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1 **Investigating spin coupling across a three-dimensional interface in**
2 **core/shell magnetic nanoparticles**

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12 **ABSTRACT**

13 We have used a combination of x-ray magnetic circular dichroism (XMCD) spectroscopy
14 and polarized small angle neutron scattering (P-SANS) to investigate the distribution of
15 magnetization in heterogenous magnetic nanoparticles (NPs) consisting of a metallic Fe
16 core / Fe-oxide shell (CS NPS) or Fe core / partial void layer / oxide shell (CVS NPs). Fe
17 $L_{2,3}$ XMCD spectra were analyzed with a combination of experimental metallic Fe XMCD
18 spectra and calculated L -edge spectra for the Fe cations in the oxide shell. Analyses of
19 the temperature-dependent spectra indicate a weak variation of the relative contribution of
20 the metallic and oxide contributions for the CS NPs, and a somewhat larger contribution
21 from the metallic Fe core near the blocking temperature T_B of the CVS NP ensemble. The
22 P-SANS data also indicate a larger variation in the magnetization of the CVS NPs near
23 T_B . Modeling of the spin-dependent neutron scattering reveals large variations in the radial
24 magnetization distribution, with a region of reversed magnetization adjacent to the metallic
25 core. Interfacial roughness may play a role in the development of this magnetization profile.

INTRODUCTION

26

27 Magnetic nanoparticles (MNPs) have been studied extensively in recent years. The
28 nanometer-scale of MNPs supports the emergence of magnetic properties not seen in bulk
29 counterparts [1, 2] while the small size enables the use of MNPs for a variety of purposes.
30 MNPs have found diverse use in fields including ferrofluids, bottoms-up synthesis of bulk
31 ferromagnets, environmental remediation, and a wide variety of biomedical applications [3–
32 6]. Magnetite (Fe_3O_4), and to a lesser extent maghemite ($\gamma\text{-Fe}_2\text{O}_3$), have been the most
33 popular materials for MNPs used in biomedical and environmental applications [5, 7–10].
34 Both materials are variants of the spinel crystal structure with the Fe cations residing in
35 octahedrally (O_h) or tetrahedrally (T_d) coordinated sub-lattices. Moreover, they are both
36 *ferrimagnets* where the magnetic moments of the Fe cations are anti-aligned across the O_h
37 and T_d sites and the net moment arises from the imbalance of the total spins on the two
38 sublattices. The partial cancellation of atomic moments across the sublattices results in a
39 relatively low bulk magnetization. To enhance the suitability of MNPs for various applica-
40 tions, bi-magnetic core-shell structures have been developed where a high-moment metallic
41 core is used to enhance the overall magnetization and an iron oxide shell covers the core to
42 preserve biocompatibility [5, 8].

43 Apart from potential biomedical and other applications, bi-magnetic core-shell nanopar-
44 ticles present an interesting venue for understanding spin coupling across interfaces. Inter-
45 facial magnetic effects have become an intense area of investigation in recent years, with
46 an emphasis on issues such as exchange bias, charge-transfer, electronic reconstructions,
47 spin frustration, spin Seebeck effects, and Dzyaloshinskii-Moriya interactions, among others
48 [11–15]. Generally, these effects are studied in carefully prepared thin films, where the in-
49 terfaces are planar and essentially infinite (in the plane). Core / shell magnetic NPs present
50 an alternative class of interfacial effects, where the symmetry is considerably altered (three
51 dimensions vs. two for planar films) and also finite size effects may influence the magnetic
52 behavior.

53 Spin coupling at the core/shell interface of MNPs is known to be responsible for such
54 phenomena like exchange bias (EB), spin canting, and spin glass behavior, among others
55 [15–18] The degree of coupling can be controlled by choice of size, structure, and composi-
56 tion of the core/shell constituents allowing for tunable properties [19, 20]. Control of EB

57 via spin coupling has been proposed as a way to combat the superparagmagnetic limit po-
 58 tentially leading to enhanced magnetization stability and next generation magnetic storage
 59 and recording media [16, 21, 22]. The degree of coupling along the interface can also lead
 60 to spin canting resulting in a reduced moment in the NP [23].

61 As in the case of planar films, the ability to tune the interactions across the three dimen-
 62 sional interface between the core and shell of the MNP is beneficial for both understanding
 63 the nature of the spin coupling across the interface and also for controlling the magnetic
 64 properties of the nanoparticle. We investigated changes to the interfacial spin coupling in
 65 different variants of metallic iron core / iron oxide shell nanoparticles where the core is com-
 66 prised of body centered cubic α -Fe and the shell is predominantly γ -Fe₂O₃ (maghemite) [24].
 67 We examined the interfacial spin coupling in both “pristine” α -Fe core / Fe oxide shell NPs
 68 (referred to a CS structures) and also an intermediate phase where oxygen diffusion results
 69 in a partial oxidation of the α -Fe core core leaving a void between the metal core and the
 70 oxide shell. These NP variants are termed CVS structures. The CVS structures are interest-
 71 ing in comparison with the CS nanoparticles as the differing contact area between the core
 72 and shell leads to a varying average coupling between the high moment / low anisotropy
 73 metallic Fe core and the ferrimagnetic / high coercivity Fe oxide shell. To examine this
 74 variable spin coupling in more detail, we utilized a combination of x-ray spectroscopy, soft
 75 x-ray absorption spectroscopy (XAS) and the related technique of x-ray magnetic circular
 76 dichroism (XMCD), as well as spin-polarized small angle neutron scattering (SANS).

77 In 2^{nd} row transition metals, L -edge resonant x-ray absorption processes arise via dipole-
 78 allowed optical transitions from $2p$ core level states to unoccupied $3d$ valence states. The
 79 XAS spectrum therefore provides detailed information on the chemical environment of the
 80 absorbing atom. The degree of covalency has a significant effect on the allowed transitions,
 81 where highly metallic environments produce spectra that reflect the unoccupied $3d$ density
 82 of states modulated by matrix element effects in the $2d \rightarrow 3d$ transition. Local ionic environ-
 83 ments, on the other hand, lead to XAS profiles that are dominated by atomic-like absorption
 84 processes having both strong multiplet contributions, originating from the coupling in the
 85 final state of the $2p$ core hole and the electron excited into an available $3d$ orbital, and the
 86 additional contribution from the local ligand field [25]. For the CS and CVS MNPs the
 87 XAS and XMCD spectra will have contributions from both metallic Fe and the different
 88 Fe cations that are found in the Fe oxide shell permitting an assessment of the magnetic

89 contribution from the different parts of the magnetic NP.

90 The oxide shell in our NP variants is a partially reduced form of γ -Fe₂O₃, which has a
 91 spinel-type crystal structure with Fe³⁺ cations coordinated to nearest-neighbor oxygen cages
 92 with both octahedral (O_h) and tetrahedral (T_d) symmetry. In magnetic spinels, the dominant
 93 exchange interaction is the anti-ferromagnetic alignment of the O_h and T_d sublattices so that
 94 the net moment arises from an imbalance between the spins on the two sublattices. For the
 95 Fe based spinels γ -Fe₂O₃ and Fe₃O₄, this leads to an XMCD spectrum with a characteristic
 96 three-peak structure for the Fe L_3 edge, where the negative peaks originate from the Fe³⁺
 97 and Fe²⁺ cations on O_h sites and the upward peak is from the Fe³⁺ cations residing in the
 98 T_d sublattice [26]. The ratio between peak intensities can be an indicator of the relative
 99 cation population of those sites and with specific valencies.

100 As the absorption of an x-ray photon occurs at a single atomic site, XAS / XMCD is es-
 101 sentially a *local* probe of electronic and magnetic properties. Small angle neutron scattering
 102 (SANS) with a polarized neutron beam, on the other hand, provides complementary infor-
 103 mation on both average structure and magnetic correlations across a range of length scales.
 104 For ensembles of MNPs with narrow size distributions, SANS is a powerful method to exam-
 105 ine both spin distributions within a nanoparticle as well as inter-particle spin correlations.
 106 Variation in magnitude and direction of the magnetic moment as a function of radial depth
 107 can be detected, discriminating between total reduced moments due to localized distortions
 108 versus spin canting [27].

109 SAMPLES AND EXPERIMENTAL METHODS

110 We investigated two α -Fe / γ -Fe₂O₃ variants in this study: α -Fe / γ -Fe₂O₃ core-shell
 111 structures (CS) and partially oxidized core-void-shell NPs (CVS); fully oxidized shell struc-
 112 tures with a hollow center (H) were also studied as a reference in the XAS / XMCD measure-
 113 ments. The α -Fe / γ -Fe₂O₃ CS nanoparticles were synthesized via thermal decomposition
 114 of organometallic compounds. The details of the synthesis have been published elsewhere
 115 [28, 29], but briefly the synthesis involves heating of oleylamine(70%) and 1-octadecene
 116 (90%) to 140 °C under a mixture of Ar (95%) and H₂(5%) for several hours. The temper-
 117 ature of the solution was raised to 220 °C at which point iron pentacarbonyl, Fe (CO)₅
 118 was injected and the solution was refluxed for 20 min. This initiated the formation of the

119 NPs. The reaction mixture was cooled to room temperature and the nanoparticles were
120 then washed with ethanol and centrifuged. CVS and hollow nanoparticles were obtained by
121 annealing at 170°C for up to 90 minutes under a flow of O₂. The final steps in the synthesis
122 were rinsing the NPs with a 3:97 hexane:ethanol mixture, [30], separating out the MNPs
123 with a strong permanent magnet, and drying the resulting powder of MNPs. This synthesis
124 method is known to produce CS and CVS structures consisting of an α -Fe core surrounded
125 by a shell of γ -Fe₂O₃ (maghemite) with an overall diameter of 15 ± 2 nm determined from
126 transmission electron microscopy (TEM). A small portion of the powder was isolated for
127 TEM studies of NPs size distributions and morphologies as well as magnetometry measure-
128 ments.

129 XAS and XMCD spectra were acquired at beam line 4-ID-C at the Advanced Photon
130 Source, Argonne National Laboratory. CS, CVS and hollow NPs were pressed into conduc-
131 tive carbon tape and transferred to a superconducting 7 Tesla (T) magnet equipped with
132 a continuous flow LHe cryostat. The x-ray source for 4-ID-C is a circularly polarized un-
133 dulator (CPU) and dichroism spectra were acquired by collecting pairs of scans with the
134 CPU set to provide right or left circularly polarized (RCP or LCP) x-rays with the x-ray
135 monochromator at the beam line configured to provide an energy resolution of 0.2 eV. Soft
136 x-ray spectra were acquired in total electron yield mode at three different temperatures: 15
137 K, 95 K, and 150 K. During the cooling cycle, the samples were in a constant saturating
138 magnetic field of $H = +5$ T oriented along the incident beam direction. XAS and XMCD
139 scans were acquired for a constant saturating field of ± 5 T for photon energies of $\sim 700 -$
140 730 eV which spans the Fe $L_{2,3}$ core levels. At each energy point, data were collected with
141 RCP and LCP x-rays. The average of the RCP and LCP data sets is the XAS while the
142 difference is XMCD spectrum.

143 Polarized SANS measurements were recorded at the NG-7 30 m small angle scattering
144 instrument at the NIST Center for Neutron Research [31]. We utilized a “half-polarized”
145 experimental configuration where the incident neutron beam was polarized with FeSi su-
146 per mirror before the sample environment with a fixed neutron wavelength of 0.6 nm. A
147 calibrated precession coil (“flipper”) is used to change the direction of the incident neutron
148 beam from up spin (\uparrow) to down spin (\downarrow). The CS and CVS NPs were mounted into a recessed
149 aluminum carrier, which was backfilled with He and sealed to prevent unwanted oxidation
150 of the CS and CVS samples. The sample carrier was mounted on a closed cycle cryostat and

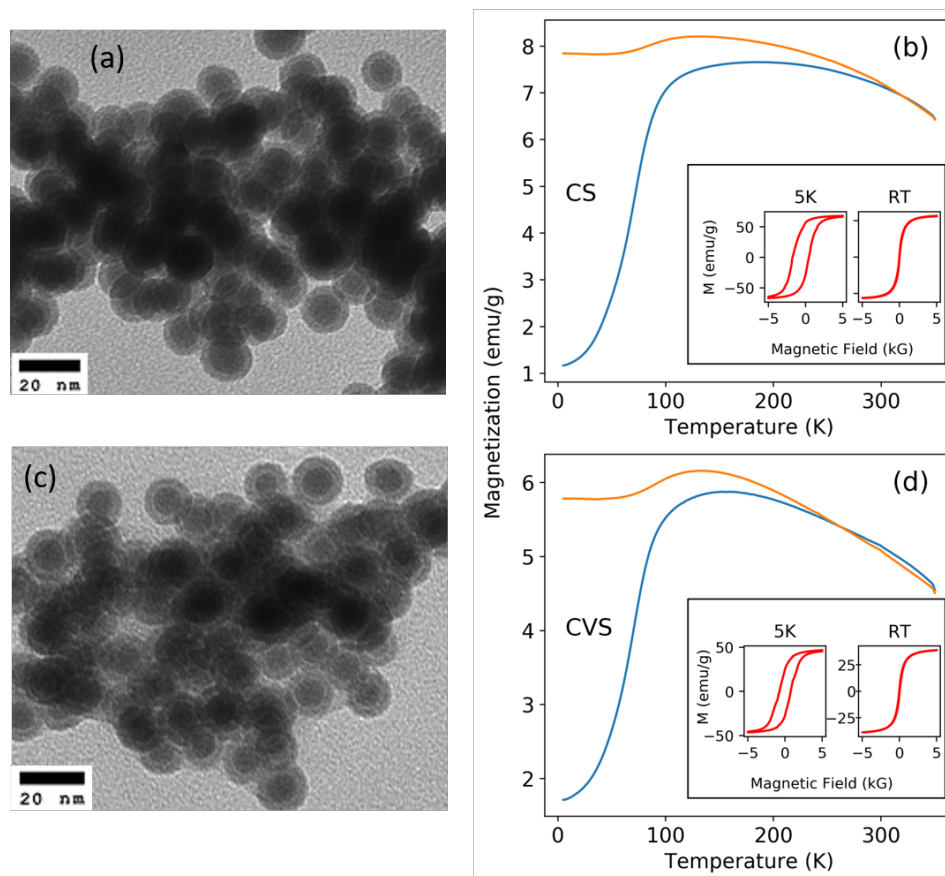


Figure 1. TEM images for the NPs used in neutron scattering study of (a) CS and (c) CVS NPs along with magnetometry in (b) and (d), respectively. The TEM images show the core / shell and core / void / shell structure. Field cooled (FC) and zero-field cooled (ZFC) M vs. T curves are shown. Insets to panels (b) and (d) present the field hysteresis curves at 300 K (RT) and at 5 K.

151 inserted into an electromagnet with a maximum field of ± 1.5 T in a direction orthogonal
 152 to the neutron beam. SANS patterns were detected with a pixelated 2D detector whose
 153 distance from the sample could be varied to cover a range of scattering vectors (Q). The 2D
 154 scattering distributions were reduced using a NIST SANS data analysis package for IGOR
 155 Pro[32] and analyzed in SASView 4.2.0 [33] using a custom core/multi-shell model.

RESULTS AND ANALYSIS

Structural & Magnetic Studies

The CS shape morphology was confirmed by TEM analysis of the different NP variants, shown in Fig. 1, and size distributions were estimated from the micrographs (refer to Fig. S1 in the Supplementary Material [34]). The TEM image for the CS sample in Fig. 1-a shows a dark core / lighter shell structure while for the CVS NPs in Fig. 1-b a faint halo around the core reveals the void layer between the core and shell. Size distributions [shown in Fig. S1] generated from these and similar TEM micrographs indicate an overall size of $15 \text{ nm} \pm 1\text{-}2 \text{ nm}$. Previous high resolution TEM studies of comparable CS NPs indicated a core diameter of $\sim 10 \text{ nm}$ and an oxide shell thickness of $\sim 2 \text{ nm}$ [24]. Those high resolution TEM studies also reveal the halo around the core of the CVS NPs.

Temperature dependent magnetometry ($M \text{ vs. } T$) were measured under zero field cooled (ZFC) and field cooled (FC) conditions in a field of 50 Oe for all samples. Previously published blocking temperatures for the CS and CVS NPs were found to be 111K and 94K, respectively, and are consistent with the $M \text{ vs. } T$ curves shown in Fig. 1 b,d [24]. Field hysteresis curves of both NPs indicate they are superparamagnetic at RT and become ferromagnetic below the blocking temperature, with coercive fields (H_c) at 5 K of 950 Oe for the CS and 750 Oe for the CVS NPs. The reduced H_c of the CVS NPs may indicate weaker exchange coupling between the soft Fe core and the high anisotropy oxide shell. Saturated magnetization (M_s) for our samples is about 70 emu / g for CS NPs and drops to around 50 emu / g for the CVS NPs. We note that there can be variations on the mass-normalized magnetization on the order of 20% between different synthesis runs. The dominant sources of the variation are the rinsing of the surfactant, small changes to the void layer in the CVS samples, and changes to the overall NP size ($\pm 1 \text{ nm}$).

X-Ray Spectroscopy

Representative x-ray spectroscopy scans are presented in Fig. 2 for the CS (Fig. 2-a) and the CVS (Fig. 2-b) NPs. The XAS scans of both NP variants are remarkably similar and are indicative of nanocrystalline Fe oxide that is predominantly $\gamma\text{-Fe}_2\text{O}_3$ but also has spectral contributions from reduced Fe cations [26]. This is also consistent with reports of an

185 iron oxide layer that varies between Fe_3O_4 and $\gamma\text{-Fe}_2\text{O}_3$ in CS NPs [35–38]. Little spectral
 186 weight in the XAS appears to originate from the metallic Fe core. As the XAS spectra were
 187 collected via the surface-sensitive TEY mode, the dominant contribution from the surface
 188 oxide layers is reasonable. The XAS of our NPs indicates that the Fe oxide shell is slightly
 189 off the $\gamma\text{-Fe}_2\text{O}_3$ stoichiometry, with a likely spectral contribution from Fe^{2+} cations.

190 The XMCD spectra for both types of NPs are also presented in Fig. 2. The L_3 edge of
 191 the XMCD spectra is comprised of three main features: two prominent downward pointing
 192 peaks at 707.6 eV and 709.5 (labeled *A* and *C* in the figure) and an upward pointing peak
 193 at 708 eV (labeled *B*). Unlike the XAS scans, the XMCD of the NPs is quite different from
 194 both $\gamma\text{-Fe}_2\text{O}_3$ and the more reduced Fe oxide Fe_3O_4 . For both $\gamma\text{-Fe}_2\text{O}_3$ and Fe_3O_4 , peak *B*
 195 is strongly positive, with a magnitude comparable to the strongest downward pointing peak
 196 [26]. However, in our NP samples, peak *B* is close to the zero line for the XMCD spectrum.
 197 In the case of stoichiometric $\gamma\text{-Fe}_2\text{O}_3$, the amplitude of peak *A* is roughly half that of peak
 198 *C*, while in our case peak *A* is clearly larger than *C*.

199 We attribute the increased intensity of peak *A* to peak *C* to the presence of Fe^{2+} cations
 200 on O_h sites and from contributions from the metallic Fe core. We modeled the XMCD
 201 spectra of our NPs using a combination of atomic-like charge transfer multiplet calculations
 202 using the program CTM4XAS [39] for the oxide shell and an empirical $\alpha\text{-Fe}$ XMCD spectrum
 203 for the metallic core. We use CTM4XAS to calculate cation spectra for Fe^{2+} on O_h sites
 204 and Fe^{3+} on both O_h and T_d lattice sites. Reference XMCD spectra for the Fe cations were
 205 generated by reducing the $d-d$ and $p-d$ Slater integrals to $k = 0.7$ and 0.8 , respectively;
 206 a crystal field of $10Dq = 1.2$ eV was used for the $\text{Fe}_{O_h}^{2+,3+}$ cations while a value of $10Dq =$
 207 -0.6 eV was for $\text{Fe}_{T_d}^{3+}$ cation; and an exchange field of $g\mu\text{BH} = \pm 0.01$ eV for octahedral and
 208 tetrahedral sites, respectively. A Lorentzian broadening of 0.3 (0.5) eV was introduced for
 209 the L_3 (L_2) edge to account for intrinsic linewidth broadening along with an instrumental
 210 (Gaussian) broadening of 0.25 eV [25]. For the $\alpha\text{-Fe}$ contribution to the spectrum, we used
 211 a previously acquired XMCD spectrum from a thick Fe film deposited on a silicon substrate
 212 and capped with Al. This spectrum was also acquired in TEY mode.

215 All XMCD spectra were first fit assuming a linear combination of contributions from
 216 reference data for each core and shell constituents:

$$\sigma_{fit} = a\sigma_{\alpha\text{-Fe}} + b\sigma_{\text{Fe}_{O_h}^{2+}} + c\sigma_{\text{Fe}_{T_d}^{3+}} + d\sigma_{\text{Fe}_{O_h}^{3+}}, \quad (1)$$

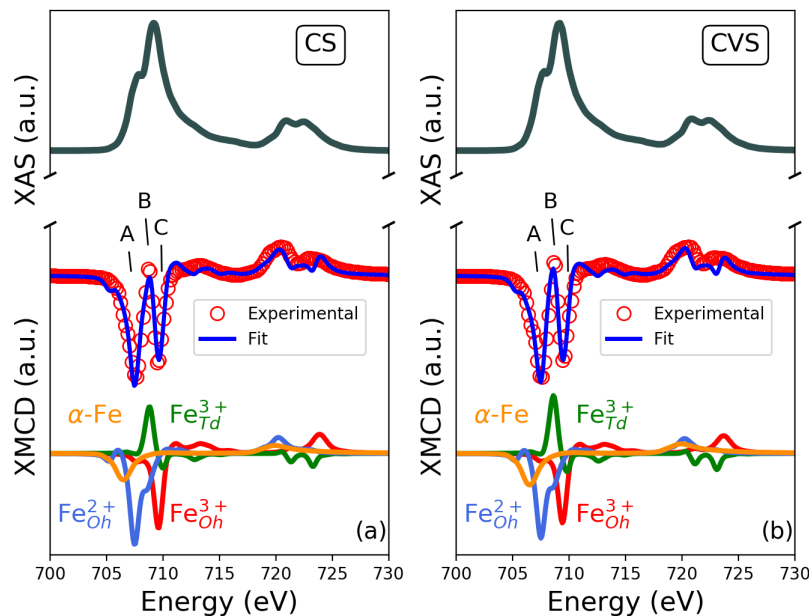


Figure 2. Experimental total electron yield (TEY) data of XAS (top) and XMCD (middle) at 95K in a 5T field with calculations of XMCD of $\text{Fe}_{\text{Oh}}^{2+}$, $\text{Fe}_{\text{Td}}^{3+}$, $\text{Fe}_{\text{Oh}}^{3+}$ and $\alpha\text{-Fe}$ for (a) CS and (b) CVS NPs. The experimental spectra are shown in comparison with a normalized sum (weighted sum?) of calculated spectra (bottom).

217 where a, b, c, and d are fitting parameters determined by non-negative least square fitting.
 218 However, there is some energy overlap in the contributions to the XMCD spectra between
 219 $\alpha\text{-Fe}$ and $\text{Fe}_{\text{Oh}}^{2+}$ that contributes to the increased intensity and broadening of peak A. This
 220 overlap tended to increase the contribution of the $\text{Fe}_{\text{Oh}}^{2+}$ cation to the model of the spectra.
 221 To correct for this over-estimation of coefficient b , we implemented a constraint from the
 222 third NP variant, the hollow (H) NPs that do not contain a contribution from the metallic
 223 Fe core. The hollow NPs XMCD spectrum (Fig. S2 in the Supplementary Material [34])
 224 was fit from the three calculated cation spectra resulting in a $\text{Fe}_{\text{Oh}}^{3+} / \text{Fe}_{\text{Oh}}^{2+}$ ratio of 0.82.
 225 We assumed that the ratio of Fe cations in oxide shell of our NP variants would be stable
 226 across all measurement conditions and we used the $\text{Fe}_{\text{Oh}}^{3+} / \text{Fe}_{\text{Oh}}^{2+}$ ratio from the hollow NPs
 227 as an additional constraint in the modeling of the the CS and CVS NPs. The Fe^{2+} cations
 228 present even in the H NPs indicate the oxide shell is slightly oxygen deficient possibly due
 229 to incomplete oxidation of the Fe at the inner interface of the H NPs.

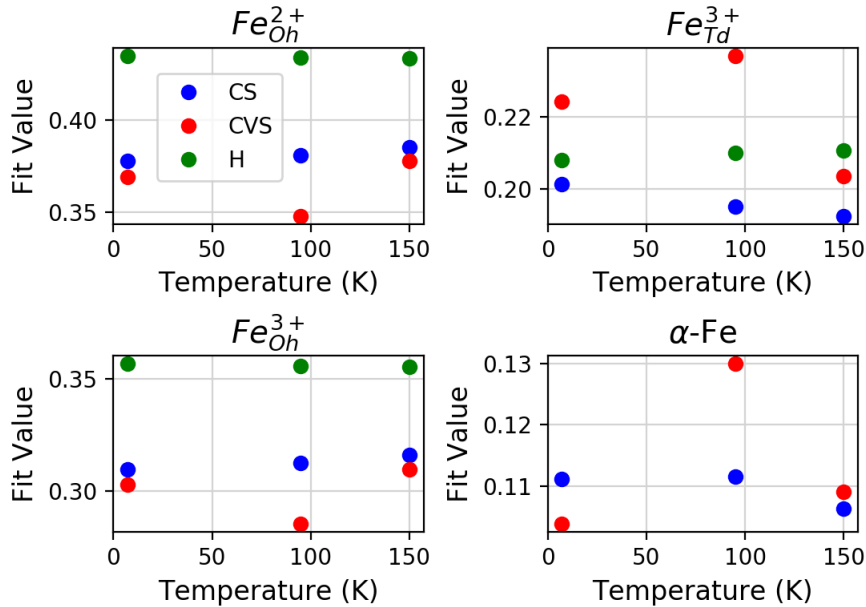


Figure 3. XMCD fitting results showing the weighted contribution of each Fe-species across all temperatures for CS, CVS, and hollow NPs.

230 At the bottom of Fig. 2 we present the fit to the CS and CVS XMCD spectra at 95K.
 231 As can be seen, both Fe_{Oh}^{3+} (red) and Fe_{Td}^{3+} (green) contribute to the XMCD spectra, and
 232 the relative intensity of the two anti-ferromagnetically aligned cations is comparable. The
 233 modeling also reveals a significant contribution to the spectra from Fe_{Oh}^{2+} cations (blue)
 234 indicating that the stoichiometry of the oxide shell differs from that of $\gamma\text{-Fe}_2\text{O}_3$, which
 235 should only contain Fe in a 3^+ oxidation state, to something more Fe_3O_4 -like. The significant
 236 Fe_{Oh}^{2+} concentration provides an explanation for the attenuated intensity of peak *B* in the
 237 XMCD spectra. The Fe_{Oh}^{2+} model calculation has a strong and downward pointing shoulder
 238 at ~ 709.3 eV which overlaps considerably with the upward peak from the Fe_{Td}^{3+} cations,
 239 partially canceling out the contribution to the XMCD spectra from the Fe cations on the
 240 T_d sub-lattice. Finally, the $\alpha\text{-Fe}$ core (yellow) only contributes about 10% to the overall
 241 spectral weight, but this contribution is necessary to reproduce the overall width of peak *A*
 242 in the XMCD spectrum. Model spectra that did not include the Fe core resulted in a very
 243 narrow spectral width for peak *A* that did not reproduce the data well.

244 We conducted similar analyses for all three NP variants (H as well as CS and CVS) at
 245 the three measurement temperatures (5 K, 95 K, and 150 K) thus examining a snapshot of
 246 the NP samples at temperatures well below, near, and well above the blocking temperature.

247 In Fig. 3 we summarize the results. Fit coefficient values are presented for the $\text{Fe}_{O_h}^{2+}$, $\text{Fe}_{O_h}^{3+}$,
 248 $\text{Fe}_{T_d}^{3+}$ and $\alpha\text{-Fe}$ for the CS and CVS NPs; the hollow NPs, which lack a core, did not contain
 249 a contribution from the $\alpha\text{-Fe}$.

250 The coefficient values for the hollow NPs show negligible variation with temperature,
 251 indicating that the magnetic configuration of the hollow NPs does not vary with temperature
 252 under high field conditions (+5 T for the x-ray studies) [40]. To a large extent, the same
 253 holds for the CS NPs. The variation of the fit coefficients is quite small. However, in the case
 254 of the CVS NPs, there is a considerable variation in fit coefficients at 95 K. The coefficients
 255 for the O_h sites are smaller near the blocking temperature while the XMCD spectral weight
 256 from both the $\text{Fe}_{T_d}^{3+}$ cations and the $\alpha\text{-Fe}$ core increase.

257 Small Angle Neutron Scattering

258 As mentioned, x-ray absorption spectroscopy provides essentially *local* information on
 259 the Fe species absorbing the x-ray, and the resulting spectrum is the incoherent sum of the
 260 individual Fe atoms. In contrast, polarized neutron scattering can reveal magnetic config-
 261 urations across a range of length scales. Data were collected at four temperatures ranging
 262 from 15-250 K corresponding to points above, below and near the blocking temperatures of
 263 111 K and 94 K for the CS and CVS particle ensembles, respectively. Field cooling (FC)
 264 and zero field cooling (ZFC) were performed for each temperature with data acquired both
 265 at zero field (ZF) and high field (HF) resulting in four total field conditions; FC ZF, FC
 266 HF, ZFC ZF and ZFC HF. In the half-polarized setup, magnetic and nuclear scattering
 267 information are contained in scattering directions perpendicular to the applied field when
 268 the sample is magnetically saturated while the parallel direction contains only nuclear (non-
 269 magnetic) scattering contributions. Representative SANS profiles in the $\mathbf{Q} \perp \mathbf{H}$ direction,
 270 containing both nuclear and M_{\parallel} scattering contributions, are presented in Fig. 4 and Fig.
 271 5 for the FC-HF, 75K condition. Estimated $1\text{-}\sigma$ counting errors are indicated in the graph;
 272 error estimates not presented are smaller than the marker size. The magnetic + nuclear
 273 scattering profiles in Fig. 4 show a distinct variation when the direction of the neutron spin
 274 is reversed ($I^+(\mathbf{Q})$ and $I^-(\mathbf{Q})$). In Fig. 5 we present the difference of the $I^+(\mathbf{Q})$ and $I^-(\mathbf{Q})$
 275 scattering profiles at 75 K for the four field conditions.

276 The $I^+(\mathbf{Q})$ and $I^-(\mathbf{Q})$ in Fig. 4 share a number of features for both the CS (4-a) and

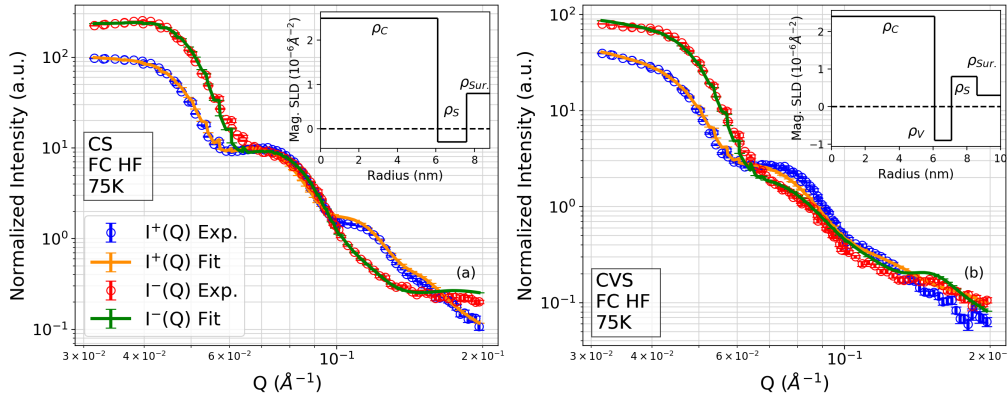


Figure 4. SANS scattering intensities perpendicular to the applied field for (a) CS and (b) CVS NPs at 75K for FC HF measurements. Data for incident neutron spins antiparallel (I^- , red) and parallel (I^+ , blue) to the horizontal field were obtained by having the flipper off and on, respectively. The solid lines show the best fit for each NP using a core + multi-shell model. The inset of each plot shows the evolution of magnetic scattering length density (SLD) as a function of distance from the center of the NP.

277 CVS (4-b) variants. First, both data sets show structural peaks at ~ 0.045 , 0.078 and 0.12
 278 $Q = \text{\AA}^{-1}$, which reflect the overall size of the NPs and their close-packed correlations. The
 279 well-resolved peaks are consistent with the narrow size distribution of the NPs seen in the
 280 TEM micrographs (Fig. 1). The data also show that the structural peaks are somewhat
 281 better defined for the CS NPs than the CVS version. Both the CS and CVS scattering
 282 profiles exhibit a “crossover” in the intensity of the $I^+(Q)$ and $I^-(Q)$ scattering between Q
 283 ~ 0.065 to 0.1\AA^{-1} (*cf.* slightly negative region in Fig. 5); such a “crossover” phenomenon in
 284 polarized SANS is indicative of magnetic scattering from a composite scatterer with a core
 285 / shell structure [41–43].

286 A structural model for each NP variant was developed by fitting $I^\pm(Q \parallel \mathbf{H})$ as scattering
 287 parallel to the field direction contains only nuclear scattering contributions at saturating
 288 fields [27]. While TEM can provide size analysis of a local sampling region, particle dimen-
 289 sions determined with SANS are volume averaged throughout the entire sample and can give
 290 detailed results related to each layer of the NP [44]. The model for both NP types assumed
 291 smooth concentric layers with an outer surface layer composed of leftover surfactants or
 292 other organic materials left on the NPs after cleaning. The void layer in CVS NPs were fit

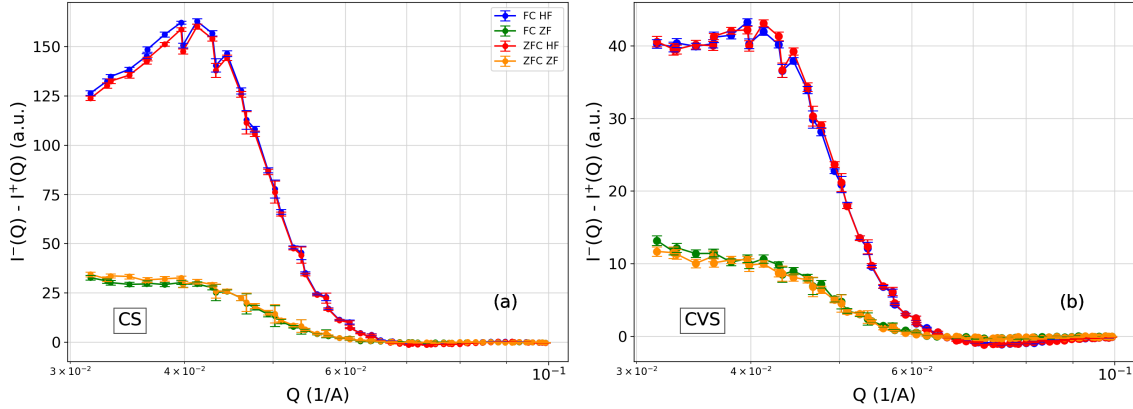


Figure 5. Magnitude of intensity difference between polarization states at 75K and various measurement field conditions for (a) CS and (b) CVS NPs.

293 assuming non-zero SLD values; surface roughness in the core and oxide shell layer in CVS
 294 NPs each contributed to scattering in this layer. For both NP types, the model places the
 295 core at the center of the NP while the void layer in CVS NPs could provide space for the
 296 core to shift off center. Size polydispersity was also included in the model and the metallic
 297 core produced the only significant contribution to the polydispersity. Structural parame-
 298 ters, including polydispersity, were determined from the SANS nuclear scattering data and
 299 were tightly constrained in the modeling of the magnetic scattering. Estimated errors in
 300 structural parameters reported below are derived from least squares fitting to the structural
 301 model.

302 In the CS NP the average radius for the α -Fe core was found to be 6.4 ± 0.12 nm with
 303 a polydispersity value of 7.6% while the average shell thickness for the Fe-oxide and surface
 304 layers were found to be 1.4 ± 0.06 nm and 1.2 ± 0.05 nm, respectively. In the CVS NPs
 305 the reduced core was determined to be 6.1 ± 0.17 nm in radius with a polydispersity value
 306 of 11.4% followed by a 1.0 ± 0.01 nm void, 1.7 ± 0.16 nm Fe-oxide, and 1.5 ± 0.18 nm
 307 surface layers. The thicker shell layers and, subsequently, overall diameter in the CVS NPs
 308 are consistent with other reports on this intermediate CS state when the void is formed
 309 via the Kirkendall Effect [45–47]. Since the core composition will remain the same during
 310 this process the core nuclear SLD was kept constant at $8.05 \cdot 10^{-6} \text{ \AA}^{-2}$ corresponding to the
 311 nuclear SLD of bulk Fe while the oxide shell and surface layers were allowed to vary between
 312 NP samples when fitting. In the oxide layer this resulted in nuclear SLDs $\sim 20\%$ lower than

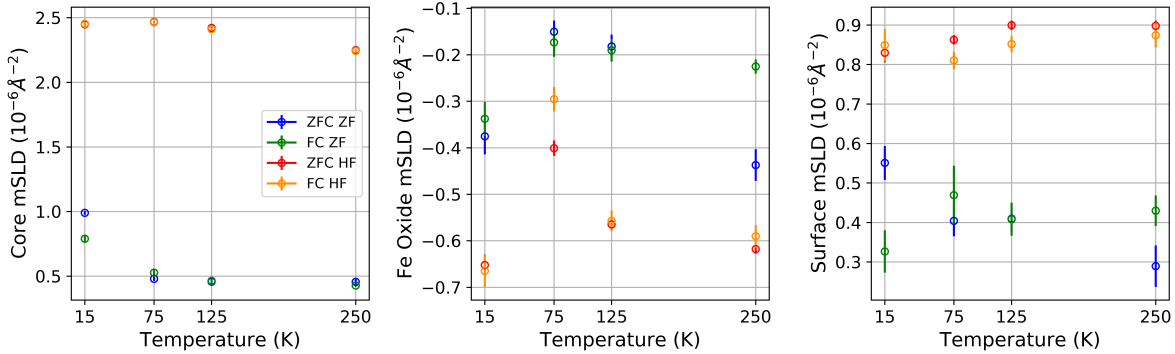


Figure 6. Temperature dependence of magnetic SLDs and field conditions in each layer in CS NPs. For the core of the NP, there is considerable overlap of the mSLDs for the ZFC HF and FC HF conditions.

313 tabulated values for Fe_2O_3 or Fe_3O_4 [48]. The surface layer nuclear SLD was $2.7 \cdot 10^{-6} \text{ \AA}^{-2}$,
 314 which is on the high side for an organic surfactant, but may also include contributions from
 315 the Fe-oxide layer originating from the surface roughness of the nanoparticle.

316 The insets to Fig. 4-a,b show the magnetic contributions to the SLDs (mSLD) in the
 317 FC-HF, 75 K condition calculated for the scattering models of the CS and CVS NPs; the
 318 insets show the variation of the mSLD along the radial coordinate away from the center of
 319 the NP. Note that the idealized model assumes abrupt interfaces along the radial direction.
 320 Interfacial roughness and size variations on the ensemble of NPs would tend to smooth out
 321 the radial SLD profile. Both models have a similar profile of a high moment α -Fe core with
 322 a mSLD of $\sim 2.4 \cdot 10^{-6} \text{ \AA}^{-2}$ followed by a layer with a weakly negative mSLD and finally an
 323 outside layer with a positive mSLD. For the CS NPs, the model assumes the layer with the
 324 negative mSLD is the oxide shell, followed by the surface layer with a net positive mSLD.
 325 In contrast, for the CVS NPs the layer adjacent to the α -Fe core with the negative mSLD
 326 is modeled as the void layer, while the outer layer is divided into the oxide shell followed
 327 by the surfactant layer and both have a positive mSLD (*i.e.* the net magnetism within
 328 this layer is aligned anti-parallel to the applied magnetic field). To adequately reproduce
 329 the data across the whole Q -range presented in Fig. 4 the model requires that the layer
 330 adjacent to the metallic Fe core have a negative mSLD. Restricting the mSLD to only
 331 positive values resulted in near-zero mSLD for the shell layers and failed to capture the
 332 scattering intensity at the Q -values of the structural peaks. While the negative mSLD for

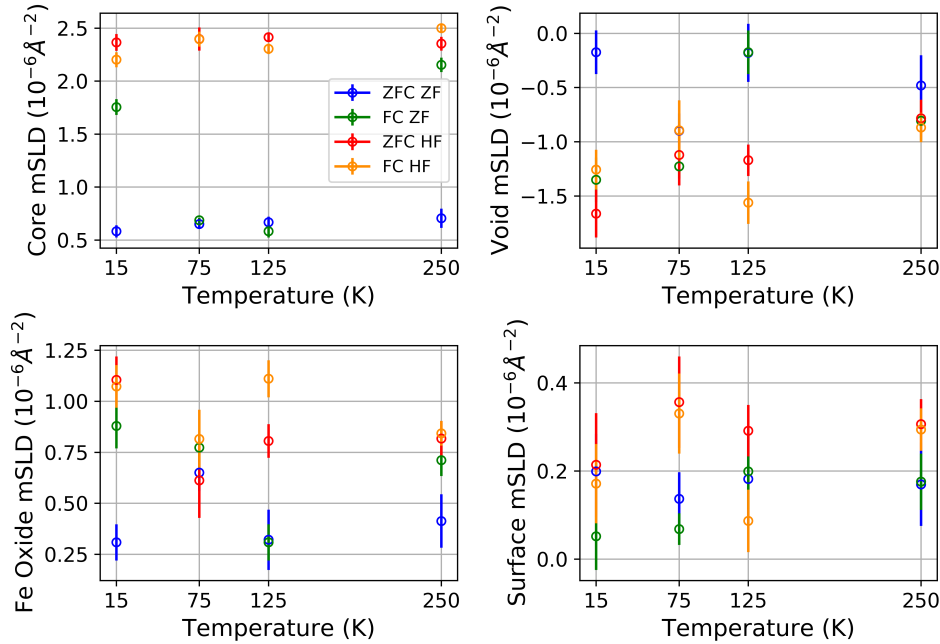


Figure 7. Temperature dependence of magnetic SLDs and field conditions in each layer in CVS NPs.

333 the void layer may appear to be high, we note that this contribution may come from two
 334 sources. First, for simplicity, the model assumes a concentric arrangement of core / void
 335 layer / oxide shell layer while in the actual NPs the core is likely to be off-center. Secondly,
 336 while the model allows for polydispersity of the nanoparticle dimensions in the ensemble, it
 337 assumes that the layers within a single nanoparticle have essentially zero interface roughness,
 338 with an abrupt transition from one density to the next at the radius corresponding to the
 339 transitions between the layers. The interfaces of the NPs are likely to be more complicated,
 340 with interfacial roughness as well as chemical variations along the interface.

341 The mSLD values for the different field conditions and temperatures are summarized in
 342 Fig. 6 for the CS NPs and Fig. 7 for the CVS variant. In CS NPs little change is seen in
 343 the core magnetic scattering across temperatures apart from a slight dip at 250K for HF
 344 measurements which mirrors the reduction in the volume magnetization at high tempera-
 345 ture observed in the bulk magnetometry presented in Fig. 1. The zero field measurement
 346 conditions show relatively weak magnetic scattering from the core for the CS NPs, although
 347 there is an upturn in the mSLD at the lowest temperature of 15 K. The negative magnetic

348 SLDs in the Fe oxide shell indicate that the net spin orientation is anti-parallel to the field
 349 and the mSLD shows greater changes near the blocking temperature. Consistent with the
 350 mSLD profile presented in the inset to Fig. 4-a, the mSLD for the surface layer is aligned
 351 with the metallic Fe core, with a stronger contribution for the high-field conditions, and
 352 shows relatively little variation with temperature.

353 For the CVS NPs (Fig. 7), the core mSLD for both high field conditions also shows little
 354 temperature variation. The FC-ZF condition shows an unusual trend with temperature, with
 355 relatively high values at 250 K and 15 K, and weak scattering near the blocking temperature
 356 (75 K and 150 K data sets). This is clearly observed in the SANS data where the 250 K and
 357 15 K FC-ZF conditions show a strong splitting between the $I^+(\mathbf{Q})$ and $I^-(\mathbf{Q})$ scattering
 358 cross sections and much weaker splitting at the intermediate temperatures. Similar to the
 359 CS NPs, the next layer out from the core, that is, the void layer, has a negative mSLD.
 360 However, there is a greater variation in both magnitude and temperature in the CVS NPs
 361 than in the oxide shell layer with the negative mSLD in the CS NPs. Finally, the outer parts
 362 of the CVS NPs again have a positive mSLD, but in the CVS NPs, this outermost region is
 363 modeled as two layers: oxide shell and surface layer. Generally, there is a tendency of the
 364 magnitude of the mSLD to be larger for the HF vs ZF conditions and there is also a greater
 365 variation in the mSLD near the blocking temperature.

366 Discussion and Conclusions

367 The x-ray spectroscopy and neutron scattering studies of the CS and CVS NPs reveal
 368 several interesting details about the evolution of magnetic ordering in these systems. The
 369 modeling of the the XMCD spectra allows us to track the temperature dependence of the
 370 relative contributions from the metallic core and oxide shell of the NPs. As these studies
 371 were conducted with the samples in a saturating field of +5 T, the natural expectation is
 372 that there should be little variation in the relative contribution of the different magnetic
 373 constituents of the NPs. Indeed this is what we observe in the simpler hollow NPs, where
 374 the metallic core has been fully oxidized leaving only the Fe oxide shell.

375 The relative contributions of the three constituent cation spectra (Fe_{Oh}^{3+} , Fe_{Td}^{3+} and Fe_{Oh}^{2+})
 376 do not change with temperature (see green data set in Fig. 3). The contributions to the
 377 spectra of the CS NPs at saturation also do not change substantially (blue data set, Fig.

378 3) across the measurement temperatures. The spectral weight of the three Fe cations are
 379 all lower than the hollow NPs, as would be expected with the additional contribution from
 380 the metallic core that is lacking in the hollow NPs. However, the CVS NPs (red data set)
 381 exhibit a different behavior. While the spectral weight of the different constituents of the
 382 CVS spectra at high (150 K) and low (15 K) temperatures are generally similar to the CS
 383 values, for the 95 K data, which is close to the blocking temperature of the CVS NPs, the
 384 spectral weight of the O_h cations appears to weaken while the contributions from the Fe_{Td}^{3+}
 385 and the metallic Fe from the core increase considerably.

386 A similar picture of increased magnetic variation near the blocking temperature appears
 387 in the analysis of the polarized SANS data. The most striking aspect of the mSLD values for
 388 the CS NPs is the large splitting between the high-field conditions (FC-HF and ZFC-HF) and
 389 the low field measurements (FC-ZF and ZFC-ZF), which is a feature that is clearly evident
 390 for the metallic Fe core and the surface layer. The Fe oxide shell adjacent to the metallic
 391 core also generally shows a large splitting between high-field and zero-field measurements,
 392 but the difference is considerably smaller at 75 K, where the mSLD remains negative (anti-
 393 aligned with the core) but tends towards zero under all four field conditions. This may
 394 indicate that near the blocking temperature the spins of the oxide shell are becoming more
 395 disordered, leading to reduction in the magnitude of the mSLD.

396 The CVS NPs present a more complicated picture for the evolution of spin order with
 397 temperature. The mSLD of the metallic core for both the FC-HF and ZFC-HF conditions
 398 have values similar to the CS NPs, indicating that the core of NPs align with the applied
 399 field. Without the Zeeman energy of the applied field in the ZFC-ZF condition, the spins
 400 of the metallic Fe core randomize their directions, greatly reducing the mSLD. However the
 401 mSLD for the FC-ZF condition is anomalously high at 15 K and 250 K, that is, away from
 402 the blocking temperature of the NPs. The mSLDS at 75 K of the layers further out from
 403 the core, that is, the void and Fe oxide shell layers, have a narrow spread in values with
 404 considerable overlap of the estimated error for all four field conditions.

405 Overall, the pictures that emerge of the magnetic ordering of the CS and CVS NPs share
 406 some common traits. Both types of NPs have a metallic core that is strongly aligned with
 407 an external magnetic field. Moving outward from the core, the scattering indicates that the
 408 surrounding layer has a tendency to be anti-aligned with the core. In the case of the CS, this
 409 anti-aligned layer is the oxide shell while for the CVS NPs the layer is a region with a partial

410 overlap of the core and the oxide shell. Our modeling assumes concentric arrangement of
411 the core, void and shell layers while in the NPs roughness along the inside surface of the
412 oxide shell and the outer surface of the metallic Fe core will generate a non-zero mSLD and
413 nuclear SLD for the void layer in the CVS NPs. Finally, roughness on the outer edge of the
414 oxide shell will produce an irregular interface between the nanoparticle and the remaining
415 surfactant on the outside, again generating non-zero SLDs but with considerably smaller
416 values than the core. This kind of radial profile for the NPs can be seen in the insets to Fig.
417 4.

418 The SANS data are consistent with a development of the metallic core / void / oxide shell
419 structure that does not proceed smoothly. The oxide shell that develops around the metallic
420 Fe core is poly-crystalline, with nanocrystallites that form at energetically favorable crystal
421 facets of the Fe core that then grow and coalesce into the oxide shell [28, 49]. The void forms
422 preferentially via oxygen diffusion along the boundaries of the oxide nanocrystals, leading
423 to a core that is hollowed out at irregular points along the surface of the Fe metal core [50].
424 A related effect is that the irregular oxygen diffusion process leads to a variation in the iron
425 - oxygen stoichiometry. One signature of this effect is the mSLD for the surface layer of the
426 CS NPs, which has a value for the high-field conditions that is similar to that of γ -Fe₂O₃
427 and Fe₃O₄ [51, 52].

428 The profile of the mSLD presented in the insets to Fig. 4 indicate that the desired en-
429 hancement of saturation magnetization of the metallic core / oxide shell NPs coming from
430 the high-moment α -Fe is partially cancelled out by the adjacent layer with an anti-aligned
431 net spin. Understanding the origin of this spin alignment would be a key step forward in de-
432 veloping bio-compatible, high-moment MNPs. Our experimental configuration did not allow
433 us to examine directly the issue of spin canting at the surface of the NPs, which was shown
434 to be an important consideration in hollow Fe-oxide NPs in our previous publication [40].
435 Further SANS studies using full polarization analysis would provide important additional
436 information on the degree of spin canting and spin frustration that develops in the core/shell
437 and core/void/shell NPs, perhaps suggesting methods to mitigate those moment-reducing
438 interactions.

439 The different data sets above highlight the complementary nature of magnetic X-ray spec-
440 troscopy and neutron scattering. XMCD emphasizes the electronic states that contribute
441 to the particle magnetism, but when conducted in TEY mode alone, XMCD can miss the

442 contributions of more complex spin arrangements buried inside the NPs. On the other hand,
443 the scattering vector sensitivity of even half-polarized SANS can be inverted to produce a
444 radial distribution of the magnetic state as presented in the insets of Fig. 4. The com-
445 bination of techniques provides a more comprehensive picture of the evolution of the NP
446 magnetization.

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