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## **Intrinsic and complex defect engineering of quasi-one-dimensional ribbons Sb<sub>2</sub>S<sub>3</sub> for photovoltaics performance**

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## Abstract

$\text{Sb}_2\text{S}_3$  has attracted great attention recently as a prospective solar cell absorber material. In this work, intrinsic defects, dopants, and their complexes in  $\text{Sb}_2\text{S}_3$  are systematically investigated by using hybrid functional theory.  $V_{\text{Sb}}$  and  $V_{\text{S}}$  are dominant native defects and pin the Fermi level near the midgap, which is consistent with the high resistivity observed experimentally. Both  $V_{\text{Sb}}$  and  $V_{\text{S}}$  introduce deep levels inside band gap, which can trap free carriers. Our calculated deep transition levels of  $V_{\text{Sb}}$  and  $\text{Sb}_{\text{S}}$  are consistent well with the results of the deep level transient spectroscopy measurement. We further study dopants (including Cu, Ti, Zn, Br and Cl) in  $\text{Sb}_2\text{S}_3$  and find that Zn and Br/Cl are shallow acceptors and donors, respectively, which may be used to control the carrier and trap densities in  $\text{Sb}_2\text{S}_3$ . In addition, the defect complexes, *i.e.*,  $\text{Cu}(\text{Zn})_{\text{Sb}}+V_{\text{S}}$  and  $\text{Cl}(\text{Br})_{\text{S}}+V_{\text{Sb}}$  are also investigated. The interaction between the donor and acceptor defects makes the defect levels of complexes shallower and less detrimental to carrier transport.

## I. Introduction

High-efficiency, earth-abundant and non-toxic solar materials are indispensable in photovoltaics (PV) technologies. In the last few decades, solar cell absorber materials such as silicon [1], CdTe [2], Cu(In,Ga)Se<sub>2</sub> (CIGS) [3, 4] and organic-inorganic hybrid perovskites (e.g., CH<sub>3</sub>NH<sub>3</sub>PbI<sub>3</sub>) [5] have drawn extensive attentions because of their impressive certified efficiencies. However, there are still some inevitable shortcomings that limit their performance as solar cell materials. For example, silicon has poor optical absorption; thus, large crystals are required in a Si solar cell [6, 7]. For second-generation solar cells, CdTe contains toxic heavy metals and suffers from a relatively low open circuit voltage ( $V_{OC}$ ) [8] while CIGS has complex defect problems that appear to limit any significant improvements in cell efficiency [9]. For the hybrid organic-inorganic lead halide perovskites, their air and thermal instability as well as toxicity remain challenging for practical applications [10, 11].

Antimony sulfide, Sb<sub>2</sub>S<sub>3</sub>, is a low-cost, earth-abundant, non-toxic, and air-stable material, which has attracted much attention recently as a solar cell material [12, 13]. Compared with other metal-chalcogenide PV materials, high-quality Sb<sub>2</sub>S<sub>3</sub> films can be synthesized at low temperatures < 350°C because it has a relatively low melting point of 550°C [14]. As for its crystal structure, Sb<sub>2</sub>S<sub>3</sub> has a pseudo-one dimensional (1D) structure without dangling bonds or surface states. Therefore, grain boundaries are expected to be electrically benign, incurring a low recombination loss or  $V_{OC}$  loss, similar to 1D Sb<sub>2</sub>Se<sub>3</sub> [15]. In addition, several studies have also demonstrated that the carrier diffusion length in Sb<sub>2</sub>S<sub>3</sub> is on the order of a few hundred nanometers (290-900 nm) [16, 17], longer than the thickness of the Sb<sub>2</sub>S<sub>3</sub> layer (80-200 nm) in solar cells

[18] [19] [20]. By engineering the surface defects during the chemical bath deposition of the ultrathin  $\text{Sb}_2\text{S}_3$  absorber layer, Seok *et al.* obtained 7.5% power conversion efficiency (PCE) in mesoporous sensitized solar cells [19]. A PCE of 6.56% was also achieved in a planar heterojunction solar cell recently [20].  $\text{Sb}_2\text{S}_3$  has a bandgap of 1.7-1.8 eV [18, 21-24] and thus can be used as the top cell in a tandem dual-junction solar cell with an expected higher PCE exceeding 40% [25].

By systematically investigating the carrier recombination kinetics, Dennler *et al.* showed that the relatively low PCE of  $\text{Sb}_2\text{S}_3$  solar cells is related to the large recombination rate in  $\text{Sb}_2\text{S}_3$  itself, likely caused by the defect-induced subgap states [16]. High resistivity in  $\text{Sb}_2\text{S}_3$  films ( $5.0 \times 10^6$  and  $1.0 \times 10^8 \Omega \text{ cm}$  for *n*- and *p*-type conductivities, respectively) was observed [18, 26], suggesting the possibility of strong defect compensation. Therefore, a comprehensive understanding of defect properties (especially those of deep traps) and an improved defect management are important for the further optimization of  $\text{Sb}_2\text{S}_3$  solar cells.

Despite the significant progress made in  $\text{Sb}_2\text{S}_3$  solar cell recently, the understanding of the defect physics in  $\text{Sb}_2\text{S}_3$  is still limited [27, 28]. In this paper, hybrid density functional theory calculations are performed to study the electronic structure as well as properties of intrinsic defects, impurities, and defect complexes in  $\text{Sb}_2\text{S}_3$ . Our results show that  $V_{\text{Sb}}$  and  $V_{\text{S}}$  are the dominant defects in  $\text{Sb}_2\text{S}_3$  and introduce deep states in the band gap. Impurities are investigated for their effects in modifying trap and free carrier densities. Our results show that  $\text{Zn}_{\text{Sb}}$  and  $\text{Cl}_{\text{S}}$  are much shallower than vacancies ( $V_{\text{Sb}}$  and  $V_{\text{S}}$ ) and defect complexes can also effectively

make the deep defect levels shallower and less detrimental to carrier transport. Based on our theoretical results, guidelines for further development of Sb<sub>2</sub>S<sub>3</sub> based solar cells with improved performance are proposed.

## II. Computational methods

Our calculations are based on the Heyd-Scuseria-Ernzerhof (HSE) hybrid functional [29] implemented in the Vienna ab initio simulation package (VASP) [30, 31]. The mixing parameter for the nonlocal Hartree-Fock exchange is set to be 0.25, which yields a band gap of 1.76 eV, which is consistent with experimental indirect band gap of 1.74 eV [22]. The cut off energy for the plane wave basis was set at 400 eV and the atomic positions were fully relaxed until the residual forces are less than 0.01 eV/Å. The Brillouin zone (BZ) integration is sampled by setting a  $2 \times 8 \times 2$   $\Gamma$ -centered  $k$ -point mesh for the 20-atom primitive cell and a  $2 \times 2 \times 2$  Monkhorst-Pack  $k$ -point mesh for the  $1 \times 3 \times 1$  Sb<sub>2</sub>S<sub>3</sub> supercell (60 atoms) in the simulation of defect properties. The experimental lattice parameters ( $a = 11.3107$  Å,  $b = 3.8363$  Å, and  $c = 11.2285$  Å [32, 33], space group Pnma) are used in all calculations. Based on our tests using larger supercells, the errors in transition levels are less than 0.1 eV.

The defect formation energy with a charge  $q$  is evaluated by

$$\Delta H_{D,q}(\epsilon_f, \mu_\alpha) = (E_{D,q} - E_H) - \sum_{\alpha} n_{\alpha} (E_{\alpha}^{ref} + \mu_{\alpha}) + q(\epsilon_{VBM} + \epsilon_f) \quad , \quad (1)$$

where  $E_{D,q}$  is the total energy of a supercell containing the defect D with a charge  $q$  and  $E_H$  is the energy of a defect-free cell.  $n_{\alpha}$  represents the number of exchanged atom,  $E_{\alpha}^{ref}$  is the chemical potential of the  $\alpha$ th element in its bulk or gas form, and

$\mu_\alpha$  is the chemical potential of the  $\alpha$ th element referenced to  $E_\alpha^{ref}$ .  $E_{\text{VBM}}$  is the energy of the valence band maximum (VBM) of the host.  $\mathcal{E}_f$  is the Fermi level referenced to the VBM. We also applied the image charge correction for charged defects/impurities and the potential alignment correction, which account for the finite-size effects in the supercell calculation of defects [34, 35].

The transition level of a defect,  $\mathcal{E}(q/q')$ , corresponding to a change in its charge state between  $q$  and  $q'$ , is given by the Fermi level, at which the formation for charge state  $q$  and  $q'$  are equals to each other:

$$\mathcal{E}(q/q') = (\Delta H_{D,q} - \Delta H_{D,q'}) / (q' - q) \quad . \quad (2)$$

In order to get stable  $\text{Sb}_2\text{S}_3$  and avoid the elemental phases of Sb or S under equilibrium growth conditions, the chemical potentials of Sb and S should satisfy the following restriction:

$$\begin{aligned} \mu_{\text{Sb}} &\leq 0, \\ \mu_{\text{S}} &\leq 0, \\ 2\mu_{\text{Sb}} + 3\mu_{\text{S}} &= \Delta H_f(\text{Sb}_2\text{S}_3) = -1.07 \text{ eV} , \end{aligned} \quad (3)$$

where  $\Delta H_f(\text{Sb}_2\text{S}_3)$  is the heat of formation of  $\text{Sb}_2\text{S}_3$ . At the Sb-rich limit,  $\mu_{\text{Sb}} = 0$  eV and  $\mu_{\text{S}} = -0.357$  eV, while at the S-rich limit,  $\mu_{\text{Sb}} = -0.535$  eV and  $\mu_{\text{S}} = 0$  eV.

The formation energies of Cu, Zn, Cs, Ti, Cl and Br impurities are also considered at above Sb-and S-rich limits.

### III. Results and discussion

#### A. Electronic properties of $\text{Sb}_2\text{S}_3$

$\text{Sb}_2\text{S}_3$  crystallizes in an orthorhombic structure at room temperature and under atmospheric pressure. The optimized geometry of  $\text{Sb}_2\text{S}_3$  is shown in Figure 1(a). The 1D  $\text{Sb}_2\text{S}_3$  chain is along the  $b$  axis. There are three and two non-equivalent crystallographic sites for S and Sb, respectively. Sb1, S2, and S3 are three-fold coordinated, Sb2 is five-fold coordinated, and S1 is two-fold coordinated. This structure has complex coordination environments with mixed covalent and ionic bond character. Our calculated orbital decomposed density of states (Figure. 1(b)) of  $\text{Sb}_2\text{S}_3$  shows that the electronic states near the VBM are mainly formed by S 3*p* and Sb 5*s* orbitals, while those near the conduction band minimum (CBM) consist of mainly Sb 5*p* and a small contribution from S 3*p* orbitals. The hybridization between S 3*p* and Sb 5*s* states makes the top valance band more dispersive as demonstrated by the calculated small hole effective mass shown below. The hybrid density functional calculation shows that the band gap of  $\text{Sb}_2\text{S}_3$  is indirect with value of 1.76 eV [Figure 1(c)], which is consistent well with the experimentally measured values [22, 24] and other calculated results [36, 37]. The band structure of  $\text{Sb}_2\text{S}_3$  shows that  $\text{Sb}_2\text{S}_3$  has an indirect band gap with the VBM at  $\Gamma$  point and the CBM located along the  $\Gamma$ -Z line and close to the Z point. Our calculated energy difference of the lowest conduction band between C and Z points is only 3 meV. Our calculated direct band gaps at  $\Gamma$ , C and Z points are 1.98, 1.91 and 1.92 eV, respectively. The calculated optical absorption coefficients of  $\text{Sb}_2\text{S}_3$  are also shown in Figure 1(d). Note that, the cross-band-gap optical transitions include the efficient onsite transitions between Sb 5*s* and Sb 5*p* levels, which should contribute to the experimentally observed high



absorption coefficient [38-40]. Our calculated optical absorption coefficients show that it can achieve  $10^4 \text{ cm}^{-1}$  at the photon energy of 2.04 eV, which is consistent with the experimental conclusion that absorption coefficients can reach  $10^4$ - $10^5 \text{ cm}^{-1}$  for photo energies larger than band gap  $E_g$  [38-40].

According to our calculated band structure of  $\text{Sb}_2\text{S}_3$ , the top valance band is dispersive along all three crystallographic axes, while the low conduction band is only dispersive along the  $\Gamma-Z$  direction ( $c$  axis) close to CBM and the  $\Gamma-X$  direction ( $b$  axis); the low conduction band along the  $\Gamma-Y$  direction ( $a$  axis) is very flat, indicating anisotropic electron transport. In  $\text{Sb}_2\text{S}_3$ , our calculated average effective mass of hole is  $0.17 m_0$  around VBM ( $m_h^{\Gamma-X} = 0.11 m_0$ ,  $m_h^{\Gamma-Z} = 0.20 m_0$ ,  $m_h^{\Gamma-Y} = 0.21 m_0$ ), which is smaller than the average effective mass of electron  $0.28 m_0$  around CBM ( $m_e^{C-\Gamma} = 0.25 m_0$ ,  $m_e^{C-Z} = 0.30 m_0$ , here C donates the  $k$  point where CBM locates). The small hole effective masses are comparable to those in other good solar absorber materials such as Si ( $0.19 m_0$ ) [41], CdTe ( $0.11 m_0$ ) [42], CuZnSnS<sub>2</sub> ( $0.23 m_0$ ) [41] and MAPbI<sub>3</sub> ( $0.28 m_0$ ) [43]. Thus,  $\text{Sb}_2\text{S}_3$  can be an excellent hole transport material in a thin-film solar cell.

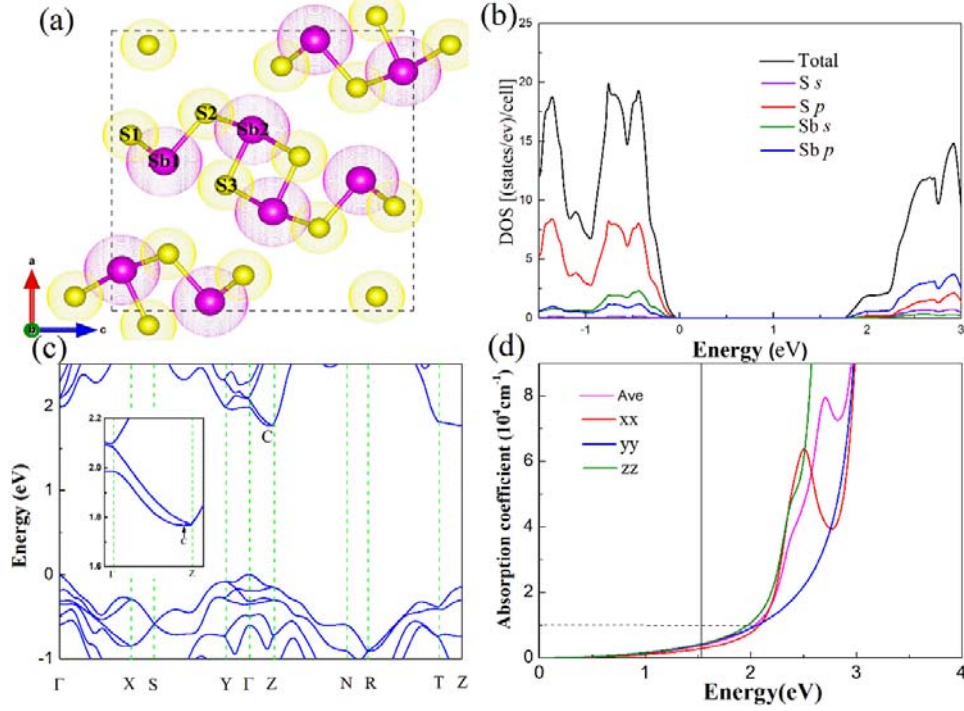


Figure 1. (a) The crystal structure of 20-atom  $\text{Sb}_2\text{S}_3$  unit cell with yellow and purple balls representing S anions Sb cations, respectively, (b) Partial density of states (DOS), (c) band structure, and (d) Optical absorption coefficients of  $\text{Sb}_2\text{S}_3$ . The inset at (c) shows the conduction band near the CBM (the C point).

## B. Native point defects in $\text{Sb}_2\text{S}_3$

There are three and two nonequivalent crystallographic sites for S and Sb, respectively. We consider point defects on all nonequivalent sites as shown in Figure 1(a), *i.e.*, (i) sulfur vacancy ( $V_{\text{S1}}$ ,  $V_{\text{S2}}$ ,  $V_{\text{S3}}$ ), (ii) cation vacancy ( $V_{\text{Sb1}}$  and  $V_{\text{Sb2}}$ ), (iii) the anion-replace-cation antisite ( $\text{S}_{\text{Sb1}}$  and  $\text{S}_{\text{Sb2}}$ ), (IV) cation-replace-anion antisite ( $\text{Sb}_{\text{S1}}$ ,  $\text{Sb}_{\text{S2}}$  and  $\text{Sb}_{\text{S3}}$ ), (V) S interstitial ( $\text{S}_i$ ), (VI) Sb interstitial ( $\text{Sb}_i$ ).

Formation energies of all defects as a function of the Fermi level under both Sb- and S-rich conditions are shown in Figure 2. As can be seen, the most important native defects in  $\text{Sb}_2\text{S}_3$  are vacancies, *i.e.*,  $V_{\text{Sb}}$ , acting as an acceptor, and  $V_{\text{S}}$ , acting as

a donor. The defects with low formation energies should play dominate roles in transport properties. In the absence of a high concentration of impurities, the Fermi level should be close to the crossing point of the formation energy lines for the lowest-energy native donor and acceptor defects. For both S and Sb rich conditions, the Fermi level is close to midgap ( $\mathcal{E}_{\text{VBM}} + 0.85$  eV and  $\mathcal{E}_{\text{VBM}} + 1.03$  eV, respectively, crossing point of formation energy lines of  $V_{\text{S}2}$  and  $V_{\text{Sb}1}$ ), which is consistent with the measured high resistivity of  $1.0 \times 10^8$  or  $5.0 \times 10^6 \Omega \text{ cm}$  in  $\text{Sb}_2\text{S}_3$  thin films with *p*- or *n*-type conductivity [18, 26].

$V_{\text{S}}$  is the main donor defect in  $\text{Sb}_2\text{S}_3$  under both S- and Sb-rich conditions, which accords well with the common phenomenon that the anion vacancy is usually a low-energy donor defect in compound semiconductors [44]. The formation energies of  $V_{\text{S}}$  on the three S sites are slightly different.  $V_{\text{S}2}$  is the most stable followed by  $V_{\text{S}1}$  and  $V_{\text{S}3}$ . Interestingly,  $V_{\text{S}2}$  is a shallow donor while  $V_{\text{S}1}$  and  $V_{\text{S}3}$  are both deep donors. The transition level  $\mathcal{E}(0/2+)$  for  $V_{\text{S}1}$  and  $V_{\text{S}3}$  are located at 1.18 and 1.00 eV above the VBM, respectively. The shallow nature of  $V_{\text{S}2}$  is likely related to the relatively long Sb-Sb distance around  $V_{\text{S}2}$ . In defect-free  $\text{Sb}_2\text{S}_3$ , the average Sb-Sb distances around S1, S2, S3 are 3.84 Å, 3.95 Å, and 3.88 Å, respectively. For 2+ charged  $V_{\text{S}1}$ ,  $V_{\text{S}2}$ , and  $V_{\text{S}3}$ , the average Sb-Sb distances around the vacancy are increased to 4.20, 4.53 and 4.19 Å, respectively, due to the Coulomb repulsion. The neighboring Sb atoms around the S vacancy are able to move toward the center of the vacancy to enhance the Sb-Sb hybridization and trap two electrons, forming neutral  $V_{\text{S}1}$  and  $V_{\text{S}3}$ . Such deep electron trapping and the associated structural relaxation are energetically

unfavorable for  $V_{S2}$  as a result of the long Sb-Sb distance; thus, only shallow trapping at a hydrogenic level is possible.

Sb vacancies ( $V_{Sb}$ ) are also dominant defects in  $Sb_2S_3$ . Figure 2 shows that, between the two Sb sites,  $V_{Sb1}$  is more stable and both  $V_{Sb1}$  and  $V_{Sb2}$  introduce deep hole trapping levels, which are detrimental to hole transport efficiency. Compared with  $V_S$  and  $V_{Sb}$ , antisites  $S_{Sb}$  and  $Sb_S$  have higher formation energies under both Sb- and S-rich growth conditions. For the cation interstitial ( $Sb_i$ ) or anion interstitial ( $S_i$ ) in  $Sb_2S_3$ , six different interstitial sites are constructed.  $Sb_i$  and  $S_i$  donor defects possess deep transition levels, which can act as charge recombination centers. However, their formation energies are high at the Fermi pinning level, indicating that their effect on conductivity should be minimal. Note that in  $Sb_2Se_3$  [45, 46], except vacancies  $V_{Sb}$  and  $V_{Se}$ , antisites  $Sb_{Se}$  and  $Se_{Sb}$  can also be the lowest-energy defects possibly due to the small size difference between Sb and Se. The high formation energies of antisite defects in  $Sb_2S_3$  is likely due to the larger size difference between Sb and S, and this trend is more obviously in  $Bi_2S_3$  [47]. This atomic size difference between Bi, Sb and Se, Se and Te have significant influence on the properties of antisite defects like formation energy and transition level.

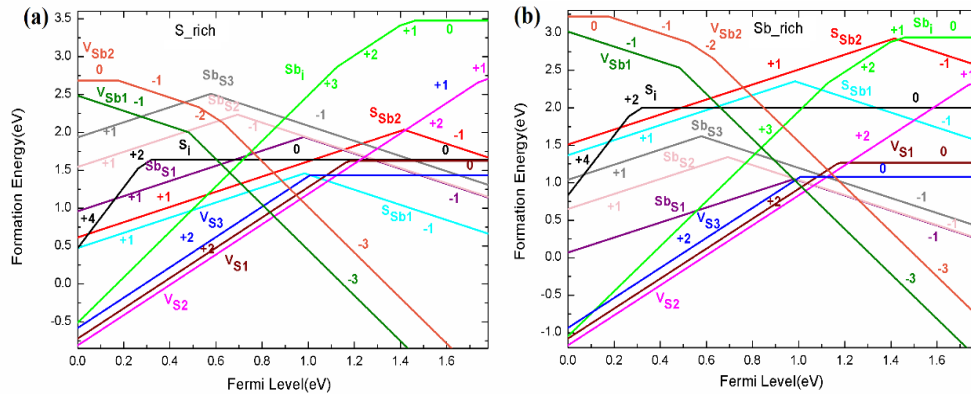


Figure 2. Calculated intrinsic defect formation energies in  $\text{Sb}_2\text{S}_3$  under (a) S- and (b) Sb-rich conditions. A transition level is where the slope of a formation energy line changes.

Figure 3 presents our calculated transition energy levels of the above native defects in  $\text{Sb}_2\text{S}_3$ . Our results show that all defects except  $\text{V}_{\text{Sb}}$  introduce deep traps. Deep level transient spectroscopy (DLTS) is a powerful technique to characterize the properties of defects [48-50]. Several DLTS measurements have been performed for  $\text{Sb}_2\text{Se}_3$  and  $\text{Sb}_2(\text{S},\text{Se})_3$  alloys, while for pristine  $\text{Sb}_2\text{S}_3$  there are only a few reports. One deep acceptor level (H1) at 0.52 eV above the VBM was observed in  $\text{Sb}_2\text{S}_3$  by DLTS [51]. On the other hand, three hole traps (H1, H2, H3) located at 0.507, 0.689 and 0.762 eV above the VBM were observed in  $\text{Sb}_2(\text{S}_{1-x}\text{Se}_x)_3$  with  $x=0$  and  $x=0.17$ . Increasing the Se concentration to  $x = 0.29$  (0.48), only two hole traps at 0.502 and 0.766 (0.490 and 0.768) eV were found [52]. The different hole trapping levels reported in Refs. 51 and 52 may be related to different growth environments. Wen *et. al.* observed the two hole traps located at  $0.48 \pm 0.07$  ( $0.49 \pm 0.03$ ) and  $0.71 \pm 0.02$  ( $0.74 \pm 0.04$ ) eV above VBM and one electron trap at  $0.61 \pm 0.03$  ( $0.60 \pm 0.02$ ) eV below the CBM in  $\text{Sb}_2\text{Se}_3$  sample processed by vapor transport deposition (rapid thermal evaporation) method [53]. Ma *et. al.* also found two hole traps at  $E_v + 0.48$  eV and  $E_v + 0.71$  eV and one electron trap level (E1) at  $E_c - 0.63$  eV in  $\text{Sb}_2\text{Se}_3$ ; these trap levels remains nearly the same after doping by 5.23% S [54]. The two hole traps were attributed to  $\text{V}_{\text{Sb}}$  and  $\text{Sb}_{\text{Se}}$ , respectively, and the electron trap was assigned to  $\text{Se}_{\text{Sb}}$  [53]. For comparison, our calculated hole trapping levels of  $(-2-)$  for  $\text{V}_{\text{Sb1}}$ ,  $(-2-)$

for  $V_{\text{Sb2}}$ , and (2-/3-) for  $V_{\text{Sb2}}$  in  $\text{Sb}_2\text{S}_3$  are 0.75, 0.52, and 0.63 eV, respectively, which are in good agreement with those measured by DLTS [52]. In addition, our calculated (0/-) levels for  $\text{Sb}_{\text{S1}}$ ,  $\text{Sb}_{\text{S2}}$ , and  $\text{Sb}_{\text{S3}}$ , which are 0.48, 0.45 and 0.47 eV above the VBM, respectively, are also very close to those measured by DLTS [52]. Thus, both  $V_{\text{Sb}}$  and  $\text{Sb}_{\text{S}}$  may account for the observed hole traps in DLTS measurements. Note that  $\text{Sb}_{\text{S}}$  is a negative-U center; neutral  $\text{Sb}_{\text{S}}$  is metastable and thus the (0/-) levels of  $\text{Sb}_{\text{S}}$  are not shown in Figures 2 and 3 (see all the transition levels of vacancies and antisites for  $\text{Sb}_2\text{S}_3$  in the Supplemental Material [55]). Only the stable charge states of +1 and -1 for  $\text{Sb}_{\text{S}}$  are shown in Figures 2 and 3. The (0/-) level is a single-hole trapping level, which is compared with the DLTS-observed hole trapping levels as discussed above. For the negative U defect, the conventional DLTS transient is determined by the first slower emission process, another faster emission follows immediately. If biased injection is replaced by the optical injection, both of the emission processes can be observed. Considering the thermal barrier associated with the transition level, the slower emission corresponds to the transition with deeper level reference to CBM or VBM.

Next, we investigate impurities and their complexes with native defects for their potential effects on trap levels and densities.

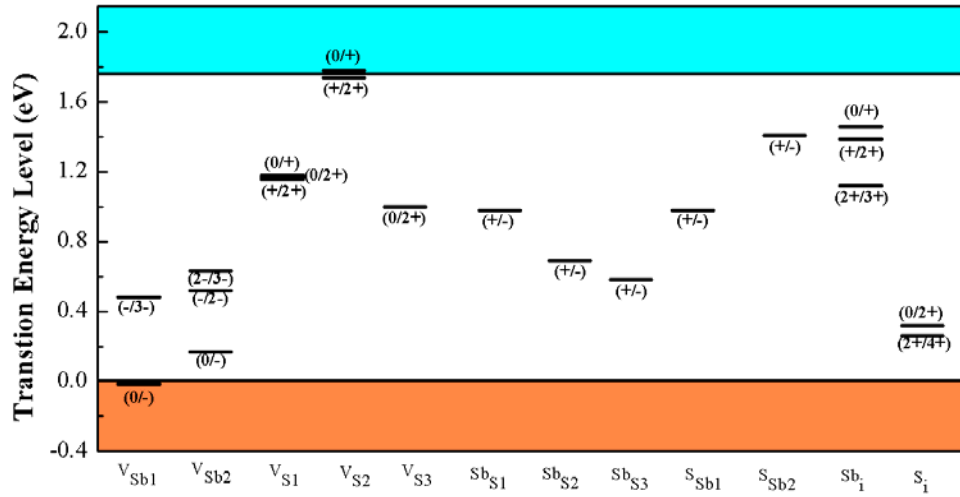


Figure 3. Transition energy levels of intrinsic defects on different atomic sites in the band gap of  $Sb_2S_3$ .

### C. Impurities and defect complexes in $Sb_2S_3$

The above results show that an intrinsic  $Sb_2S_3$  film should have high resistivity with *p*- or *n*-type conductivity under S-rich and Sb-rich conditions, respectively. In most of  $Sb_2S_3$  solar cells,  $Sb_2S_3$  shows *n*-type conductivity [20]. To control the carrier transport in  $Sb_2S_3$ , extrinsic dopants (including Zn, Cu, Ti, Br and Cl) and their complexes with native defects are investigated.

$Zn_{Sb}$  and  $Cu_{Sb}$  both act as acceptors; the former is shallow while the latter is deep. The formation energies of  $Zn_{Sb}$  and  $Cu_{Sb}$  together with  $V_{Sb}$  and  $V_S$  are showed in Figure 4 under both Sb- and S-rich conditions. Compared to undoped  $Sb_2S_3$ , the Fermi level is lower in energy close to the crossing point of formation energies line of  $Zn_{Sb}$  ( $Cu_{Sb}$ ) acceptor and  $V_S$  donor, resulting in higher hole density. For example, under the S-rich condition the crossing point determined by  $Zn_{Sb1}$  ( $Cu_{Sb1}$ ) and  $V_{S2}$  locates at 0.56 (0.57) eV above the VBM, lower than that of 0.85 eV in undoped

$\text{Sb}_2\text{S}_3$ . A lower Fermi level suppresses the formation of  $\text{V}_{\text{Sb}}$  deep acceptors but increases the density of  $\text{V}_{\text{S}}$  donor defects. For the deep acceptor  $\text{Cu}_{\text{Sb}}$ , the  $(-/2-)$  transition levels of  $\text{Cu}_{\text{Sb1}}$  and  $\text{Cu}_{\text{Sb2}}$  are 0.70 and 0.50 eV above VBM, respectively, as shown in Figure 5.

For  $n$ -type doping, our results show that  $\text{Ti}_{\text{Sb}}$  is a donor with deep  $(0/+)$  ionization energy levels of 0.55 and 0.61 eV below the CBM for the Sb1 and Sb2 sites, respectively. On the other hand, both  $\text{Cl}_{\text{S}}$  and  $\text{Br}_{\text{S}}$  have shallow donor levels. Because the size difference between Cl and S is smaller than that between Br and S, the formation energy of  $\text{Cl}_{\text{S}}$  is lower than that of  $\text{Br}_{\text{S}}$  (only results of Cl are present in Figure 4). The  $(0/+)$  transition levels for  $\text{Cl}_{\text{S1}}$  and  $\text{Cl}_{\text{S3}}$  are 0.04 and 0.09 eV below the CBM, respectively, while for  $\text{Cl}_{\text{S2}}$  is somewhat deep at 0.15 eV. For  $\text{Br}_{\text{S1}}$ , the  $(0/+)$  transition level is 0.03 eV, while for  $\text{Br}_{\text{S2}}$  and  $\text{Br}_{\text{S3}}$ , the transition levels are 0.18 and 0.24 eV, respectively. Cl doping can raise the Fermi level, for example, under the Sb-rich conduction, the Cl-doped  $\text{Sb}_2\text{S}_3$  has the Fermi level close to 1.19 eV above the VBM (determined by  $\text{V}_{\text{Sb1}}$  and  $\text{Cl}_{\text{S1}}$ ) as shown in Figure 4, higher than that of 1.03 eV above the VBM (determined by  $\text{V}_{\text{Sb1}}$  and  $\text{V}_{\text{S2}}$ ) in undoped  $\text{Sb}_2\text{S}_3$ .

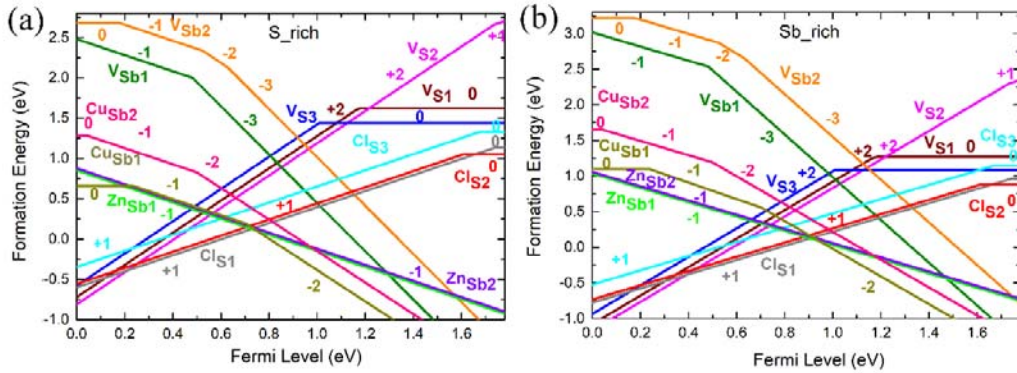


Figure 4. Calculated the dopant formation energies in  $\text{Sb}_2\text{S}_3$  under S-rich and Sb-rich



growth conditions. A transition level is where the slope changes.

Dopants can bind with native defects, forming defect complexes, and modify the defect level position. The dopant-defect coupling can potentially make a defect shallower [56]. Here we mainly focus on some chosen donor-acceptor complexes, such as  $\text{Cu}_{\text{Sb}}+\text{V}_{\text{S}}$ ,  $\text{Zn}_{\text{Sb}}+\text{V}_{\text{S}}$ , and  $\text{Cl}_{\text{S}}+\text{V}_{\text{Sb}}$ . In these complexes, the dopant and the defect are chosen to be nearest neighbors for enhancing their coupling strength. The goal is to make the deep  $\text{V}_{\text{S}}$  and  $\text{V}_{\text{Sb}}$  defects shallower, *i.e.*, less harmful to carriers transport. The fully passivated complexes  $2\text{Zn}_{\text{Sb}}+\text{V}_{\text{S}}$  and  $3\text{Cl}_{\text{S}}+\text{V}_{\text{Sb}}$  are also studied.

$\text{Cu}_{\text{Sb}}$  acceptor can easily bind with a  $\text{V}_{\text{S}}$  donor, forming a neutral  $\text{Cu}_{\text{Sb}}+\text{V}_{\text{S}}$  complex. The electrons of  $\text{V}_{\text{S}}$  transfer to the  $\text{Cu}_{\text{Sb}}$ . Our calculated  $(-/0)$  and  $(0/+)$  transition levels of  $\text{Cu}_{\text{Sb1}}+\text{V}_{\text{S1}}$  are located at 0.04 below the CBM and 0.16 eV above the VBM, respectively, which are shallower than the  $(2+/+)$  electron-trapping level of  $(\text{V}_{\text{S1}})^+$  and the  $(2-/-)$  hole-trapping level of  $(\text{Cu}_{\text{Sb1}})^-$ . These levels are much shallower than those of the isolated defects because the donor-acceptor coupling pushes the electron and hole-trapping levels away from each other. As a result, the electronic trapping level is closer to the CBM and the hole-trapping level is closer to the VBM, as shown in Figure 5. To demonstrate this more clearly, the charge densities are also plotted in Figure 6. As we can see, the charge densities of isolated  $\text{V}_{\text{S1}}$  and  $\text{Cu}_{\text{Sb1}}$  are localized around the S vacancy and  $\text{Cu}_{\text{Sb1}}$ , respectively, while the charge density of the  $\text{Cu}_{\text{Sb1}}+\text{V}_{\text{S1}}$  complex are much more delocalized, which accord well with the deep and shallower levels we obtained. Furthermore,  $\text{Cu}_{\text{Sb1}}+\text{V}_{\text{S1}}$  has a binding energy -0.72 eV, which indicates that the complexes are not easy to dissociate once they are formed

under growth conditions. As for the most stable  $\text{Cu}_{\text{Sb}}\text{-V}_{\text{S}}$  complex, *i.e.*,  $\text{Cu}_{\text{Sb2}}+\text{V}_{\text{S3}}$ , its defect levels also become shallower than those of the isolated defects. Their (0/-) and (0/+) transition levels are  $E_{\text{CBM}} - 0.08$  eV and  $E_{\text{VBM}} + 0.39$  eV, which are shallower than the (0/2+) transition level of  $\text{V}_{\text{S3}}$  and the (-/-2) transition level of  $\text{Cu}_{\text{Sb2}}$ , which are  $E_{\text{CBM}} - 0.76$  and  $E_{\text{VBM}} + 0.50$  eV, respectively. For complexes  $\text{Cu}_{\text{Sb1}}+\text{V}_{\text{S2}}$  and  $\text{Cu}_{\text{Sb2}}+\text{V}_{\text{S2}}$ , since isolated  $\text{V}_{\text{S2}}$  itself is shallow donor, the coupling between a delocalized donor level and a localized acceptor level is not as strong as between two localized levels. The (0/+) levels of  $\text{Cu}_{\text{Sb1}}+\text{V}_{\text{S2}}$  and  $\text{Cu}_{\text{Sb2}}+\text{V}_{\text{S2}}$  are a little deeper than those of  $\text{Cu}_{\text{Sb1}}+\text{V}_{\text{S1}}$  and  $\text{Cu}_{\text{Sb2}}+\text{V}_{\text{S3}}$ .

A  $\text{V}_{\text{S}}$  donor can also bind with a  $\text{Zn}_{\text{Sb}}$  acceptor, resulting in a  $\text{Zn}_{\text{Sb}}+\text{V}_{\text{S}}$  complex. For the (0/+) transition level of  $\text{Zn}_{\text{Sb1}}+\text{V}_{\text{S1}}$  is at 0.53 eV below CBM, similar to the (+/2+) level of  $\text{V}_{\text{S1}}$  at 0.60 eV below the CBM. For  $\text{Zn}_{\text{Sb1}}+\text{V}_{\text{S2}}$  and  $\text{Zn}_{\text{Sb2}}+\text{V}_{\text{S2}}$ , their (0/+) levels are at 0.09 and 0.18 eV below the CBM, respectively, relatively deeper than the (+/2+) level of  $\text{V}_{\text{S2}}$  at 0.02 eV below the CBM, due to the structure distortion introduced by the substitution of Sb by Zn in the complex. For  $\text{Zn}_{\text{Sb2}}+\text{V}_{\text{S3}}$ , its (0/+) level is at 0.29 eV below the CBM, much shallower than the (0/2+) level of  $\text{V}_{\text{S3}}$  at 0.76 eV below the CBM, while similar to the (+/2+) level of  $\text{V}_{\text{S3}}$  at 0.30 eV below the CBM (this level is unstable and not shown in Figures 3 and 5). Our above results demonstrate that the delocalization character of  $\text{Zn}_{\text{Sb}}$  leads to the weak interaction between  $\text{Zn}_{\text{Sb}}$  and  $\text{V}_{\text{S}}$  in partially passivated complex  $\text{Zn}_{\text{Sb}}+\text{V}_{\text{S}}$ . The fully passivated complex  $2\text{Zn}_{\text{Sb1}}+\text{V}_{\text{S1}}$  is also studied and no localized states is found.

Donor defect  $\text{Cl}_{\text{S}}$ , can also bind to the acceptor defect  $\text{V}_{\text{Sb}}$ , forming complexes

$\text{Cl}_{\text{S}}+\text{V}_{\text{Sb}}$ . For  $\text{Cl}_{\text{S3}}+\text{V}_{\text{Sb2}}$ , the  $(-/2-)$  level at 0.26 eV is much shallower than the  $(2-/3-)$  level of  $\text{V}_{\text{Sb2}}$  at 0.63 eV, and for  $\text{Cl}_{\text{S2}}+\text{V}_{\text{Sb1}}$ , the  $(-/2-)$  level at 0.17 eV is also shallower than the  $(-/3-)$  level of  $\text{V}_{\text{Sb1}}$  at 0.48 eV (unstable  $(2-/3-)$  level of  $\text{V}_{\text{Sb1}}$  at 0.21 eV). For the fully passivated complex  $3\text{Cl}_{\text{S}}+\text{V}_{\text{Sb1}}$ , the  $(0/+)$  and  $(0/-)$  levels are 0.04 and 0.08 eV, respectively, which are less harmful to the carrier transport.

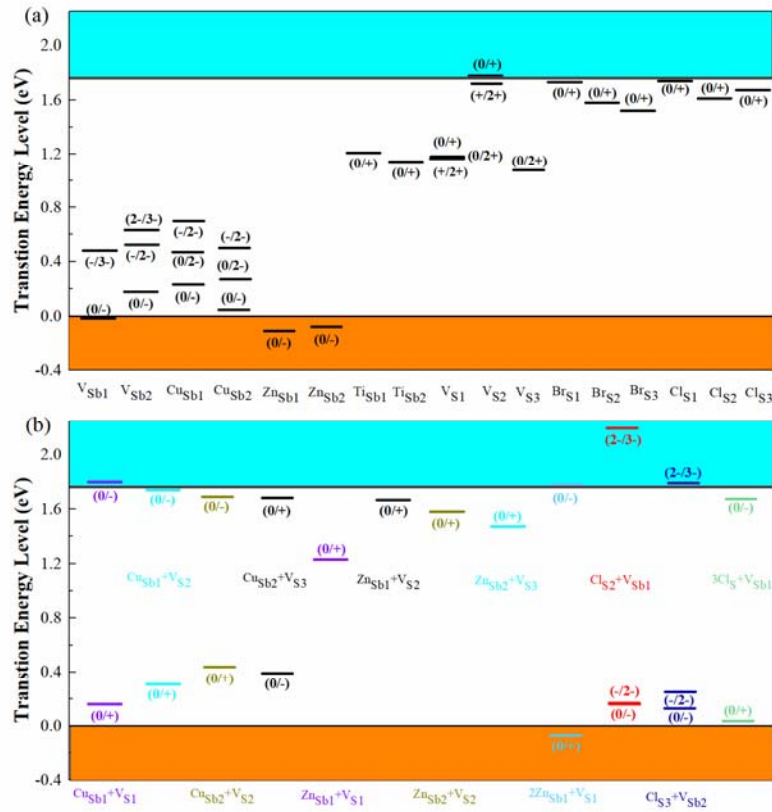


Figure 5. The transition levels of different impurities and defect complexes in  $\text{Sb}_2\text{S}_3$ .

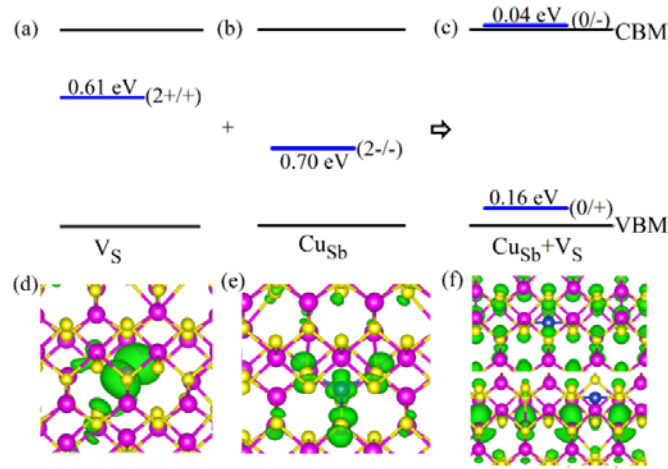


Figure 6. Effects of  $\text{Cu}_{\text{Sb1}}\text{-V}_{\text{S1}}$  binding on the electron trapping level of  $\text{V}_{\text{S1}}$  and the hole trapping level of  $\text{Cu}_{\text{Sb1}}$ . (a) and (d) show the  $(2+/+)$  electron trapping level of  $\text{V}_{\text{S1}}$  and the charge density of the trapped electron at  $(\text{V}_{\text{S1}})^+$ , respectively; (b) and (e) show the  $(2-/-)$  hole trapping level of  $\text{Cu}_{\text{Sb1}}$  and the charge density of the trapped hole at  $(\text{Cu}_{\text{Sb1}})^-$ , respectively; (c) shows the  $(0/-)$  electron trapping and the  $(+/0)$  hole trapping levels of  $\text{Cu}_{\text{Sb1}}\text{+V}_{\text{S1}}$  and (f) shows the trapped electron at  $(\text{Cu}_{\text{Sb1}}\text{+V}_{\text{S1}})^-$  (upper panel) and the trapped hole at  $(\text{Cu}_{\text{Sb1}}\text{+V}_{\text{S1}})^+$  (lower panel).

When two defects bind to form a defect complex, the formation energy may be lowered through interactions like charge compensation, subsequent Coulomb attraction and atomic relaxation driven by strain relief [56]. Therefore, the position of Fermi level pinned by donor and acceptor defects with lowest formation energies may be changed after considering the effect of defect complexes. Figure 7 plot the formation energies of different defect complexes along with native defects studied above. For Cu doping showed in Figure 7 (a) and (b), in the case of S rich, the Fermi level is close to  $0.57 \text{ eV}$  above the VBM determined by  $\text{V}_{\text{S2}}$  and  $\text{Cu}_{\text{Sb1}}$ . For Zn doping, in the case of S rich, the Fermi level is close to  $0.65 \text{ eV}$  above VBM determined by

$Zn_{Sb1}$  and complex  $Zn_{Sb1}+V_{S2}$ . For Cl doping, in the case of Sb rich, the Fermi level is close to 1.10 eV above the VBM determined by  $Cl_{S1}$  and complex  $Cl_{S2}+V_{Sb1}$ . Therefore, compared with the Fermi level pinned by point defects, the Fermi level does not change much.

Our above results show that donor (such as Cl) and acceptor (such as Zn or Cu) doping can increase carrier density by moving the Fermi level and reduce the trapping energies at defects by forming complexes with native defects, leading to improved solar cell performance.

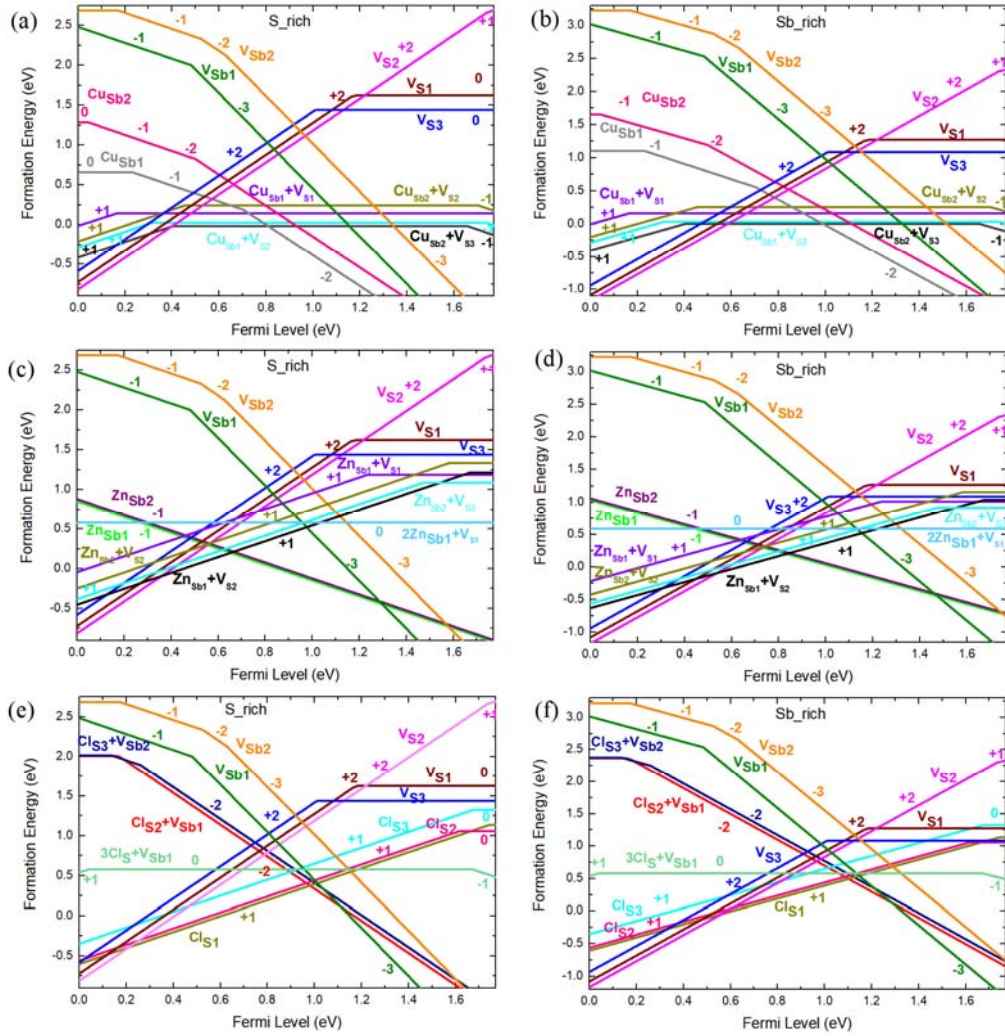


Figure 7. Calculated formation energies of different defect complexes in  $Sb_2S_3$  under

S-rich and Sb-rich growth conditions. A transition level is the Fermi level where the slope of a formation energy line changes.

#### D. Band alignment with other materials

As shown in Figure 8, the experimental band offset versus vacuum between different semiconductor materials used in solar cells is presented. Electrons from  $\text{Sb}_2\text{S}_3$  [57] or  $\text{Sb}_2\text{Se}_3$  [58] can be injected to  $\text{ZnO}$  [59],  $\text{TiO}_2$  [57] or  $\text{CdS}$  [60], while holes are blocked. As shown above, chemical doping in  $\text{Sb}_2\text{S}_3$  can modify the Fermi level and consequently changes the charge transfer and band offset at the interface with the electron-extracting layer, providing additional means to tune the charge separation and transport efficiencies. A  $\text{Sb}_2\text{S}_3$  cell may also be coupled with a Si or  $\text{Sb}_2\text{Se}_3$  cell to form a multi-junction tandem cell. Considering the small hole effective mass and the intrinsically benign grain boundaries of  $\text{Sb}_2\text{S}_3$ , *p*-type  $\text{Sb}_2\text{S}_3$  may also be used as a hole transport material in solar cells.

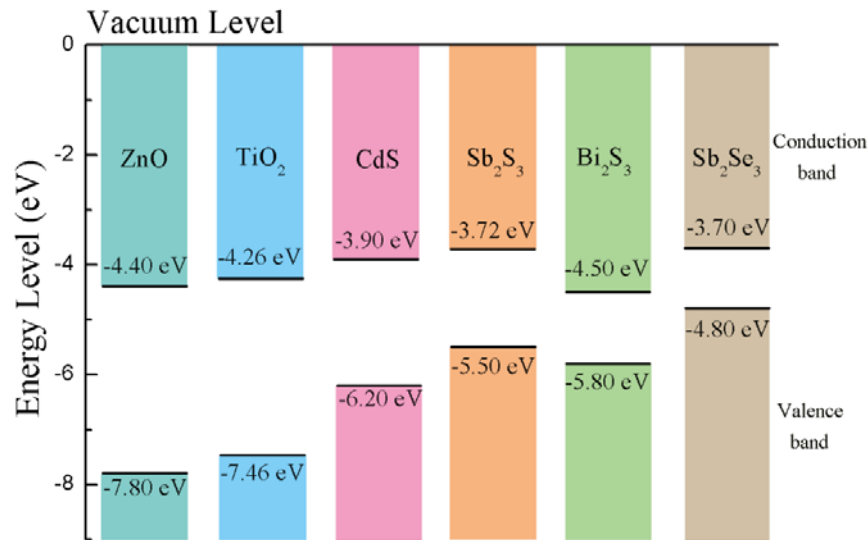


Figure 8. The experimental energy level of band edge versus vacuum of different photovoltaic semiconductor materials [57-60].

#### **IV. Conclusion**

In summary, electronic structure, native defects, dopants, and dopant-defect complexes in  $\text{Sb}_2\text{S}_3$  are studied by hybrid density functional theory calculations. The calculated small hole effective masses and strong optical absorption are favorable for photovoltaic applications. S and Sb vacancies are the dominate donor and acceptor defects with low formation energies in  $\text{Sb}_2\text{S}_3$  and both introduce deep trapping levels, which are detrimental to carrier transport. We identified signals of deep level transient spectroscopy for hole traps to vacancy Sb and antisite  $\text{Sb}_\text{S}$ . Chemical doping by shallow donors (e.g., Cl, Br) or shallow acceptors (e.g., Zn) can modify the Fermi level, resulting in a higher carrier density and providing means to fine tune the properties of the interface with other components of the solar cell (such as the electron-extraction layer and the hole-transport layer). By complexing with native defects, Cl/Br and Zn dopants can also reduce the trapping energy of the native defects, improving the carrier transport efficiency. We hope that this work can serve as a useful guide to designing higher efficiency  $\text{Sb}_2\text{S}_3$  photovoltaic devices.

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