross sections have been calculated at next-to-next-to-leading order (NNLO) 605 in QCD (Berger et al., 2016, 2017; Brucherseifer et al., 2014) and at NLO with NNLL 606 resummation (Kidonakis, 2011). Automatic calculations as a function of various parameters 607 can be performed with the HATHOR v2.1 program at NLO (Aliev et al., 2011; Kant et al., 608 2015), based on MCFM (Campbell et al., 2004). The dependence of the theory predictions 609 on the flavor-number scheme in the predictions has also been studied by comparing the full 610 NLO calculations in the 4FNS (Fig. 5(a)) with that in the 5FNS (Fig. 5(b)) (Frederix *et al.*, 611 2012). The different predictions are compared in Table I. The NLO+NNLL predictions are 612 slightly larger than the NLO ones, while the NNLO calculations predict a smaller cross 613

section. The cross sections have also been computed differentially (Berger *et al.*, 2017;
Kidonakis, 2016; Schwienhorst *et al.*, 2011).

At the LHC, the inclusive t-channel cross sections have been measured at 7 TeV (AT-616 LAS Collaboration, 2014a; CMS Collaboration, 2011, 2012a), 8 TeV (ATLAS Collaboration, 617 2017b; CMS Collaboration, 2014b) and 13 TeV (ATLAS Collaboration, 2017c; CMS Col-618 laboration, 2017a) by ATLAS and CMS. All these analyses enhance the t-channel signal by 619 selecting events with one isolated electron or muon, significant  $E_T$  and/or large invariant 620 mass  $(m_T^W)$  of the lepton plus  $E_T$  system <sup>7</sup>, and two or three jets. Exactly one of the jets 621 is required to pass a tight threshold on the b-tagging discriminant and is interpreted as 622 coming from the decay of the top quark, while the other (failing the same threshold) as 623 originating from the spectator quark that recoils again the top quark. Main backgrounds to 624 this final state are  $t\bar{t}$  and W+jets. Orthogonal control regions with different multiplicities 625 of jets and/or b-tagged jets are used to measure these backgrounds in situ, to validate the 626 Monte Carlo models used for their predictions, or to constrain the main experimental uncer-627 tainties (e.g., b-tag modeling). QCD multi-jet events constitute a small but non-negligible 628 background. Given the uncertainties in its modeling, it is necessary to predict the size and 629 properties of this process by data. A reliable model of this background is usually extracted 630 from events that fail the isolation requirement or other elements of the charged-lepton se-631 lection, while fulfilling all other selection criteria. 632

The extraction of the signal cross section is performed by both collaborations by profile-633 likelihood fits (Cowan et al., 2011; Cranmer et al., 2012; Verkerke and Kirkby, 2003). The 634 fit variable is a multivariate discriminant in the case of ATLAS (ATLAS Collaboration, 635 2014a, 2017b,c) and of some of the CMS analyses (CMS Collaboration, 2011, 2012a, 2017a). 636 ATLAS also measured the cross section at 7 TeV in a simple cut-based approach (AT-637 LAS Collaboration, 2012b). CMS also demonstrated the feasibility of entirely relying on 638 a simple kinematic observable,  $\eta_{i'}$ , defined as the pseudorapidity of the jet failing b-tag 639 requirement (CMS Collaboration, 2012a, 2014b). 640

Table II compares the acceptances and event yields of the LHC *t*-channel analyses to the Tevatron s + t-channel analyses. The kinematic thresholds on leptons, jets and  $\not{E}_T$  are higher at the LHC than at the Tevatron, resulting in an acceptance that is about a factor two lower. However, since the cross section is so much larger, the number of signal events

<sup>7</sup> Defined as 
$$m_T^W = \sqrt{(p_T^l + E_T)^2 - (p_x^l + E_T)^2 - (p_y^l + E_T)^2}$$
.

and the signal/background ratio are larger.

Systematic uncertainties are dominant over the statistical uncertainties in these t-channel 646 measurements, with the exception of the earliest measurement at 7 TeV using the data 647 collected in 2010 (CMS Collaboration, 2011). The important detector-related uncertainties 648 are from b-tagging and jet energy scale (JES). The theory modeling uncertainties contribute 649 about half of the total systematic uncertainties. These are related to the renormalization and 650 factorization scales in the simulated signal sample, the PDFs, the amount of initial-state and 651 final-state radiation (ISR/FSR), the modeling of the parton shower and the NLO subtraction 652 (treatment of phase-space that is populated by both the NLO corrections in the matrix 653 element and the parton shower). Theory modeling uncertainties are included for both the t-654 channel signal and the background from  $t\bar{t}$  production. The scale and ISR/FSR uncertainties 655 are evaluated by both ATLAS and CMS by varying the relevant parameters in the simulation. 656 The NLO subtraction is evaluated by comparing the POWHEG method to the AMC@NLO 657 method (Alwall et al., 2014; Frederix et al., 2012; Frixione et al., 2007). For the CMS 658 8 TeV analysis, this also includes a comparison of events generated in the 4FNS and the 659 5FNS. The uncertainty due to the description of parton showers is evaluated by comparing 660 Pythia to Herwig, for ATLAS in the entire analysis chain, for CMS only in the JES. The 661 PDF uncertainty is evaluated with the PDF4LHC prescription (Botje et al., 2011). The 662 background-related uncertainties are dominated by the  $t\bar{t}$ -modeling and normalization and 663 also have contributions from W+jets and fake-lepton background modeling. Figure 12 shows 664 the light-quark jet pseudo-rapidity distribution for muon events in the CMS 7 TeV analysis 665 and the NN discriminant for positively charged leptons in the ATLAS 8 TeV analysis. 666 Already with a limited-size sample at 7 TeV, the t-channel signal is clearly visible, and 667 at 8 TeV, even bins of the final discriminant where the background is reduced to negligible 668 levels still retain thousands of signal events. Figure 13 (left) shows the CMS NN distribution 669 in the 13 TeV t-channel analysis. Even with the small data sample analyzed so far in Run 2, 670 the *t*-channel signal can be easily extracted. These figures show clearly that in comparison 671 to 7 and 8 TeV, the  $t\bar{t}$  background is now larger than the W+jets background, as expected 672 due to the larger increase in the  $t\bar{t}$  cross section. 673

The cross section is evaluated in a likelihood fit, and some of the uncertainties are constrained by data in the fit, i.e., these nuisance parameters are profiled. For the ATLAS analyses, only the uncertainties on the normalization of the  $t\bar{t}$  and W+jets backgrounds (and for the 7 TeV analysis also the *b*-tag scale factor) are profiled, while the other uncertainties are evaluated through pseudo-experiments. The CMS 7 TeV analysis uses a Bayesian approach to measure the cross section (Jaynes, 2003) and marginalizes the systematic uncertainties, except for the theory modeling uncertainties, which are evaluated in pseudo-experiments.

The cross sections measured by ATLAS and CMS at 7 TeV are  $68\pm8$  pb and  $67.2\pm6.1$  pb, respectively. ATLAS also measures the cross section for top-quark production separately from that for top antiquark production,  $46\pm6$  pb and  $23\pm4$  pb, respectively. The CMS measurement is a combination of the electron and muon channels, both of which have a tight event selection that leads to a high s/b ratio, see Table II, resulting in a slightly smaller total uncertainty for CMS than for ATLAS. The cross sections measured by ATLAS and CMS are consistent with each other and with the theory predictions.

At 8 TeV, the inclusive *t*-channel cross section measured by ATLAS is  $89.6_{-6.3}^{+7.1}$  pb. The 688 cross section has also been measured separately for top quarks and top antiquarks,  $56.7^{+4.3}_{-3.8}$  pb 689 for top-quark production and  $32.9^{+3.0}_{-2.7}$  pb for top antiquark production. At 8 TeV, the 690 inclusive t-channel cross section measured by CMS is  $83.6 \pm 2.3$  (stat.)  $\pm 7.4$  (syst.) pb, with 691  $53.8 \pm 1.5$ (stat.)  $\pm 4.4$ (syst.) pb for top quarks and  $27.6 \pm 1.3$ (stat.)  $\pm 3.7$ (syst.) pb for 692 top antiquarks. The cross sections measured by ATLAS and CMS are again consistent 693 with each other and with the theory predictions, both inclusively and for top quarks and 694 antiquarks separately. The systematic uncertainties are dominant, and the precision of the 695 measurements is comparable. 696

At 13 TeV, the inclusive cross sections measured by ATLAS and CMS are  $247 \pm 46$  pb 697 and  $238 \pm 32$  pb, respectively. The largest systematic uncertainty for ATLAS is the parton 698 shower uncertainty (13%), when the total uncertainty is 17%), evaluated by comparing the 699 parton shower models of PYTHIA and HERWIG, both applied to events simulated at matrix-700 element level with POWHEG. ATLAS and CMS also evaluated the cross sections for top 701 quark and antiquark production separately,  $156 \pm 28$  pb and  $91 \pm 19$  pb, respectively, for 702 ATLAS, and  $154 \pm 22$  pb and  $85 \pm 16$  pb, respectively, for CMS. The measured cross sections 703 are consistent with each other and with the theory predictions. 704

A fiducial *t*-channel cross section has been measured by the ATLAS collaboration using the 8 TeV data set (ATLAS Collaboration, 2017b). The benefit of measuring a production cross section within a fiducial volume is that uncertainties related to event generation can be reduced, as a smaller extrapolation is needed between the reconstruction level and the

particle level (unobservable regions of the phase become numerically irrelevant). Differences 709 between generators, hadronization models or PDFs can be separated into components visible 710 in the measured phase space (similar between particle level and reconstruction level) and in 711 the non-visible phase space (where there would be larger differences between particle level 712 and reconstruction level). The fiducial phase space for this analysis is defined close to that 713 of the reconstructed and selected events. The particle-level objects are constructed from 714 stable particles in the final state, with a very similar definition to the reconstructed objects, 715 in order to minimize the sensitivity of the fiducial cross section to the signal modeling. The 716 fiducial measurement is then extrapolated to the full phase space using different Monte Carlo 717 generators, obtaining the spread of results shown in Fig. 13(right). 718

Differential cross sections of t-channel production as a function of top-quark  $p_T$  and 719 pseudorapidity have been measured by ATLAS at 7 and 8 TeV (ATLAS Collaboration, 720 2014a, 2017b) at particle and parton level, showing a good agreement with the predictions 721 of various MC generators. Figure 14(left) shows the transverse momentum distribution 722 of the top quark (not the antiquark) at parton level. The CMS collaboration reported 723 a relative differential cross-section measurement as a function of  $\cos \theta_{\ell}$  at 8 TeV (CMS) 724 Collaboration, 2016c), where  $\theta_{\ell}$  is defined at parton level as the angle in the top-quark rest 725 frame between the momentum of the charged lepton from top-quark decay and a polarization 726 axis approximated by the direction of the light quark recoiling against the top quark. This 727 differential measurement, shown in Fig. 14(right), is an intermediate step in the extraction 728 of top-quark polarization, see Sec. IV.D, and proves that the observed distribution is linear, 729 as expected in V–A production mechanisms such as the electro-weak force in the SM. The 730 ATLAS collaboration reported a differential measurement in two bins at the parton level in 731 this variable as well as in two additional variables that characterize the angular correlations 732 in top-quark events (ATLAS Collaboration, 2017e). 733

## 734 2. W-associated (tW)

The tW process, Fig. 1(c), has the second-largest cross section. The theoretical prediction for tW production has been calculated at NLO with NNLL corrections (Kidonakis, 2010b) and at NLO (Aliev *et al.*, 2011; Campbell *et al.*, 2004; Kant *et al.*, 2015). This process is of particular interest because it overlaps experimentally and interferes by quantum principles

with top-quark pair production. The tW process is well-defined only at Born level. When 739 higher-order QCD diagrams are taken into account, such as the production of tW with 740 an associated b-quark as shown in Fig. 15, quantum interference induces a mixing with  $t\bar{t}$ 741 as exemplified in Fig. 15(b). Some proposals have been made to define the two processes 742 in an unambiguous way (Belyaev and Boos, 2001; Campbell and Tramontano, 2005; Frix-743 ione et al., 2008). The NLO event generators MC@NLO (Frixione and Webber, 2002) and 744 POWHEG (Frixione et al., 2007) allow to choose between the so called "Diagram Removal" 745 (DR) and "Diagram Subtraction" (DS) approaches (Frixione *et al.*, 2008; Re, 2011; White 746 et al., 2009). The DR approach removes all diagrams where the associated W boson and 747 the associated *b*-quark that are shown in Fig. 15(b) form an on-shell top quark. The DS 748 approach makes use of a subtraction term designed to locally cancel the  $t\bar{t}$  contributions. 749 While the latter approach is designed to be gauge-invariant, the former breaks gauge in-750 variance explicitly, but this is demonstrated to have little practical effect in most of the 751 phase space. This difference has a larger impact in extreme regions of phase space, such as 752 those sampled by supersymmetry searches (see, for example, ATLAS Collaboration (2014c) 753 and CMS Collaboration (2016e)). The ATLAS and CMS tW cross-section measurements 754 are tailored for the Born-level description of this process and thus not very sensitive to 755 the difference between the DR and DS approaches, nevertheless a systematic uncertainty is 756 assigned to account for the difference. 757

The tW cross section has been calculated at NLO+NNLL (also called approximate 758 N<sup>3</sup>LO) (Kidonakis, 2017b) and at NLO with HATHOR (Aliev et al., 2011; Kant et al., 759 2015), based on MCFM (Campbell and Tramontano, 2005). The NLO+NNLL calculation 760 is based on a NLO tW calculation (Zhu, 2002) that removes the interference terms at the 761 cross-section level. The MCFM calculation introduces a cut-off on the transverse momen-762 tum of the *b*-quark from gluon splitting, and the cross section is somewhat sensitive to 763 this threshold. Table III compares the two predictions to each other. The NLO+NNLL 764 prediction is quite a bit higher than the NLO calculation due to the b-quark cut-off in the 765 latter. 766

The first evidence of tW production has been reported by the ATLAS and CMS collaborations using 7 TeV data (ATLAS Collaboration, 2012a; CMS Collaboration, 2013a). The conventional  $5\sigma$  threshold has been crossed with 8 TeV data (ATLAS Collaboration, 2016b; CMS Collaboration, 2014c). More recently, the ATLAS collaboration measured the tW inclusive cross section at 13 TeV using 3 fb<sup>-1</sup> of data collected in 2015 (ATLAS Collaboration, 2018b), and CMS reported a precision measurement of the tW cross section at the same CM energy with 36 fb<sup>-1</sup> of 2016 data (CMS Collaboration, 2017c). The cross section measurements at all three CM energies are in agreement with the SM calculation at NLO in QCD with NNLL corrections (Kidonakis, 2014) shown in Table III.

All these analyses are performed in the dilepton final state, exploiting the presence of 776 two real W bosons (the associated one, and the one from top-quark decay), by selecting 777 events with two charged leptons (electrons or muons). The distribution of the number 778 of reconstructed jets in the ATLAS 7 TeV analysis, shown in Fig. 16, shows that even 779 in the signal region with one jet, the tW signal is overwhelmed by a larger background 780 from tt production where one of the two b-quark jets is not reconstructed. Measurements 781 of this process in the l+jets final state, i.e., with one W boson decaying leptonically and 782 one hadronically, suffer from the combinatorial problem of quark-parton association and 783 from the difficulty of discriminating the signal from the overwhelming  $t\bar{t}$  background (CMS) 784 Collaboration, 2007; Giorgi, 2016). A measurement in the l+jets channel, however, would 785 have the added value that the top quark/antiquark ratio would become accessible <sup>8</sup> and 786 could be used as a handle to constrain  $|V_{td}|$ , as an initial-state d-quark parton makes this 787 ratio deviate from unity (Alvarez et al., 2018). 788

The distributions of multivariate discriminants are used in a likelihood fit to extract the 789 signal cross section. The fit utilizes multiple regions: Not only 1-jet, 1 b-tag events that 790 have the largest fraction of tW signal, see Fig. 16(left), but also 2-jet events with 1 or 2 791 b-tags, which are used to constrain the dominant background from  $t\bar{t}$  production and the 792 large systematic uncertainties. In particular the  $t\bar{t}$  modeling uncertainties would otherwise 793 swamp the precision of the signal measurement. The BDT distribution for the CMS 8 TeV 794 analysis is shown in Fig. 16(right). The tW signal appears at high discriminant values, with 795 a s/b ratio approaching 1/1. 796

The largest systematic uncertainties in the tW measurements arise from the modeling of  $t\bar{t}$  as mentioned above and the modeling of the tW signal. Detector-modeling uncertainties from *b*-tag modeling, JES, and  $\not{\!\!E}_T$  modeling are also important. The systematic uncer-

<sup>&</sup>lt;sup>8</sup> A top-quark-mass constraint allows to assign the charged lepton to either the top quark or the associated W boson. Therefore, the charge of this lepton would provide discrimination between  $tW^-$  and  $\bar{t}W^+$  production. This is much more difficult, and so far unfeasible, in the dilepton final state, because of the presence of two neutrinos and an insufficient number of mass constraints to determine all the degrees of  $\frac{28}{100}$  freedom.

tainties affect not only the signal and background acceptance and the shape of the MVA 800 distributions, but also result in migration between the different analysis regions. The sensi-801 tivity to this migration provides constraints on  $t\bar{t}$  uncertainties in the likelihood fit. This also 802 has the consequence that the precision with which the signal can be measured is determined 803 in part by the assumptions about correlations of modeling uncertainties between  $t\bar{t}$  and tW, 804 i.e., how much a strong constraint on  $t\bar{t}$  also applies to tW. This includes the parton shower 805 and ISR/FSR and other generator modeling uncertainties. The DR/DS uncertainty is not 806 constrained in the fit but is also not a large uncertainty contribution. Figure 17(left) shows 807 the impact of the systematic uncertainties on the ATLAS 8 TeV tW measurement and how 808 much each uncertainty is constrained in the fit. The detector-related uncertainties that have 809 the largest impact are only moderately constrained and are shifted somewhat away from 810 their nominal (0) value. The largest constraint is on the NLO matching method, which is 811 obtained by comparing tW and  $t\bar{t}$  samples generated with POWHEG (Frixione *et al.*, 2007) 812 with those generated with MC@NLO (Frixione and Webber, 2002), both interfaced to HER-813 WIG. This uncertainty, as well as that from ISR/FSR  $t\bar{t}$ , is pulled to a central value below 814 zero and constrained because it shifts events between different jet multiplicities. Care needs 815 to be taken when interpreting this pull. It implies that neither MC@NLO nor POWHEG 816 is able to model the kinematic properties of the tW event selection. While MC@NLO is 817 more disfavored in the fit, both need improving. The modeling can be improved with the 818 help of fiducial measurements at particle-level, see Section III.B.3. 819

At 7 TeV, ATLAS measures a tW cross section of  $16.8 \pm 5.7$  pb, while CMS measures 820  $16^{+5}_{-4}$  pb. At 8 TeV, ATLAS measures a tW cross section of  $23.0 \pm 3.8$  pb, while CMS 821 measures  $23.4 \pm 5.4$  pb. At 13 TeV, ATLAS measures a tW cross section of  $94 \pm 28$  pb, 822 while CMS measures  $63.6 \pm 6.1$  pb. The cross sections measured by ATLAS and CMS are 823 consistent with each other, and are quite close to each other at 7 and 8 TeV. At 13 TeV, the 824 cross section measured by CMS is based on a dataset about ten times larger than the ATLAS 825 one and about one standard deviation below the measurement by ATLAS (hence the smaller 826 CMS uncertainty). All measurements are consistent with the theoretical predictions. 827

Differential measurements of the tW cross section have also been reported as a function of the energy and invariant mass of different combinations of final-state objects by ATLAS at 13 TeV (ATLAS Collaboration, 2018a). The kinematic distributions are unfolded to the particle level (defined by the presence of one lepton and one *b*-quark jet) and are compared to different MC simulations. This first differential measurement shows some conflict with the different MC generators, which all have about the same level of agreement with the data, as can be seen in the distribution of the energy of the *b* quark from the top-quark decay in Fig. 17(right).

## 836 3. tW plus $t\bar{t}$ in fiducial regions

To reduce the dependence on the theory assumptions, the ATLAS collaboration reports a 837 cross section in a fiducial detector acceptance defined by the presence of two charged leptons 838 and exactly one b jet at particle level (ATLAS Collaboration, 2016b). This signal definition 839 encompasses not only tW production but also  $t\bar{t}$  production where one of the final-state b 840 quarks is outside of the acceptance. The result is shown in Fig. 18 and is found to be in agree-841 ment with the predictions from two different NLO matrix-element generators (POWHEG 842 and MC@NLO) matched to two different parton-shower generators (Pythia 6 (Sjöstrand 843 et al., 2006) and HERWIG 6 (Corcella et al., 2001)), the DR and DS approaches, and a 844 variety of PDF sets. In this comparison, where the relative normalization of tW and  $t\bar{t}$ 845 is important, the measurement has the best compatibility with the simulation when tW846 is normalized to the NLO+NNLL calculation and  $t\bar{t}$  is normalized to the NNLO+NNLL 847 calculation. In particular the  $t\bar{t}$  normalization plays an important role. While no conclusion 848 about individual generators can be drawn given the size of the uncertainties, it is clear that 849 in the fiducial measurement, POWHEG predicts a lower cross section than MC@NLO, 850 when both are interfaced to HERWIG. 851

Although top-quark physics was not among the design goals of the LHCb experiment, it 852 has been remarked that, by accessing a kinematical region beyond the reach of ATLAS and 853 CMS, studies of top-quark production with the LHCb data may have a strong impact on 854 constraining parton distribution functions (PDF) (Gauld, 2014), or indirectly probe anoma-855 lous top-quark couplings in single and pair production in a complementary way with respect 856 to multi-purpose experiments, in particular in BSM scenarios where top-quark production 857 proceeds via t-channel exchange of a new low-mass particle (Kagan et al., 2011). Using 858 samples of 1.0 and 2.0  $fb^{-1}$  collected at CM energies of 7 and 8 TeV in 2011 and 2012 859 respectively, the LHCb Collaboration (2015) achieved the first observation of top-quark pro-860 duction in the forward region defined by its acceptance to muons  $(2.0 < \eta < 4.5)$  and to b 861

jets (2.2 <  $\eta$  < 4.2), see Fig. 19. Inclusive top-quark production cross sections were measured in a fiducial particle-level region that includes contributions mainly from  $t\bar{t}$  and also from tW and presented together with differential yields and charge asymmetries. Results are in agreement with SM predictions at NLO accuracy.

## 866 4. s-channel

The s-channel process, Fig. 1(b), poses particular challenges at the LHC because of the 867 very small cross section in comparison with backgrounds with very similar final state, a 868 situation comparatively worse than at Tevatron. The theoretical prediction for s-channel 869 production has been calculated at NLO with NNLL corrections (Kidonakis, 2010a) and at 870 NLO (Aliev et al., 2011; Campbell et al., 2004; Heim et al., 2010; Kant et al., 2015). Table IV 871 compares the two predictions to each other. The cross section rises by only a factor 2 from 8 872 to 13 TeV, making this process even harder to observe in Run 2 than in Run 1 at the LHC. 873 The ATLAS and CMS s-channel analyses select events with one isolated electron or 874 muon, significant  $E_T$  and/or large  $m_T^W$ , and two jets, both b-tagged. Main backgrounds are 875  $t\bar{t}$ , W+jets, QCD multi-jet production, and the other single top-quark processes. Several 876 orthogonal control regions with different multiplicities of jets and/or b-tagged jets are used 877 to measure these backgrounds in situ or to validate the Monte Carlo models used for their 878 predictions, or to constrain the main experimental systematics (e.g., b-tagging efficiency). 879

With the 7 TeV dataset, ATLAS and CMS were not able to observe the s-channel process 880 and only set upper limits on its production cross section (ATLAS Collaborations, 2011; CMS 881 Collaboration, 2016f). With the 8 TeV dataset, ATLAS first published a search (ATLAS 882 Collaboration, 2015c), and then improved the sensitivity of the analysis to report evidence 883 for s-channel single top-quark production (ATLAS Collaboration, 2016a). The latter analy-884 sis employs a matrix element (ME) method (see Section III.A.2) to optimize the sensitivity 885 to the s-channel signal. Here, the likelihood for each event to originate from the signal or one 886 of the backgrounds is computed based on the four-vectors of the particles in the correspond-887 ing LO Feynman diagrams. Un-observed four-vector components and detector resolution 888 effects are integrated over, resulting in large computing-time requirements. The final ME 889 discriminant for the ATLAS s-channel analysis is shown in Fig. 20(left). The background is 890 subtracted from the data in this figure, making the otherwise small signal visible. CMS mea-891

<sup>892</sup> sured the cross section simultaneously at 7 and 8 TeV (CMS Collaboration, 2016f), taking <sup>893</sup> advantage of the correlations between the different CM energies to constrain backgrounds <sup>894</sup> and systematic uncertainties. The signal is separated from the large backgrounds using a <sup>895</sup> BDT discriminant, which is shown in Fig. 20(right), with the small *s*-channel signal visible <sup>896</sup> on the right-hand side of the distribution.

The *s*-channel analyses are limited by large backgrounds in the signal region, in particular from  $t\bar{t}$  as Fig. 20 shows. The bins with the largest signal fraction correspond to unusual phase-space regions for the largest backgrounds, thus very large amounts of simulated events are necessary for the analysis. The MC statistics uncertainty is the largest of all systematic uncertainties. For both the ATLAS and CMS analyses, large detector-related uncertainties arise from JES and b-tag modeling, and the theory modeling uncertainties are dominated by *t*-channel and  $t\bar{t}$  modeling uncertainties.

At 7 TeV, the limit set by ATLAS on the s-channel cross section is 26.5 pb (20.5 pb 904 expected). The limit set by CMS is 31.4 pb (20.2 pb expected). At 8 TeV, ATLAS reported 905 evidence with an observed (expected) significance of 3.2 (3.9) standard deviations. The 906 measured cross section is  $4.8 \pm 1.8$  pb. The CMS limit at 8 TeV is 28.8 pb (15.6 pb expected). 907 The combined CMS 7+8 TeV analysis, which assumes the SM ratio between the cross 908 sections at the two CM energies, has an observed (expected) significance of 2.5(1.1) standard 909 deviations. The measured cross section value for CMS at 8 TeV is  $13.4 \pm 7.3$  pb. The limits 910 and measurements are all consistent with each other and with the theory predictions. The 911 two analyses have similar selections and amounts of signal and background, but the Matrix-912 element based discriminant in use by ATLAS is able to better separate the single top-quark 913 signal from the large backgrounds. The s-channel measurements will improve with the large 914 Run 2 dataset and better understanding of the theory modeling for  $t\bar{t}$  and t-channel single 915 top-quark production. 916

## 917 5. Z-associated (tZq)

The cross section for single top-quark production at the LHC is sufficiently large, in particular in the *t*-channel mode, that it is possible to observe the coupling to additional particles in single top-quark events. Figure 21 shows an example of this where single top quarks in the *t*-channel mode are produced in association with a Z boson. This process probes both the WZ coupling and the top-Z coupling. The production cross section for this process has been calculated at NLO (Campbell *et al.*, 2013). At 8 TeV, the cross section is  $236 \pm 15$  fb, while at 13 TeV it is  $800 \pm 60$  fb.

The signature of tZq production is that of t-channel single top-quark production, plus a 925 Z boson. Thus, the description of the process, background estimates, kinematic properties 926 described in Section III.B.1 all apply here, except that a Z boson is added to each. The 927 experimental signature consists of a leptonically decaying top-quark, with a central high- $p_T$ 928 b quark, and a forward light quark, plus a leptonically decaying Z boson. The main back-929 grounds are WZ+jets (instead of W+jets), Z+jets with a jet mis-identified as an isolated 930 lepton (instead of multi-jets with a mis-identified lepton), and ttZ (instead of  $t\bar{t}$ ). The re-931 quirement of the presence of the Z boson reduces the event rates for all of these processes 932 by three orders of magnitude compared to Section III.B.1. In addition, the requirement of 933 a leptonically decaying Z boson reduces the rate by about another order of magnitude. Se-934 lecting events in a narrow region around the Z boson mass peak is important to effectively 935 reject non-Z backgrounds, and this is not viable for hadronically decaying Z bosons, for 936 which there is an overwhelmingly large QCD background. Final states with hadronically 937 decaying top quarks and leptonically decaying Z bosons is similarly challenging, analogous 938 to t-channel production, where hadronic top quark decays are also overwhelmed by a large 939 QCD background. 940

Using the full data set at 8 TeV, the CMS collaboration presented a search for the tZq941 production mechanism (CMS Collaboration, 2017h), exploiting the very clean signature of 942 three charged leptons (electrons or muons), two of them consistent with originating from 943 944 16 signal events are expected with basic selection requirements, compared to the 17,700 945 events selected in the 8 TeV t-channel analysis (see Table II). The signal is separated from 946 the background using a BDT discriminant, and the cross section is measured in a fit to the 947 BDT output and to the W transverse mass in a control region to control the systematic 948 uncertainties and backgrounds. The observed significance is 2.4 standard deviations (1.8) 949 standard deviations expected), and the measured cross section is  $10^{+8}_{-7}$  fb. The 95% CL limit 950 on the tZq signal is 21 fb, consistent with the theory expectation. 951

ATLAS reported evidence for tZq production with 13 TeV data (ATLAS Collaboration, 2017d), also relying on the three-lepton final state. Exactly two jets are required, one *b*- tagged jet and one light-quark jet. This selects 143 events in data with 35 signal events expected from a LO simulation in the 4FNS rescaled to NLO. A neural network is utilized to separate the tZq signal from the background, and the signal is extracted from a profile likelihood fit to the NN discriminant in the signal region. The post-fit NN distribution is shown in Fig. 22. The observed (expected) significance is 4.2 (5.4) standard deviations. The measured cross section is  $600 \pm 170(\text{stat.}) \pm 140(\text{syst.})$  fb.

CMS also reported evidence for tZq production with 13 TeV data (CMS Collaboration, 960 2018). Three-lepton events are selected separately for each lepton combination, and two or 961 three jets are required, with 1-b-jet events defining the signal region and 2-b-jet and 0-b-jet 962 events defining two control regions that are also included in the final likelihood fit to constrain 963 uncertainties. The signal region has 343 data events, 25 of which are expected to come from 964 the tZq signal according to a NLO simulation of the signal in the 5FNS. The discriminant 965 used in each of the three regions is shown in Fig. 23. The observed (expected) significance is 966 3.7 (3.1) standard deviations. The measured cross section, including only leptonic Z boson 967 decays, is 123  $^{+33}_{-31}$ (stat.)  $^{+29}_{-23}$ (syst.) fb. This corresponds to an inclusive cross section of 968  $1040 \pm 370$  fb. The ATLAS and CMS measurements are consistent with each other within 960 about one standard deviation. ATLAS observes a small deficit compared to the theory 970 prediction, while CMS observes an excess. The expected signal event yield in the highest 971 bin of the MVA distribution is comparable for the two experiments, while the background is 972 larger for CMS, in part due to the better b-tag performance in the ATLAS analysis thanks 973 to their upgrade of the pixel detector at the beginning of Run 2, see Section II.B.1 (the 974 corresponding upgrade was made by CMS at the beginning of 2017). 975

The approaches followed by the two experiments differ under a few aspects, each exemplifying a particular issue in single top analyses in general. The most important differences are the inclusion of three signal regions in the CMS analysis compared to just one for ATLAS, the treatment of the non-prompt lepton (NPL) background, and the signal simulation.

The background in the highest signal bins is larger for CMS than for ATLAS, thus
 CMS benefits from profiling background normalizations and systematic uncertainties
 that affect the background estimate, which would have less of an impact on the ATLAS
 analysis.

- 984
- It can be seen, by comparing the ATLAS (Fig. 22) and CMS signal regions (Fig. 23,

left), that the NPL background is larger in the high-discriminant region for CMS than 985 for ATLAS. This corresponds to  $t\bar{t}$  dilepton and Z+jets events where an additional jet 986 is mis-identified as an isolated lepton. The ATLAS approach is to estimate separately 987 the  $t\bar{t}$  (real top quark, misidentified Z boson) and Z+jets (misidentified top quark, 988 real Z boson) backgrounds, both from simulation samples normalized to and checked 989 in control regions in data. Both samples are included in the MVA training. CMS 990 groups these sources together and focuses instead on the origin of the NPL separately 991 for each lepton flavor. This results in a smaller NPL uncertainty, but the background 992 is larger in the high-discriminant region. 993

• The signal simulations of the two experiments also differ, affecting the MVA training. 994 Although both normalize the event yields to NLO predictions, the simulation samples 995 generated by ATLAS are at LO in the 4FNS, while those simulated by CMS are at NLO 996 in the 5FNS. Generating events at LO avoids negative event weights and the associated 997 MC statistics issues, making it easier to obtain optimal MVA training. Generating 998 events at NLO gives improved modeling of the kinematic properties of the signal and 999 smaller signal-modeling uncertainties. However, a large fraction of simulated events 1000 in the signal region that have negative weights results in a non-optimal MVA. 1001

• A significant fraction of events have three jets in the final state, the two from the Feynman diagram shown in Fig. 21, plus the forward b jet shown in Fig. 5(b) or a gluon. This migration to 3-jet events is more pronounced at NLO in the 5FNS. This motivates the inclusion of 3-jet events in the CMS analysis, which recovers signal events, but also adds more  $t\bar{t}Z$  background, similar to 3-jet events in the *t*-channel analysis.

It should be stressed that the modeling differences affect the expectations, and indirectly
 the selection strategy, but do not bias the cross-section measurement itself.

## 1010 C. Summary of the inclusive cross-section measurements

Figure 24 summarizes all of the experimental measurements of the inclusive cross sections for single top-quark production at the Tevatron and at the LHC. The measurements are
<sup>1013</sup> compared to the NLO+NNLL predictions for *t*-channel, tW and *s*-channel, and to a NLO <sup>1014</sup> calculation with MC@NLO for tZq, using the NNPDF3.0 PDF set (Ball *et al.*, 2015).

Figure 25 visualizes the most precise single top-quark cross section measurements at 8 1015 TeV at the LHC for the three dominant channels, displayed versus each other. For each 1016 channel only one result from either ATLAS or CMS is shown, thus the correlations between 1017 individual measurements can be assumed to be small. The measurements are compared 1018 to examples of new physics models that lead to deviations in one or more of the cross 1019 sections. If the CKM matrix is not unitary, then deviations from 1 are possible for  $V_{tb}$ , 1020 and in turn, large non-zero values are possible for  $V_{td}$  and  $V_{ts}$  (Alwall et al., 2007). Here, 1021 we calculate the corrections to the single top-quark cross sections for a value of  $V_{ts} = 0.2$ , 1022 keeping  $V_{td} = 0$  and thus setting  $V_{tb} = 0.98$ . Thus, the impact of this model on the top-1023 quark decay is not detectable given the uncertainty of the branching ratio of  $t \to Wb$  (see 1024 Section IV.A), and only the production cross sections for t-channel and tW are increased. 1025 As another example, a vector-like fourth-generation quark B' with a mass of 0.8 TeV and 1026 chromo-magnetic couplings (Nutter et al., 2012) modifies the tW production cross section 1027 but only has a negligible impact on t-channel and s-channel production. A color triplet with 1028 a mass of 1 TeV decays to tb and thus enhances the s-channel cross section but has no effect 1029 on t-channel or tW. And finally, a small FCNC interaction corresponding to a branching 1030 ratio of  $4.1 \times 10^{-4}$  for  $t \to gc$  (Aguilar-Saavedra, 2009a) increases the t-channel cross section 1031 but has no impact on tW or s-channel. It should be noted that for all of these examples, 1032 a proper evaluation of the sensitivity includes not just the modification of the cross section 1033 but also of the experimental acceptance. In particular, since the experimental analyses use 1034 MVA techniques, the sensitivity is mainly to SM-like production mechanisms. Dedicated 1035 searches, such as those presented in the next sections, are generally more sensitive for each 1036 possible BSM scenario. 1037

# IV. SM PARAMETER EXTRACTION AND SEARCHES FOR NEW PHYSICS LEADING TO ANOMALOUS COUPLINGS

Since the mass of the top quark is of the order of the electroweak symmetry-breaking scale  $|y_t| \approx 1$ , where  $y_t$  is the top-quark Yukawa coupling), several new-physics models assign a special role to the top quark, with the consequence of typically predicting larger anomalies <sup>1043</sup> in the top-quark sector than for other quarks. Examples include top-flavor models with <sup>1044</sup> a seesaw mechanism (He *et al.*, 2000), top-color seesaw models (Dobrescu and Hill, 1998), <sup>1045</sup> models with vector-like quarks (Okada and Panizzi, 2013), and others.

The large data sets accumulated so far allow the use of single top-quark events as tools to 1046 constrain the parameters of the SM and to search for evidences of new physics, directly and 1047 indirectly. Beyond measuring the cross section, which provides access to the CKM matrix 1048 element  $|V_{tb}|$ , single top-quark events are now also used to measure asymmetries and angular 1049 correlations with increasing complexity. The t-channel production mode has the largest 1050 production cross section and the smallest background and is thus the only channel where 1051 these measurements have been made so far. These measurements provide indirect limits on 1052 effective field theory couplings of the top quark to the W boson and other bosons (Barducci 1053 et al., 2018). 1054

# 1055 A. Constraints on $|V_{tb}|$ and other CKM matrix elements

The moduli of the elements of the CKM matrix that connect the top quark with the 1056 down-type quarks,  $|V_{td}|$ ,  $|V_{ts}|$ , and  $|V_{tb}|$ , are precisely determined from measurements of B-1057 meson oscillations and loop-mediated rare K and B decays (Charles *et al.*, 2005). From 1058 these data, and with some model assumptions such as the existence of only three genera-1059 tions of quarks and the absence of non-SM particles in the loops (Alwall et al., 2007), the 1060 value of  $|V_{tb}|$  is derived with a precision of order  $10^{-5}$ :  $|V_{tb}| = 0.999097 \pm 0.000024$  (Patrig-1061 nani et al., 2016). The strong reliance of this derivation on the aforementioned assumptions 1062 motivates alternative inferences based on different sets of hypotheses. There is interest, for 1063 example, in exploring the possibility that a hypothetical heavier quark-like particle, such 1064 as a fourth-generation up-type quark or a heavy vector-like quark (Aguilar-Saavedra et al., 1065 2013) (both named t' in the following) mixes with the top quark, yielding a lower value 1066 of  $|V_{tb}|$  than expected from  $3 \times 3$  unitarity. Mixing may happen not only with sequential 1067 replicas of the known quarks, easily accommodated in the SM framework but severely con-1068 strained by the Higgs cross section measurements (Lenz, 2013)), but in general with any 1069 hypothetical quark-like particle with the appropriate quantum numbers. Differently from 1070 the new-generations case, the effective mixing matrix may be rectangular, as in the case of 1071 vector-like quarks (Aguilar-Saavedra et al., 2013; Okada and Panizzi, 2013). While the sum 1072

 $|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2 + |V_{tb\prime}|^2$  and, a fortiori, the sum  $|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2$  is bound to be 1074  $\leq 1$  also in the extended matrix, the constraints on  $|V_{td}|$  and  $|V_{ts}|$  derived from precision 1075 physics (Patrignani *et al.*, 2016) do not hold when their underlying assumptions (e.g., no 1076 non-SM particles in the loops) are relaxed (Alwall *et al.*, 2007).

<sup>1077</sup> Swain and Taylor (1998) made a first attempt to extract  $|V_{tb}|$  without relying on  $3 \times 3$ <sup>1078</sup> unitarity, using electroweak loop corrections, in particular from the  $Z \rightarrow b\bar{b}$  branching <sup>1079</sup> ratio, and combining several electroweak data from LEP, SLC, the Tevatron, and neutrino <sup>1080</sup> experiments, to obtain  $|V_{tb}| = 0.77^{+0.18}_{-0.24}$ . Alwall *et al.* (2007) applied the same principle to <sup>1081</sup> derive a lower limit on the mixing angle between the top quark and a t' from the branching <sup>1082</sup> fraction of the Z boson into b quarks measured at LEP and SLD.

Another complementary approach links  $|V_{tb}|$  with measurements of the ratio  $R_b \equiv$ 1083  $\frac{BR(t \to Wb)}{BR(t \to Wq)}$  in  $t\bar{t}$  events (Aaltonen et al., 2013, 2014a; Abazov et al., 2011a; CMS Collab-1084 oration, 2014a), where q = d, s, b. The SM with three fermion families imposes the  $3 \times 3$ 1085 unitarity condition  $|V_{td}|^2 + |V_{ts}|^2 + |V_{tb}|^2 = 1$ , implying that this quantity can be written 1086 as  $R_b = \frac{|V_{tb}|^2}{|V_{td}|^2 + |V_{tb}|^2 + |V_{tb}|^2}$  and can thus be used to infer  $|V_{tb}|$  directly. The most precise 1087 measurement of this ratio,  $R_b = 1.014 \pm 0.032$  (CMS Collaboration, 2014a), yields a 1.6% 1088 precision on  $|V_{tb}|$  if no unitarity assumption is made ( $|V_{tb}| = 1.007 \pm 0.016$ ), and a lower 1089 limit  $|V_{tb}| > 0.975$  at 95% confidence level is obtained with the Feldman-Cousins frequentist 1090 approach (Feldman and Cousins, 1998) if  $3 \times 3$  unitarity is imposed to the CKM matrix. 1093

The ratio  $R_b$  can be combined with the *t*-channel cross-section measurement in order to 1092 extract an indirect measurement of the top-quark width, which is directly proportional to 1093 the t-channel cross section as long as  $|V_{tb}| \simeq 1$ . Using this approach, the width measured 1094 by D0 is  $\Gamma_t = 2.0^{+0.47}_{-0.43}$  GeV (Abazov *et al.*, 2012a), which is significantly improved upon 1095 in the measurement by CMS of  $\Gamma_t = 1.36^{+0.14}_{-0.11}$  GeV (CMS Collaboration, 2014a). These 1096 measurements assume that the initial-state W boson is on-shell in the t-channel exchange, 1097 which of course is not generally valid. The width of the top quark will be measurable directly, 1098 in a theoretically well defined approach, by exploiting a selection targeting t-channel single 1099 top quarks, and distinguishing between resonant and non-resonant Wb production  $(t \to W^+ b$ 1100 and  $\bar{t} \to W^- \bar{b}$ , versus  $W^- b$  and  $W^+ \bar{b}$  production) (Giardino and Zhang, 2017). 1101

The single top-quark production cross sections in t- and s-channel and W-associated

<sup>1103</sup> mode can be written, in the SM, as the sum of three contributions:

$$\sigma_{tot} = |V_{td}|^2 \sigma_d + |V_{ts}|^2 \sigma_s + |V_{tb}|^2 \sigma_b \,, \tag{1}$$

where  $\sigma_d$ ,  $\sigma_s$ , and  $\sigma_b$  represent the cross sections expected for the sub-processes where, respectively, a down, strange, and bottom quark are connected to a top quark, see Fig. 1. Therefore, these production modes are potentially sensitive to all three elements of the third row of the CKM matrix. The single top-quark cross sections in *t*-channel and *tW* production modes in particular have an enhanced sensitivity to  $|V_{td}|$  and  $|V_{ts}|$  due to the large parton densities of *d* and *s* quarks in the proton (Alwall *et al.*, 2007; Lacker *et al.*, 2012; Tait and Yuan, 2000), differently from the *s*-channel mode.

Single top-quark cross section measurements can be used to derive  $|V_{tb}|$  without the need 1111 to rely on the  $3 \times 3$  unitarity condition, under the simplifying assumption that, whatever 1112 the values, the relationships  $|V_{tb}| \gg |V_{td}|$  and  $|V_{tb}| \gg |V_{ts}|$  hold true, which makes the cross 1113 section of the processes in Fig. 1 directly proportional to  $|V_{tb}|^2$ . Under these conditions, the 1114 product  $|f_L \cdot V_{tb}|$  is extracted by dividing the measured cross section for each channel by 1115 the corresponding theory prediction and then taking the square root. The factor  $f_L$  is the 1116 form factor for the purely left-handed vector tWb coupling, see Eq. 2. It is unity in the SM 1117 but could be larger than unity if anomalous couplings due to new physics are present. It is 1118 customary to also quote the 95% confidence level interval obtained by setting  $f_L = 1$ , i.e. 1119 with the additional unitarity constraint  $0 \leq |V_{tb}| \leq 1$ . The procedure outlined so far ignores 1120 the possibility that the tWb coupling may receive contributions from right-handed or non-1121 vectorial operators that are instead usually considered in studies such as those reported in 1122 Section IV.D. Figure 26 shows the  $|V_{tb}|$  values times  $f_L$  extracted by the LHC experiments 1123 from single top-quark cross section measurements under these assumptions (The LHC Top 1124 Working Group, 2017). At the Tevatron, the CKM matrix element  $|V_{tb}|$  is extracted from 1125 the s+t cross section measurement, obtaining  $|f_L \cdot V_{tb}| = 1.02^{+0.06}_{-0.05}$ , corresponding to a lower 1126 limit at the 95% confidence level of  $|V_{tb}| > 0.92$  (CDF and D0 Collaborations, Tevatron 1127 Electroweak Working Group, 2009). 1128

Aguilar-Saavedra and Onofre (2011); Alwall *et al.* (2007); and Lacker *et al.* (2012) illustrated how to derive less model-dependent limits on all three  $|V_{tq}|$  matrix elements by re-examining the measurements of single top-quark cross sections and  $R_b$  published at the time. Not having direct access to the data requires several approximations in the analysis. A particularly tricky case for the reinterpretation is that single top-quark analyses are based on multivariate techniques. The MVA input variables are related to the kinematic properties of the reconstructed top quark and the event, which would be modified in production through  $|V_{ts}|$  or  $|V_{td}|$ , thus modifying the acceptance. Moreover, the jet coming from the top-quark decay is assumed to be a *b*-jet, thus  $|V_{tb}|^2 \gg |V_{td}|^2 + |V_{ts}|^2$  is assumed.

(Aguilar-Saavedra and Onofre, 2011) propose to use the rapidity of the single top quark 1138 and antiquark in t-channel and tW production modes to set direct limits on  $|V_{td}|$ . Similarly, 1139 in Alvarez et al. (2018), it is proposed to use the integrated charge asymmetry in tW to 1140 extract  $|V_{td}|$ . Both methods rely on the consideration that b-quark-initiated tW production, 1141 Fig. 1, has exactly the same kinematic properties and rate whether the initiator quark is a b or 1142 b, while d-quark-initiated processes feature different rate, spectra and angular distributions, 1143 depending on the initiator being a d or  $\overline{d}$ , due to the different  $x_B$  spectrum of quark and 1144 antiquark. 1145

## 1146 B. Cross section ratios as inputs for PDF extraction

A feature of SM single top-quark production at the LHC, absent in  $p\bar{p}$  collisions and 1147 therefore unmeasurable in Tevatron data, is the difference in production rate (integrated 1148 charge asymmetry,  $R_t \equiv \sigma_t / \sigma_{\bar{t}}$ ) between top quark and antiquark production in the t- and 1149 s-channel modes. The magnitude of these ratios is primarily driven by the relative impor-1150 tance of the up- and down-quark densities and is therefore potentially helpful to constrain 1151 those densities, making single top-quark production a useful input to global PDF fits. This 1152 sub-section focuses on the integrated charge asymmetry in *t*-channel production, as no mea-1153 surement of this quantity has been performed yet for the other single top-quark production 1154 modes. The interest of charge asymmetry in tW is discussed in Section IV.A. 1155

The  $R_t$  expectations depend on the CM energy: predictions at 13 TeV are, in general, significantly smaller than those at 8 TeV, which are in turn smaller than at 7 TeV, as intuitively understandable from the consideration that "sea" quarks contribute more than "valence" quarks at large  $x_B$ . The  $R_t$  measurements are complementary to W-boson crosssection ratios (that are similarly sensitive to up- and down-quark densities) by probing larger  $x_B$  values. The ABMP16 PDF set (Alekhin *et al.*, 2017) already includes this information in the fit, and the relative importance of  $R_t$  in PDF extractions is expected to grow with <sup>1163</sup> more integrated luminosity available to the LHC experiments in Run 2.

The values of  $R_t$  measured by the ATLAS collaboration at 7, 8 and 13 TeV (ATLAS 1164 Collaboration, 2014a, 2017b,c) and the CMS collaboration at 8 and 13 TeV (CMS Collab-1165 oration, 2014b, 2017a) have been compared to the predictions for a variety of PDF sets. 1166 Figure 27 compares the  $R_t$  measurements at 8 and 13 TeV between the two experiments and 1167 with predictions for several PDF sets: HERAPDF 2.0 NLO (H1 and ZEUS Collaborations, 1168 2010), ABM11 NLO (Alekhin et al., 2012), ABM12 NNLO (Alekhin et al., 2014), MMHT14 1169 NLO (Harland-Lang et al., 2015), CT14 NLO (Dulat et al., 2016), NNPDF 3.0 NLO (Ball 1170 et al., 2015). The perturbative part of these calculations is performed at NLO with the 1171 HATHOR program (Aliev et al., 2011; Kant et al., 2015) and has been cross-checked with 1172 the POWHEG generator (Alioli *et al.*, 2009; Re, 2011). The scale and top-quark mass 1173 uncertainty components on the predictions are numerically small in comparison with the 1174 PDF and number of iterations components. HATHOR and POWHEG are found to yield 1175 compatible predictions within the statistical uncertainty. The ratio computed from the 1176 NNLO predictions shown in Table I are 1.82 at 8 TeV and 1.69 at 13 TeV, computed with 1177 MSTW2008, though no PDF uncertainty is available. This NNLO ratio is slightly higher 1178 than the MMHT-based calculation at 8 TeV and consistent with it at 13 TeV. 1179

Alekhin et al. (2016) (Fig. 13 of that paper) showed that the ATLAS measurement of 1180  $R_t$  at 7 TeV and the one by CMS at 8 TeV give consistent pictures, with the CT10 (Lai 1181 et al., 2010), CT14, MMHT14, NNPDF 3.0 sets slightly disfavored, while ABM12 and 1182 ABM15 (Alekhin et al., 2016) are favored. The latter includes W-boson charge ratios in the 1183 fit, while the single top-quark charge ratio in the *t*-channel is used as a "standard candle" to 1184 validate the predictions of their PDF set <sup>9</sup>. However, this picture became inconsistent with 1185 the later publication of the most precise  $R_t$  result in the literature, which is the ATLAS 1186 measurement at 8 TeV: this yields smaller values than most PDF sets, and is in tension 1187 with most of the PDF set predictions for this observable, as shown in Fig. 27, while the 1188 aforementioned ATLAS and CMS measurements at 7 and 8 TeV both yield larger values 1189 than most PDF sets. The small uncertainty of the ATLAS measurement highlights the value 1190 of time in hadron collider analyses. The ATLAS analysis was published almost three years 1191 after the CMS analysis, and that time was used to improve the detector understanding and 1192

<sup>&</sup>lt;sup>9</sup> The individual cross section measurements of single top quark and antiquark production at the LHC, not yet including the 8 TeV ATLAS measurement, have been used to extract the ABMP16 set (Alekhin *et al.*, 2017).

theory modeling, and to devise an optimal analysis strategy. Rather than obtaining  $R_t$  from the ratio of measured cross sections, ATLAS extracts  $R_t$  in one simultaneous fit to the top quark and antiquark cross sections. This directly accounts for all correlations, including those between the two analysis regions and those between different systematic uncertainties that are induced in the fit.

The currently available  $R_t$  measurements at 13 TeV, based on the data collected in 2015, are limited by their statistical uncertainty and do not shed light on this inconsistency yet. However, future measurements of  $R_t$  based on the full Run 2 data set may be expected to surpass the best Run 1 measurements in precision, and, in conjunction with them, may provide strong constraints on future global PDF fits. Moreover, with more data, differential distributions of  $R_t$  as a function of the rapidity and transverse momentum of the top quark will provide significant additional discriminating power (Berger *et al.*, 2016).

Another useful input for constraining PDFs is the measurement of the ratios of single top-1205 quark cross sections between different CM energies, as done by the CMS collaboration in the 1206 t-channel case. The ratio of the cross sections of the  $\eta_{i'}$ -based analysis at 7 and 8 TeV (CMS) 1207 Collaboration, 2014b) is  $(R_{8 \text{ TeV}/7 \text{ TeV}} = 1.24 \pm 0.08 \text{(stat.)} \pm 0.12 \text{(syst.)})$ . Measurements of the 1208 ratios  $R_{\rm X \ TeV/Y \ TeV}$  profit from cancellations of several important systematic uncertainties 1209 and are sensitive to the evolution of the partonic distributions in the proton. Given the 1210 larger jump in energy, it will be instructive to see the results of the same exercise using 1211 the 13 TeV results, as well as the double-ratio obtained by taking the ratio of  $R_t$  between 1212 different CM energies. Unfortunately, these measurements have not been reported by the 1213 LHC experiments yet. 1214

## 1215 C. Top-quark mass

Similarly to  $t\bar{t}$ , single top-quark events can be exploited for the measurement of the topquark mass,  $m_t$ , either directly by kinematic reconstruction of a top-quark candidate, or indirectly through the dependence of the cross section on the mass.

The CMS Collaboration (2017d) has performed a direct measurement of the top-quark mass with t-channel single top-quark events using the 8 TeV dataset. Top-quark candidates are reconstructed in the t-channel topology from their decay to a W boson and a b quark, with the W boson decaying leptonically to a muon and a neutrino. At variance with re-

spect to  $t\bar{t}$  events, there is typically only one central b jet in the t-channel single top-quark 1223 process. Top-quark pair events constitute a relatively large fraction of the events even in a 1224 single top-quark optimized signal region, but in the context of this measurement they are 1225 treated as a component of the signal, as they carry information on the parameter of interest. 1226 However, care is taken in making the selection orthogonal to the  $t\bar{t}$ -based measurements 1227 of the same quantity in the single- and di-lepton final states, in order to facilitate future 1228 combinations (CMS Collaboration, 2016a). The interest of performing this measurement in 1229 a single top-quark topology lies in the complementarity with  $t\bar{t}$ , with which the systematic 1230 uncertainties are partially uncorrelated as the color flow is very different (there is no color 1231 flux between the two quark lines in *t*-channel production), and the statistical uncertainty is 1232 uncorrelated. 1233

The event selection and the procedure to reconstruct the top-quark candidates follow 1234 closely the *t*-channel cross section measurement in the same dataset (CMS Collaboration, 1235 2014b), with two additional conditions imposed in order to enhance the purity of the sample: 1236 the absolute value of  $\eta_{i'}$ , defined as in Section III.B.1, is required to be larger than 2.5; and 1237 in order to exploit the large charge asymmetry of the t-channel production mode, the main 1238 result is restricted to events with positive muons, hence with top quarks, while those with 1239 negative muons (top antiquarks) are only used to cross-check the result on an independent 1240 dataset. A fit to the invariant mass distribution of reconstructed top-quark candidates<sup>10</sup> 1241 yields a value of the top-quark mass of  $172.95 \pm 0.77(\text{stat.})^{+0.97}_{-0.93}(\text{syst.})$  GeV, in agreement 1242 with the results from  $t\bar{t}$  (ATLAS Collaboration, 2015a; CDF and D0 Collaborations, 2016; 1243 CMS Collaboration, 2016a). Several systematic uncertainties are larger than in the standard 1244 analyses in the l+jets  $t\bar{t}$  topology, where the invariant mass of the jets failing b-tagging is 1245 expected to peak at the mass of the W boson, allowing to calibrate the jet energy scale in1246 situ and also reducing several modeling uncertainties related to soft QCD effects. Moreover, 1247 in comparison with  $t\bar{t}$ -optimized selections, the t-channel signal region is more contaminated 1248 by W/Z+jets backgrounds, whose modeling parameters are relatively poorly constrained, 1249 due to its lower multiplicity of jets and b jets. 1250

Similarly to the  $t\bar{t}$  case (Abazov *et al.*, 2016; ATLAS Collaboration, 2014b; CMS Collaboration, 2016b), the inclusive single top-quark cross sections can be used to extract the

<sup>&</sup>lt;sup>10</sup> The fit assumes, of course, the same top-quark mass in single top-quark and  $t\bar{t}$  events; therefore, the latter are effectively treated as a component of the signal.

top-quark pole mass thanks to the strong dependence of the theoretical predictions on this 1253 parameter (Kant et al., 2015). The strongest dependence is found for s-channel production 1254  $\left(\frac{\Delta\sigma_s}{\sigma_s} = -3.9\frac{\Delta m_t}{m_t} \text{ at } \sqrt{s} = 8 \text{ TeV}\right)$ , followed by  $tW \left(\frac{\Delta\sigma_{tW}}{\sigma_{tW}} = -3.1\frac{\Delta m_t}{m_t} \text{ at } \sqrt{s} = 8 \text{ TeV}\right)$ , while 1255 the *t*-channel shows a weaker dependence  $\left(\frac{\Delta\sigma_t}{\sigma_t} = -1.6\frac{\Delta m_t}{m_t}\right)$  at  $\sqrt{s} = 8$  TeV. However, for a 1256 practical use of this method, particular care should be taken to minimize the dependence of 1257 the experimental measurement of the cross section on  $m_t$  (Schuh, 2016). The 8 and 13 TeV 1258 ATLAS t-channel analyses (ATLAS Collaboration, 2017b,c) measure a cross section that 1259 decreases with the assumed top-quark mass. This is the same behavior as in the theoretical 1260 prediction, and this imposes an additional limitation on the precision of the extraction of 1261 the top-quark mass. 1262

#### 1263 **D.** tWb vertex structure

All single top-quark production processes are sensitive to anomalous couplings in the 1264 tWb vertex and provide sensitivity beyond  $t\bar{t}$  because the tWb vertex appears both in the 1265 production of the top quark and in its decay. In particular, since the top-quark lifetime is 1266 shorter than the timescale of spin decoherence induced by QCD, its decay products retain 1267 memory of its polarization imprinted by the production mechanism. This provides additional 1268 powerful tools in the search for BSM physics in single top-quark studies: in single top-quark 1269 production via the t-channel, the SM predicts that top quarks are produced almost fully 1270 polarized through the V-A coupling along the direction of the momentum of the quark that 1271 recoils against the top quark (Jezabek and Kuhn, 1994; Mahlon and Parke, 2000), while new 1272 physics models may lead to a depolarization in production or decay by altering the coupling 1273 structure (Aguilar-Saavedra, 2008, 2009a; Aguilar-Saavedra and Bernabeu, 2010; Bach and 1274 Ohl, 2012). 1275

The most general Lagrangian term that one can write for the tWb coupling up to dimension-six gauge invariant operators (Aguilar-Saavedra, 2009a), under the approximation  $|V_{tb}| = 1$ , is:

$$\mathcal{L}_{tWb} = -\frac{g}{\sqrt{2}}\bar{b}\left[\gamma^{\mu}(f_L P_L + f_R P_R) + \frac{i\sigma^{\mu\nu}q_{\nu}}{M_W}(g_L P_L + g_R P_R)\right]tW_{\mu}^- + h.c., \qquad (2)$$

where the form factors  $f_L$  and  $f_R$  denote the strength of the left- and right-handed vector-like couplings, and  $g_L$  and  $g_R$  denote the left- and right-handed tensor-like couplings. Slightly different notations are used in the figures in this review,  $f_L = f_{LV} = f_V^L = V_L$ . Similarly,  $g_R = f_T^R$ . The SM predicts  $f_L = 1$ ,  $f_R = g_L = g_R = 0$  at tree level. In single top-quark production, the production and the decay of the top quark are both sensitive to anomalous couplings. When considering one form factor at a time, the cross section is proportional to the form factor squared. When considering two or more simultaneously, interference effects may also come into play. For consistency, the Tevatron limits are given in terms of absolute value of couplings squared.

At the Tevatron, anomalous coupling searches have focused on the magnitude of the four 1288 form factors. D0 optimized the single top-quark anomalous couplings search in the two-1289 dimensional plane of one anomalous coupling and the SM-like left-handed vector coupling 1290  $f_L$  (Abazov et al., 2012c). The D0 single top-quark anomalous couplings search uses an MVA, 1293 which is trained on samples with either purely left-handed or purely right-handed vector 1292 couplings, in both production and decay. The single top-quark search was also combined with 1293 a W-boson helicity measurement in  $t\bar{t}$  to set stringent limits on pairs of form factors (Abazov 1294 et al., 2012b). Figure 28 shows the two-dimensional Bayesian posterior density for one such 1295 pair of anomalous couplings. Note that the limit is set as a function of the coupling squared 1296 since the cross section is proportional to that. For comparison with the LHC experiments 1297 below, one should take the square root. 1298

At the LHC, the approach followed by ATLAS and CMS has been to consider the relationship between top-quark production and decay. At 8 TeV, ATLAS relied on the definition of eight polarization variables, together with the magnitude of the polarization. The angular distributions of the decay products of the top quark are given by

$$\frac{1}{\Gamma} \frac{d\Gamma}{d\cos\theta} = \frac{1}{2} \left( 1 + \alpha P \cos\theta \right) \,,$$

where  $\theta$  is the angle between the direction of flight of the decay product and a properly chosen 1299 spin quantization axis, P is the top-quark degree of polarization along this quantization axis, 1300 and  $\alpha$  is the spin analyzing power for this decay product, which takes a value of  $\pm 0.998$ 1301 at NLO for charged leptons in the SM (ATLAS Collaboration, 2017e; Brandenburg et al., 1302 2002; Jezabek and Kuhn, 1994). The relevant angles  $\theta$  are illustrated in Fig. 29. The z axis 1303 is given by the direction of the W boson in the top-quark rest frame, the x-axis is given 1304 by the top-quark spin component that is orthogonal to z, and the y axis is orthogonal to 1305 these two, defining a right-handed coordinate system. With these definitions, three angles 1306

are defined:  $\theta_{\ell}$  is the angle between the z axis and the lepton momentum in the top-quark rest frame, the  $\phi_{\ell}(T)$  is the angle between the projection of the lepton momentum in the top-quark rest frame onto the x - y plane and the x axis and  $\theta_{\ell}^{N}$  is the angle between the lepton momentum in the top-quark rest frame and the y axis. Quantifying the degree of polarization along the direction of the spectator quark gives 0.91 for top quarks and -0.86 for top antiquarks (Schwienhorst *et al.*, 2011).

The ATLAS and CMS experiments select single top-quark events in the *t*-channel final 1313 state consisting of a charged lepton from the decay of the W boson from the top-quark 1314 decay, large  $E_T$ , and two jets, one of which is b-tagged and the other one is in the forward 1315 detector region. In the ATLAS analysis (ATLAS Collaboration, 2017e), using 8 TeV data, 1316 the signal region contains about 9000 events, half of which are expected to come from 1317 t-channel production. The angular observables are unfolded to the parton level in two 1318 bins, one for positive cosine of the relevant angle (i.e., forward-going direction of the decay 1319 product with respect to the corresponding spin quantization axis) and one for negative 1320 cosine (backward-going with respect to the same axis). Based on these angular observables 1321 as well as for the  $\cos \theta_{\ell}$  variable, forward-backward asymmetries are defined. The measured 1322 asymmetries and the corresponding theory predictions are shown in Fig. 29(right). From 1323 the asymmetries, a limit on the imaginary part of  $g_R$  is also derived. The limit interval at 1324 the 95% confidence level is [-0.18, 0.06]. 1325

<sup>1326</sup> CMS measured the single top-quark polarization with 8 TeV data (CMS Collaboration, <sup>1327</sup> 2016c). A model-independent selection targets *t*-channel production, then the observed <sup>1328</sup>  $\cos \theta_{\ell}$  distribution (Fig. 14) is used to infer the differential cross section as a function of <sup>1329</sup> the parton-level  $\cos \theta_{\ell}$  (see Section III.B.1). This is found to be compatible with the linear <sup>1330</sup> expectation of Eq. (IV.D), and a linear fit yields  $P \times \alpha_{\ell} = 0.52 \pm 0.06(\text{stat.}) \pm 0.20(\text{syst.})$ , <sup>1331</sup> compatible with the SM expectation within two standard deviations.

With the same data set, CMS also used a different selection, targeting *t*-channel events but tolerating a larger contamination from  $t\bar{t}$  with respect to typical analyses in the same final state, to extract the *W*-boson helicity amplitudes with 8 TeV data (CMS Collaboration, 2015). The sensitivity to those parameters comes mostly from the decay vertex of the top quark rather than from the production vertex, exploiting the helicity angle  $\theta_W^*$  defined as the angle between the *W*-boson momentum in the top-quark rest frame and the momentum of the down-type fermion from the *W*-boson decay, in the rest frame of the mother particle.

A fit to the distribution of  $\theta_W^*$  discriminates the components of the signal originating from 1339 the right-handed  $(F_R)$ , left-handed  $(F_L)$  and longitudinal  $(F_0)$  helicity fractions of the W 1340 boson. Similarly to the top-quark mass case described in Section IV.C, the interest of an 1341 analysis in this final state lies in the complementarity with the measurements traditionally 1342 performed with selections targeting  $t\bar{t}$  production. In this measurement,  $t\bar{t}$  events, that 1343 constitute the majority of the population in the signal region, are treated as a component 1344 of the signal as they carry information on the parameters of interest. The measured helicity 1345 fractions are  $F_L = 0.298 \pm 0.028 (\text{stat.}) \pm 0.032 (\text{syst.}), F_0 = 0.720 \pm 0.039 (\text{stat.}) \pm 0.037 (\text{syst.}),$ 1346 and  $F_R = -0.018 \pm 0.019$ (stat.)  $\pm 0.011$ (syst.). These results are used to set limits on the 1347 real part of the tWb anomalous couplings,  $g_L$  and  $g_R$ , assuming no CP violation (hence no 1348 imaginary components for those couplings). 1349

ATLAS also measured double-differential angular correlations in 7 TeV data (ATLAS 1350 Collaboration, 2016d) and triple-differential angular correlations in 8 TeV data (ATLAS 1351 Collaboration, 2017a). The angular observables are expressed in terms of spherical harmon-1352 ics in the 7 TeV analysis and in terms of orthonormal functions that are the products of 1353 spherical harmonics (Boudreau et al., 2013, 2016). Figure 30 summarizes the results at both 1354 CM energies, shown as a function of the ratio of the anomalous coupling over the SM-like left-1355 handed vector coupling, including both the real and imaginary parts for the right-handed 1356 tensor coupling  $(g_R)$ . The measurements are consistent with the SM prediction, and the 1357 8 TeV measurement is a significant improvement over the 7 TeV one. 1358

The CMS analysis that combines 7 and 8 TeV data (CMS Collaboration, 2017g) is based 1359 on the anomalous couplings model in Boos *et al.* (2016). The search is for combinations of 1360 anomalous couplings similar to the D0 analysis, except that here the limit is set simultane-1361 ously on three anomalous couplings: the right-handed vector coupling and the two tensor 1362 couplings. A BNN is trained to separate the anomalous signal from the different backgrounds 1363 and the SM prediction. The resulting contours projected onto two dimensions are shown 1364 in Fig. 31. The contours are significantly tighter than the two-dimensional limit contours 1365 from D0 shown in Fig. 28, even though there is is an additional degree of freedom here. 1366 Comparing the limits from ATLAS (Fig. 30) and CMS (Fig. 31), the graph shows clearly 1367 that for the left-handed tensor coupling, the CMS analysis is more sensitive, while for the 1368 right-handed tensor coupling, the ATLAS analysis is more sensitive. 1369

#### 1370 E. Searches for Flavor Changing Neutral Currents

Models that try to solve the so called "flavor problem" (Georgi, 1986) usually predict a 1371 large coupling of new particles to the top quark, and therefore sizable FCNC effects in the 1372 top-quark sector, despite the tight constraints in the B- and K-meson sectors. These are 1373 very interesting to look for in single top-quark production, where the effect of a small u-t1374 coupling would be enhanced by the large u-quark density (Tait and Yuan, 2000). The same 1375 effect would come from a c-t coupling, although with a less spectacular enhancement from 1376 the PDF. Formulations exist where BSM effects in quantum loops are absorbed by effective 1377 tuX or tcX couplings, where X can be a gluon, a photon, a Z or H boson (read, for example, 1378 Aguilar-Saavedra (2009a) and Zhang and Willenbrock (2011)). Based on the consideration 1379 that higher-order effects mix the effects of different couplings, inducing ambiguities in the 1380 interpretation of single signatures, a global approach is advocated in Barducci et al. (2018) 1381 and Durieux et al. (2015). However, the results reviewed in this paper make use of leading-1382 order FCNC models. 1383

D0 searched for a single top quark produced together with a light quark, i.e., a *t*-channel signature, created by a top-gluon FCNC (Abazov *et al.*, 2007b). This is also the basis for the CMS top-gluon FCNC search that combines 7 and 8 TeV data (CMS Collaboration, 2017g). Just like for the anomalous couplings search described in the same paper (see Section IV.D), here also a MVA is trained to maximize sensitivity to the *tug* and *tcg* interactions.

The CMS collaboration searched for events containing a top quark and a large- $p_T$  photon with the 8 TeV data set (CMS Collaboration, 2016d). The semileptonic decay of the top quark is used, and a MVA is performed to discriminate the FCNC signal from the SM backgrounds. The dominant W+jets and  $W + \gamma$ +jets backgrounds are estimated from data. This statistically-limited analysis makes use of the event counts to set limits on the effective couplings of the  $ut\gamma$  and  $ct\gamma$  types. For the purpose of easy comparison with measurements in  $t\bar{t}$  production, the result is also interpreted in terms of an equivalent branching ratio of top-quark decay into a photon and a quark. CMS also searched for events containing a single top quark and a Z boson decaying to two leptons (CMS Collaboration, 2017h) using the 8 TeV dataset. This analysis not only sets limits on SM tZ production (see Section III.B.5), but also searches for FCNC production of tZ. The resulting limit on the tZq coupling is competitive with the sensitivity from top-quark decay searches.

Figure 32 summarizes the limits on FCNC interactions from ATLAS and CMS from 1408 both top-quark decay searches and single top-quark production searches, expressed in terms 1409 of equivalent branching ratios of top-quark decay. Figure 33 shows a summary that also 1410 includes the limits from HERA (Aaron et al., 2009; Abramowicz et al., 2012) and LEP (Ab-1411 biendi et al., 2001; Abdallah et al., 2004; Achard et al., 2002; Barate et al., 2000), where the 1412 CM energy or the integrated luminosity is not sufficient to produce a measurable number 1413 of top-quark events in the SM. At HERA, the FCNC exchange of a photon or Z boson 1414 between the electron and the proton leads to a single top quark in the final state. At LEP, 1415 the exchange of a photon or Z boson leads to a tu or tc final state. Thus, single top-quark 1416 final states are responsible for all HERA and LEP limits in Fig. 33, as well as all limits on 1417  $BR(t \rightarrow gu)$  and  $BR(t \rightarrow gc)$ . 1418

# <sup>1419</sup> F. *H*-associated single top-quark production (tH)

The associated production of a single top quark and a Higgs boson (tH) provides a 1420 complementary experimental view on the interaction of the Higgs boson with the top quark, 1421 with respect to the measurement of  $t\bar{t}$  production in association with a Higgs boson ( $t\bar{t}H$ ). 1422 In particular, while the  $t\bar{t}H$  process is sensitive to the modulus of  $y_t$ , tH production is 1423 characterized by a tree-level sensitivity to the relative phase between  $y_t$  and the coupling 1424 of the Higgs to the gauge bosons (Bordes and van Eijk, 1993), thanks to an accidental 1425 numerical similarity of the amplitudes of the diagrams where the Higgs boson is radiated by 1426 the W boson and by the top quark (see Fig. 34). In the SM the couplings of the Higgs boson 1427 to the W boson and the top quark have opposite sign, leading to destructive interference 1428 and very small cross sections, while a significant enhancement is expected if some kind of 1429 BSM physics induces a relative phase between these two couplings (more than one order of 1430

magnitude in the so called "inverted top-quark coupling scenario", or ITC, where  $y_t = -1$ ). In the case of other processes used to set constraints on the  $y_t$  phase, like  $H \rightarrow \gamma \gamma$  and  $gg \rightarrow HZ$  (Hespel *et al.*, 2015), sensitivity to this phase comes through loop corrections, making their interpretation intrinsically more model-dependent as the particles running in the loop have to be specified. Any analysis of the Higgs-boson couplings that aims at being agnostic about new physics in these loops is unable to use these processes to lift the degeneracy on the sign of  $y_t$  (Ellis and You, 2012, 2013).

Single top-quark plus Higgs-boson production proceeds mainly through *t*-channel diagrams (tHq), as in Fig. 34, and therefore the current searches are optimized for this final state, although the interest of the tHW signature is similar and it has also been explored in the theoretical literature (Demartin *et al.*, 2017; Farina *et al.*, 2013). The  $t\bar{t}H$  and tHWprocesses feature the same kind of mixing discussed in Section III.B.2 in the case of  $t\bar{t}$  and tW.

While the SM rate is arguably too low to be observed with available and future LHC data, the large enhancement in the ITC scenario will allow to either observe or exclude this case with the LHC Run 2 data, as has been suggested in a number of phenomenological papers (Biswas *et al.*, 2013a,b; Chang *et al.*, 2014; Farina *et al.*, 2013).

Using the full 8 TeV data set, the CMS Collaboration (2016g) performed dedicated 1448 searches for tHq in a variety of signatures:  $\gamma\gamma$ ,  $b\bar{b}$ , same-sign leptons, three leptons, and 1449 electron or muon plus hadronically-decaying  $\tau$ . In all Higgs decay channels, the top quark is 1450 assumed to decay semileptonically. The data generally agree with the SM expectations, and 1451 limits are set in the individual channels and combined with and without the assumption that 1452 the value of  $y_t$  affects BR( $H \to \gamma \gamma$ ) and  $\sigma_{tHq}$  coherently. When this assumption is made, 1453 as shown in Fig. 35 (left), the  $\gamma\gamma$  channel is the most sensitive as expected from the theory 1454 literature (Biswas et al., 2013b). The combined limit is also provided with BR( $H \rightarrow \gamma \gamma$ ) 1455 treated as a free parameter, thus facilitating possible reinterpretations in different theoretical 1456 frameworks, see Fig. 35 (right). The ATLAS Collaboration (2015b), also using the 8 TeV 1457 data set, followed a different approach. Instead of a direct search for this process, single 1458 top-quark plus Higgs-boson production is included in the signal model in a  $t\bar{t}H$ -optimised 1459 search in the  $H \to \gamma \gamma$  decay channel, which allows to set limits on negative values of  $y_t$ . 1460

### 1461 V. CONCLUSIONS AND OUTLOOK

In the decade that has passed since first experimental evidence for electroweak production of a single top quark was reported, the study of single top-quark production has become a very fertile and mature research direction. Production rates of processes with a single top quark have been measured in four production modes, at four distinct center-of-mass energies, using five detectors at two accelerators with two different beam particle configurations. Precision measurements of top-quark properties and searches for new couplings of the top quark utilize single top-quark processes as a powerful probe for new-physics effects.

The groundwork for today's single top-quark studies was laid at the Tevatron, where measurements, searches and analysis techniques that are in use at the LHC today were first established. The single top-quark discovery relied on multivariate approaches, and the first single top-quark samples were used to search for anomalous couplings and new physics.

Thanks to the excellent performance of the LHC during the ongoing Run 2, an integrated 1473 luminosity of  $\mathcal{O}(100)$  fb<sup>-1</sup> is expected to be collected at 13 TeV by the end of 2018. This 1474 large amount of data will have a big impact on several of the analyses described here: mea-1475 surements that so far have been statistics-limited, such as the tZq cross section and top 1476 quark/antiquark cross-section ratios; differential measurements, whose power to constrain 1477 new physics, SM parameters and MC generator settings will benefit from more bins and more 1478 population in the tails of some crucial distributions; and searches for new physics, especially 1479 those in clean final states involving neutral bosons. The interference between  $t\bar{t}$  and tW1480 will be a point of study in the coming years, both on the theoretical and the experimental 1481 side. This effort, and precision measurements in general, rely on improvements in the theo-1482 retical modeling of single top-quark processes, not only including off-shell processes but also 1483 bringing the theoretical cross-section calculations to NNLO accuracy for single top-quark 1484 production channels beyond the *t*-channel. 1485

At the time of writing, we are still waiting for the first measurement of *s*-channel single top-quark production at 13 TeV. The larger amount of available data, by itself, does not make the study of this process easier than it was at 7 and 8 TeV: the signal cross section at 13 TeV is only about twice that at 8 TeV (Kant *et al.*, 2015), while the dominant background, *tt*, is three times larger (Czakon and Mitov, 2014). As the Run 1 analyses were already limited by systematic uncertainties, measuring *s*-channel single top at 13 TeV with a useful precision will require significant progress on the theory side, such as to reduce the signal
and background modeling uncertainties, and new ideas for an experimental break-through.
More data can help, for example through a more extended exploitation of auxiliary control
regions, to better constrain the modeling of the backgrounds *in situ*.

Single top-quark analyses at Tevatron were among the pioneers for the introduction or 1496 broader acceptance of several multivariate analysis techniques in collider physics (Bhat, 1497 2011). In spite of a conventional wisdom that, at the time, favored simple cut-and-count 1498 methods in the searches for new processes in hadron-hadron collisions, the challenges posed 1499 by the search for single top-quark production at Tevatron created a strong incentive for 1500 practicing machine-learning methods such as Neural Networks and Boosted Decision Trees, 1501 that at the time of writing count among the most popular tools for LHC analysis, and the 1502 ME method that had been developed for top-quark physics (Kondo, 1988, 1991), although 1503 applied until then for different use cases such as top-quark mass measurements. We are 1504 currently witnessing a burst of interest in borrowing even more advanced machine-learning 1505 techniques from the larger world outside of High Energy Physics (Cowan et al., 2015), and it 1506 is likely that single top-quark analyses, again, will be among the early adopters. With regard 1507 to the ME method, a recent methodological break-through has been the inclusion of NLO 1508 Feynman diagrams in the computation of the dynamical likelihoods (Martini and Uwer, 1509 2015, 2017a,b), overcoming the computational challenge by an efficient method to calculate 1510 NLO QCD weights for events with jets. This development is expected to reduce the biases 1511 in analyses that aim at extracting model parameters, and to improve the sensitivity of the 1512 searches for new processes. Martini and Uwer (2017b) specifically address the interest of 1513 this development in the context of single top-quark studies. 1514

Apart from pushing the energy and luminosity frontier in its regular proton-proton runs, 1515 the LHC continues to advance knowledge by an intense programme of collisions involving 1516 heavy ions, complemented by "reference runs" of proton-proton collisions at lower energy. 1517 The  $t\bar{t}$  cross section has already been measured by the CMS collaboration at a CM energy 1518 of 5.02 TeV (CMS Collaboration, 2017b) using a data set of 26  $pb^{-1}$  collected in 2015. 1519 With an order-of-magnitude larger data set collected in 2017, the multi-purpose ATLAS 1520 and CMS experiments may have the potential to study also single top-quark production at 1521 that energy, providing further input to PDF fits. Recently, top-quark pair production has 1522 been observed in proton-lead collisions at  $\sqrt{s_{NN}} = 8.16$  TeV (CMS Collaboration, 2017e), 1523

and it is expected that single top-quark measurements will also join the physics program with future heavy-ion runs at the LHC (Baskakov *et al.*, 2015; d'Enterria *et al.*, 2015). The single top-quark production cross section increases by a factor 30 to 40 for heavy ion runs at a possible future circular collider (d'Enterria, 2017), which turns single top-quark events into precise probes. These and  $t\bar{t}$  events will serve as a probe for parton density functions in nuclei at small  $x_B$  and large momentum transfer (Dainese *et al.*, 2017).

At future hadron colliders like the HL-LHC, top-quark measurements will reach high precision (Agashe *et al.*, 2013), including single top-quark measurements (Schoenrock *et al.*, 2013). At a possible future 100 TeV hadron collider, single top-quark triggers might be possible, which would allow for unbiased studies of everything produced on the opposite side, including objects at high transverse momenta (Arkani-Hamed *et al.*, 2016).

Top-quark production occurs dominantly through single top-quark events at the future electron-hadron collider (Abelleira Fernandez *et al.*, 2012), where top-quark pair production (via a neutral current) is suppressed by an order of magnitude. Searches for tH FCNC interactions are also promising (Liu *et al.*, 2015), equivalent to those for tZ and  $t\gamma$  (Aaron *et al.*, 2009; Abramowicz *et al.*, 2012).

At future lepton colliders, top quarks are produced in pairs through electro-weak inter-1540 actions. The focus will be on high-precision measurements of the top-quark mass and of the 1541 top-quark couplings to the Z boson and the photon (Agashe *et al.*, 2013; Baer *et al.*, 2013; 1542 Bicer et al., 2014). Single top-quark production proceeds in an electron-photon collision, 1543 with one incoming lepton radiating off a photon and the other incoming lepton radiating off 1544 a W boson, resulting dominantly in a final state of a top quark plus a b quark plus a forward 1545 lepton (Boos and Dudko, 2012; Penunuri et al., 2011). The cross section for this process is 1546 about an order of magnitude smaller than that for  $t\bar{t}$  production. Similar to hadron colliders, 1547 single top-quark production at lepton colliders is directly proportional to  $|V_{tb}|$  and the  $|V_{tb}|$ 1548 precision is limited by the theoretical and experimental understanding of the production 1549 process. 1550

## 1551 ACKNOWLEDGMENTS

<sup>1552</sup> The authors acknowledge Nikolaos Kidonakis for his kindness in providing the theory <sup>1553</sup> curves for *t*-channel, *s*-channel, and *W*-associated production and Jérémy Andrea for cal-

culating the theory curve for the Z-associated process in Figure 24. We acknowledge the 1554 CMS Collaboration for an earlier version of that figure. Jérémy Andrea, together with Mara 1555 Senghi Soares, also helped to clarify the differences between the ATLAS and CMS modeling 1556 choices for the tZq signal. Figure 27 was produced starting from a macro from the CMS 1557 Collaboration and the theory predictions for that figure have been calculated by Wajid Ali 1558 Khan and Dominic Hirschbühl. We also thank the latter, as well as Alberto Orso Maria 1559 Iorio, for clarifying the methodology followed in ATLAS and CMS, respectively, to compute 1560 the impact of PDF uncertainties on their  $R_t$  measurements. Some paragraphs in Sections I, 1561 III.B.1, IV.A, IV.E, and IV.F are adapted from Giammanco (2016). The authors wish 1562 to thank C.-P. Yuan, Muhammad Alhroob, Regina Moles Valls, Rebeca Gonzalez Suarez, 1563 Thorsten Chwalek and Tom Junk for their comments on a preliminary draft of this paper. 1564 The Feynman diagrams in this paper have been created with JaxoDraw (Binosi and Theussl, 1565 2004). All the other original figures have been created with ROOT (Brun and Rademakers, 1566 1997). The work of Schwienhorst was supported in part by NSF grants PHY-1410972 and 1567 PHY-1707812. 1568

#### 1569 **REFERENCES**

- Aaltonen, T. A., et al. (CDF Collaboration) (2008a), Phys. Rev. Lett. 101, 252001, arXiv:0809.2581
  [hep-ex].
- Aaltonen, T. A., *et al.* (CDF Collaboration) (2008b), Phys. Rev. Lett. **101**, 192002, arXiv:0805.2109
   [hep-ex].
- <sup>1574</sup> Aaltonen, T. A., *et al.* (CDF Collaboration) (2009a), Phys. Rev. Lett. **103**, 092002, arXiv:0903.0885
  <sup>1575</sup> [hep-ex].
- Aaltonen, T. A., *et al.* (CDF Collaboration) (2009b), Phys. Rev. Lett. **102**, 151801, arXiv:0812.3400
   [hep-ex].
- Aaltonen, T. A., et al. (CDF Collaboration) (2010), Phys. Rev. D 82, 112005, arXiv:1004.1181
  [hep-ex].
- Aaltonen, T. A., et al. (CDF Collaboration) (2013), Phys. Rev. D 87 (11), 111101, arXiv:1303.6142
   [hep-ex].
- Aaltonen, T. A., *et al.* (CDF Collaboration) (2014a), Phys. Rev. Lett. **112** (22), 221801,
   arXiv:1404.3392 [hep-ex].
- Aaltonen, T. A., et al. (CDF Collaboration) (2014b), Phys. Rev. Lett. 113 (26), 261804,
  arXiv:1407.4031 [hep-ex].
- Aaltonen, T. A., *et al.* (CDF and D0 Collaborations) (2014c), Phys. Rev. Lett. **112**, 231803,
   arXiv:1402.5126 [hep-ex].
- Aaltonen, T. A., *et al.* (CDF and D0 Collaborations) (2015), Phys. Rev. Lett. **115** (15), 152003,
  arXiv:1503.05027 [hep-ex].
- Aaltonen, T. A., et al. (CDF Collaboration) (2016), Phys. Rev. D 93 (3), 032011, arXiv:1410.4909
  [hep-ex].
- 1592 Aaron, F. D., et al. (H1 Collaboration) (2009), Phys. Lett. B 678, 450, arXiv:0904.3876 [hep-ex].
- Abachi, S., et al. (D0 Collaboration) (1995), Phys. Rev. Lett. 74, 2632, arXiv:hep-ex/9503003
  [hep-ex].
- Abazov, V. M., et al. (D0 Collaboration) (2001), Phys. Lett. B 517, 282, arXiv:hep-ex/0106059
   [hep-ex].
- Abazov, V. M., et al. (D0 Collaboration) (2005), Phys. Lett. B 622, 265, arXiv:hep-ex/0505063
   [hep-ex].

- Abazov, V. M., *et al.* (D0 Collaboration) (2006), Nucl. Instrum. Meth. A 565, 463,
   arXiv:physics/0507191 [physics.ins-det].
- Abazov, V. M., *et al.* (D0 Collaboration) (2007a), Phys. Rev. Lett. **98**, 181802, arXiv:hep ex/0612052 [hep-ex].
- Abazov, V. M., *et al.* (D0 Collaboration) (2007b), Phys. Rev. Lett. **99**, 191802, arXiv:hep ex/0702005 [hep-ex].
- Abazov, V. M., et al. (D0 Collaboration) (2008), Phys. Rev. D 78, 012005, arXiv:0803.0739 [hep ex].
- Abazov, V. M., *et al.* (D0 Collaboration) (2009), Phys. Rev. Lett. **103**, 092001, arXiv:0903.0850
   [hep-ex].
- Abazov, V. M., et al. (D0 Collaboration) (2011a), Phys. Rev. Lett. 107, 121802, arXiv:1106.5436
   [hep-ex].
- <sup>1611</sup> Abazov, V. M., et al. (D0 Collaboration) (2011b), Phys. Lett. B **701**, 313, arXiv:1103.4574 [hep-ex].
- Abazov, V. M., et al. (D0 Collaboration) (2012a), Phys. Rev. D 85, 091104, arXiv:1201.4156
   [hep-ex].
- <sup>1614</sup> Abazov, V. M., et al. (D0 Collaboration) (2012b), Phys. Lett. B **713**, 165, arXiv:1204.2332 [hep-ex].
- <sup>1615</sup> Abazov, V. M., et al. (D0 Collaboration) (2012c), Phys. Lett. B **708**, 21, arXiv:1110.4592 [hep-ex].
- <sup>1616</sup> Abazov, V. M., et al. (D0 Collaboration) (2013), Phys. Lett. B **726**, 656, arXiv:1307.0731 [hep-ex].
- Abazov, V. M., *et al.* (D0 Collaboration) (2014), Nucl. Instrum. Meth. A **763**, 290, arXiv:1312.7623
   [hep-ex].
- Abazov, V. M., et al. (D0 Collaboration) (2016), Phys. Rev. D 94, 092004, arXiv:1605.06168
   [hep-ex].
- Abbiendi, G., et al. (OPAL Collaboration) (2001), Phys. Lett. B 521, 181, arXiv:hep-ex/0110009
   [hep-ex].
- Abbiendi, G., et al. (OPAL Collaboration) (2003), Eur. Phys. J. C 29, 463, arXiv:hep-ex/0210031
   [hep-ex].
- Abbott, B., et al. (D0 Collaboration) (2000), Phys. Rev. D 63, 031101, arXiv:hep-ex/0008024
   [hep-ex].
- Abdallah, J., *et al.* (DELPHI Collaboration) (2004), Phys. Lett. B **590**, 21, arXiv:hep-ex/0404014
   [hep-ex].
- <sup>1629</sup> Abdallah, J., et al. (DELPHI Collaboration) (2011), Eur. Phys. J. C 71, 1557, arXiv:1102.4748

1630 [hep-ex].

- Abe, F., et al. (CDF Collaboration) (1995), Phys. Rev. Lett. 74, 2626, arXiv:hep-ex/9503002
   [hep-ex].
- <sup>1633</sup> Abe, F., et al. (CDF Collaboration) (1998), Phys. Rev. Lett. 80, 2525.
- Abe, K., et al. (SLD Collaboration) (2002), Phys. Rev. D 65, 092006, [Erratum: Phys.
   Rev.D66,079905(2002)], arXiv:hep-ex/0202031 [hep-ex].
- Abelleira Fernandez, J. L., *et al.* (LHeC Study Group) (2012), J. Phys. G **39**, 075001,
   arXiv:1206.2913 [physics.acc-ph].
- Abramowicz, H., et al. (ZEUS Collaboration) (2012), Phys. Lett. B 708, 27, arXiv:1111.3901 [hepex].
- Achard, P., et al. (L3 Collaboration) (2002), Phys. Lett. B 549, 290, arXiv:hep-ex/0210041 [hepex].
- Acosta, D., et al. (CDF Collaboration) (2002), Phys. Rev. D 65, 091102, arXiv:hep-ex/0110067
   [hep-ex].
- Acosta, D., et al. (CDF Collaboration) (2005a), Phys. Rev. D 71, 032001, arXiv:hep-ex/0412071
   [hep-ex].
- Acosta, D., et al. (CDF Collaboration) (2005b), Phys. Rev. D 71, 052003, arXiv:hep-ex/0410041
   [hep-ex].
- Adriani, O., et al. (LHCf Collaboration) (2006), Technical design report of the LHCf experiment:
   Measurement of photons and neutral pions in the very forward region of LHC, Tech. Rep. CERN LHCC-2006-004.
- Agashe, K., et al. (Top Quark Working Group) (2013), in Proceedings, 2013 Community Summer Study on the Future of U.S. Particle Physics: Snowmass on the Mississippi (CSS2013):
- <sup>1653</sup> Minneapolis, MN, USA, July 29-August 6, 2013, arXiv:1311.2028 [hep-ph].
- <sup>1654</sup> Aguilar-Saavedra, J. (2008), Nucl. Phys. B **804**, 160, arXiv:0803.3810 [hep-ph].
- <sup>1655</sup> Aguilar-Saavedra, J. (2009a), Nucl. Phys. B **812**, 181, arXiv:0811.3842 [hep-ph].
- Aguilar-Saavedra, J., R. Benbrik, S. Heinemeyer, and M. Perez-Victoria (2013), Phys. Rev. D 88,
  094010, arXiv:1306.0572 [hep-ph].
- <sup>1658</sup> Aguilar-Saavedra, J., and J. Bernabeu (2010), Nucl. Phys. B 840, 349, arXiv:1005.5382 [hep-ph].
- <sup>1659</sup> Aguilar-Saavedra, J. A. (2009b), JHEP **11**, 030, arXiv:0907.3155 [hep-ph].
- <sup>1660</sup> Aguilar-Saavedra, J. A., and A. Onofre (2011), Phys. Rev. D 83, 073003, arXiv:1002.4718 [hep-ph].

- <sup>1661</sup> Alekhin, S., J. Blümlein, and S. Moch (2012), Phys. Rev. D 86, 0054009, arXiv:1202.2281 [hep-ph].
- <sup>1662</sup> Alekhin, S., J. Blümlein, and S. Moch (2014), Phys. Rev. D 89, 054028, arXiv:1310.3059 [hep-ph].
- Alekhin, S., J. Blümlein, S. Moch, and R. Plačakytė (2016), Phys. Rev. D 94 (11), 114038,
  arXiv:1508.07923 [hep-ph].
- Alekhin, S., J. Blümlein, S. Moch, and R. Plačakytė (2017), Phys. Rev. D 96 (1), 014011,
  arXiv:1701.05838 [hep-ph].
- 1667 ALICE Collaboration, (2008), JINST 3, S08002.
- Aliev, M., H. Lacker, U. Langenfeld, S. Moch, P. Uwer, and M. Wiedermann (2011), Comput.
  Phys. Commun. 182, 1034, arXiv:1007.1327 [hep-ph].
- Alioli, S., P. Nason, C. Oleari, and E. Re (2009), JHEP 09, 111, [Erratum: JHEP02,011(2010)],
  arXiv:0907.4076 [hep-ph].
- <sup>1672</sup> Alvarez, E., L. Da Rold, M. Estevez, and J. F. Kamenik (2018), Phys. Rev. D97 (3), 033002,
   <sup>1673</sup> arXiv:1709.07887 [hep-ph].
- Alwall, J., R. Frederix, S. Frixione, V. Hirschi, F. Maltoni, O. Mattelaer, H. S. Shao, T. Stelzer,
  P. Torrielli, and M. Zaro (2014), JHEP 07, 079, arXiv:1405.0301 [hep-ph].
- <sup>1676</sup> Alwall, J., et al. (2007), Eur. Phys. J. C 49, 791, arXiv:hep-ph/0607115.
- Arkani-Hamed, N., T. Han, M. Mangano, and L.-T. Wang (2016), Phys. Rept. 652, 1,
   arXiv:1511.06495 [hep-ph].
- 1679 ATLAS and CMS Collaborations, (2016), JHEP 08, 045, arXiv:1606.02266 [hep-ex].
- 1680 ATLAS Collaboration, (2008), JINST **3**, S08003.
- 1681 ATLAS Collaboration, (2012a), Phys. Lett. B 716, 142, arXiv:1205.5764 [hep-ex].
- 1682 ATLAS Collaboration, (2012b), Physics Letters B 717, 330, arXiv:1205.3130 [hep-ex].
- <sup>1683</sup> ATLAS Collaboration, (2012c), Phys. Lett. B **716**, 1, arXiv:1207.7214 [hep-ex].
- 1684 ATLAS Collaboration, (2012d), Phys. Lett. B 712, 351, arXiv:1203.0529 [hep-ex].
- <sup>1685</sup> ATLAS Collaboration, (2014a), Phys. Rev. D **90** (11), 112006, arXiv:1406.7844 [hep-ex].
- 1686 ATLAS Collaboration, (2014b), Eur. Phys. J. C 74 (10), 3109, [Addendum: Eur. Phys.
- 1687 J.C76,no.11,642(2016)], arXiv:1406.5375 [hep-ex].
- 1688 ATLAS Collaboration, (2014c), JHEP 06, 124, arXiv:1403.4853 [hep-ex].
- 1689 ATLAS Collaboration, (2015a), Eur. Phys. J. C 75, 330, arXiv:1503.05427 [hep-ex].
- <sup>1690</sup> ATLAS Collaboration, (2015b), Phys. Lett. B **740**, 222, arXiv:1409.3122 [hep-ex].
- <sup>1691</sup> ATLAS Collaboration, (2015c), Phys. Lett. B **740**, 118, arXiv:1410.0647 [hep-ex].

- <sup>1692</sup> ATLAS Collaboration, (2016a), Phys. Lett. B **756**, 228, arXiv:1511.05980 [hep-ex].
- <sup>1693</sup> ATLAS Collaboration, (2016b), JHEP **01**, 064, arXiv:1510.03752 [hep-ex].
- <sup>1694</sup> ATLAS Collaboration, (2016c), JINST **11** (04), P04008, arXiv:1512.01094 [hep-ex].
- <sup>1695</sup> ATLAS Collaboration, (2016d), JHEP **04**, 023, arXiv:1510.03764 [hep-ex].
- <sup>1696</sup> ATLAS Collaboration, (2016e), Eur. Phys. J. C **76** (2), 55, arXiv:1509.00294 [hep-ex].
- <sup>1697</sup> ATLAS Collaboration, (2017a), JHEP **12**, 017, arXiv:1707.05393 [hep-ex].
- <sup>1698</sup> ATLAS Collaboration, (2017b), Eur. Phys. J. C 77 (8), 531, arXiv:1702.02859 [hep-ex].
- <sup>1699</sup> ATLAS Collaboration, (2017c), JHEP **04**, 086, arXiv:1609.03920 [hep-ex].
- 1700 ATLAS Collaboration, (2017d), arXiv:1710.03659 [hep-ex].
- 1701 ATLAS Collaboration, (2017e), JHEP 04, 124, arXiv:1702.08309 [hep-ex].
- 1702 ATLAS Collaboration, (2018a), Eur. Phys. J. C78 (3), 186, arXiv:1712.01602 [hep-ex].
- 1703 ATLAS Collaboration, (2018b), JHEP **01**, 63, arXiv:1612.07231 [hep-ex].
- ATLAS Collaborations, (2011), Search for s-channel Single Top-Quark Production in pp Collisions
- 1705  $at \sqrt{s} = 7 \ TeV$ , Tech. Rep. ATLAS-CONF-2011-118 (CERN, Geneva).
- <sup>1706</sup> Bach, F., and T. Ohl (2012), Phys. Rev. D 86, 114026, arXiv:1209.4564 [hep-ph].
- 1707 Baer, H., T. Barklow, K. Fujii, Y. Gao, A. Hoang, S. Kanemura, J. List, H. E. Logan, A. Nomerot-
- ski, M. Perelstein, et al. (2013), (ILC-REPORT-2013-040, ANL-HEP-TR-13-20, BNL-100603-
- 1709 2013-IR, IRFU-13-59, CERN-ATS-2013-037, COCKCROFT-13-10, CLNS-13-2085, DESY-13-
- 1710 062, FERMILAB-TM-2554, IHEP-AC-ILC-2013-001, INFN-13-04-LNF, JAI-2013-001, JINR-E9-
- <sup>1711</sup> 2013-35, JLAB-R-2013-01, KEK-REPORT-2013-1, KNU-CHEP-ILC-2013-1, LLNL-TR-635539,
- <sup>1712</sup> SLAC-R-1004, ILC-HIGRADE-REPORT-2013-003), arXiv:1306.6352 [hep-ph].
- <sup>1713</sup> Ball, R. D., V. Bertone, S. Carrazza, C. S. Deans, L. D. Debbio, S. Forte, A. Guffanti, N. P.
- <sup>1714</sup> Hartland, J. I. Latorre, J. Rojo, and M. Ubiali (2013), Nucl. Phys. B **867**, 244, arXiv:1207.1303.
- 1715 Ball, R. D., V. Bertone, S. Carrazza, C. S. Deans, L. Del Debbio, S. Forte, A. Guffanti, N. P.
- Hartland, J. I. Latorre, J. Rojo, and M. Ubiali (NNPDF Collaboration) (2015), JHEP **04**, 040,
- 1717 arXiv:1410.8849 [hep-ph].
- <sup>1718</sup> Barate, R., et al. (ALEPH Collaboration) (2000), Phys. Lett. B 494, 33.
- <sup>1719</sup> Barducci, D., et al. (2018), arXiv:1802.07237 [hep-ph].
- 1720 Baskakov, A. V., E. E. Boos, L. V. Dudko, I. P. Lokhtin, and A. M. Snigirev (2015), Phys. Rev.
- <sup>1721</sup> C **92** (4), 044901, arXiv:1502.04875 [hep-ph].
- <sup>1722</sup> Belyaev, A., and E. Boos (2001), Phys. Rev. D 63, 034012, arXiv:hep-ph/0003260 [hep-ph].

- <sup>1723</sup> Berardi, V., et al. (TOTEM Collaboration) (2004a), TOTEM: Technical design report Addendum.
- Total cross section, elastic scattering and diffraction dissociation at the Large Hadron Collider at CERN, Tech. Rep. CERN-LHCC-2004-020.
- 1726 Berardi, V., et al. (TOTEM Collaboration) (2004b), TOTEM: Technical design report. Total cross
- section, elastic scattering and diffraction dissociation at the Large Hadron Collider at CERN,
- 1728 Tech. Rep. CERN-LHCC-2004-002, TOTEM-TDR-001.
- Berger, E. L., J. Gao, C. P. Yuan, and H. X. Zhu (2016), Phys. Rev. D 94 (7), 071501,
  arXiv:1606.08463 [hep-ph].
- <sup>1731</sup> Berger, E. L., J. Gao, and H. X. Zhu (2017), JHEP **11**, 158, arXiv:1708.09405 [hep-ph].
- <sup>1732</sup> Bertram, I., G. L. Landsberg, J. Linnemann, R. Partridge, M. Paterno, and H. B. Prosper (D0 Col-
- laboration) (2000), A Recipe for the construction of confidence limits, Tech. Rep. FERMILAB-
- 1734 TM-2104, D0-NOTE-3476, D0-NOTE-2775-A.
- 1735 Bhat, P. C. (2011), Ann. Rev. Nucl. Part. Sci. 61, 281.
- Bicer, M., et al. (TLEP Design Study Working Group) (2014), Proceedings, 2013 Community
   Summer Study on the Future of U.S. Particle Physics: Snowmass on the Mississippi (CSS2013):
- <sup>1738</sup> Minneapolis, MN, USA, July 29-August 6, 2013, JHEP **01**, 164, arXiv:1308.6176 [hep-ex].
- <sup>1739</sup> Bigi, I. I. Y., Y. L. Dokshitzer, V. A. Khoze, J. H. Kuhn, and P. M. Zerwas (1986), Phys. Lett. B
  <sup>1740</sup> 181, 157.
- Binosi, D., and L. Theussl (2004), Comput. Phys. Commun. 161, 76, arXiv:hep-ph/0309015 [hepph].
- Binoth, T., et al. (SM and NLO Multileg Working Group) (2010), in Physics at TeV colliders.
  Proceedings, 6th Workshop, dedicated to Thomas Binoth, Les Houches, France, June 8-26, 2009,
  pp. 21–189, arXiv:1003.1241 [hep-ph].
- <sup>1746</sup> Biswas, S., E. Gabrielli, F. Margaroli, and B. Mele (2013a), JHEP **07**, 073, arXiv:1304.1822 <sup>1747</sup> [hep-ph].
- <sup>1748</sup> Biswas, S., E. Gabrielli, and B. Mele (2013b), JHEP **01**, 088, arXiv:1211.0499 [hep-ph].
- <sup>1749</sup> Bjorken, J. D. (1978), Phys. Rev. D **17**, 171.
- Blazey, G. C., et al. (2000), in QCD and weak boson physics in Run II. Proceedings, Batavia, USA,
  March 4-6, June 3-4, November 4-6, 1999, pp. 47–77, arXiv:hep-ex/0005012 [hep-ex].
- 1752 Boos, E., V. Bunichev, M. Dubinin, L. Dudko, V. Ilyin, A. Kryukov, V. Edneral, V. Savrin,
- A. Semenov, and A. Sherstnev (2004), Advanced computing and analysis techniques in physics

- 1754 research. Proceedings, 9th International Workshop, ACAT'03, Tsukuba, Japan, December 1-5,
- <sup>1755</sup> 2003, Nucl. Instrum. Meth. A **534**, 250, arXiv:hep-ph/0403113 [hep-ph].
- Boos, E., V. Bunichev, L. Dudko, and M. Perfilov (2016), Int. J. Mod. Phys. A 32 (02n03),
   1757 1750008, arXiv:1607.00505 [hep-ph].
- <sup>1758</sup> Boos, E., and L. Dudko (2012), Int. J. Mod. Phys. A 27, 1230026, arXiv:1211.7146 [hep-ph].
- 1759 Boos, E. E., V. E. Bunichev, L. V. Dudko, V. I. Savrin, and A. V. Sherstnev (2006), Phys. Atom.
- <sup>1760</sup> Nucl. **69**, 1317, [Yad. Fiz.69,1352(2006)].
- <sup>1761</sup> Bordes, G., and B. van Eijk (1993), Phys. Lett. B **299**, 315.
- <sup>1762</sup> Botje, M., et al. (2011), arXiv:1101.0538 [hep-ph].
- <sup>1763</sup> Boudreau, J., C. Escobar, J. Mueller, K. Sapp, and J. Su (2013), arXiv:1304.5639 [hep-ex].
- <sup>1764</sup> Boudreau, J., C. Escobar, J. Mueller, and J. Su (2016), Proceedings, 17th International Workshop
- on Advanced Computing and Analysis Techniques in Physics Research (ACAT 2016): Valparaiso,
- 1766 Chile, January 18-22, 2016, J. Phys. Conf. Ser. 762 (1), 012041.
- Brandenburg, A., Z. G. Si, and P. Uwer (2002), Phys. Lett. B 539, 235, arXiv:hep-ph/0205023
  [hep-ph].
- Brucherseifer, M., F. Caola, and K. Melnikov (2014), Phys. Lett. B 736, 58, arXiv:1404.7116
  [hep-ph].
- 1771 Brun, R., and F. Rademakers (1997), New computing techniques in physics research V. Proceedings,
- <sup>1772</sup> 5th International Workshop, AIHENP '96, Lausanne, Switzerland, September 2-6, 1996, Nucl.
- 1773 Instrum. Meth. A **389**, 81.
- 1774 Cabibbo, N. (1963), Phys. Rev. Lett. 10, 531.
- 1775 Campbell, J., R. K. Ellis, and R. Rontsch (2013), Phys.Rev. D 87, 114006, arXiv:1302.3856
  1776 [hep-ph].
- Campbell, J. M., R. K. Ellis, and F. Tramontano (2004), Phys. Rev. D 70, 094012, arXiv:hep ph/0408158 [hep-ph].
- 1779 Campbell, J. M., R. Frederix, F. Maltoni, and F. Tramontano (2009), Phys. Rev. Lett. 102,
  182003, arXiv:0903.0005 [hep-ph].
- <sup>1781</sup> Campbell, J. M., and F. Tramontano (2005), Nucl. Phys. B **726**, 109, arXiv:hep-ph/0506289
   <sup>1782</sup> [hep-ph].
- Cao, Q.-H., R. Schwienhorst, J. A. Benitez, R. Brock, and C. P. Yuan (2005a), Phys. Rev. D 72, 094027, arXiv:hep-ph/0504230 [hep-ph].

- 1785 Cao, Q.-H., R. Schwienhorst, and C. P. Yuan (2005b), Phys. Rev. D 71, 054023, arXiv:hep ph/0409040 [hep-ph].
- <sup>1787</sup> Cao, Q.-H., and C. P. Yuan (2005), Phys. Rev. D **71**, 054022, arXiv:hep-ph/0408180 [hep-ph].
- <sup>1788</sup> CDF and D0 Collaborations, (2016), "Combination of CDF and D0 results on the mass of the top <sup>1789</sup> quark using up  $9.7 \text{ fb}^{-1}$  at the Tevatron," arXiv:1608.01881 [hep-ex].
- <sup>1790</sup> CDF and D0 Collaborations, Tevatron Electroweak Working Group, (2009), arXiv:0908.2171 [hep-<sup>1791</sup> ex].
- <sup>1792</sup> Chang, J., K. Cheung, J. S. Lee, and C.-T. Lu (2014), JHEP **05**, 062, arXiv:1403.2053 [hep-ph].
- <sup>1793</sup> Charles, J., A. Höcker, H. Lacker, S. Laplace, F. R. Le Diberder, J. Malcles, J. Ocariz, M. Pivk, and
- <sup>1794</sup> L. Roos (CKMfitter Group) (2005), Eur. Phys. J. C **41** (1), 1, arXiv:hep-ph/0406184 [hep-ph].
- <sup>1795</sup> CMS Collaboration, (2007), J. Phys. G **34** (6), 995.
- <sup>1796</sup> CMS Collaboration, (2008), JINST **3**, S08004.
- <sup>1797</sup> CMS Collaboration, (2011), Phys. Rev. Lett. **107**, 091802, arXiv:1106.3052 [hep-ex].
- <sup>1798</sup> CMS Collaboration, (2012a), JHEP **1212**, 035, arXiv:1209.4533 [hep-ex].
- <sup>1799</sup> CMS Collaboration, (2012b), Phys. Lett. B **716**, 30, arXiv:1207.7235 [hep-ex].
- <sup>1800</sup> CMS Collaboration, (2013a), Phys. Rev. Lett. **110**, 022003, arXiv:1209.3489 [hep-ex].
- 1801 CMS Collaboration, (2013b), JINST 8, P04013, arXiv:1211.4462 [hep-ex].
- 1802 CMS Collaboration, (2014a), Phys. Lett. B 736, 33, arXiv:1404.2292 [hep-ex].
- 1803 CMS Collaboration, (2014b), JHEP 06, 090, arXiv:1403.7366 [hep-ex].
- <sup>1804</sup> CMS Collaboration, (2014c), Phys. Rev. Lett. **112**, 231802, arXiv:1401.2942 [hep-ex].
- <sup>1805</sup> CMS Collaboration, (2015), JHEP **01**, 053, arXiv:1410.1154 [hep-ex].
- 1806 CMS Collaboration, (2016a), Phys. Rev. D 93, 072004, arXiv:1509.04044 [hep-ex].
- 1807 CMS Collaboration, (2016b), JHEP 08, 029, arXiv:1603.02303 [hep-ex].
- <sup>1808</sup> CMS Collaboration, (2016c), JHEP **04**, 073, arXiv:1511.02138 [hep-ex].
- 1809 CMS Collaboration, (2016d), JHEP 04, 035, arXiv:1511.03951 [hep-ex].
- <sup>1810</sup> CMS Collaboration, (2016e), JHEP **07**, 027, [Erratum: JHEP09,056(2016)], arXiv:1602.03169 [hep-<sup>1811</sup> ex].
- <sup>1812</sup> CMS Collaboration, (2016f), JHEP **09**, 027, arXiv:1603.02555 [hep-ex].
- <sup>1813</sup> CMS Collaboration, (2016g), JHEP **06**, 177, arXiv:1509.08159 [hep-ex].
- 1814 CMS Collaboration, (2017a), Phys. Lett. B 772, 752, arXiv:1610.00678 [hep-ex].
- 1815 CMS Collaboration, (2017b), arXiv:1711.03143 [hep-ex].

- <sup>1816</sup> CMS Collaboration, (2017c), Measurement of the production cross section for single top quarks in
- association with W bosons in pp collisions at  $\sqrt{s} = 13$  TeV, Tech. Rep. CMS-PAS-TOP-17-018 (CERN, Geneva).
- <sup>1819</sup> CMS Collaboration, (2017d), Eur. Phys. J. C 77 (5), 354, arXiv:1703.02530 [hep-ex].
- 1820 CMS Collaboration, (2017e), Phys. Rev. Lett. 119 (24), 242001, arXiv:1709.07411 [nucl-ex].
- <sup>1821</sup> CMS Collaboration, (2017f), JINST **12**, P10003, arXiv:1706.04965 [physics.ins-det].
- 1822 CMS Collaboration, (2017g), JHEP **02**, 028, arXiv:1610.03545 [hep-ex].
- 1823 CMS Collaboration, (2017h), JHEP 07, 003, arXiv:1702.01404 [hep-ex].
- <sup>1824</sup> CMS Collaboration, (2017i), JINST **12**, P01020, arXiv:1609.02366 [physics.ins-det].
- <sup>1825</sup> CMS Collaboration, (2018), Phys. Lett. B **779**, 358, arXiv:1712.02825 [hep-ex].
- 1826 Corcella, G., I. G. Knowles, G. Marchesini, S. Moretti, K. Odagiri, P. Richardson, M. H. Seymour,
- and B. R. Webber (2001), JHEP **01**, 010, arXiv:hep-ph/0011363 [hep-ph].
- <sup>1828</sup> Cowan, G., K. Cranmer, E. Gross, and O. Vitells (2011), Eur. Phys. J. C71, 1554, [Erratum: Eur.
   <sup>1829</sup> Phys. J.C73,2501(2013)], arXiv:1007.1727 [physics.data-an].
- Cowan, G., C. Germain, I. Guyon, B. Kégl, and D. Rousseau, Eds. (2015), Proceedings of the
   NIPS 2014 Workshop on High-energy Physics and Machine Learning, Proceedings of Machine
   Learning Research, Vol. 42 (PMLR, Montreal, Canada).
- <sup>1833</sup> Cranmer, K., G. Lewis, L. Moneta, A. Shibata, and W. Verkerke (ROOT Collaboration) (2012),
   <sup>1834</sup> HistFactory: A tool for creating statistical models for use with RooFit and RooStats, Tech. Rep.
- 1835 CERN-OPEN-2012-016.
- <sup>1836</sup> Cristinziani, M., and M. Mulders (2017), J. Phys. G 44 (6), 063001, arXiv:1606.00327 [hep-ex].
- <sup>1837</sup> Czakon, M., and A. Mitov (2014), Comput. Phys. Commun. **185**, 2930, arXiv:1112.5675 [hep-ph].
- <sup>1838</sup> Dainese, A., et al. (2017), CERN Yellow Report (3), 635, arXiv:1605.01389 [hep-ph].
- Demartin, F., B. Maier, F. Maltoni, K. Mawatari, and M. Zaro (2017), Eur. Phys. J. C 77 (1),
  34, arXiv:1607.05862 [hep-ph].
- d'Enterria, D. (2017), in 8th International Conference on Hard and Electromagnetic Probes of
  High-energy Nuclear Collisions: Hard Probes 2016 (HP2016) Wuhan, Hubei, China, September
  23-27, 2016, arXiv:1701.08047 [hep-ex].
- d'Enterria, D., K. Krajczár, and H. Paukkunen (2015), Phys. Lett. B 746, 64, arXiv:1501.05879
  [hep-ph].
- <sup>1846</sup> Dobrescu, B. A., and C. T. Hill (1998), Phys. Rev. Lett. **81**, 2634, arXiv:hep-ph/9712319 [hep-ph].

- <sup>1847</sup> Dorigo, T. (2015), in Proceedings, 3rd International Conference on New Frontiers in Physics (IC<sup>1848</sup> NFP 2014): Kolymbari, Crete, Greece, July 28-August 6, 2014, Vol. 95, p. 02003.
- Drueke, E., J. Nutter, R. Schwienhorst, N. Vignaroli, D. G. E. Walker, and J.-H. Yu (2015), Phys.
  Rev. D 91 (5), 054020, arXiv:1409.7607 [hep-ph].
- 1851 Dulat, S., T.-J. Hou, J. Gao, M. Guzzi, J. Huston, P. Nadolsky, J. Pumplin, C. Schmidt, D. Stump,
- and C. P. Yuan (2016), Phys. Rev. D 93 (3), 033006, arXiv:1506.07443 [hep-ph].
- <sup>1853</sup> Durieux, G., F. Maltoni, and C. Zhang (2015), Phys. Rev. D **91** (7), 074017, arXiv:1412.7166
   <sup>1854</sup> [hep-ph].
- 1855 Ellis, J., and T. You (2012), JHEP 06, 140, arXiv:1204.0464 [hep-ph].
- 1856 Ellis, J., and T. You (2013), JHEP 06, 103, arXiv:1303.3879 [hep-ph].
- 1857 Evans, L., and P. Bryant (2008), JINST 3, S08001.
- Farina, M., C. Grojean, F. Maltoni, E. Salvioni, and A. Thamm (2013), JHEP 05, 022,
   arXiv:1211.3736 [hep-ph].
- Feldman, G. J., and R. D. Cousins (1998), Phys. Rev. D 57, 3873, arXiv:physics/9711021
   [physics.data-an].
- <sup>1862</sup> Frederix, R., E. Re, and P. Torrielli (2012), JHEP **09**, 130, arXiv:1207.5391 [hep-ph].
- <sup>1863</sup> Frixione, S., E. Laenen, P. Motylinski, B. R. Webber, and C. D. White (2008), JHEP 07, 029,
  <sup>1864</sup> arXiv:0805.3067 [hep-ph].
- <sup>1865</sup> Frixione, S., P. Nason, and C. Oleari (2007), JHEP **11**, 070, arXiv:0709.2092 [hep-ph].
- <sup>1866</sup> Frixione, S., and B. R. Webber (2002), JHEP **06**, 029, arXiv:hep-ph/0204244 [hep-ph].
- 1867 Gauld, R. (2014), JHEP **02**, 126, arXiv:1311.1810 [hep-ph].
- 1868 Georgi, H. (1986), Phys. Lett. B 169, 231.
- Gerber, C. E., et al. (Electroweak Working Group, TeV4LHC-Top) (2007), arXiv:0705.3251 [hepph].
- <sup>1871</sup> Giammanco, A. (2016), Rev. Phys. 1, 1, arXiv:1511.06748 [hep-ex].
- <sup>1872</sup> Giardino, P. P., and C. Zhang (2017), Phys. Rev. D 96 (1), 011901, arXiv:1702.06996 [hep-ph].
- 1873 Giorgi, F. M. (2016), Measurement of the Production Cross-Section of Single Top Quarks in
- 1874 Association with W Bosons at ATLAS, Ph.D. thesis (Humboldt-Universität zu Berlin), http:
- 1875 //edoc.hu-berlin.de/dissertationen/giorgi-francesco-michelangelo-2016-11-22/
- 1876 PDF/giorgi.pdf.
- 1877 Guffanti, A., and J. Rojo (2010), Proceedings, 3rd International Workshop on Top Quark Physics

- 1878 (TOP2010), Nuovo Cim. C033 (4), 65, arXiv:1008.4671 [hep-ph].
- <sup>1879</sup> H1 and ZEUS Collaborations, (2010), JHEP **01**, 109, arXiv:0911.0884 [hep-ph].
- Harland-Lang, L. A., A. D. Martin, P. Motylinski, and R. S. Thorne (2015), Eur. Phys. J. C 75,
  204, arXiv:1412.3989 [hep-ph].
- Harris, B. W., E. Laenen, L. Phaf, Z. Sullivan, and S. Weinzierl (2002), Phys. Rev. D 66, 054024,
   arXiv:hep-ph/0207055 [hep-ph].
- He, H.-J., T. M. P. Tait, and C. P. Yuan (2000), Phys. Rev. D 62, 011702, arXiv:hep-ph/9911266
   [hep-ph].
- Heim, S., Q.-H. Cao, R. Schwienhorst, and C. P. Yuan (2010), Phys. Rev. D 81, 034005,
  arXiv:0911.0620 [hep-ph].
- Heister, A., et al. (ALEPH Collaboration) (2001), Phys. Lett. B 512, 30, arXiv:hep-ex/0106051
  [hep-ex].
- 1890 Hespel, B., F. Maltoni, and E. Vryonidou (2015), JHEP 06, 065, arXiv:1503.01656 [hep-ph].
- 1891 Hill, C. T. (1991), Phys. Lett. B 266, 419.
- <sup>1892</sup> Hill, C. T. (1995), Phys. Lett. B **345**, 483, arXiv:hep-ph/9411426 [hep-ph].
- Holmes, S. D. (1998), Tevatron Run II Handbook, Tech. Rep. FERMILAB-TM-2484-1998.
- <sup>1894</sup> Husemann, U. (2017), Prog. Part. Nucl. Phys. **95**, 48, arXiv:1704.01356 [hep-ex].
- Jaynes, E. T. (2003), Probability theory: The logic of science (Cambridge University Press, Cambridge).
- <sup>1897</sup> Jezabek, M., and J. H. Kuhn (1994), Phys. Lett. B **329**, 317, arXiv:hep-ph/9403366 [hep-ph].
- 1898 Kagan, A. L., J. F. Kamenik, G. Perez, and S. Stone (2011), Phys. Rev. Lett. 107, 082003,
  1899 arXiv:1103.3747 [hep-ph].
- Kant, P., O. M. Kind, T. Kintscher, T. Lohse, T. Martini, S. Moelbitz, P. Rieck, and P. Uwer
  (2015), Comput. Phys. Commun. 191, 74, arXiv:1406.4403 [hep-ph].
- <sup>1902</sup> Kidonakis, N. (2010a), Phys. Rev. D **81**, 054028, arXiv:1001.5034 [hep-ph].
- <sup>1903</sup> Kidonakis, N. (2010b), Phys. Rev. D 82, 054018, arXiv:1005.4451 [hep-ph].
- <sup>1904</sup> Kidonakis, N. (2011), Phys. Rev. D 83, 091503, arXiv:1103.2792 [hep-ph].
- <sup>1905</sup> Kidonakis, N. (2014), in Proceedings, Helmholtz International Summer School on Physics of Heavy
- <sup>1906</sup> Quarks and Hadrons (HQ 2013), pp. 139–168, arXiv:1311.0283 [hep-ph].
- <sup>1907</sup> Kidonakis, N. (2016), Phys. Rev. D **93** (5), 054022, arXiv:1510.06361 [hep-ph].
- 1908 Kidonakis, N. (2017a), Proceedings, 13th International Conference on Heavy Quarks and Lep-

- tons (HQL 2016): Blacksburg, Virginia, USA, May 22-27, 2016, PoS HQL2016, 041,
   arXiv:1607.08892 [hep-ph].
- <sup>1911</sup> Kidonakis, N. (2017b), Phys. Rev. D **96** (3), 034014, arXiv:1612.06426 [hep-ph].
- <sup>1912</sup> Kobayashi, M., and T. Maskawa (1973), Prog. Theor. Phys. 49, 652.
- <sup>1913</sup> Kondo, K. (1988), J. Phys. Soc. Jap. 57, 4126.
- <sup>1914</sup> Kondo, K. (1991), J. Phys. Soc. Jap. **60**, 836.
- Lacker, H., A. Menzel, F. Spettel, D. Hirschbuhl, J. Luck, et al. (2012), Eur. Phys. J. C 72, 2048,
  arXiv:1202.4694 [hep-ph].
- Lai, H.-L., M. Guzzi, J. Huston, Z. Li, P. M. Nadolsky, J. Pumplin, , and C.-P. Yuan (2010), Phys.
   Rev. D 82, 074024, arXiv:1007.2241 [hep-ph].
- 1919 Lebedev, V., and V. Shiltsev, Eds. (2014), Accelerator physics at the Tevatron Collider, Particle
- <sup>1920</sup> Acceleration and Detection (Springer).
- <sup>1921</sup> Lenz, A. (2013), Adv. High Energy Phys. **2013**, 910275.
- <sup>1922</sup> LHCb Collaboration, (2008), JINST **3**, S08005.
- <sup>1923</sup> LHCb Collaboration, (2015), Phys. Rev. Lett. **115** (11), 112001, arXiv:1506.00903 [hep-ex].
- <sup>1924</sup> LHCb Collaboration, (2017), Phys. Lett. B **767**, 110, arXiv:1610.08142 [hep-ex].
- Liu, W., H. Sun, X. Wang, and X. Luo (2015), Phys. Rev. D 92 (7), 074015, arXiv:1507.03264
  [hep-ph].
- <sup>1927</sup> Mahlon, G., and S. J. Parke (2000), Phys. Lett. B **476**, 323, arXiv:hep-ph/9912458 [hep-ph].
- Martin, A. D., W. J. Stirling, R. S. Thorne, and G. Watt (2009), Eur. Phys. J. C 64, 653,
  arXiv:0905.3531 [hep-ph].
- Martin, W. J., A. D Stirling, and G. Watt (2009), Eur. Phys. J. C 63, 189, arXiv:0901.0002
  [hep-ph].
- <sup>1932</sup> Martini, T., and P. Uwer (2015), JHEP **09**, 083, arXiv:1506.08798 [hep-ph].
- Martini, T., and P. Uwer (2017a), in 25th International Workshop on Deep Inelastic Scattering
   and Related Topics (DIS 2017) Birmingham, UK, April 3-7, 2017, arXiv:1709.04656 [hep-ph].
- <sup>1935</sup> Martini, T., and P. Uwer (2017b), arXiv:1712.04527 [hep-ph].
- <sup>1936</sup> Nutter, J., R. Schwienhorst, D. G. E. Walker, and J.-H. Yu (2012), Phys. Rev. D 86, 094006,
  <sup>1937</sup> arXiv:1207.5179 [hep-ph].
- <sup>1938</sup> Okada, Y., and L. Panizzi (2013), Adv. High Energy Phys. 2013, 364936, arXiv:1207.5607 [hep <sup>1939</sup> ph].

- <sup>1940</sup> Patrignani, C., et al. (Particle Data Group) (2016), Chin. Phys. C 40, 100001.
- Penunuri, F., F. Larios, and A. O. Bouzas (2011), Phys. Rev. D 83, 077501, arXiv:1102.1417
  [hep-ph].
- Pinfold, J., et al. (MoEDAL Collaboration) (2009), Technical Design Report of the MoEDAL Experiment, Tech. Rep. CERN-LHCC-2009-006, MoEDAL-TDR-001.
- <sup>1945</sup> Re, E. (2011), Eur. Phys. J. C **71**, 1547, arXiv:1009.2450 [hep-ph].
- <sup>1946</sup> Salam, G. P., and G. Soyez (2007), JHEP **0705**, 086, arXiv:0704.0292 [hep-ph].
- Schoenrock, B., E. Drueke, B. Alvarez Gonzalez, and R. Schwienhorst (2013), in *Proceedings*, 2013
   *Community Summer Study on the Future of U.S. Particle Physics: Snowmass on the Mississippi*
- <sup>1949</sup> (CSS2013): Minneapolis, MN, USA, July 29-August 6, 2013, arXiv:1308.6307 [hep-ex].
- Schuh, M. (2016), Determination of the top-quark pole mass using single top-quark production
   cross-sections, Master's thesis (Wuppertal U.).
- <sup>1952</sup> Schwienhorst, R., C. P. Yuan, C. Mueller, and Q.-H. Cao (2011), Phys. Rev. D 83, 034019,
   <sup>1953</sup> arXiv:1012.5132 [hep-ph].
- <sup>1954</sup> Sjöstrand, T., S. Mrenna, and P. Z. Skands (2006), JHEP **05**, 026, arXiv:hep-ph/0603175 [hep-ph].
- <sup>1955</sup> Swain, J., and L. Taylor (1998), Phys. Rev. D 58, 093006, arXiv:hep-ph/9712420 [hep-ph].
- <sup>1956</sup> Tait, T. M. P., and C. P. Yuan (2000), Phys. Rev. D **63**, 014018, arXiv:hep-ph/0007298.
- The LHC Top Working Group, (2017), "https://twiki.cern.ch/twiki/bin/view/LHCPhysics/LHCTopWG,"
   .
- 1959 Verkerke, W., and D. P. Kirkby (2003), Statistical Problems in Particle Physics, Astrophysics and
- 1960 Cosmology (PHYSTAT 05): Proceedings, Oxford, UK, September 12-15, 2005, eConf C0303241,
- <sup>1961</sup> MOLT007, [,186(2003)], arXiv:physics/0306116 [physics].
- <sup>1962</sup> Weinberg, S. (1967), Phys. Rev. Lett. **19**, 1264.
- <sup>1963</sup> White, C. D., S. Frixione, E. Laenen, and F. Maltoni (2009), JHEP **11**, 074, arXiv:0908.0631 <sup>1964</sup> [hep-ph].
- <sup>1965</sup> Willenbrock, S., and D. A. Dicus (1986), Phys. Rev. D **34**, 155.
- <sup>1966</sup> Wilson, R. R. (1977), Phys. Today **30N10**, 23.
- <sup>1967</sup> Zhang, C., and S. Willenbrock (2011), Phys. Rev. D 83, 034006, arXiv:1008.3869 [hep-ph].
- <sup>1968</sup> Zhu, S. (2002), Phys. Lett. B **524**, 283, [Erratum: Phys. Lett.B537,351(2002)], arXiv:hep-ph/0109269 [hep-ph].

1971



FIG. 1 Representative diagrams for electroweak single top-quark production in the (a) t-channel, (b) s-channel, and (c) W-associated production (tW).



1973

FIG. 2 Spectator jet pseudorapidity distribution, corresponding to the light-quark line in Fig. 1(a), comparing Born-level to NLO, (left) for  $\eta$  at the Tevatron for top quark (not antiquark) production (from Cao *et al.* (2005a) and (right) for  $|\eta|$  at the LHC for top quark and antiquark *t*-channel production (from Schwienhorst *et al.* (2011)).



FIG. 3 (Left) Combination discriminant distribution and (right)  $\not\!\!E_T$ +jets analysis discriminant distribution for the CDF single top-quark observation analysis (from Aaltonen *et al.* (2010)).



1975

FIG. 4 Combination discriminant distribution for the D0 single top-quark observation analysis for (a) the full range and (b) zoomed in on the signal region (from Abazov *et al.* (2009)).



1976

FIG. 5 Representative diagrams for electroweak single top-quark *t*-channel production in (a) the  $2 \rightarrow 2$  mode, corresponding to the 5-flavor-number scheme and (b) the  $2 \rightarrow 3$  mode, corresponding to the 4-flavor-number scheme.



FIG. 6 Multivariate discriminant for (left) the CDF l+jets analysis for events with 1 *b*-tag (from Aaltonen *et al.* (2014b)) and (right) the CDF  $E_T$  +jets analysis for events with two tight *b*-tags (from Aaltonen *et al.* (2016)).



1978

FIG. 7 Two-dimensional posterior probability density as a function of the *t*-channel and *s*-channel single top-quark production cross sections for (left) the combined CDF analysis (from Aaltonen *et al.* (2016)) and (right) the D0 analysis (from Abazov *et al.* (2013)). Overlaid on the D0 plot are several representative new physics models: FCNC top-gluon interactions (Abazov *et al.*, 2007b; Tait and Yuan, 2000), a fourth generation model (Alwall *et al.*, 2007), a top-flavor model (Tait and Yuan, 2000), and a top pion (Hill, 1995; Tait and Yuan, 2000).



1979

FIG. 8 Signal region of the multivariate discriminant (ranked by expected signal-to-background ratio) for the D0 single top-quark analysis for (a) the *t*-channel discriminant and (b) the *s*-channel discriminant (from Abazov *et al.* (2013)).



FIG. 9 Distribution of the discriminant histograms, summed over bins with similar ratios ((s - t)/background) (from Aaltonen *et al.* (2015)). A non-linear scale is used on the horizontal axis to better bring out the signal regions of the discriminant.



1981

FIG. 10 (Left) Posterior probability density as a function of the *t*-channel and *s*-channel cross sections (adapted from Aaltonen *et al.* (2015)). Also shown are new physics models: FCNC top-gluon interactions (Abazov *et al.*, 2007b; Tait and Yuan, 2000), a four-generation model (Alwall *et al.*, 2007), a top-flavor model (Tait and Yuan, 2000), and a top pion (Hill, 1995; Tait and Yuan, 2000). (Right) Summary of the Tevatron single top-quark measurements (adapted from Aaltonen *et al.* (2015)).


FIG. 11 (Left) Tevatron *s*-channel discriminant, with bins sorted by signal/background yields and (right) summary of Tevatron *s*-channel cross section measurements (from Aaltonen *et al.* (2014c)).



FIG. 12 (Left) CMS 7 TeV *t*-channel pseudorapidity distribution of the light-quark jet for muon events (from CMS Collaboration (2012a)) and (right) ATLAS 8 TeV *t*-channel NN discriminant distribution (from ATLAS Collaboration (2014a)).



FIG. 13 (Left) CMS 13 TeV *t*-channel NN discriminant (from CMS Collaboration (2017a)) and (right) ATLAS 8 TeV *t*-channel fiducial cross-section measurement compared to different signal simulations (from ATLAS Collaboration (2014a)).



FIG. 14 Differential distributions in *t*-channel events unfolded to parton level, (left) of the transverse momentum of the top quark in the ATLAS analysis at 8 TeV (from ATLAS Collaboration (2017b)) and (right) of  $\cos \theta_{\ell}$  in the CMS analysis at 8 TeV in the muon channel (from CMS Collaboration (2016c)).



FIG. 15 Representative Feynman diagram for W-associated single top-quark production (tW) from a gluon-gluon initial state, (a)  $O(\alpha_s)$  correction that contributes to tW and (b) correction with an on-shell top quark that needs to be removed.



FIG. 16 (Left) Distribution of the number of reconstructed jets in the ATLAS 7 TeV tW analysis (from ATLAS Collaboration (2012a)) and (right) BDT discriminant for 1-jet events in the CMS 8 TeV tW analysis (from CMS Collaboration (2014c)).



FIG. 17 (Left) Constraints on the systematic uncertainties (pull, for which the nominal value is  $0 \pm 1\sigma$ ) and impact of those uncertainties on the tW cross section measurement in the ATLAS 8 TeV tW analysis (from ATLAS Collaboration (2016b)). The shaded and hashed areas refer to the top axis: the shaded bands show the initial impact of that source of uncertainty on the precision of the signal strength  $\Delta \hat{\mu}$ ; the hatched areas show the impact on the measurement of that source of uncertainty, after the profile likelihood fit, at the  $\pm 1\sigma$  level. The points and associated error bars show the pull of the nuisance parameters and their uncertainties and refer to the bottom axis. A mean of zero and a width of 1 would imply no constraint due to the profile likelihood fit. (Right) Differential tW cross section as a function of the energy of the b quark measured by ATLAS at 13 TeV (from ATLAS Collaboration (2018a)).



FIG. 18 Fiducial cross-section measurement in the ATLAS 8 TeV tW analysis compared to theoretical predictions (from ATLAS Collaboration (2016b)).



FIG. 19 Number of events with a W-boson and a b quark observed by LHCb as a function of  $p_T(\mu+b)$ , compared to expectations with and without top-quark signal  $(t\bar{t}+tW)$  at NLO accuracy (from LHCb Collaboration (2015)).



FIG. 20 (Left) Matrix element discriminant, expressed as the probability for an observed event X to be a signal event (S), P(S|X), in the ATLAS 8 TeV *s*-channel analysis (from ATLAS Collaboration (2016a)) and (right) BDT discriminant in the CMS 8 TeV *s*-channel analysis (from CMS Collaboration (2016f)).



FIG. 21 Representative Feynman diagrams for electroweak single top-quark production in association with a Z boson (tZq), (a) with the Z boson coupling to the exchanged W boson and (b) the Z boson coupling to the top quark.



FIG. 22 Post-fit neural network discriminant distribution in the ATLAS search for the tZq process in 13 TeV data (from ATLAS Collaboration (2017d)).

1994



FIG. 23 Post-fit discriminant distribution in the CMS tZq analysis at 13 TeV: (left) BDT for 1-*b*-jet events, (middle) BDT for 2-*b*-jet events and (right) W transverse mass distribution for 0-*b*-jet events. (from CMS Collaboration (2018)).



FIG. 24 Summary of Tevatron and LHC measurements of the inclusive single top-quark production cross sections in t-channel, s-channel, tW and tZq production. The measurements are compared to theoretical calculations based on NLO QCD complemented with NNLL resummation. The full theory curves as functions of the CM energy are calculated as in Refs. (Kidonakis, 2010a,b, 2011) for t-channel, s-channel, and tW, and are calculated with AMC@NLO (v.254) (Alwall *et al.*, 2014) for tZq. The curves for s-channel and the sum of s- and t-channel are calculated for  $p\bar{p}$  collisions up to 3 TeV and for pp collisions beyond; for t-channel, tW and tZq the curves for pp and  $p\bar{p}$ coincide at the considered accuracy.



FIG. 25 Inclusive single top-quark cross sections measured at 8 TeV at the LHC, t-channel vs tW and s-channel and tW vs s-channel. The SM theory predictions are calculated as in Refs. (Ki-donakis, 2010a,b, 2011). Also shown are example BSM scenarios: A model with CKM element  $V_{ts} = 0.2$  (Alwall et al., 2007), a vector-like fourth generation quark with chromo-magnetic couplings (Nutter et al., 2012), a color triplet (Drueke et al., 2015), and flavor-changing neutral current interactions of the top quark with the gluon and the charm quark (Aguilar-Saavedra, 2009a).



FIG. 26 Summary of ATLAS and CMS extractions of  $|f_L \cdot V_{tb}|$  from the single top-quark cross section measurements, using NLO+NNLL theoretical predictions. From The LHC Top Working Group (2017), including some preliminary results.



FIG. 27 Summary of ATLAS and CMS measurements of  $R_t \equiv \sigma_t/\sigma_{\bar{t}}$  at (left) 8 TeV (ATLAS Collaboration, 2017b; CMS Collaboration, 2014b) and (right) 13 TeV (ATLAS Collaboration, 2017c; CMS Collaboration, 2017a), compared with theoretical expectations at NLO obtained with HATHOR (Aliev *et al.*, 2017; Kant *et al.*, 2015) and a variety of PDF sets (Alekhin *et al.*, 2012, 2014; Ball *et al.*, 2015; Dulat *et al.*, 2016; H1 and ZEUS Collaborations, 2010; Harland-Lang *et al.*, 2015). Error bars for the different PDF sets represent the quadratic sum of the following uncertainty components: the 68% confidence level interval of the predictions of the eigenvectors in the set, the statistical uncertainty due to the finite number of iterations employed for the calculation, the uncertainty in the factorisation and renormalisation scales, derived varying both of them by a factor 1/2 and 2, and the uncertainty in the top-quark mass.



FIG. 28 Limits on pairs of anomalous couplings squared from the D0 combination of single top and  $t\bar{t}$  anomalous couplings searches: left-handed tensor coupling vs left-handed vector coupling (from Abazov *et al.* (2012b)).



2000

FIG. 29 (Left) Illustration of the definition of the polarization angles in *t*-channel single top-quark production, and (right) predicted and observed angular asymmetries (from ATLAS Collaboration (2017e)).



FIG. 30 Limits on anomalous couplings from the ATLAS two- (left) and three-angle (right) analyses (from ATLAS Collaboration (2017a)).



FIG. 31 Limits on anomalous tWb couplings from the CMS analysis combining 7 and 8 TeV, projected onto two dimensions: (left) left- versus right-handed tensorial coupling, and (right) vectorial versus tensorial right-handed coupling (from CMS Collaboration (2017g)).



FIG. 32 Summary of ATLAS and CMS limits on FCNC processes, expressed in equivalent branching ratios and compared with the expectations from the SM and several new physics models. For each FCNC process, the ATLAS limit is shown at the top and the CMS one at the bottom. From The LHC Top Working Group (2017), including some preliminary results.



FIG. 33 Observed 95% CL upper limit on the branching ratio of  $t \to Zq$  versus the branching of  $t \to \gamma q$  (q = u, c) as derived directly or indirectly by experiments at LEP, HERA, Tevatron and LHC: search for  $e^+e^- \to \gamma^*/Z \to t\bar{q}/\bar{t}q$  by L3 (Achard *et al.*, 2002), search for  $eq \to et$  by ZEUS (Abramowicz *et al.*, 2012) and H1 (Aaron *et al.*, 2009), search for  $t \to Zq$  decays in  $t\bar{t}$ events by D0 (Abazov *et al.*, 2011b), CDF (Aaltonen *et al.*, 2008b), search for  $t \to \gamma q$  decays in  $t\bar{t}$  events by CDF (Abe *et al.*, 1998). From The LHC Top Working Group (2017), including some preliminary results.



FIG. 34 Dominant Feynman diagrams for the production of tHq events.



FIG. 35 Left: 95% CL upper limits on the tHq cross section, divided by its expectation in the  $y_t = -1$  scenario, by decay channel and combined. Right: 95% CL upper limits on the tHq production cross section versus BR( $H \rightarrow \gamma \gamma$ ); the red horizontal line shows the predicted tHq cross section for the SM Higgs boson with  $m_H = 125$  GeV in the  $y_t = -1$  scenario, while the black horizontal line shows the predicted tHq cross section for the SM (i.e.,  $y_t = +1$ ) scenario. Figures from CMS Collaboration (2016g).

## 2007 TABLES

2008

<i>t</i> -channel	$7 { m TeV}$	$8 { m TeV}$	$13 { m TeV}$
cross section in pb			
NNLO			
t	-	$54.2_{-0.2}^{+0.5}$	$134.3_{-0.7}^{+1.3}$
$\overline{t}$	-	$29.7_{-0.1}^{+0.3}$	$79.3\substack{+0.8 \\ -0.6}$
$t + \overline{t}$	-	$83.9\substack{+0.8 \\ -0.3}$	$213.6^{+2.1}_{-1.1}$
NLO+NNLL			
t	$43.0^{+1.8}_{-0.9}$	$56.4^{+2.4}_{-1.2}$	$136^{+4}_{-3}$
$\overline{t}$	$22.9^{+0.9}_{-1.0}$	$30.7^{+1.5}_{-1.6}$	$82^{+3}_{-2}$

$t + \overline{t}$	$65.9^{+2.6}_{-1.8}$	$87.2^{+3.4}_{-2.5}$	$218^{+5}_{-4}$
NLO			
t	$41.8^{+1.8}_{-1.5}$	$54.9^{+2.3}_{-1.9}$	$136\pm5$
$\overline{t}$	$22.0^{+1.3}_{-1.2}$	$29.7^{+1.7}_{-1.5}$	$81\pm4$
$t + \overline{t}$	$63.8^{+2.9}_{-2.2}$	$84.7^{+3.8}_{-3.2}$	$217^{+9}_{-8}$

TABLE I Theoretical predictions for the *t*-channel production cross sections at the LHC. The NNLO predictions at 8 TeV (Brucherseifer *et al.*, 2014) and 13 TeV (Berger *et al.*, 2016) use a top-quark mass of 172.5 GeV and 173.2 GeV, respectively, and the uncertainties include scale variations. The NLO+NNLL predictions (Kidonakis, 2011, 2014, 2017a) have been calculated for a top-quark mass of 173 GeV and the uncertainties include scale and PDF (Martin and Watt, 2009) variations. The NLO predictions have been computed using the HATHOR v2.1 program (Aliev *et al.*, 2011; Kant *et al.*, 2015) based on MCFM (Campbell *et al.*, 2009). They are obtained at a top-quark mass of 172.5 GeV and the uncertainties include scale, PDF and  $\alpha_S$  (Ball *et al.*, 2013; Botje *et al.*, 2011; Lai *et al.*, 2010; Martin *et al.*, 2009; Martin and Watt, 2009) variations.

Experiment	signal	number of	s/b (%)
	acceptance (%)	<i>t</i> -channel event	S
1.96 TeV Tevatron			
CDF $s + t \ell$ +jets	2.2	550	6.4
CDF $s + t \not \!$	1.7	530	2.3
D0 $s + t \ell + jets$	2.0	630	5.3
7 TeV LHC			
ATLAS <i>t</i> -channel, 4.6 $\text{fb}^{-1}$	1.0	5,700	10
CMS t-channel, $1.2(\mu)$ , $1.6(e)$ fb <sup>-1</sup>	$0.8(\mu),0.6(e)$	950	31
8 TeV LHC			
ATLAS <i>t</i> -channel, $20.3 \text{ fb}^{-1}$	1.0	17,700	18
CMS <i>t</i> -channel, 19.7 fb <sup>-1</sup>	0.6	10,400	21
13 TeV LHC			
ATLAS <i>t</i> -channel, $3.2 \text{ fb}^{-1}$	1.0	6,900	11
CMS <i>t</i> -channel, 2.2 fb <sup>-1</sup>	0.5	2,400	11

TABLE II Comparison of Tevatron and LHC single top-quark acceptances , event yields, and signal/background ratio. The 7 TeV CMS analysis was done separately for electron and muon events and the luminosity and single top-quark acceptances are given separately, while the number of events and the signal/background ratio (s/b) are quoted for electron and muon channels combined.

tW	$7 { m TeV}$	$8 { m TeV}$	$13 { m TeV}$
cross section in pb			
NLO+NNLL	$17.0 \pm 0.7$	$24.0\pm1.0$	$76.2\pm2.5$
NLO	$13.2\pm1.4$	$18.9 \pm 1.9$	$60\pm 6$

TABLE III Theoretical predictions for the tW production cross sections at the LHC. The NLO+NNLL predictions (Kidonakis, 2017b) have been calculated for a top-quark mass of 172.5 GeV and the uncertainties include scale and PDF (Harland-Lang *et al.*, 2015) variations. The NLO predictions have been prepared using the HATHOR v2.1 program (Aliev *et al.*, 2011; Kant *et al.*, 2015) based on MCFM (Campbell *et al.*, 2009; Campbell and Tramontano, 2005). They are obtained at a top-quark mass of 172.5 GeV and the uncertainties include scale, PDF and  $\alpha_S$  (Ball *et al.*, 2013; Botje *et al.*, 2011; Lai *et al.*, 2010; Martin *et al.*, 2009; Martin and Watt, 2009) variations. The cutoff threshold for the *b*-quark  $p_T$  from gluon-splitting is set to 60 GeV.

s-channel	$7 { m TeV}$	$8 { m TeV}$	$13 { m TeV}$
cross section in pb			
NLO+NNLL			
t	$3.1 \pm 0.1$	$3.8\pm0.1$	$7.1\pm0.2$
$\overline{t}$	$1.4 \pm 0.1$	$1.8\pm0.1$	$4.1\pm0.2$
$t + \overline{t}$	$4.6\pm0.2$	$5.6\pm0.2$	$11.2\pm0.4$
NLO			
t	$2.8 \pm 0.1$	$3.3\pm0.1$	$6.3\pm0.4$
$\overline{t}$	$1.5 \pm 0.1$	$1.9\pm0.1$	$4.0\pm0.2$
$t + \overline{t}$	$4.3 \pm 0.2$	$5.2\pm0.2$	$10.3\pm0.2$

TABLE IV Theoretical predictions for the *s*-channel production cross sections at the LHC. The NLO+NNLL predictions (Kidonakis, 2010a) have been calculated for a top-quark mass of 173 GeV and the uncertainties include scale and PDF (Martin and Watt, 2009) variations. The NLO predictions have been prepared using the HATHOR v2.1 program (Aliev *et al.*, 2011; Kant *et al.*, 2015) based on MCFM (Campbell *et al.*, 2004). They are obtained at a top-quark mass of 172.5 GeV and the uncertainties include scale, PDF and  $\alpha_S$  (Ball *et al.*, 2013; Botje *et al.*, 2011; Lai *et al.*, 2010; Martin *et al.*, 2009; Martin and Watt, 2009) variations.