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First principles simulations of Li boracites Li₄B₇O₁₂Cl and Li₅B₇O_{12.5}Cl

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Lithium boracite crystals have been identified as promising ion conductors for possible use in all-solid-state batteries. With the help of first principles modeling techniques, we are able to show that these materials have structures with natural interstitial sites which play important roles in Li ion migration processes. The arrangements of these natural interstitial sites follow from group theory analyses of the computed and experimentally analyzed structures. Specifically, the low temperature α phase of Li₄B₇O₁₂Cl is computed to have the face centered rhombohedral R3c structure which is closely related to an ideal face centered cubic $F\bar{4}3c$ structure with 24 natural interstitial sites per conventional unit cell. Li ion diffusion in this material is found to proceed largely by a concerted motion involving these interstitial sites and two neighboring host lattice sites, consistent with the large measured ionic conductivity of this material. Adding one addition Li₂O cluster per formula unit to $Li_4B_7O_{12}Cl$ forms $Li_5B_7O_{12.5}Cl$ which crystallizes in the face centered cubic F23 structure, a subgroup of the F43c structure. While these F23 crystals have 16 natural interstitial sites per conventional unit cell, their distribution is such that they do not participate in Li ion diffusion mechanisms, as is consistent with the negligible ionic conductivity measured for this material. Phonon spectral analyses of $Li_4B_7O_{12}Cl$ in its R3c structure and $Li_5B_7O_{12,5}Cl$ in its F23 structure show that both crystals are dynamically stable. Chemical stability of these materials is indicated by convex hull analysis of $Li_4B_7O_{12}Cl$ and $Li_5B_7O_{12.5}Cl$ with respect to their building blocks of LiCl, Li_2O , and B_2O_3 .

I. INTRODUCTION

Recent literature has identified lithium boracites as promising ion conducting electrolytes for possible use in all-solid-state batteries. These materials are related to the naturally occurring magnesium borate mineral, $Mg_3B_7O_{13}Cl$, which is characterized by a rigid framework of B-O bonds composed of triangular BO₃ and tetrahedral BO₄ units, together with a regular void structure. The magnesium borate mineral has been found in several crystalline forms including a face centered cubic structure ($F\bar{4}3c$; space group [1] #219) determined in 1973 from a single crystal specimen by Sueno and coworkers [2].

Lithium boracite was developed and characterized in the 1970's by a number of authors including Levasseur and co-workers [3–6] and Jeitschko and co-workers [7, 8]. This work established that Li₄B₇O₁₂Cl has the same borate framework and void structure of the boracite minerals and showed that the Li ions are associated with the void channels of the structure which serve to facilitate their mobility. More recent work [9–12] has developed efficient synthesis methods. For example, using the so-called low-temperature ionothermal synthesis method, Tan and co-workers [12] were able to improve the degree of purity of samples of Li₄B₇O₁₂Cl, resulting in the enhancement of the Li ion mobilities with measured roomtemperature ionic conductivity up to 3×10^{-4} S/cm. Their work also found that Li₄B₇O₁₂Cl presents a wide voltage stability window and, more generally, exhibits promising performance with Li metal electrodes. Other recent work has studied improved ionic conductivity due to alloying on the halogen site [8, 13] and on the boron site [14, 15]. On the other hand, the addition of Li₂O to $Li_4B_7O_{12}Cl$ with the end composition of $Li_5B_7O_{12.5}Cl$ was studied by several authors [6, 16, 17] who showed that the additional Li_2O systematically reduces the ionic conductivity by several orders of magnitude. Extrapolating the measured ionic conductivity data [6] to room temperature estimates the room temperature conductivity ity of $Li_5B_7O_{12.5}Cl$ to be smaller than 10^{-10} S/cm.

In order to improve the promising electrolyte properties of lithium boracites, it is useful to examine the detailed crystal structures and to study mechanisms of ionic conductivity. With the help of first principles modeling techniques, we are able to show that lithium boracites are beautiful examples of structures with natural interstitial sites which play important roles in Li ion migration processes. In this case, the natural interstitial structure follows from group theory analysis of the ideal ground state structure and related structures.

This paper focuses on a detailed comparison of the lithium boracites Li₄B₇O₁₂Cl and Li₅B₇O_{12.5}Cl. The general calculation methods are briefly described in Sec. II. Section III presents the results of the optimized structures of the idealized crystals, including identifying the optimized structure of α -Li₄B₇O₁₂Cl and showing how it is related to the ideal cubic $F\bar{4}3c$ structure and a plausible model for β -Li₄B₇O₁₂Cl. The optimization of the Li₅B₇O_{12.5}Cl structure, and comparison with the α -Li₄B₇O₁₂Cl structure helps explain the decrease in ionic conductivity, following the work of Vlasse and co-workers [16]. The phonon analysis of the ground state structures of Li₄B₇O₁₂Cl and Li₅B₇O_{12.5}Cl show that both structures are dynamically stable as discussed in Sec. IV. In Sec. V, we examined the relative stability of the two materials with respect to their possible decompositions. Section VI examines the Li ion migration mechanisms

for the supercells of bulk materials using both trajectory analysis and molecular dynamics simulations. The molecular dynamics results approximately follow experimental conductivity measurements. The results of this study are discussed and summarized in Sec. VII.

II. COMPUTATIONAL METHODS

The computational methods were based on density functional theory (DFT) [18, 19] and density functional perturbation theory (DFPT) [20–24], using the projector augmented wave (PAW) [25] formalism. The modified generalized gradient formulation known as PBEsol [26] was used to treat the exchange and correlation effects. The PAW basis and projector functions for Li, B, O, and Cl were generated by the ATOMPAW code [27]. Simulations for the primitive and conventional models of the studied crystals were carried out using ABINIT [28, 29] and QUANTUM ESPRESSO packages [30, 31]. To be specific, the lattice optimization and phonon calculations based on primitive unit cells were performed using ABINIT package with planewave expansions of the wavefunctions including $|{\bf k}+{\bf G}|^2 \leq 70\,{\rm Bohr}^{-2}$ and with a **k**-point sampling of $4 \times 4 \times 4$ to approximate the Brillouin zone integrals. The phonon modes were evaluated with $|\mathbf{k} + \mathbf{G}|^2 \leq 50 \operatorname{Bohr}^{-2}$ on a regular $4 \times 4 \times 4$ grid of q-vectors in the irreducible Brillouin zone of each crystal. Investigations of Li ions migration were evaluated by using the QUANTUM ESPRESSO package, using eight-formula unit conventional cells for both Li₄B₇O₁₂Cl and Li₅B₇O_{12.5}Cl. For these large systems, the Brillouin zone integrals could be well approximated by sampling the single $\mathbf{k} = 0$ point. To achieve well-converged wave functions, the planewave expansions were chosen to be $|\mathbf{k}+\mathbf{G}|^2 \leq 64 \operatorname{Bohr}^{-2}$. Structural visualizations were constructed using the XCrySDEN [32] and VESTA [33] software packages. The program FINDSYM [34] was used in analyzing symmetry properties of the optimized crystal structures.

III. CRYSTAL STRUCTURES

A. $Li_4B_7O_{12}Cl$

In 1977, Jeitschko and co-workers [8] carried out single crystal analyses of the crystalline phases of Li₄B₇O₁₂Cl, finding three distinct phases, designated as α , β , and γ . The high temperature γ phase, stable at temperature T > 348 K, is fully characterized with the face centered cubic space group [1] $F\bar{4}3c$ (No. 219) with temperature dependent partial occupancies of Li sites. The β phase is stable within the temperature range 348 K > T > 310 K while the α phase is stable at temperatures below 310 K. From the discontinuities of the ionic conductivity plots at the transition temperatures, one expects that latent heat is associated with each of these transitions, consistent



FIG. 1: Ball and stick diagram of conventional cell of $Li_4B_7O_{12}Cl$ in the $F\bar{4}3c$ structure as analyzed from single crystal samples at room temperature [8]. Ball colors of blue, black, red, and green indicate Li, B, O, and Cl ions, respectively. The polyhedral constructions illustrate the BO₄ tetrahedra and BO₃ planar triangular structures. The dark blue balls indicate Li ions at the fully occupied 24c sites, while the partially filled light blue balls indicate Li ions at the 25% occupied 32e sites.

with the first order transition designation. Jeitschko and co-workers [8] provided some information on the structures of the β and α phases, but the detailed analysis was not possible at the time. It was suggested that the α structure has a rhombohedrally distorted lattice with space group R3 (No. 146) with lattice constant a = 12.141 Å and rhombohedral angle α = 90.083 deg. The β structure was suggested to have the cubic space group symmetry of $P\bar{4}3n$ (No. 218) with lattice constant a = 12.161 Å. Additionally, Jeitschko and co-workers [8] were able to perform a constrained analysis of the X-ray patterns of the α and β phases by assuming the face centered cubic structure $F\bar{4}3c$. This scheme finds differing Li ion occupancies on the sites, as labeled by their conventional cell multiplicity and Wyckoff letter, 24c and 32e. While these correlations provide guidance to the structural analysis, they do not tell the full story of the low temperature structures. A visualization of the $F\bar{4}3c$ structure based on the analysis of Ref. 8 is shown in Fig. 1. This visualization presents the geometry of the B_7O_{12} framework in terms of connected BO_4 tetrahedra and BO_3 planar triangles. It is interesting to note that while all of the O ion sites are geometrically equivalent corresponding to the multiplicity and Wyckoff letter 96h, there are two types of B ion sites. The tetrahedral B ion sites correspond to the label 24d while the triangular B ion sites correspond to 32e. These triangular B ion sites are geometrically and symmetrically related to fractionally occupied Li ion sites having the same 32e label.

Using computation, we are in a position to examine the



FIG. 2: The relative static lattice energy per formula unit for the 28 optimized configurations of Li₄B₇O₁₂Cl in the primitive lattice setting.

likely ground state structure, the α structure, which has not yet been analyzed from the experiment data. Starting from the available data [8] of the ideal $F\bar{4}3c$ model and assuming $1/4^{th}$ occupancy of the 32e sites, we systematically computed the total energies of the 28 unique configurations of the primitive cell. Figure 2 shows the relative static lattice energy per formula unit for each optimized atomic arrangement with an index ranging from #1 to #28. The results indicate that all the relaxed configurations are energetically divided into three distinct groups. While both the two high energy groups are found to have the space group P1, the four lowest energy configurations #3, #11, #15, and #28 are identified as having rhombohedral lattice with space group R3c (No. 161). Those four symmetrically equivalent structures are ordered with fully occupied Li sites. From these results, it seems likely that the ideal ground state form of α - $Li_4B_7O_{12}Cl$ is characterized by the R3c space group in a face centered structure. This result is similar but not identical to the single crystal analysis of Jeitschko and coworkers [8]. Specifically, the R3c structure differs from the R3 structure suggested by the experimental work [8] by having three additional symmetry operations.

Rhombohedral structures appear to be the least commonly realized structures in nature. Interestingly, for a face centered structure with the R3c space group, the R3c space group symmetry applies to both the primitive and conventional cell structures. Generally, a rhombohedral crystal has three lattice vectors of equal length and equal angles between each pair of lattice vectors. For the conventional unit cell setting we denote the lattice vector length as a_c and the angle between lattice vectors as θ_c . The cubic structure is a special case with $\theta_c = 90$ deg. More generally, a convenient representation of the conventional lattice vectors of a rhombohedral structure similar to that used in the QUANTUM ESPRESSO code [30, 31] is given by

$$\begin{aligned} \mathbf{a_c} &= a_c \left(\hat{\mathbf{x}} (\lambda_c + 2\mu_c) + \hat{\mathbf{y}} (\lambda_c - \mu_c) + \hat{\mathbf{z}} (\lambda_c - \mu_c) \right) \\ \mathbf{b_c} &= a_c \left(\hat{\mathbf{x}} (\lambda_c - \mu_c) + \hat{\mathbf{y}} (\lambda_c + 2\mu_c) + \hat{\mathbf{z}} (\lambda_c - \mu_c) \right) \\ \mathbf{c_c} &= a_c \left(\hat{\mathbf{x}} (\lambda_c - \mu_c) + \hat{\mathbf{y}} (\lambda_c - \mu_c) + \hat{\mathbf{z}} (\lambda_c + 2\mu_c) \right) \end{aligned}$$
(1)

Here

$$\lambda_c \equiv \frac{\sqrt{1+2\cos\theta_c}}{3} \quad \text{and} \quad \mu_c \equiv \frac{\sqrt{1-\cos\theta_c}}{3}.$$
 (2)

The primitive cell for our face centered rhombohedral lattice is given by

$$\begin{aligned} \mathbf{a}_{\mathbf{p}} &= \frac{1}{2} (\mathbf{a}_{\mathbf{c}} + \mathbf{b}_{\mathbf{c}}) \\ &= a_{p} \left(\hat{\mathbf{x}} (\lambda_{p} + \mu_{p}) + \hat{\mathbf{y}} (\lambda_{p} + \mu_{p}) + \hat{\mathbf{z}} (\lambda_{p} - 2\mu_{p}) \right) \\ \mathbf{b}_{\mathbf{p}} &= \frac{1}{2} (\mathbf{b}_{\mathbf{c}} + \mathbf{c}_{\mathbf{c}}) \\ &= a_{p} \left(\hat{\mathbf{x}} (\lambda_{p} - 2\mu_{p}) + \hat{\mathbf{y}} (\lambda_{p} + \mu_{p}) + \hat{\mathbf{z}} (\lambda_{p} + \mu_{p}) \right) \end{aligned}$$
(3)
$$\mathbf{c}_{\mathbf{p}} &= \frac{1}{2} (\mathbf{a}_{\mathbf{c}} + \mathbf{c}_{\mathbf{c}}) \\ &= a_{p} \left(\hat{\mathbf{x}} (\lambda_{p} + \mu_{p}) + \hat{\mathbf{y}} (\lambda_{p} - 2\mu_{p}) + \hat{\mathbf{z}} (\lambda_{p} + \mu_{p}) \right) \end{aligned}$$

Here

$$\lambda_p \equiv \frac{\sqrt{1+2\cos\theta_p}}{3} \quad \text{and} \quad \mu_p \equiv \frac{\sqrt{1-\cos\theta_p}}{3}, \qquad (4)$$

and the primitive cell length is

$$a_p = \frac{a_c}{\sqrt{3 - 2\cos(\theta_p)}}.$$
(5)

The relationship of the primitive angle and the conventional angle is given by

$$\cos(\theta_p) = \frac{1+3\cos(\theta_c)}{2(1+\cos(\theta_c))} \quad \text{or} \quad \cos(\theta_c) = \frac{2\cos(\theta_p)-1}{3-2\cos(\theta_p)},$$
(6)

where the special case of face centered cubic corresponds to $\theta_p = 60$ deg. Note that the volume of primitive cell, V_p is

$$V_p = a_p^3 (1 - \cos(\theta_p)) \sqrt{1 + 2\cos(\theta_p)},$$
 (7)

which is $1/4^{th}$ the volume of the conventional cell, as expected.

The atomic positions \mathbf{R}^a within the unit cell can be expressed in terms of the fractional coordinates of the conventional cell (x_c, y_c, z_c) or the fractional coordinates of the primitive cell (x_p, y_p, z_p) , with the relationship between the two

$$x_p = x_c + y_c - z_c$$

$$y_p = -x_c + y_c + z_c$$

$$z_p = x_c - y_c + z_c$$
(8)

The primitive R3c structure of α -Li₄B₇O₁₂Cl contains 2 formula units per primitive cell or 48 atoms while the



FIG. 3: X-ray patterns determined from structural analysis of Li₄B₇O₁₂Cl for wavelength $\lambda = 1.54056$ Å as calculated using Mercury software [35] from the computed idealized *R3c* structure (top) and the analysis of single crystal data at 298 K based on the $F\bar{4}3c$ space group reported by Jeitschko and co-workers [8].

The optimized structure of α -Li₄B₇O₁₂Cl is visualized in the primitive cell and conventional cell settings in Fig. 4, where the B-O framework is indicated with thin bonds so that the Li and Cl positions can be more easily visualized. In addition to the optimized Li ion sites indicated in this diagram, natural Li interstitial sites are also indicated, as explained in more detail below.

A summary of computed static lattice parameters of α -Li₄B₇O₁₂Cl are given in Table I based on the conventional unit cell. In this case, we see that while the optimized structure of α -Li₄B₇O₁₂Cl is definitely rhombohedral, the rhombohedral angle θ_c is very close to the ideal cubic angle of 90 deg. We can also optimize a constrained rhombohedral structure with $\theta_c = 90$ deg. The result, which is also listed in Table I, is very slightly higher energy than the unconstrained optimization [36].

In order to examine the structure of α -Li₄B₇O₁₂Cl in more detail, we note that the face centered rhombohedral structure R3c and the face centered cubic structure $F\bar{4}3c$ are very closely related in that the former is a subgroup of the latter. The Wyckoff labels and fractional coordinates of the primitive cells for these two space groups are detailed in Tables VI and VII in the Appendix A. From the comparison of these tables, it is possible to map the cubic coordinates and Wyckoff labels of the $F\bar{4}3c$ structure to rhombohedral coordinates and Wyckoff labels of the R3c structure as given in Table VIII. Most interestingly for this case, only the *e* label of the $F\bar{4}3c$ structure maps to both *a* and *b* labels of the R3c structure while all

TABLE I: Static lattice results in the conventional cell setting for Li₄B₇O₁₂Cl, comparing the fully optimized structure ("Full") with the optimized structure with cubic constraint ("Cubic") and various experimental results reported in Ref. 8. The computed results also list ΔE (eV/FU), the estimate of the static lattice energy difference between the "Full" and "Cubic" optimizations.

	a_c (Å)	$\theta_c \ (\mathrm{deg})$	$\Delta E \; (eV/FU)$
Full	12.13	90.1	0.000
Cubic	12.14	90.0	0.002
$\operatorname{Exp}(R3)$	12.141	90.083	
Exp $(F\bar{4}3c \text{ at } 298 \text{ K})$	12.141	90.0	
Exp $(F\bar{4}3c \text{ at } 328 \text{ K})$	12.161	90.0	
Exp $(F\bar{4}3c \text{ at } 353 \text{ K})$	12.167	90.0	

conventional cell contains 8 formula units or 192 atoms. In order to assess how this computed structure compares with experiment, we show in Fig. 3 the X-ray pattern deduced from the optimized structure compared with the X-ray pattern constructed from the analysis of Jeitschko and co-workers [8] for measurements at a temperature of 298 K, analyzed in terms of the $F\bar{4}3c$ space group.

of the other labels of the $F\bar{4}3c$ structure map exclusively to either the *a* or *b* labels of the R3c structure.

The optimized structure of α -Li₄B₇O₁₂Cl corresponds to Li sites analyzed in the $F\bar{4}3c$ structure with Wyckoff label e with the fractional occupancy of 25% which in the R3c structure corresponds to full occupancy of the Wyckoff site labeled a. This implies that the remaining 6 sites, corresponding the Wyckoff label b, should be available as natural vacancies in this structure. Representative fractional coordinates for the computed R3c structure of $Li_4B_7O_{12}Cl$ are given in Table II together with the corresponding coordinates based on the $F\bar{4}3c$ analysis, using the primitive cell coordinates for both. We see significant agreement between the two structures. In addition to the occupied lattice sites, the analysis finds 6 natural interstitial Li sites which correspond to three-quarters of the 8e sites in the $F\bar{4}3c$ setting which map to 6b sites in the R3c setting. The typical method of identifying interstitial sites in a crystal is to carry out a systematic search of positions throughout the crystal for low energy sites. which optimize the ideal structure with the addition of one Li ion and a uniform compensation charge. We performed this search for the α -Li₄B₇O₁₂Cl structure and found the lowest energy interstitial sites to be quite close to the ideal 6b sites. In Table II a representative ideal site is listed in the table and the computed interstitial is listed in the table note.

These static lattice optimization studies provide strong evidence that the ground state structure of α -Li₄B₇O₁₂Cl

TABLE II: Representative primitive cell fractional coordinates for $\text{Li}_4\text{B}_7\text{O}_{12}\text{Cl}$, comparing the optimized R3c structure (left) with the experimental analysis of single crystal data measured at 298 K based on the $F\bar{4}3c$ structure from Ref. 8. ^a Here "Label" refers to the primitive cell multiplicity and the Wyckoff label with "Occ." representing the corresponding number of occupied sites.

		R3c				$F\bar{4}3c$	
Atom	Label	Occ.	(x_p, y_p, z_p)	Atom	Label	Occ.	(x_p, y_p, z_p)
$\operatorname{Li}(1)$	6b	6	(0.035, 0.036, 0.465)	$\operatorname{Li}(2)$	6c	6	(0.000, 0.000, 0.500)
Li(2)	2a	2	(0.869, 0.869, 0.869)	Li(1)	8e	2	(0.871, 0.871, 0.871)
$Li(i)^{b}$	6b	0	(0.869, 0.869, 0.393)				(0.871, 0.871, 0.388)
B(1)	6b	6	(0.251, 0.261, 0.753)	B(1)	6d	6	(0.250, 0.250, 0.750)
B(2)	2a	6	(0.602, 0.602, 0.603)	B(2)	8e	8	(0.600, 0.600, 0.600)
B(3)	6b	6	(0.109, 0.109, 0.698)				(0.100, 0.100, 0.699)
O(1)	6b	6	(0.438, 0.613, 0.757)	O(1)	24h	24	(0.439, 0.606, 0.757)
O(2)	6b	6	(0.447, 0.766, 0.197)				(0.439, 0.757, 0.198)
O(3)	6b	6	(0.187, 0.772, 0.615)				(0.198, 0.757, 0.606)
O(4)	6b	6	(0.712, 0.942, 0.106)				(0.698, 0.939, 0.106)
Cl	2a	2	(0.250, 0.250, 0.250)	Cl	2b	2	(0.250, 0.250, 0.250)

^a Similar but not identical experimental results were reported by Ref. 17.

^b Interstitial Li coordinate estimated as the coordinate (x, x, -3x) as suggested from Table VII. Corresponding optimized Li interstial site was found to have coordinates (0.876, 0.880, 0.400).

is face centered R3c. The results also suggest that an idealized rhombohedral structure, optimized with the constraint that $\theta_p = 60 \text{ deg and } \theta_c = 90 \text{ deg, may be a}$ good model for the β structure of the boracite. The notion that β -Li₄B₇O₁₂Cl has the R3c structure with $\theta_p = 60 \text{ deg and } \theta_c = 90 \text{ deg makes it identical with}$ $\dot{F}\bar{4}3c$ structure with special quarter occupancy of the Li(2) 8e sites suggested by Table VIII. This would also be consistent with the notion that the higher temperature γ -Li₄B₇O₁₂Cl phase has the $F\overline{4}3c$ structure with fractional occupancy on both the Li(1) 6c sites and the Li(2) 8e sites as established from the single crystal analyses of Jeitschko and co-workers [8]. While this suggestion for the structure of the intermediate β phase seems reasonable from the group theory and geometric viewpoints, the actual intermediate structure may be more complicated. The suggestion by Jeitschko and co-workers [8] that the β structure has $P\bar{4}3n$ (No. 218) symmetry is, however, hard to imagine since this would require a transition between a face centered rhombohedral lattice and a primitive cubic lattice as the crystal transforms from the α to β phase and a transition between a primitive cubic lattice and a face centered cubic lattice as the crystal transforms from the β to γ phase.

$\mathbf{B.} \quad \mathbf{Li}_5 \mathbf{B}_7 \mathbf{O}_{12.5} \mathbf{Cl}$

There are several literature reports [6, 16, 17] focused on studying the effects of filling the natural interstitial sites in Li₄B₇O₁₂Cl by forming solid solutions with Li₂O with the composition $Li_{4+x}B_7O_{12+x/2}Cl$ for $0 \le x \le 1$. The most concentrated material has the stoichiometry $Li_5B_7O_{12.5}Cl$ which was found to crystallize in the ordered face centered cubic space group F23 (No. 196) [16] shown in Fig. 5. Interestingly, the space group F23 is a subgroup of the space group $F\overline{4}3c$ and the structures of Li₅B₇O_{12.5}Cl and Li₄B₇O₁₂Cl are closely related [37]. Table III shows the comparison of representative fractional coordinates of the two structures based on the experimental data of Ref. 16 and Ref. 8 in the conventional cell settings. The table shows quantitative agreement for the fully occupied B, O, and Cl sites of the two structures. Additionally, Li₅B₇O_{12.5}Cl accommodates 4 extra O ions at 4a sites in the F23 structure. There is also good correspondence between the two structures in the positions of Li ions in 24g sites of the F23 structure and those in the higher symmetry 24c sites of the $F\bar{4}3c$ structure. The 8 remaining Li ions in $Li_4B_7O_{12}Cl$ and the 8 extra Li ions in $Li_5B_7O_{12.5}Cl$ are accommodated in 16e sites of the F23 structure which are closely related to half of the 32e sites of the $F\bar{4}3c$ structure. This leaves 16 natural Li ion vacancies at shifted 16e sites in the F23structure which are related to 24 natural interstitial sites of the $F\bar{4}3c$ structure associated with the $1/4^{th}$ occupied 32e sites in Li₄B₇O₁₂Cl.

The computational results for the lattice constant and fractional coordinates of the optimized structure of $Li_5B_7O_{12.5}Cl$ are listed in Table IV. The computed fractional coordinates of the occupied sites agree within

TABLE III: Representative conventional cell fractional coordinates for the 204 ions of $Li_5B_7O_{12.5}Cl$ in the F23 space group from the room temperature experimental analysis of Ref. 16 compared with corresponding conventional cell fractional coordinates for the 192 ions of $Li_4B_7O_{12}Cl$ in the space group $F\bar{4}3c$ structure from the experimental analysis of Ref. 8 (which are similar but not identical experimental results reported by Ref. 17). Here "Label" refers to the conventional cell multiplicity and the Wyckoff label with "Occ." represents the corresponding number of occupied sites of the ideal structures at low temperature.

	Li ₅ H	$B_7O_{12.5}Cl$ (F	23)		Li_4	$B_7O_{12}Cl~(F^2)$	$\overline{4}3c)$
Atom	Label	Occ.	(x_c, y_c, z_c)	Atom	Label	Occ.	(x_c, y_c, z_c)
$\operatorname{Li}(1)$	24g	24	(0.250, 0.250, 0.042)	Li(2)	24c	24	(0.250, 0.250, 0.000)
Li(2)	16e	16	(0.865, 0.865, 0.865)	Li(1)	32e	8	(0.871, 0.871, 0.871)
$Li(i)^{a}$	16e	0	(0.365, 0.365, 0.365)				(0.371, 0.371, 0.371)
B(1)	24f	24	(0.000, 0.000, 0.252)	B(1)	24d	24	(0.000, 0.000, 0.250)
B(2)	16e	16	(0.081, 0.081, 0.081)	B(2)	32e	32	(0.100, 0.100, 0.100)
B(3)	16e	16	(0.601, 0.601, 0.601)				(0.600, 0.600, 0.600)
O(1)	48h	48	(0.020, 0.097, 0.181)	O(1)	96h	96	(0.023, 0.098, 0.182)
O(2)	48h	48	(0.023, 0.183, 0.599)				(0.023, 0.182, 0.598)
O(3)	4a	4	(0.000, 0.000, 0.000)	-	-	-	-
Cl(1)	4c	4	(0.250, 0.250, 0.250)	Cl	8b	8	(0.250, 0.250, 0.250)
Cl(2)	4d	4	(0.750, 0.750, 0.750)				(0.750, 0.750, 0.750)

^a Coordinates deduced from corresponding $F\bar{4}3c$ symmetry.

 ± 0.002 the results of Ref. 16 listed in Table III. In addition to the optimized occupied sites, the optimized coordinate of the lowest energy interstitial Li site is also given.

TABLE IV: Optimized structure of $Li_5B_7O_{12.5}Cl$ in the face centered cubic space group F23, compared with the

room temperature experimental analysis of Ref. 16. Note that the experimental conventional cell fractional coordinates are also listed in Table VIII. Here "Label" refers to the conventional cell multiplicity and the

Wyckoff label with "Occ." represents the corresponding number of occupied sites of the ideal structures at low temperature.

Li ₅ B ₇ ($O_{12.5}Cl$	a = b	$= c (\mathring{A})$	$\alpha = \beta = \gamma \ (\text{deg})$
Cal.		12	.106	90.000
Ex	кр.	12	.136	90.000
Atom	Label	Occ.		(x_c, y_c, z_c)
$\operatorname{Li}(1)$	24g	24	(0.25)	0, 0.250, 0.043)
Li(2)	16e	16	(0.86	(5, 0.865, 0.865)
Li(i) ^a	16e	0	(0.37	(4, 0.374, 0.374)
B(1)	24f	24	(0.00	0, 0.000, 0.251
$\mathbf{B}(2)$	16e	16	(0.08	(1, 0.081, 0.081)
$\mathbf{B}(3)$	16e	16	(0.60	(1, 0.601, 0.601)
O(1)	48h	48	(0.01	8, 0.098, 0.180)
O(2)	48h	48	(0.02	(2, 0.183, 0.600)
O(3)	4a	4	(0.00	0, 0.000, 0.000)
Cl(1)	4c	4	0.25	0, 0.250, 0.250)
Cl(2)	4c	4	(0.75)	(0, 0.750, 0.750)
. /			`	

^a Determined by optimizing system with extra Li ion.

As seen from the ball and stick drawing of eight formula units of the conventional cell illustrated in Fig. 5a, the rigid three-dimensional B-O framework making up of interconnected BO₃ triangles and BO₄ tetrahedra is similar to that of other boracites. Notably, Li₅B₇O_{12.5}Cl contains extra O ion of O(3) type fully occupying 4a sites at (0.000, 0.000, 0.000), while the corresponding position is empty in cubic $F\bar{4}3c$ models of Li₄B₇O₁₂Cl. Figure 5b highlights the arrangement of Li ions, including two distinct host and the lowest energy metastable interstitial sites as indicated by two shades of blue and brown balls, respectively. Within the conventional cell, the computed interstitial sites have Wyckoff labels 16e and are located at fractional positions equivalent to (0.374, 0.374, 0.374).

In addition to these differences in the O ion positions, the ordering of Li ions is also responsible for the change in symmetry from R3c structure of Li₄B₇O₁₂Cl to F23structure of Li₅B₇O_{12.5}Cl. On the other hand, it is interesting to analyze the correlations between the Li ions in these two materials. Specifically, the Li sites with Wyckoff labels 24g in the cubic Li₅B₇O_{12.5}Cl have a oneto-one correspondence with those at $4 \times 6b$ sites in the conventional cell of rhombohedral Li₄B₇O₁₂Cl. The case of the *e* type sites is less immediately apparent. It is found that the 16*e* type host sites of Li₅B₇O_{12.5}Cl together with its lowest-energy metastable interstitial sites can map to 8 host and 24 vacant sites in the rhombohedral Li₄B₇O₁₂Cl.

IV. LATTICE VIBRATIONS

The calculation of vibrational properties follows the methods discussed in detail in a previous study [39]. The phonon dispersion relations of $Li_4B_7O_{12}Cl$ and $Li_5B_7O_{12.5}Cl$, both calculated in the primitive cell setting, together with the corresponding projected atomic density of states are shown in Fig. 6, suggesting very





FIG. 4: Ball and stick diagram of $\text{Li}_4\text{B}_7\text{O}_{12}\text{Cl}$ in the (a) primitive and (b) conventional cell settings with the B-O framework represented by thin sticks and Cl indicated by green balls. The host Li ions with labels b and a are represented by dark blue and light blue balls, respectively. The unoccupied Li sites which serve as natural interstitials with Wyckoff label b are represented by brown balls.



FIG. 5: (a) Ball and stick diagram of conventional cell of $\text{Li}_5\text{B}_7\text{O}_{12.5}\text{Cl}$ in the F23 structure. Ball colors of blue, black, red, and green indicate Li, B, O, and Cl ions, respectively. (b) Thin stick representation of B-O framework to fully display the positions of host Li sites (dark blue for 24g and light blue for 16e) and the lowest energy interstitial Li sites (brown for 16e).

into the following irreducible components [1].

$$\Gamma_{\text{acoustic}}^{R3c} = A_1 + E$$

$$\Gamma_{\text{optic}}^{R3c} = 23A_1 + 24A_2 + 47E$$
(9)

similar profiles for the two crystals. For Li₄B₇O₁₂Cl, which has 48 atoms per primitive cell, the total number of lattice vibrational modes is 144. The highest frequency is computed to be 1435 cm⁻¹. With the atomic motion assigned to symmetry under the $C_{3v}(3m)$ point group, the zone center acoustic and optic normal modes break

Here the A modes are due to a non-degenerate vibration with the subscripts 1 and 2 indicating symmetric and anti-symmetric with respect to the principal rotation axis, respectively; E denotes the doubly degenerate modes. Further group theoretical analysis finds that



FIG. 6: Calculated phonon spectrum along high-symmetric crystallographic directions and their corresponding projected densities of states for each atom type (PJDOS) for (a) $\text{Li}_4\text{B}_7\text{O}_{12}$ Cl and (b) $\text{Li}_5\text{B}_7\text{O}_{12.5}$ Cl. The range of the PJDOS plots was fixed at the maximum PJDOS value for $\text{Li}_5\text{B}_7\text{O}_{12.5}$ Cl. The high-symmetry **q** lines were selected according to the recommendation from Ref. 38 for each particular space group. For the *R3c* structure of (a), the labels refer to the equivalent hexagonal structure, while for the *F23* structure of (b), the labels refer to the standard face centered cubic structure.

among the three types of optical modes, the 23 A_1 and 47 E optical modes simultaneously belong to infrared active and Raman active modes as well. The situation suggests that, without considering the three silent acoustic modes, a vibration associated with the A_1 or E type mode leads to change in both dipole moment and polarizability. While the 24 remaining A_2 modes are normal optical modes with no particular attribution.

For $Li_5B_7O_{12.5}Cl$, which has 51 atoms per primitive cell, the total number of phonon modes is 153 with the highest frequency computed to be 1404 cm⁻¹. Due

to relatively higher symmetry, the dispersion curves of $\text{Li}_5\text{B}_7\text{O}_{12.5}\text{Cl}$ in the F23 structure display more degeneracy. Depending on symmetries originating from point group T(23), the zero center phonon modes decompose into irreducible representations [1] as follows

$$\Gamma_{\text{acoustic}}^{F23} = T$$

$$\Gamma_{\text{optic}}^{F23} = 11 \text{ A} + 11^{1}\text{E} + 11^{2}\text{E} + 39 \text{ T}.$$
(10)

Here the notation T represents the triply degenerate modes in a three dimensional crystal system. Further symmetry analysis finds the Raman active modes $\Gamma_{\text{Raman}} \equiv \Gamma_{\text{optic}}$ in Eq. (10), whereas the infrared active modes only include the T type modes.

In both materials, there are no imaginary phonon modes exhibited, indicating that each crystal structure is dynamically stable. Whereas the primary involvement of Li motion occurs in the lower frequency modes in the range of 0 - 600 $\rm cm^{-1}$, the higher frequency modes are predominately attributed to motions of the B and O ions. In particular, the B(1) type ions located in the center of the planar B-O triangles are found to have relatively larger vibrational amplitudes in the top branches with mode indices 129 - 144 and frequencies ranging from 1285 - 1434 cm^{-1} . It is also interesting to note that the vibration modes involving appreciable Cl ion motion have an even lower of frequency less than 240 cm^{-1} , which is perhaps not surprising because of the "immobility" of the massive Cl ions and weak bonding strength of Cl with other ions. The vibrational modes were also analyzed for the constrained rhombohedral lattice with $\theta_n =$ 60 deg (corresponding to the one labeled "Cubic" in Table I), finding indistinguishable phonon dispersion curves from those of the fully optimized rhombohedral structure shown in Fig. 6a.

Having established that both $Li_4B_7O_{12}Cl$ and $Li_5B_7O_{12.5}Cl$ are dynamically stable in their ground state structures, it is possible to study their stability more quantitatively by estimating their Helmholz free energies. For well-ordered and electronically insulating crystalline material, it is reasonable to approximate the Helmholtz free energy as a function of temperature T to be

$$F(T) = U_{\rm SL} + F_{\rm vib}(T). \tag{11}$$

Here $U_{\rm SL}$ represents the temperature-independent static lattice energy which is well approximated by the total energy of the DFT calculation of the optimized structure. The phonon free energy $F_{\rm vib}(T)$ is obtained by integrating the phonon density of states over the whole frequency range, which is essential to quantify the contributions of the lattice vibrations as described (for example) in Eqs. (1-4) of Ref. 40. This can be done for Li₄B₇O₁₂Cl and Li₅B₇O_{12.5}Cl from the phonon results illustrated in Fig. 6.

For example, consider the following transformation

$$Li_5B_7O_{12.5}Cl \to Li_4B_7O_{12}Cl + 1/2 Li_2O.$$
 (12)

We can compare the Helmholtz free energies of both sides of Eq. (12). In addition to contributions from Li₄B₇O₁₂Cl and Li₅B₇O_{12.5}Cl, the static lattice energy and phonon spectrum of Li₂O in its antiflorite structure [41] was also calculated. The results are shown in Fig. 7, suggesting that Li₅B₇O_{12.5}Cl is more stable than separate crystals of Li₄B₇O₁₂Cl and Li₂O throughout the temperature range considered. However, in absence of excess Li₂O, lithium boracite Li₄B₇O₁₂Cl is expected to be stable. Additionally, the embedded plot of $F_{\rm vib}(T)$ in Fig. 7 shows that the vibrational energy difference between the two sides of Eq. (12) is trivial across the explored temperature range. For this system, the Helmholtz free energy difference is dominated almost exclusively by the static lattice energy part. For example, at 300 K, the vibrational energy difference is $F_{\rm vib}({\rm Li}_4{\rm B}_7{\rm O}_{12}{\rm Cl}) + 1/2 F_{\rm vib}({\rm Li}_2{\rm O}) F_{\rm vib}({\rm Li}_5{\rm B}_7{\rm O}_{12.5}{\rm Cl}) = 0.02 \ {\rm eV}/{\rm FU}$, while the corresponding static lattice energy difference is 1.0 eV/FU.



FIG. 7: Plot of Helmholtz free energy and the vibrational free energy (inset) for the left- and right-hand side components of Eq. (12).

V. STABILITY ANALYSIS

The phase stability of the crystal structures of $Li_4B_7O_{12}Cl$ and $Li_5B_7O_{12.5}Cl$ was also evaluated using the convex hull approach [42], which is based on the idea that the phase stability of a crystal structure can be determined from the energy of its components. Within this framework, the stability of a compound requires it to be on a convex hull construction composed of a set of tie lines that connect all the related lowest energy phases [43]. Also, a compound lying on the convex hull suggests that it has lower energy than the stoichiometric linear combinations of other phases in the system with the same composition.

In the present study, it is convenient to construct the convex hull analysis using the building blocks of Li_2O , B_2O_3 , and LiCl which span the composition space of $Li_4B_7O_{12}Cl$, $Li_5B_7O_{12.5}Cl$, and many of their possible



FIG. 8: Convex hull of the Li₂O-B₂O₃-LiCl system with filled colored circles indicating values of the energy differences ΔU_{SL} as defined in Eq. (13) for each of the

stable phases. The color bar indicates the values of ΔU_{SL} in units of eV/formula unit. Additionally, the circle radii are drawn in proportion to the magnitudes of $|\Delta U_{SL}|$.

decomposition products. For this analysis, we focus on the DFT static ground state energies. With the effort of computing phonon properties, it is possible to perform a more advanced stability analysis at given temperatures. However, it is evident from Fig. 7 that the pure phonon energy is less likely to change the qualitative picture of the stability properties of the materials. Therefore, the finite-temperature vibrational effects were not taken into account in this analysis. Accordingly for each material, we calculate the energy difference

$$\Delta U_{\rm SL} = U_{\rm SL} - \sum_{i=1}^{3} x_i U_{\rm SL}^i \tag{13}$$

where $U_{\rm SL}$ is the total static energy per formula unit of a specific compound, and $U_{\rm SL}^i$ is the total static energy per formula unit of the reference compounds with indices $i = \text{Li}_2\text{O}$, B_2O_3 , and LiCl. The compositional parameters x_i are chosen such that the specific compound and the sum of the reference compounds have the same atomic composition.

Results for the convex hull diagram based on the ternary Li₂O-B₂O₃-LiCl composition space is presented in Fig. 8. The energy difference of each stable phase was calculated according to Eq. (13) and is represented by a node on the diagram. For each node, its color and size represents the value and magnitude, respectively, of $\Delta U_{\rm SL}$. The computed values of $\Delta U_{\rm SL}$ are enumerated in Table IX in the Appendix B. Although the results show that Li₅B₇O_{12.5}Cl is relatively more stable than Li₄B₇O₁₂Cl in terms of decomposing into the three reference compounds Li₂O, B₂O₃, and LiCl, Li₄B₇O₁₂Cl is unlikely to react without excess Li₂O. In other words, by controlling the weight fraction of precursor constituents,

the results are consistent with the experimental findings that it is possible to synthesize crystals of Li₄B₇O₁₂Cl.

MECHANISMS FOR LI ION MIGRATION VI.

In Sec. III we established that the structures of both $Li_4B_7O_{12}Cl$ and $Li_5B_7O_{12.5}Cl$ have a significant number of natural interstitial sites available to Li ions. Within the conventional cell setting, for $Li_4B_7O_{12}Cl$ with 192 ions, there are 24 of these natural interstitial sites. Correspondingly for Li₅B₇O_{12.5}Cl with 204 ions, there are 16 of these natural interstitial sites. Using both the nudged elastic band (NEB) approach [44–46] and molecular dynamics simulations, we can examine how these may or may not participate in Li ion diffusion.

The nudged elastic band simulations Α.

Using the NEB method, we estimated the migration energies E_m of Li ion diffusion for several most likely paths in Li₄B₇O₁₂Cl and Li₅B₇O_{12.5}Cl.

1. $Li_4 B_7 O_{12} Cl$

For the case of Li₄B₇O₁₂Cl, it is reasonable to speculate that the metastable b type site (based on the R3cspace group) may play an essential role in the course of Li ion transport as they are native interstitials in the simulation cell. Here host a type and metastable b type sites are all derived from the *e* type sites of the F43*c* structure. All calculations were carried out using the 192 ion conventional cell of the R3c structure as visualized in Fig. 9. Figure 9a shows sites considered in this analysis, involving a natural interstitial at a meta-stable b site (labeled mb) and nearest neighbor host Li sites host a (labeled ha) and host b (labeled hb). Using the structural optimization algorithms in the QUANTUM ESPRESSO software we find that the direct migration of $hb \rightarrow mb$ is not stable, while the migration of $ha \to mb$ is metastable with an energy gain of 0.30 eV. Furthermore, we found that the host b type sites (hb) are the most stable positions for accommodating Li ions. Once such a site is vacant, the Li ion at adjacent host a site (ha) or metastable b site (mb) will immediately hop into this available position.

From these results, there are two possible migration paths. One possibility, called the "direct" hop, is the process $ha \rightarrow mb$. Another possibility, called the "concerted" hop involves the same final configuration but involves a concerted motion of $hb \rightarrow mb$ simultaneously with the motion of $ha \rightarrow hb$. These two paths were analyzed using the NEB method with the corresponding migration energy diagrams shown in Fig. 9b.

By comparison, the concerted hop has migration energy E_m of 0.61 eV, lower by about 0.33 eV than the direct hop. Interestingly, the direct migration is found



amb

С,

Li₄B₇O₁₂Cl with the migration path indicated with arrows and by labels (ha: host a site; hb: host b site; mb and mb': metastable b sites). (b) NEB energy path diagram for the direct (black) and concerted (red) Li ion migration processes.

to be accompanied by a local minimum located near the third NEB image of the energy diagram. Further inspection revealed that, instead of hopping in the direction toward the *mb* site, the active Li ion originating from site ha, appears to be attracted by the host site hb and attempts to occupy this low-energy position by kicking the currently resting Li ion out to a metastable site labeled with mb' in the diagram, causing the special intermediate configuration with locally minimal energy. Because of the NEB algorithm in which the final state is welldefined, the intermediate configuration involving the mb'site is not realized in the direct hop simulation; the Li ion now at hb continues to move to site mb and the one at mb'also returns back to site hb. While for the concerted migration, the process consists of two simultaneous hopping events consisting of $hb \to mb$ and $ha \to hb$ as described before. We find that, within the NEB algorithm, the first hop progresses much faster than the second one. In other words, when the Li ion originating in the hb site jumps into the target position mb, the other host Li ion has just left its original position of site ha.

As discussed above, even in the direct Li ion migration between ha and mb, the participation of the third type site hb was observed. What the direct and the concerted migrations have in common is that the motions of the Li ions at the a type host sites ha will instantly affect the states of the Li ions at hb type sites, as they will be promptly stimulated to hop into an available metastable site in the vicinity. It is, therefore, reasonable to speculate that the concerted migration involving two distinct host sites and a metastable site dominates the Li ion transport in Li₄B₇O₁₂Cl.

2. $Li_5 B_7 O_{12.5} Cl$

For the case of $\text{Li}_5\text{B}_7\text{O}_{12.5}\text{Cl}$, the smallest distance between natural interstitial sites is greater than 4.30 Å, so the Li ion migration involving these sites is less likely. Consequently, it is speculated that a vacancy process may play a dominant role in Li ion transport. Each vacancy defect configuration is modeled by directly removing a Li ion from the host site while adding a compensating uniform charge of the opposite sign to the system.

It is found that the most efficient Li ion conduction path within the supercell consists of the relatively short hops between neighboring g type sites with a distance of 3.54 Å and those between adjacent g and e type sites [47] at a distance of 2.92 Å apart. One example of the smallest path unit is indicated by the labels on these three Li sites in Fig. 10a. Multiple energetically equivalent paths with such patterns expand in all directions, connecting to form continuous paths throughout the crystal.

Figure 10b shows the corresponding NEB energy diagram with the zero of the energy was taken as the energy of the Li ion vacancy at a site of e type. It shows the vacancies g_1 and g_2 of the same g type have vacancy defect energy higher by about 0.36 eV than the e type vacancy. The overall migration energy E_m is determined by the maximum energy difference along the path which is $E_m = 0.75$ eV. Taking into account the contribution of the defect formation energy needed to produce a mobile Li ion, the activation energy E_a for Li ion conductivity is estimated to be 1.06 eV according to the relation $E_a = E_m + \frac{1}{2}E_f$. The high activation energy indicates that Li₅B₇O_{12.5}Cl is expected to have poor Li ion conductivity.

B. Molecular dynamics simulation

The statistical behavior of Li ion diffusion in $Li_4B_7O_{12}Cl$ and $Li_5B_7O_{12.5}Cl$ was investigated using ab initio molecular dynamics (MD) simulation, which allows for directly simulating the dynamical diffusion of Li ions in real-time. These simulations are likely to reveal more details of the ionic transport mechanisms. The simulations were carried out for a microcanonical NVE ensem-



FIG. 10: (a) Ball and stick diagram of Li₅B₇O_{12.5}Cl with the Li ions migration pathway indicated by labels and arrows. (b) NEB energy path diagram for this vacancy mechanism.

ble with a time integration step of $\Delta t = 2.4$ fs for conventional unit cells of $Li_4B_7O_{12}Cl$ (containing 192 ions in the R3c structure) and Li₅B₇O_{12.5}Cl (containing 204 ions in the F23 structure). For both materials the simulations were carried out using the optimized static lattice lattice parameters, not taking into account the temperature dependent lattice expansion or phase transformations. This approximation is weakly justified by the argument that larger errors in molecular dynamics simulations are likely to occur from other algorithmic approximations such as the initial randomized velocity distribution. The simulation temperature, as measured from the time averaged ion kinetic energy, was controlled by scaling the initial randomized ion velocities. Typically, starting from the ideal ground state geometry and setting the initial effective temperature to twice the target value, for each simulation the average temperature was found to be within 40 K of the target temperature and the root mean squared temperature fluctuation was found to be roughly 50 K.

Figure 11 illustrates the examples of the migration patterns of Li ions during MD simulations in each material. For the case $Li_4B_7O_{12}Cl$, it is not surprising to note that



FIG. 11: Diagrams of MD simulations over t = 50 ps at intervals of $50\Delta t = 0.12$ ps for (a) Li₄B₇O₁₂Cl at $\langle T \rangle =$ 1162 K and (b) Li₅B₇O_{12.5}Cl at $\langle T \rangle =$ 1171 K. The little blue balls indicate the time-dependent positions of Li ions. The atomic positions of the initial

configurations and natural vacancies are also shown, using the same color conventions as in Figs. 4b and 5b, respectively.

the *mb* type native vacant sites, represented by brown balls in Fig. 11a, are frequently visited by the mobile Li ions in the course of diffusion. Careful examination of this visualization from different viewpoints finds that there is no direct hopping between the host *ha* and *mb* type sites. Instead, the most efficient pathway for conducting Li ions is comprised of path segments between sites $hb \rightarrow mb$ and $ha \rightarrow hb$. For the Li₅B₇O_{12.5}Cl case, as displayed in Fig. 11b, the fully presented Li ion positions of MD simulations share somewhat similarity with those of Li₄B₇O₁₂Cl as the ionic conduction mainly occurs in the hollow cavity formed by robust B-O units. Different from the nearly continuous diffusion pathway observed in the $Li_4B_7O_{12}Cl$ cell, we can see clearly that the Li ions exhibit comparatively low mobility with most of them being trapped near their initial host sites. Besides, it seems that the interstitial defect sites, indicated by brown balls in the diagram, are not evolved in the Li ion diffusion process visualized in this simulation. Only a few Li ion hops take place between the neighboring host sites of g and e type which have a relatively shallow migration barrier energy as computed in the NEB analysis. However, those hopping events are localized and unable to achieve long-range conduction of Li ions. Generally, the MD simulation results on $Li_4B_7O_{12}Cl$ and Li₅B₇O_{12.5}Cl are compatible with the NEB analysis in Sec. VIA.



FIG. 12: Plots of $\bar{f}_s(t)$ as defined in Eq. (15) for (a) Li₄B₇O₁₂Cl and (b) Li₅B₇O_{12.5}Cl. The subscript *s* of $f_s(t)$ denotes the reference site type as described in the text of each figure, using the Wyckoff labels of the *R3c* and *F23* structures as appropriate. The average temperature of each plot is listed in the legend.

To better analyze the molecular dynamics simulations,

it is convenient to define a time-dependent site occupancy factor $f_s(t)$

$$f_{s}(t) = \frac{1}{N_{s}^{Li}} \sum_{i=1}^{N^{Li}} n_{s}^{i}(t) , i = 1, 2, \dots, N^{Li} \text{ and}$$
$$\sum_{s} N_{s}^{Li} = N^{Li}$$
(14)

where N^{Li} is the total number of Li ions in the simulation cell, s denotes the reference site type: host a, b, and metastable b for Li₄B₇O₁₂Cl, and host g, e, and metastable e for Li₅B₇O_{12.5}Cl. N_s^{Li} indicates the multiplicity number of site s based on the conventional lattice. (Note that the conventional unit cell of the R3c structure has 4 times as many ions as the primitive cell discussed in Sec. III A.) At each time step t, the label of a Li ion is determined by the closest reference site relative to this ion's instantaneous position. The counter $n_s^i(t) = 1$ if the i-th Li ion has the shortest distance with the reference site s; otherwise, $n_s^i(t) = 0$. Accordingly, $f_s(t)$ measures the occupation probability of s type site at t.

It is found that the curve of $f_s(t)$ is very noisy; as computed from the MD trajectories, the Li ion labels change rapidly. More conveniently, we can define a timeaveraged site occupancy factor by integrating the instantaneous site occupancy over a time duration t

$$\bar{f}_{s}(t) = \frac{1}{t} \int_{0}^{t} f_{s}(t') dt'.$$
(15)

Figure 12a shows the plot of $\bar{f}_s(t)$ for distinct sites of $Li_4B_7O_{12}Cl$ in the rhombohedral structure, comparing results at various temperatures ranging from 900 to 1200 K. Note that t = 0 corresponds to the ground state configuration in which the $4 \times 6b$ sites of the R3c structure, mapped from the 24c type sites of the ideal $F\bar{4}3c$ model, are fully occupied, whereas the occupancy of the remaining Li sites, mapped from the 32e type of the cubic cell, is 25%. As time progresses, \bar{f}_s varies and tends to an asymptotic value at long times. It is also evident that the fractional occupancy of host 24c like sites decreases. and that of the 32e like sites increases at higher temperatures. In other words, at low temperatures the Li ions have a preference to reside in the most energetically favorable positions of 6b type. This phenomenon is consistent with what the experiment observed upon heating from the room temperature α phase to the hightemperature phases. Since for this particular material, the MD temperatures are necessary to be high enough to overcome the migration barrier and therefore to achieve conduction of Li ions, the simulated value of site occupancy factor for the experimentally reported phase transition temperatures 310 K for α to β , and 348 K for β to γ are unattainable. Although the exact functional relationship between the temperature and the site fractional occupancy is unknown/undefined, the calculated $\bar{f}_s(t)$ curves appear to be qualitatively consistent with

temperature dependent X-ray analysis reported in Ref. 8.

For the case of Li₅B₇O_{12.5}Cl with the calculated $\bar{f}_s(t)$ displayed in Fig. 12b, we find that the role of the interstitial defects is nontrivial only at simulation temperature above 1300 K. Generally, most hop events occur between the host sites via vacancy diffusion process. While optimizing the electrolyte properties of Li₅B₇O_{12.5}Cl is beyond the scope of the present work, it is proposed that, in view of the NEB analysis in Sec. VIA, the possible way to enhance the ionic conductivity of Li₅B₇O_{12.5}Cl is by introducing vacancy defects in the lattice.

The ionic conductivity σ , a measure of the ability of a material to conduct ions, is related to the temperature dependent ionic diffusion coefficient D(T) according to the Nernst-Einstein relationship

$$\sigma(T) = \rho q^2 \frac{D(T)}{k_B T}.$$
(16)

Here we assume that only Li ions having charge q contribute to the conductivity, ρ represents the average number density of mobile Li ions in the simulation cell, and k_B denotes the Boltzmann constant. Typically, the product $T\sigma$ follows an Arrhenius temperature of the form

$$T\sigma(T) = Ke^{-E_a^{\rm MD}/k_B T},\tag{17}$$

where K is a temperature independent constant and E_a^{MD} the activation energy for Li ion conductivity. In practice, it is difficult to calculate the ionic diffusion coefficient from a molecular dynamics simulation, and it is approximated by computing the tracer diffusion coefficient, scaled by the Haven ratio H_r [48] according to

$$D(T) = D_{tr}(T)/H_r.$$
(18)

The tracer diffusion coefficient $D_{tr}(T)$ is calculated directly from the molecular dynamics trajectories in terms of the mean squared displacement as described, for example, in Eqs. (10-11) of Ref. 49. In this work, all results are obtained by assuming $H_r = 1$. In order to check the mobility of ions other than Li, we calculated $D_{tr}(T)$ for the borate framework and Cl ions finding them to have negligible value even at high temperature. This result provides justification for focusing on the Li ion contributions alone. It also means that the borate and Cl ions provide a rather rigid framework in contrast to other electrolyte systems where rotations of complex anions play an important role in conduction mechanisms [50]. Results for the calculated conductivities are given in Fig. 13 and Table V where they are compared with experimental results from the literature.

For the $Li_5B_7O_{12.5}Cl$ material, the calculated results on the perfect crystal are not far off from the experimental measurements on polycrystalline samples [6]. However, the higher activation barrier and the extremely low ionic conductivity at room temperature indicate that this material is an extremely poor ionic conductor. By contrast, both experiment and simulations find $Li_4B_7O_{12}Cl$

TABLE V: Comparison of activation energies (E_a^{MD}) and ionic conductivities (σ) at T = 300 K determined from fitting Arrhenius form to molecular dynamics simulations and to literature results.

Materials	Analysis	E_a^{MD} (eV)	$\sigma(300 \text{K}) \text{ (S/cm)}$
$\rm Li_4B_7O_{12}Cl$	Cal^{a}	0.3	1×10^{-4}
	$\operatorname{Exp}^{\mathrm{b}}$	0.1	4×10^{-4}
	Exp^{c}	0.5	1×10^{-6}
${\rm Li}_5{\rm B}_7{\rm O}_{12.5}{\rm Cl}$	Cal ^a	0.9	4×10^{-13}
	Exp^{c}	1.0	2×10^{-14}

^a This work.

 $^{\rm b}$ Ref. 12 (labeled "C" in Fig. 13).

^c Ref. 6 (labeled "A" in Fig. 13).

to be a viable ionic conductor. Interestingly the experimental results show considerable variability even among the few results presented here. The two early measurements [6, 8] represented in turquoise and black in Fig. 13 are similar to each other, although more details are presented in the measurements of Ref. 8 including the phase transitions between two high-temperature cubic phases, and a low-temperature rhombohedral phase. The recent measurements of Ref. 12 represented in orange in Fig. 13 find room temperature conductivity values two orders of magnitude higher than that of the earlier work, perhaps because of greater sample purity. The simulation results represented by blue points and straight line are in the approximate range of the diverse experimental values.



FIG. 13: Plots of simulated ionic conductivities of $Li_4B_7O_{12}Cl$ and $Li_5B_7O_{12.5}Cl$, together with the available experimental values obtained by digitizing the published graphs from Refs. 6 (A), 8 (B), and (C). All straight lines represent least squares fits of the $log(T\sigma)$ vs. 1000/T data.

VII. SUMMARY AND CONCLUSIONS

Lithium boracites are high symmetry materials with intriguing properties from both theoretical and technological perspectives. In this work, we show how group theory analysis explains many of the properties of both $Li_4B_7O_{12}Cl$ and $Li_5B_7O_{12.5}Cl$. Detailed first principles simulations are able to provide a more complete picture of structural, stability, and ionic conductivity properties, consistent with recent experimental evidence [12, 15] that $Li_4B_7O_{12}Cl$ and related materials are technologically promising as stable electrolytes for all-solid-state batteries with good Li ion conductivity.

These materials are characterized by a boron oxide framework consisting of tetragonal BO₄ and triangular BO₃ components forming a regular void structure containing Li and Cl ions. The reference space group symmetry [1] of these materials is the face centered cubic structure $F\bar{4}3c$ (No. 219) which was identified as the high temperature phase γ -Li₄B₇O₁₂Cl by Jeitschko and co-workers [8]. From those experimental results at lower temperature, which found 32e sites to be 25% occupied by Li ions, our first principles optimization studies found the ground state structure to be face centered rhombohedral, having the space group R3c (No. 161), with the rhombohedral angle very close to the cubic angle. Interestingly, the space group R3c is a subgroup of the space group $F\bar{4}3c$ and the relationships between the Wyckoff labels of the two space groups are given in Table VIII. Even more interestingly, the group theory analysis shows how the Li ions which occupy 25% of the 32e sites at low temperature are associated with 8 sites with Wyckoff label a in the conventional cell of the R3c structure, while the unoccupied 75% are associated with 24 sites with Wyckoff label b in the conventional cell of the R3c structure. In this case, the energetic splitting of the site symmetry is computed to be very small, close to or within the computational error of the density functional calculations themselves. While group theory analysis predicts the natural interstitial structure of Li₄B₇O₁₂Cl, first principles nudged elastic band and molecular dynamics simulations are needed to study the Li ion conductivity properties of this material. Both of these simulation studies show that the natural interstitial sites are occupied at higher temperatures and that the process involves a concerted motion of Li ions at two neighboring sites. While the molecular dynamics simulations are performed at quite high temperatures in order to generate results with significant statistics, the quantitative results assuming $H_r = 1$, are in reasonable agreement with experimental measurements as shown in Fig. 13. The molecular dynamics results were also analyzed in terms the site occupancies of the host and interstitial Li ion lattice sites according to Eqs. (14) and (15), showing qualitative agreement with experiment.

For analyzing Li₅B₇O_{12.5}Cl, group theory analysis also plays an important role in explaining its properties as a very poor ionic conductor. In this case, only one crystal structure has been reported [16] with the face centered cubic space group F23 (No. 196), and this is consistent with the computational results. Interestingly, the F23 space group is also a subgroup of the $F\bar{4}3c$ structure and the relationships between the Wyckoff labels of the two space groups is given in Table III. In this case, the F23 space group analyzed in the conventional cell has 48 basic elements while the $F\overline{4}3c$ has 96 basic elements. This means that some of the Wyckoff multiplicies and labels of the $F\bar{4}3c$ structure are split into two Wyckoff multiplicies and labels in the F23 structure. Additionally, Li₅B₇O_{12.5}Cl contains 4 extra Li₂O units per conventional cell compared with Li₄B₇O₁₂Cl. Comparing the structural diagrams of $Li_4B_7O_{12}Cl$ in Fig. 4b and $Li_5B_7O_{12.5}Cl$ in Fig. 5b, we see evidence of the lower symmetry of the F23 structure compared with that of the $F\bar{4}3c$. For Li₅B₇O_{12.5}Cl, we see that there are 16 natural interstitial sites in the conventional unit cell. Unfortunately, close examination finds that the placement and energetics associated with these interstitial sites does not result in Li ion migration. Further study using first principles nudged elastic band and molecular dynamics simulations confirm the conclusion that Li₅B₇O_{12.5}Cl has very poor Li ion conductivity, a result which is consistent with experiment.

Analysis of the phonon spectra in Sec. IV show that these Li boracites are dynamically stable and analysis of the convex hull based on Li_2O , B_2O_3 , and LiCl building blocks in Sec. V show that they are chemically stable as well.

As an extended study of the Li boracite systems, our preliminary simulations suggest that the Li ion conductivity can be enhanced by ionic substitutions to form analog compounds such as $Li_4Al_3B_4O_{12}Cl$, $Li_4B_7S_{12}Cl$, and $Li_4Al_3B_4S_{12}Cl$. In the near future, we plan to investigate the properties of those related materials in more detail.

Appendix A: Details of the relationship between the R3c and $F\overline{4}3c$ space group

It is most convenient to compare the structures using the primitive cell settings. The Wyckoff positions for the R3c (No. 161) sysytem is given in Table VI based on the rhombohedral structure.

The Wyckoff positions for the $F\overline{4}3c$ (No. 219) system is given in Table VII based on the primitive cell of the face centered cubic structure.

Note that since the R3c and $F\overline{4}3c$ space groups do not have a center of inversion, the set of fractional coordinates $\{(x, y, z)\}$ and the set $\{(-x, -y, -z)\}$ describe equivalent but not identical crystals.

From the results of Tables VII and VI, it is possible to map the relationships between the Wyckoff labels of the $F\bar{4}3c$ and R3c space groups as listed in Table VIII.

Mul.	Label	Fractional coordinates
6	b	$egin{array}{llllllllllllllllllllllllllllllllllll$
2	a	(z, z, z) $(z + \frac{1}{2}, z + \frac{1}{2}, z + \frac{1}{2})$

TABLE VII: Wyckoff labels with multiplicity "**Mul.**" and their fractional coordinates for Group $F\bar{4}3c$ (No. 219). In this case, the listed fractional coordinates refers to the primitive lattice (x_p, y_p, z_p) expressed in terms of a general point (x, y, z) of the conventional lattice.

Mul.	Label	Fractional coordinates
24	h	(x+y-z, -x+y+z, x-y+z)
		(z + x - y, -z + x + y, z - x + y) (y + z - x, -y + z + x, y - z + x)
		$(z+y-x+\frac{1}{2},-z+y+x+\frac{1}{2},z-y+x+\frac{1}{2})$
		$(y+x-z+\frac{1}{2},-y+x+z+\frac{1}{2},y-x+z+\frac{1}{2})$
		$(x + z - y + \frac{1}{2}, -x + z + y + \frac{1}{2}, x - z + y + \frac{1}{2})$
		(-x - y - z, x - y + z, -x + y + z) (z - x + y, -z - x - y, z + x - y)
		(-y+z+x,y+z-x,-y-z-x)
		$(z-y+x+\frac{1}{2},-z-y-x+\frac{1}{2},z+y-x+\frac{1}{2})$
		$(-y - x - z + \frac{1}{2}, y - x + z + \frac{1}{2}, -y + x + z + \frac{1}{2})$
		$(-x + z + y + \frac{1}{2}, x + z - y + \frac{1}{2}, -x - z - y + \frac{1}{2})$ (-x + y + z, x + y - z, x - y - z)
		(-z - x - y, z - x + y, -z + x + y)
		(y - z + x, -y - z - x, y + z - x)
		$(-z + y + x + \frac{1}{2}, z + y - x + \frac{1}{2}, -z - y - x + \frac{1}{2})$
		$(y-x+z+\frac{1}{2},-y-x-z+\frac{1}{2},y+x-z+\frac{1}{2}) \\ (-x-z-y+\frac{1}{2},x-z+y+\frac{1}{2},-x+z+y+\frac{1}{2})$
		(x - y + z, -x - y - z, x + y - z)
		(-z+x+y,z+x-y,-z-x-y)
		(-y - z - x, y - z + x, -y + z + x) $(-z - y - x + \frac{1}{2}, z - y + x + \frac{1}{2}, -z + y + x + \frac{1}{2})$
		$(-y + x + z + \frac{1}{2}, y + x - z + \frac{1}{2}, -y - x - z + \frac{1}{2})$
		$(x-z+y+\frac{1}{2},-x-z-y+\frac{1}{2},x+z-y+\frac{1}{2})^2$
12	g	$(x, x, -x + \frac{1}{2})$ $(x, -x + \frac{1}{2}, x)$
		$\begin{array}{c} (-x + \frac{1}{2}, x, x) & (x + \frac{1}{2}, x + \frac{1}{2}, -x) \\ (x + \frac{1}{2}, -x, x + \frac{1}{2}) & (-x, x + \frac{1}{2}, x + \frac{1}{2}) \end{array}$
		$(-x, -x, x + \frac{1}{2})^{2}$ $(-x, x + \frac{1}{2}, -x)$
		$(x + \frac{1}{2}, -x, -x)$ $(-x + \frac{1}{2}, -x + \frac{1}{2}, x)$
12	f	$\frac{(-x+\frac{1}{2},x,-x+\frac{1}{2})}{(x-x-x)}\frac{(x-x+\frac{1}{2},-x+\frac{1}{2})}{(-x-x-x)}$
12	1	(x, x, -x) $(x, -x, x)$ $(-x, x, x)(x + \frac{1}{2}, x + \frac{1}{2}, -x + \frac{1}{2})$
		$(x+rac{1}{2},-x+rac{1}{2},x+rac{1}{2})$
		$(-x + \frac{1}{2}, x + \frac{1}{2}, x + \frac{1}{2})$
		(-x, -x, x) $(-x, x, -x)$ $(x, -x, -x)(-x + \frac{1}{2}, -x + \frac{1}{2}, x + \frac{1}{2})$
		$(-x + \frac{1}{2}, x + \frac{1}{2}, -x + \frac{1}{2})$
		$(x+\frac{1}{2},-x+\frac{1}{2},-x+\frac{1}{2})$
8	е	(x, x, x) $(x + \frac{1}{2}, x + \frac{1}{2}, x + \frac{1}{2})$ $(-2\pi, x, x)$ $(-2\pi, -1, x + \frac{1}{2}, x + \frac{1}{2})$
		$\begin{array}{c} (-3x, x, x) & (-3x + \frac{1}{2}, x + \frac{1}{2}, x + \frac{1}{2}) \\ (x, -3x, x) & (x + \frac{1}{2}, -3x + \frac{1}{2}, x + \frac{1}{2}) \end{array}$
		$(x, x, -3x)$ $(x + \frac{1}{2}, x + \frac{1}{2}, -3x + \frac{1}{2})$
6	d	$(\frac{1}{4}, \frac{3}{4}, \frac{1}{4}) \qquad (\frac{3}{4}, \frac{1}{4}, \frac{3}{4}) \qquad (\frac{3}{4}, \frac{1}{4}, \frac{1}{4})$
6		$\begin{array}{c c} (\frac{1}{4}, \frac{3}{4}, \frac{3}{4}) & (\frac{1}{4}, \frac{1}{4}, \frac{3}{4}) & (\frac{3}{4}, \frac{3}{4}, \frac{1}{4}) \\ \hline (1 & 0 & 0) & (0 & 1 & 0) & (0 & 0 & 1) \\ \end{array}$
0	C C	$\begin{pmatrix} (\underline{2}, 0, 0) & (0, \underline{5}, 0) & (0, 0, \underline{5}) \\ (\underline{1}, \underline{1}, 0) & (0, \underline{1}, \underline{1}) & (\underline{1}, 0, \underline{1}) \end{pmatrix}$
2	b	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
2	a	$(0,0,0)$ $(\frac{1}{2},\frac{1}{2},\frac{1}{2})$

TABLE VIII: Mapping between the multiplicities and Wyckoff labels between the $F\bar{4}3c$ and R3c space groups.

$F\bar{4}3c$	R3c
24h	$4 \times 6b$
12g	$2 \times 6b$
12f	$2 \times 6b$
8e	2a + 6b
6d	6b
6c	6b
2b	2a
2a	2a
	1

Appendix B: Details of the convex hull analysis

In this appendix the energy differences from Eq. (13), used to make the convex hull diagram in Fig. 8 are listed in Table IX.

TABLE IX: Computed energy differences according to Eq. (13) for reactions indicated by the first column. All energy values in eV units, corresponding to those on the convex hull shown in Fig. 8, are evaluated from the

static lattice analysis.

Reaction: $R \to P$	ΔU_{SL}
$\text{Li}_5\text{B}_7\text{O}_{12.5}\text{Cl} \rightarrow 2 \text{ Li}_2\text{O}^a + 7/2 \text{ B}_2\text{O}_3^b + \text{Li}\text{Cl}^c$	-4.56
$Li_4B_7O_{12}Cl \rightarrow 3/2 Li_2O + 7/2 B_2O_3 + LiCl$	-3.53
$LiBO_2^d \rightarrow 1/2 Li_2O + 1/2 B_2O_3$	-0.93
$Li_2B_4O_7^e \rightarrow Li_2O + 2 B_2O_3$	-2.12
$\text{Li}_3\text{BO}_3^{\text{f}} \rightarrow 3/2 \text{ Li}_2\text{O} + 1/2 \text{ B}_2\text{O}_3$	-1.51
$Li_{3}B_{7}O_{12}{}^{g} \rightarrow 3/2 Li_{2}O + 7/2 B_{2}O_{3}$	-3.36
$\mathrm{Li}_{6}\mathrm{B}_{4}\mathrm{O}_{9}^{\mathrm{h}} \rightarrow 3 \ \mathrm{Li}_{2}\mathrm{O} + 2 \ \mathrm{B}_{2}\mathrm{O}_{3}$	-3.73

^a Cubic with Space Group $Fm\bar{3}m$ (No. 225); from Ref. 51.

- ^b Orthorhombic with Space Group $Cmc2_1$ (No. 36); from Ref. 52.
- ^c Cubic with Space Group $Fm\bar{3}m$ (No. 225).
- $^{\rm d}$ Tetragonal ($\gamma\text{-LiBO}_2)$ with Space Group $I\bar{4}2d$ (No. 122); from Ref. 53.
- ^e Tetragonal with Space Group $I4_1cd$ (No. 110); from Ref. 54.
- ^f Monoclinic with Space Group $P2_1/c$ (No. 14); from Ref. 55.
- $^{\rm g}$ Triclinic with Space Group $P\bar{1}$ (No. 2); from Ref. 56.
- ^h Monoclinic with Space Group $P2_1/c$ (No. 14); from Ref. 57.
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