



CHORUS

This is the accepted manuscript made available via CHORUS. The article has been published as:

Ferroelectric domain architecture and poling of BaTiO₃ on Si

J. Nordlander, F. Eltes, M. Reynaud, J. Nürnberg, G. De Luca, D. Caimi, A. A. Demkov, S. Abel, M. Fiebig, J. Fompeyrine, and M. Trassin

Phys. Rev. Materials **4**, 034406 — Published 18 March 2020

DOI: [10.1103/PhysRevMaterials.4.034406](https://doi.org/10.1103/PhysRevMaterials.4.034406)

Ferroelectric domain architecture and poling of BaTiO₃ on Si

J. Nordlander,^{1,*} F. Eltes,² M. Reynaud,³ J. Nürnberg,¹ G. De Luca,^{1,4} D. Caimi,² A. A. Demkov,³ S. Abel,² M. Fiebig,¹ J. Fompeyrine,² and M. Trassin¹

¹*Department of Materials, ETH Zurich, 8093 Zurich, Switzerland*

²*IBM Research - Zurich, 8803 Rüschlikon, Switzerland*

³*Department of Physics, The University of Texas at Austin, Austin, Texas 78712, USA*

⁴*Department of Physics, University of Zurich, 8057 Zurich, Switzerland*

(Dated: February 14, 2020)

Abstract

We investigate the ferroelectric domain architecture and its *operando* response to an external electric field in BaTiO₃-based electro-optic heterostructures integrated on silicon. By non-invasive optical second harmonic generation we identify the preexistence of in-plane- (*a*-) domains dispersed within a predominantly out-of-plane- (*c*-) oriented matrix. Monitoring the poling behavior of the respective domain populations, we show that the spontaneous polarization of these *a*-domains lack a predominant orientation in the pristine state, yet can be selectively aligned with an in-plane electric-field, leaving the *c*-domain population intact. Hence, domain reorientation of a ferroelastic *c*-to-*a*-type was directly excluded. Such independent electrical control of ferroelectric *a*-domains in a *c*-oriented BaTiO₃ film on silicon is a valuable platform for engineering multidirectional electro-optic functionality in integrated photonic devices.

* johanna.nordlander@mat.ethz.ch

20 I. INTRODUCTION

21 Ferroelectric materials host a range of properties of great technological relevance: their in-
22 herent piezoelectric effect motivated their original use as mechanical elements in e.g. sensors
23 or actuators, and the electric-field controllability of their spontaneous polarization has placed
24 them as key elements for oxide electronics [1, 2]. Ferroelectric materials also exhibit charac-
25 teristic optical properties that extend their device potential to the field of photonics [3–6]. In
26 particular, the pronounced linear electro-optic effect (Pockels effect) exhibited by some ferro-
27 electrics allows energy-efficient control of light propagation through tuning of the refractive
28 index n proportional to an external electric field E^{ext} : $\Delta n_{ij} \propto r_{ijk} E_k^{\text{ext}}$. The Pockels tensor
29 r_{ijk} parametrizes the strength of the effect and relates it to the non-centrosymmetric crys-
30 tal structure of the material. In ferroelectrics, the electro-optic properties are thus closely
31 connected to their ferroelectric domain configuration, that is, the spatial distribution of the
32 inversion-symmetry-breaking spontaneous polarization.

33 The many technological prospects of combining such electro-optic ferroelectrics with the
34 established silicon-based electronics platform has been a major driving force for the integra-
35 tion of epitaxial ferroelectric thin-films on silicon substrates [7–9]. One of the most prominent
36 ferroelectrics used for this implementation is BaTiO₃ (BTO), by virtue of being lead-free in
37 addition to exhibiting exceptionally high Pockels coefficients at typical telecommunication
38 wavelengths, like 1310 and 1550 nm [6]. However, strain-relaxation effects accompanying
39 the BTO thin-film growth **directly on SrTiO₃ (STO)-buffered silicon for electro-optic ap-**
40 **lications, excluding the insertion of additional buffer-layers [10–12]**, usually result in a
41 complex domain architecture [13, 14]. The spontaneous polarization in BTO films on silicon
42 (BTO|Si) may point along the out-of-plane or either of the two in-plane, principal crystal-
43 lographic axes. In particular, a mixture of nanoscale domains, each with polarization along
44 one of these three directions, is often observed [15]. The superposition of electro-optic effects
45 specific to each of these domain states in a multidomain sample results in a highly non-trivial
46 electro-optic behavior at the macroscopic level of the device. Hence, characterizing the do-
47 main distribution and its response to applied electric fields is crucial for understanding and
48 controlling the optical properties of the ferroelectric layer. This remains challenging, how-
49 ever, in a device heterostructure. So far, probing the polarization state of BTO|Si has mainly
50 been restricted either to invasive characterization such as transmission electron microscopy

51 or to scanning probe techniques [15–18], which are sensitive to surface information only.
52 Direct access to the domain architecture of BTO|Si as it evolves with applied electric fields
53 in the active volume of an electro-optic device requires a simultaneously non-destructive and
54 bulk-sensitive probe technique that, on top of all this, has to be applicable *operando*, that
55 is, during electric-field operation of the device.

56 Here, we used spatially resolved optical second harmonic generation (SHG) to characterize
57 the ferroelectric domain distribution non-invasively and throughout the thickness of BTO
58 thin films on silicon. This method allowed us to distinguish between the individual domain
59 states in a multidomain architecture, including in particular the detection of disordered as-
60 grown *a*-domains within a *c*-oriented matrix. Monitoring the evolution of *a*- and *c*-domain
61 populations in response to an in-plane electric field in an *operando* approach further allowed
62 us to determine details of the in-plane poling mechanism. We found that the alignment of
63 *a*-domains occurs purely through in-plane domain reorientation, without any occurrence of
64 ferroelastic *c*-to-*a* domain transformation, so that the *c*-domain population remains intact
65 during the poling.

66 II. THIN FILM GROWTH AND STRUCTURE

67 Our electro-optic heterostructure, a 50-nm ferroelectric BTO thin film on STO-buffered
68 (001)-oriented silicon, was grown using molecular beam epitaxy (MBE) as described in
69 Ref. 7. **The orientation of the macroscopic polarization of BTO on STO-buffered silicon is**
70 **controlled by the thickness dependent strain-relaxation of the BTO layer [14].** The epitaxial
71 relationship between the substrate and the tetragonal BTO thin film was confirmed with
72 X-ray diffraction (Fig. 1). The two *a*-axes lie in the plane of the BTO film, $[110]_{\text{BTO}} \parallel$
73 $[100]_{\text{Si}}$, and the longer (polar) *c*-axis of the BTO film is oriented out-of-plane, $[001]_{\text{BTO}} \parallel$
74 $[001]_{\text{Si}}$. Note that here and in the following, all crystallographic axes refer to this BTO
75 lattice. **High resolution $\theta/2\theta$ -scans around the out-of-plane and grazing incidence around**
76 **the in-plane $\{200\}$ -type BTO reflections are shown in Fig. 1(b). A comparison of the two**
77 **reflections shows that the average in-plane lattice parameter is shorter than the out-of-plane**
78 **lattice parameter. In agreement with previous reports [7, 15], this indicates that the 50-nm**
79 **film is mostly *c*-axis-oriented BTO. We note however that our peak analysis of the diffraction**
80 **data is compatible with a small contribution of *a*-oriented domains.**

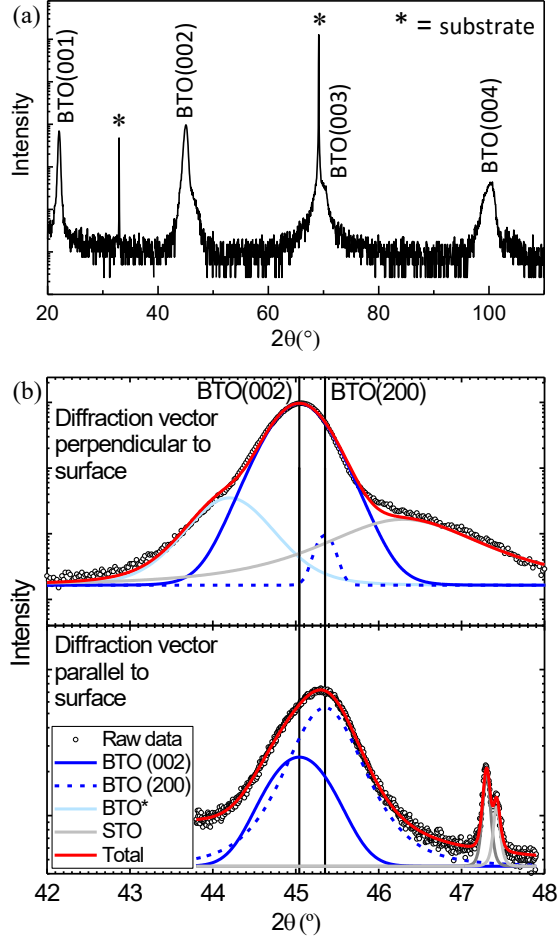


FIG. 1. (a) X-ray-diffraction $\theta/2\theta$ scan revealing a single-phase epitaxial film of tetragonal BTO on Si. (b) High resolution $\theta/2\theta$ -scan around the out-of-plane (top) and grazing incidence scan of the in-plane (bottom) $\{200\}$ type BTO reflections. The diffraction profiles are fitted with contributions of both c -oriented and a -oriented domains. The extracted lattice parameters are $a = 0.3996$ nm and $c = 0.4022$ nm. These values slightly deviate from the bulk parameters possibly because of tensile strain originating in the difference in the coefficient of thermal expansion between silicon and BTO. The out-of-plane diffraction profile can be fitted with a very small contribution of a -domains. For the in-plane diffraction data the area ratio of the a -domain contribution is 30% with respect to the c -domains. The difference in a -domain contribution between the two measurement configurations suggest that, due to the grazing incidence geometry, the in-plane diffraction profile strongly overestimates the actual volume fraction of a -domains predominantly situated close to the surface. The diffraction peak analysis furthermore reveals the convolution of the BTO peaks with the diffraction peaks of the underlying STO buffer as well as the possible presence of a highly compressive strained layer of BTO at the STO interface, labeled BTO*.

81 To investigate the influence of an in-plane electric field on the ferroelectric domain dis-
 82 tribution in the BTO|Si heterostructure, planar capacitors were fabricated by depositing
 83 parallel tungsten electrodes on the BTO thin-film surface. The distance between the elec-
 84 trodes is $5\ \mu\text{m}$. The in-plane orientation of the electrode gap is varied between devices
 85 for testing the effect of in-plane electric fields along $[100]_{\text{BTO}}$, $[010]_{\text{BTO}}$ and $[110]_{\text{BTO}}$. The
 86 fabrication process has been described elsewhere [14].

87 III. EXPERIMENT

88 We investigated the ferroelectric domain configuration of the BTO film using laser-optical
 89 SHG, i.e. frequency-doubling of light. This process is parameterized by the material-
 90 dependent tensor-components of the second-order nonlinear susceptibility, $\chi^{(2)}$. In the
 91 electric-dipole approximation it takes the form

$$92 \quad P_i(2\omega) = \epsilon_0 \chi_{ijk}^{(2)} E_j(\omega) E_k(\omega). \quad (1)$$

93 Here $E_{j,k}(\omega)$ are the electric field components of the incident fundamental beam and $P_i(2\omega)$
 94 denotes the resulting nonlinear polarization in the material which acts as source for the
 95 emitted SHG light. Just as for the Pockels effect, the tensor nature of $\chi^{(2)}$ makes the SHG
 96 response of a ferroelectric sensitive to the orientation of the inversion-symmetry-breaking
 97 spontaneous polarization in the material, and, thus, to its ferroelectric domain state [19, 20].
 98 **In contrast, scanning probe microscopy (SPM) techniques typically employed to study fer-**
 99 **roelectric domain architectures rely on the coupling between the polarization state and the**
 100 **piezoelectric response. Hence, while SPM necessitates conducting bottom electrodes for op-**
 101 **timal response from surface domain states, SHG has the advantage of being contact-free, yet**
 102 **possessing the bulk-sensitivity to address multidomain distributions of polar axes through-**
 103 **out the thickness of the film [21], even during the deposition process [22, 23], in absence**
 104 **of electrodes [24] and when this film is integrated into a device architecture [25–27].** For
 105 tetragonal BTO, three different crystallographic domains can be defined. These correspond
 106 to six polarization states because of the possible (\pm) -orientation of the polarization with
 107 respect to the long tetragonal axis of each crystallographic domain. Ferroelectric domains
 108 whose polarization points along either of the two in-plane crystallographic directions are
 109 termed a_1 - and a_2 -domains whereas out-of-plane-polarized domains are termed c -domains,

110 as defined in Fig. 2(a).

111 The $\chi^{(2)}$ tensor for tetragonal BTO is defined by its $4mm$ point-group symmetry [28].
112 The set of non-zero elements in this point group allows for clear separation of contributions
113 from a_1 -, a_2 - and c -domains in an experiment varying the direction of the wave vector of the
114 incident light with respect to the sample orientation as described in detail in Ref. 21. SHG
115 measurements in normal incidence are only sensitive to a -domain contributions, yielding
116 so-called a -SHG. By tilting the sample, SHG from c -domains (c -SHG) can also contribute
117 to the signal. In thin-film samples, unique a - c selectivity of the SHG response is most
118 conveniently achieved in transmission geometry. However, silicon is a strong absorber in the
119 SHG wavelength range typically employed for probing ferroelectric oxides [20, 25], rendering
120 SHG studies of domain distributions in silicon-based thin-film systems scarce. Here, we
121 circumvent the issue of absorption by taking advantage of the near-infrared transparency
122 of silicon and design a transmission experiment with incidence of the fundamental beam at
123 $\lambda_{\text{fund}} = 1300$ nm onto the back of the silicon wafer [Fig. 2(b)]. Hence, the fundamental light
124 is transmitted through the silicon to the BTO film, letting the SHG light, which would be
125 otherwise absorbed in the substrate, directly exit our heterostructure from the surface of
126 the BTO film.

127 A Ti:sapphire laser at $\lambda = 800$ nm with a pulse width of 120 fs and repetition rate of
128 1 kHz was converted to $\lambda_{\text{fund}} = 1300$ nm using an optical parametric amplifier. The $\chi^{(2)}$
129 components contributing to the SHG signal at $\lambda_{\text{SHG}} = 650$ nm were evaluated from the
130 dependence of the SHG intensity on the light polarization of incident and detected beams.
131 This so-called SHG anisotropy measurement was performed by rotating the polarization of
132 the fundamental beam by the angle α between 0° and 360° and detecting the SHG light in
133 parallel configuration under an angle $\beta = \alpha$.

134
135 The electric-field-dependence of the BTO domain-distribution was investigated by apply-
136 ing square electric field pulses of 50 kV/cm, well above the BTO coercive field [7], for 60
137 seconds across the planar electrode pairs on top of the BTO surface. In order to characterize
138 the BTO domain distribution in just the area where the electric field had been applied, i.e.
139 within the gap between the electrode pairs, we used spatially resolved SHG imaging of the
140 sample as described in Figs. 2(b,c), with integration times of 2-3 minutes. For domain popu-
141 lations where the individual domains are of sub-optical-resolution size (here: $\lesssim 0.7\mu\text{m}$), as is
142 often the case in thin films, the SHG light from different domain states interferes. SHG waves

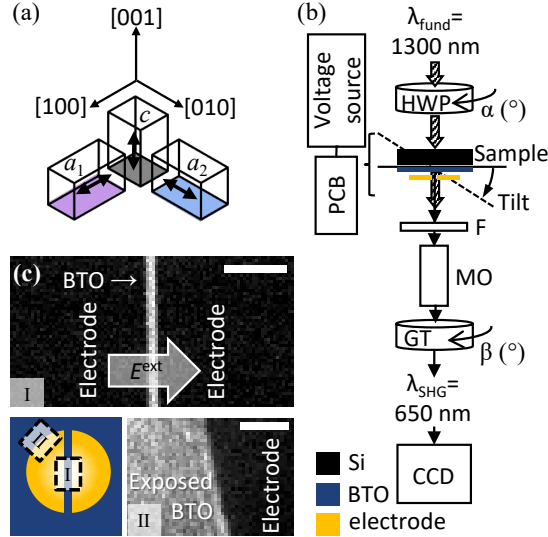


FIG. 2. (a) Schematic showing the relative orientation of a_1 -, a_2 - and c -domains. The double-headed arrows indicate the two possible directions for the spontaneous polarization of each crystallographic domain type. (b) Top-view schematic of the experimental setup for SHG imaging in transmission geometry. The light polarization (α) of the fundamental laser beam is then set by a rotatable half-wave plate (HWP). The beam is incident on the back of the Si substrate at the angle of the sample tilt. The SHG signal is separated from the fundamental beam using a bandpass filter (F) and spatially resolved by a microscope objective (MO). The detected SHG polarization (β) is selected by a rotatable Glan-Taylor prism (GT). The resulting SHG image is acquired by a nitrogen-cooled CCD camera. For application of an in-plane electric field to the BTO|Si electro-optic devices, the electrodes are wire-bonded to a printed circuit board (PCB) and connected to a voltage source. (c) SHG images in tilted incidence of the pristine BTO film in the electrode gap (I) and next to the device (II). **The corresponding positions are marked in the top-view schematic. The direction of the applied electric field is indicated by the large arrow in (I). The dark regions of the SHG images correspond to areas of the BTO covered by the patterned tungsten electrodes.** The scale bars are $20 \mu\text{m}$.

143 from domain states with parallel polarization interfere constructively, while antiparallel po-
 144 larization leads to a 180° phase difference between the corresponding SHG contributions
 145 so that destructive interference occurs [22, 29]. Note that although the domains in our
 146 BTO|Si heterostructure are below this resolution limit, we nevertheless obtain information
 147 on the overall domain architecture through the characteristic SHG anisotropy yielded by

148 this domain-state interference.

149 IV. RESULTS

150 A. Pristine ferroelectric domain architecture

151 Figure 3(a) shows the SHG anisotropy of the pristine BTO film for normal and tilted
152 incidence. As mentioned, only a -SHG is allowed in normal incidence. The absence of a
153 SHG signal in this configuration indicates that the non-zero SHG response we obtain in
154 tilted incidence, where a - and c -SHG are mixed, is of pure c -SHG type. Hence, only the
155 ferroelectric polarization of c -domains contributes to the net SHG response in the pristine
156 BTO film. The anisotropy of this c -SHG signal corresponds to a double lobe pointing along
157 the planar projection of the out-of-plane polar axis (along $90^\circ/270^\circ$), which, in the present
158 case, coincides with the horizontal direction of the sample tilt, as defined in Fig. 3(b). Note
159 that the SHG intensity reaches zero for a light polarization perpendicular to the polar axis
160 ($0^\circ/180^\circ$ in Fig. 3), a property we will make use of later on. The SHG anisotropy for the
161 pristine BTO was measured both on the exposed film next to the devices and in the small slit
162 between the electrodes [see Fig. 2(c)]. In both cases, identical SHG polarization anisotropies
163 were obtained with only an overall difference in intensity. This confirms that our SHG probe
164 technique resolves well the small area of BTO serving as active device region.

165 Even though we observe absence of an a -domain contribution in the SHG signal from the
166 as-grown thin film and even though XRD analysis indicates a predominantly c -oriented film
167 (Fig. 1), intermixed a - and c -domains have been previously reported for BTO films as thin
168 as 8 nm on silicon substrates [15]. Our BTO film at 50 nm exceeds this thickness by far. We
169 therefore conclude, that the absence of a -SHG indicates either (i) **a density of a -domains**
170 **below the experimental detection threshold**, or (ii) complete cancellation of destructively
171 interfering SHG contributions from a -domains smaller than the optical resolution limit with
172 equal volume fractions of antiparallel polarization domain states. In the following we will
173 see that, not only are we able to discriminate between these two cases, but we also provide
174 insight into the type of domain reorientation triggered upon electrical poling.

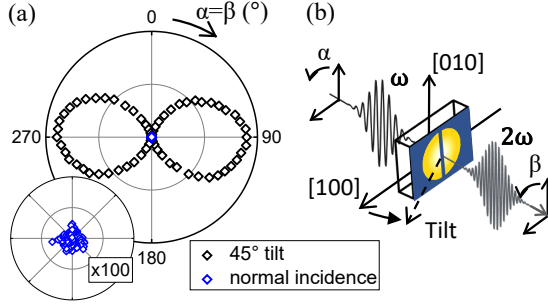


FIG. 3. (a) SHG anisotropy measurement from the pristine BTO film, at 45° sample tilt (black) and in normal incidence (blue), as a function of parallel incident and detected light polarizations, as defined in Sec. III. The inset shows a $100\times$ magnification of the SHG anisotropy measurement in normal incidence. The absence of a -domain-related a -SHG in normal incidence indicates that the non-zero SHG signal in tilted incidence is of pure c -SHG type. Hence, only out-of-plane polarized c -domains are contributing to the SHG in the pristine state. (b) Schematic of the measurement configuration in (a). For measurements in tilted incidence, the sample is rotated around the vertical axis, corresponding to a projection of the out-of-plane $[001]_{\text{BTO}}$ -axis onto the horizontal ($90^\circ/270^\circ$) direction.

B. Electric poling of a -domains

In order to scrutinize the ferroelectric domain distribution in the 50-nm BTO layer and its response to electrical poling, we used SHG imaging in combination with electric-field application along the plane of the film, as described in Sec. III. Poling was investigated in three device configurations, namely electric field along $[100]_{\text{BTO}}$, $[010]_{\text{BTO}}$ and $[110]_{\text{BTO}}$. The normal-incidence a -SHG anisotropies after in-plane electrical poling are shown for each of these configurations in Figs. 4(a-c). While measurements in the pristine state, as discussed in Sec. IV A, did not yield any a -SHG, application of the in-plane electric field led to a remanent a -SHG signal in the gap between the electrodes. This signal was more than 30 times larger than the detection threshold of SHG, clearly evidencing electric-field poling of a -domains for all device configurations. SHG measurements on BTO films as thin as 26 nm on silicon reveal a similar presence of a -SHG that appears only after in-plane electric-field application. We can understand the poling-induced presence of a -SHG by comparing the experimental data in Fig. 4(a-c) with SHG anisotropy simulations of different a_1 - and a_2 -domain configurations using bulk BTO values [30] for the tensor components of $\chi^{(2)}$ in Eq. (1). We find agreement

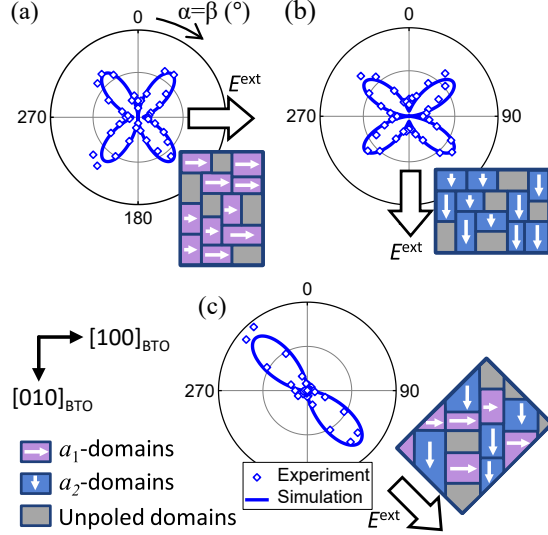


FIG. 4. (a-c) SHG anisotropy in normal incidence for the 50 nm BTO film subsequent to in-plane poling along (a) $[100]_{\text{BTO}}$, (b) $[010]_{\text{BTO}}$ and (c) $[110]_{\text{BTO}}$. The solid lines are SHG anisotropy simulations using BTO bulk coefficients of $\chi^{(2)}$ and assuming the domain architecture sketched in the respective insets.

191 between theory and experiment when assuming that the electric field along $[100]_{\text{BTO}}$ only
 192 generates a poled a_1 -domain population [Fig. 4(a)], whereas the electric field along $[010]_{\text{BTO}}$
 193 poles only an a_2 -domain population [Fig. 4(b)]. Hence, the two cases are identical up to a 90°
 194 in-plane rotation. With an electric field along $[110]_{\text{BTO}}$, equal fractions of the two a -domain
 195 types are poled, leading to a fundamental change in the SHG anisotropy [Fig. 4(c)] that
 196 corresponds to the coherent superposition of the a_1 - and a_2 -cases described above. Thus, we
 197 see that the in-plane electric field yields a poled a -domain architecture, where the relative
 198 field components along the principal crystallographic a -axes control the poling ratio of the
 199 two in-plane domain variants. To gain a full understanding of the domain dynamics in the
 200 system, however, it is necessary to also determine the type of domain architecture in the
 201 pristine film that forms the reservoir out of which these a -domains are electrically coerced.

202 For this purpose, we consider two scenarios for the electric-field alignment of a -domains,
 203 following the two cases discussed in Sec. IV A. First we consider a reorientation of c -domains
 204 into a -domains in the absence of an as-grown a -domain reservoir to draw from (case (i)).
 205 For example, previous studies have shown c -to- a domain-reorientation by electrical poling in
 206 both BTO bulk crystals [31] and $\text{Pb}(\text{Zr}_{0.2}\text{Ti}_{0.8})\text{O}_3$ (PZT) thin films [32, 33]. Alternatively,

207 the generation of a -domains could result from poling of a preexisting, 1:1 population of
208 oppositely polarized a -domains (case (ii)).

209 For case (i), the ferroelastic transformation of domains from c - to a -axis-orientation would
210 manifest itself as an increase in a -SHG intensity with a corresponding decrease of c -SHG
211 intensity, as the a -domain population would grow at the expense of the c -domain population.
212 For case (ii), on the other hand, the onset of a -SHG from the poling of pre-existing a_1 - or
213 a_2 -domains would leave the c -SHG contribution constant, as the c -domain population itself
214 would remain unchanged.

215 C. Electric-field dependence of c -domain population

216 Independent access to both a - and c -SHG contributions in thin-film ferroelectrics has
217 previously been achieved by performing a set of subsequent measurements in different optical
218 configurations. However, investigation of the actual poling mechanism requires an *operando*
219 approach with simultaneous access to the two SHG contributions during poling within a
220 single experimental setup. In the previous section, all SHG measurements were performed
221 in normal incidence where only a -SHG can contribute to the SHG signal. To allow all
222 SHG contributions, we now turn to a tilted-incidence SHG geometry [Fig. 5(a)]. We used
223 the $[110]_{\text{BTO}}$ -oriented device for this type of experiment. In contrast to the $[100]_{\text{BTO}}$ - and
224 $[010]_{\text{BTO}}$ -oriented device types, here the a -SHG anisotropy exhibits a double-lobe symmetry
225 where the SHG contribution peaks along the electric-field and net-polarization direction but
226 is zero perpendicular to it [see Fig. 4(c)]. Similarly, as seen in Fig. 3, the c -SHG exhibits
227 a double-lobe anisotropy which is maximized along the projection of the out-of-plane polar
228 axis onto the direction of the sample tilt (along $90^\circ/270^\circ$), and is zero perpendicular to it.
229 Thus, by tilting the sample and orienting it such that $[110]_{\text{BTO}}$ ($\parallel E^{\text{ext}}$) is perpendicular to
230 the horizontal sample tilt, a -SHG and c -SHG are polarized orthogonal to each other [see
231 schematic in Fig. 5(b)]. This enables simultaneous and cross-interference-free detection of
232 both contributions.

233 In this tilted-incidence configuration, we measured the evolution of the SHG anisotropy
234 [Fig. 5(c,d)] and SHG intensity [Fig. 5(e,f)] following the application of consecutive voltage
235 pulses to the $[110]_{\text{BTO}}$ -oriented device. As noted earlier, only c -SHG is detected for the
236 pristine state before poling [Fig. 5(c)]. Directly after the first voltage pulse a drastic change
237

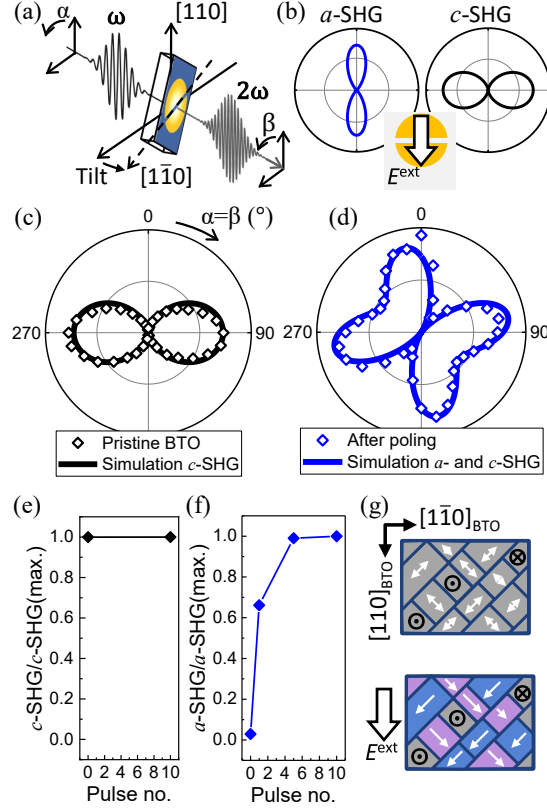


FIG. 5. Independent characterization of a - and c -SHG on BTO thin films in tilted incidence. (a) Schematic of the measurement geometry. (b) Expected a - and c -SHG anisotropies after poling along $[110]_{\text{BTO}}$ in the measurement geometry in (a) with a 30° sample tilt. (c,d) SHG anisotropy before (c) and after (d) in-plane poling of the BTO film. The solid lines show corresponding SHG simulations using bulk values for $\chi^{(2)}$, given the domain architectures sketched in (g). (e,f) Evolution of the c -SHG (e) and a -SHG (f) intensity as function of the number of applied electric-field pulses. (g) Sketch of the domain architecture in the pristine BTO film (top) and the same film subsequent to in-plane electrical poling (bottom). Only preexisting a -domains, that in the pristine state lack a net polarization direction, are poled. The a_1 - and a_2 -domains are poled in equal fractions, indicated by purple and blue, respectively. The c -domain population remains unchanged during in-plane poling.

238 in the anisotropy of the SHG signal was observed [Fig. 5(d)]. The a -domain population
 239 induced by the poling leads to the onset of a -SHG that appears perpendicular to the c -SHG
 240 signal, as detailed above. Given the 30° sample tilt and assuming an initially homogeneously
 241 polarized c -oriented matrix, the SHG simulations [34] provide an estimation of the upper

242 limit for the relative volume fraction of a -domains after poling of 34%. Allowing instead
243 a mixed domain configuration rather than a single domain state for the c -domain matrix
244 will however yield a lower volume fraction of a -domains [35], in agreement with the XRD
245 analysis.

246 Notably, the sudden increase in a -SHG was not accompanied by any significant change in
247 the c -SHG intensity [Fig. 5(e)]. As seen in Fig. 5(f), the a -SHG intensity is fully saturated
248 after five pulses; after applying another five pulses, no further change of either a - nor c -SHG
249 yields was observed. Furthermore, back-switching was not detected; the poled a -domain
250 state exhibits long-term remanence.

251 We recall that a c -to- a domain reorientation as discussed earlier in case (i) would be
252 expected to lead to a reduction in the c -SHG intensity when going from pristine to fully
253 poled state. Clearly, the conservation of the c -domain population during in-plane poling in
254 combination with the saturation of the a -SHG response is in stark contrast to this scenario
255 and thus excludes such ferroelastic c -to- a domain reorientation in the BTO heterostructure.
256 Therefore, the reservoir for the poled a -domains related to the emerging a -SHG signal must
257 be a preexisting a -domain population, which in the pristine state consists of equal volume
258 fractions of antiparallel polarization directions, corresponding to case (ii) above and sketched
259 in Fig. 5(g).

260 V. DISCUSSION

261 By probing the ferroelectric domain distribution in BTO thin-films integrated on silicon
262 with non-invasive laser-optical SHG, we could clearly distinguish between c - and a -domain
263 populations and thus monitor their individual response to an external, in-plane-oriented
264 electric field. We characterized this poling behavior directly in the integrated device archi-
265 tecture. The sub-resolution domain-size of the ferroelectric a -domain population precluded
266 its detection in the pristine BTO film (a common issue for ultrathin ferroelectric films), yet
267 here we accessed it by aligning the a -domains along the in-plane electric field, uncovering
268 a multidomain state for the pristine BTO film. The in-plane electric field acts exclusively
269 on the a -domain populations, leaving the c -domain population intact for electric fields up
270 to at least 50 kV/cm. We thus excluded the occurrence of (irreversible) ferroelastic c -to- a
271 domain-reorientation in the BTO thin films. This stands in contrast to reports on domain

272 reorientation in PZT thin films [32, 33] and may be attributed to the stronger coupling
273 between strain and electric dipoles in the BTO thin films compared to PZT, where local ro-
274 tation of polarization is more frequently observed [36]. We have further shown that the ratio
275 between poled a_1 - and a_2 -domain populations can be controlled by the choice of in-plane
276 direction of the applied electric field. Conversely, the c -domain population can be individ-
277 ually accessed by an out-of-plane oriented field, as has been reported for similar BTO|Si
278 heterostructures even in the absence of a bottom electrode [15]. These non-mixing a - and
279 c -domain populations that can be individually addressed by the choice of the orientation of
280 the applied electric field thus indicate the possibility of multi-level control of electro-optic
281 response in BTO-based integrated photonic devices. Therefore we expect our work to stimu-
282 late further investigations of oxide heterostructures taking advantage of mixed in-plane and
283 out-of-plane anisotropies.

284 ACKNOWLEDGMENTS

285 J.Nordlander, M.T. and M.F. acknowledge financial support by the EU European Re-
286 search Council under Advanced Grant Program, No. 694955-INSEETO. M.R. and A.A.D.
287 gratefully acknowledge support by the National Science Foundation under grant No. IRES-
288 1358111 and by the Air Force Office of Scientific Research under Grant No. FA9550-18-1-
289 0053.

290 All authors discussed the results and contributed to the completion of the manuscript.
291 F.E., D.C., S.A. and J.F. performed the thin film growth, electrode patterning and struc-
292 tural analysis. J.Nordlander coordinated the SHG measurements and developed the SHG
293 simulation model with F.E., M.R., J.Nürnberg, G.D.L., M.F. and M.T.

294 M.T., J.Nordlander, S.A., J.F. and M.F. designed the experiment and supervised the
295 work jointly with A.A.D.

296 [1] N. Setter, D. Damjanovic, L. Eng, G. Fox, S. Gevorgian, S. Hong, A. Kingon, H. Kohlstedt,
297 N. Y. Park, G. B. Stephenson, I. Stolitchnov, A. K. TagansteV, D. V. Taylor, T. Yamada,
298 and S. Streiffer, J. Appl. Phys. **100**, 051606 (2006).

299 [2] J. F. Scott, Science **315**, 954 (2007).

- 300 [3] E. L. Wooten, K. M. Kissa, A. Yi-Yan, E. J. Murphy, D. A. Lafaw, P. F. Hallemeier, D. Maack,
301 D. V. Attanasio, D. J. Fritz, G. J. McBrien, and D. E. Bossi, *IEEE J. Sel. Top. Quantum*
302 *Electron.* **6**, 69 (2000).
- 303 [4] A. Politi, J. C. Matthews, M. G. Thompson, and J. L. O'Brien, *IEEE J. Sel. Top. Quantum*
304 *Electron.* **15**, 1673 (2009).
- 305 [5] C. Xiong, W. H. Pernice, J. H. Ngai, J. W. Reiner, D. Kumah, F. J. Walker, C. H. Ahn, and
306 H. X. Tang, *Nano Lett.* **14**, 1419 (2014).
- 307 [6] S. Abel, F. Eltes, J. E. Ortmann, A. Messner, P. Castera, T. Wagner, D. Urbonas, A. Rosa,
308 A. M. Gutierrez, D. Tulli, *et al.*, *Nat. Mater.* **18**, 42 (2019).
- 309 [7] S. Abel, T. Stöferle, C. Marchiori, C. Rossel, M. D. Rossell, R. Erni, D. Caimi, M. Sousa,
310 A. Chelnokov, B. J. Offrein, and J. Fompeyrine, *Nat. Commun.* **4**, 1671 (2013).
- 311 [8] F. Eltes, C. Mai, D. Caimi, M. Kroh, Y. Popoff, G. Winzer, D. Petousi, S. Lischke, J. E.
312 Ortmann, L. Czornomaz, L. Zimmermann, J. Fompeyrine, and S. Abel, *J. Light. Technol.*
313 **37**, 1456 (2019).
- 314 [9] J. Wang, F. Sciarrino, A. Laing, and M. G. Thompson, *Nat. Photonics* (2019),
315 10.1038/s41566-019-0532-1.
- 316 [10] J. Lyu, I. Fina, R. Solanas, J. Fontcuberta, and F. Sánchez, *Sci. Rep.* **8**, 495 (2018).
- 317 [11] M. Scigaj, C. H. Chao, J. Gázquez, I. Fina, R. Moalla, G. Saint-Girons, M. F. Chisholm,
318 G. Herranz, J. Fontcuberta, R. Bachelet, and F. Sánchez, *Appl. Phys. Lett.* **109**, 122903
319 (2016).
- 320 [12] M. Scigaj, N. Dix, J. Gázquez, M. Varela, I. Fina, N. Domingo, G. Herranz, V. Skumryev,
321 J. Fontcuberta, and F. Sánchez, *Sci. Rep.* **6**, 31870 (2016).
- 322 [13] Y. L. Li and L. Q. Chen, *Appl. Phys. Lett.* **88**, 072905 (2006).
- 323 [14] K. J. Kormondy, Y. Popoff, M. Sousa, F. Eltes, D. Caimi, M. D. Rossell, M. Fiebig, P. Hoff-
324 mann, C. Marchiori, M. Reinke, *et al.*, *Nanotechnology* **28**, 075706 (2017).
- 325 [15] C. Dubourdieu, J. Bruley, T. M. Arruda, A. Posadas, J. Jordan-Sweet, M. M. Frank,
326 E. Cartier, D. J. Frank, S. V. Kalinin, A. A. Demkov, and V. Narayanan, *Nat. Nanotechnol.*
327 **8**, 748 (2013).
- 328 [16] Z. Li, X. Guo, H.-B. Lu, Z. Zhang, D. Song, S. Cheng, M. Bosman, J. Zhu, Z. Dong, and
329 W. Zhu, *Adv. Mater.* **26**, 7185 (2014).

- 330 [17] R. Guo, Z. Wang, S. Zeng, K. Han, L. Huang, D. G. Schlom, T. Venkatesan, Ariando, and
331 J. Chen, *Sci. Rep.* **5**, 12576 (2015).
- 332 [18] S. M. Yang, A. N. Morozovska, R. Kumar, E. A. Eliseev, Y. Cao, L. Mazet, N. Balke, S. Jesse,
333 R. K. Vasudevan, C. Dubourdieu, and S. V. Kalinin, *Nat. Phys.* **13**, 812 (2017).
- 334 [19] M. Fiebig, V. V. Pavlov, and R. V. Pisarev, *J. Opt. Soc. Am. B* **22**, 96 (2005).
- 335 [20] S. A. Denev, T. T. A. Lummen, E. Barnes, A. Kumar, and V. Gopalan, *J. Am. Ceram. Soc.*
336 **94**, 2699 (2011).
- 337 [21] G. De Luca, M. D. Rossell, J. Schaab, N. Viart, M. Fiebig, and M. Trassin, *Adv. Mater.* **29**,
338 1605145 (2017).
- 339 [22] G. De Luca, N. Strkalj, S. Manz, C. Bouillet, M. Fiebig, and M. Trassin, *Nat. Commun.* **8**,
340 1419 (2017).
- 341 [23] N. Strkalj, G. De Luca, M. Campanini, S. Pal, J. Schaab, C. Gattinoni, N. A. Spaldin, M. D.
342 Rossell, M. Fiebig, and M. Trassin, *Phys. Rev. Lett.* **123**, 147601 (2019).
- 343 [24] J. Nordlander, M. Campanini, M. D. Rossell, R. P. Erni, Q. N. Meier, A. Cano, N. A. Spaldin,
344 M. Fiebig, and M. Trassin, *Nat. Commun.* **10**, 5591 (2019).
- 345 [25] J. Nordlander, G. De Luca, N. Strkalj, M. Fiebig, and M. Trassin, *Appl. Sci.* **8**, 570 (2018).
- 346 [26] G. De Luca, P. Schoenherr, J. Mendil, D. Meier, M. Fiebig, and M. Trassin, *Phys. Rev. Appl.*
347 **10**, 054030 (2018).
- 348 [27] N. Strkalj, E. Gradauskaite, J. Nordlander, and M. Trassin, *Materials* **12**, 3108 (2019).
- 349 [28] R. R. Birss, *Symmetry and magnetism*, Vol. 863 (North-Holland Pub. Co., 1964).
- 350 [29] H. Yokota, J. Kaneshiro, and Y. Uesu, *Phys. Res. Int.* **2012**, 704634 (2012).
- 351 [30] Y. Shen, *The principles of nonlinear optics*, Wiley classics library (Wiley-Interscience, 2003).
- 352 [31] T. H. E. Lahtinen, K. J. A. Franke, and S. van Dijken, *Sci. Rep.* **2**, 258 (2012).
- 353 [32] A. I. Khan, X. Marti, C. Serrao, R. Ramesh, and S. Salahuddin, *Nano Lett.* **15**, 2229 (2015).
- 354 [33] P. Gao, J. Britson, C. T. Nelson, J. R. Jokisaari, C. Duan, M. Trassin, S.-H. Baek, H. Guo,
355 L. Li, Y. Wang, Y.-H. Chu, A. M. Minor, C.-B. Eom, R. Ramesh, L.-Q. Chen, and X. Pan,
356 *Nat. Commun.* **5**, 3801 (2014).
- 357 [34] Our simulations, using bulk BTO values for $\chi^{(2)}$, indicate relative SHG contributions $a_1 : a_2 : c$
358 $= 0 : 0 : 1$ before poling, and $a_1 : a_2 : c = 0.255 : 0.255 : 1$ after poling.
- 359 [35] The estimated volume fraction of a -domains is relative to the total c -SHG yield. The pres-
360 ence of c -domains of either orientation would reduce the c -SHG yield because of destructive

361 interference between SHG contributions from P_{up} and P_{down} .

362 [36] B.-K. Lai, I. Ponomareva, I. A. Kornev, L. Bellaiche, and G. J. Salamo, Phys. Rev. B **75**,
363 085412 (2007).