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Reconfigurable lateral anionic heterostructures in oxide thin films *via* lithographically-defined topochemistry

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Laterally-structured materials can exhibit properties uniquely suited for applications in electronics, magnetoelectric memory, photonics, and nanoionics. Here, a patterning approach is presented that combines the precise geometric control enabled by lithography with topochemical anionic manipulation of complex oxide films. Utilizing oxidation and fluorination reactions, striped patterns of SrFeO_{2.5}/SrFeO₃, SrFeO_{2.5}/SrFeO₂F, and SrFeO₃/SrFeO₂F have been prepared with lateral periodicities of 200 µm, 20 µm, and 4 µm. Coexistence of the distinct chemical phases is confirmed through X-ray diffraction, optical and photoemission microscopies, and optical spectroscopy. The lateral heterostructures exhibit highly anisotropic electronic transport and also enable transience and regeneration of patterns through reversible redox reactions. This approach can be broadly applied to a variety of metal oxide systems, enabling chemically reconfigurable lateral heterostructures tailored for specific electronic, optical, ionic, thermal, or magnetic functionalities.

I. Introduction

Given their diverse array of functional properties hosted in structurally similar but chemically distinct materials, complex oxide heterostructures have remained a major focus of materials research over the last two decades [1]. While the formation of heterointerfaces and superlattice structures can be readily achieved along a single growth direction with thin film deposition techniques [2–4], many thin film devices rely on laterally-patterned materials. Examples of applications that currently utilize or would benefit from incorporation of in-plane heterostructures include photonic metamaterials [5,6] and plasmonic structures [7], phononic heat-guiding structures [8], 2-D electronics [9,10], Josephson devices [11], magnetic anisotropy control [12], magnetic recording media [13,14], rectifying junctions [15], and ionic shuttles such as in fuel cells and batteries [16]. However, a central challenge to the realization of lateral heterostructures is the difficulty in maintaining distinct crystalline phases while also achieving precise geometric control. Previous demonstrations of in-plane heterostructures have tended to address one but not both of these obstacles. For example, precise spatial control of the twodimensional electron gas at the LaAlO₃/SrTiO₃ interface has been achieved laterally at the nanometer scale using scanning probe-based direct writing [17,18]. Similar scanning probe techniques have been used to generate conductive domains on the surface of bare strontium titanate at the micron length scale [19]. Alternatively, lateral patterning of the conductive domains in the LaAlO₃/SrTiO₃ system has also been realized through combining electron beam lithography and ion beam exposure [20,21]. Masked ion implantation has been implemented in manganite thin films to generate micromagnets and artificial spin ice lattices [22], with exposure to the ion beam inducing a ferromagnetic to paramagnetic transition within the film [23]. Ion implantation has also been used to spatially control morphotropic phases in BiFeO₃ thin films [24], alter magnetic anisotropy in CoFe₂O₄ films [25], and improve ferroelectric switching

in PbTiO₃ by suppressing leakage currents [26]. In systems incorporating chemically immiscible phases, vertically aligned nanocomposites of separate oxide phases with stochastic geometry from phase separation have been realized that exhibit multiferroic behavior [27,28], novel magnetic properties [29,30], enhanced ionic mobility in the vertical nanoscale channels [16], and improved oxygen exchange kinetics [31]. These two-phase systems attain heterogeneity through spontaneous phase separation offering modest size and shape control achieved through tuning the strain state, growth temperature, and the energetics of the growth process [29,32,33]. While these examples highlight the potential of lateral heterostructures to enable novel functionality by spatially confining electronic or magnetic behavior or by forming a high density of vertical interfaces, synthetic approaches that are broadly applicable and allow precise geometric control are still needed.

In this work, we present a new approach to create lateral anionic heterostructures derived from perovskite oxide thin films via topotactic chemical reactions. The technique relies on the incorporation of O^{2-} and F^- ions into lithographically defined areas of oxygen-deficient films via post-growth topochemical vapor transport reactions. We demonstrate the synthetic strategy by creating thin films with lateral stripes of $SrFeO_{2.5}/SrFeO_3$, $SrFeO_{2.5}/SrFeO_2F$, and $SrFeO_3/SrFeO_2F$. The heterostructures are realized through topochemical modification of strontium ferrate, $SrFeO_{3-\delta}(SFO)$, thin films. This material system is chosen due to the diverse physical properties that it exhibits depending on the nominal iron oxidation state, which can be altered by tuning oxygen deficiency, δ . As a function of oxygen stoichiometry, bulk SFO ranges from a cubic, helical magnet with metallic conductivity at $\delta = 0$, to a brownmillerite, insulating G-type antiferromagnet ($T_N \sim 700 \text{ K}$) with a $\sim 2.2 \text{ eV}$ band gap at $\delta = 0.5 \text{ [34–41]}$. In ferrate thin films, the oxygen content can be rapidly and reversibly manipulated through temperature and

oxygen pressure [38,42]. Furthermore, the tunability of properties due to oxygen stoichiometry is expedited by the high ionic mobility within vacancy-ordered channels in the brownmillerite structure ($\delta = 0.5$) [43–45], which facilitates low-temperature topochemistry. Alternatively, fluoride ions can be incorporated into SFO films using fluoropolymer-based gas-phase topotactic reactions [46,47]. Upon fluorine inclusion by this method, there is a lattice expansion [48] as well as fluorine content-dependent resistivity and band gap tuning [49,50], with robust antiferromagnetism reported in bulk SrFeO₂F [51]. Using the SFO-based patterns as model systems, we show how the lateral heterostructures can host chemically distinct phases with disparate electronic and optical properties while enabling control of the feature sizes and geometries over lithographically accessible length scales. Additionally, the use of spatiallyconfined topochemical transformations maintains lateral heteroepitaxy and offers reconfigurable capability through redox processes. The implications of this approach extend beyond SFO, as we anticipate that the synthetic strategy demonstrated here is transferable to a range of complex oxide films and topochemical reactions, potentially enabling new families of lateral superstructures.

II. Experimental

A. Thin film synthesis. SrFeO_{2.5} thin films were grown via oxygen-assisted molecular beam epitaxy on (001)-oriented (LaAlO₃)_{0.3}(Sr₂TaAlO₆)_{0.7} (LSAT) substrates. During film deposition, the Sr source (99.95%, dendritic pieces, Alfa Aesar) was heated to ~480°C and the Fe source (99.95%, slug, Alfa Aesar) was heated to ~1200°C. Precise source temperatures varied slightly and were dictated by deposition rates calibrated by a quartz crystal microbalance before each film growth. The substrate growth temperature was held at ~600°C, and the oxygen pressure was maintained at ~3 × 10^{-6} Torr within the deposition chamber. Films were grown to 60 unit cells (~24 nm). Post-growth anionic manipulation was performed in a horizontal furnace using quartz

tubes (Figure S1, Supplemental Material [52]). To realize SrFeO₃, films were exposed to a mixture of ~5% O₃ in O₂ produced by an ozone generator, flowed at ${}^{3}\!\!/4$ LPM, and heated to 150°C for 1 hour. Throughout this work we refer to SrFeO_{2.5} and SrFeO₃, with these designations denoting the insulating phase ($\delta \approx 0.5$) and the metallic phase ($\delta \approx 0$). However, there is uncertainty in the exact oxygen content within the different phases of the heterostructures as we do not have a means to directly measure δ . For fluorination, samples were placed in an aluminum foil-lined quartz boat with pellets of poly(vinylidene fluoride) (PVDF, Sigma-Aldrich) upstream of the sample, with the boat enveloped by foil and inlet and outlet holes punctured at the ends to allow for Ar carrier gas to flow through the reaction space. Samples were heated to 250°C for 1 hr.

B. Heterostructure fabrication. Films were patterned using optical lithography in a process outlined in Figure 1. SrFeO_{2.5} films were spin-coated with ~0.5 μm thick Shipley S1805 positive photoresist (4000 RPM, 60s), and soft-baked at 115°C for 60 seconds. The resist was exposed in patterns by direct writing, on a Heidelberg DWL66+ laser lithography system operating at a wavelength of 355 nm, 200 mW power at 60% intensity, with 50% and 12.5% filters, and using a 10 mm writehead. After exposure, patterns were developed in Microposit MF-319 for 60 seconds while agitated. Samples were then hard baked at 115°C for 60 seconds and cleaned in oxygen plasma using an Anatech SCE-106 barrel asher, operating at 30 sccm O₂ and 150 W for 60 seconds. Chromium was deposited (~100 nm), either by thermal evaporation or e-beam evaporation (interchangeably) in a Lesker PVD 75 system. Alternatively, copper was deposited (~100 nm) by thermal evaporation in a Lesker Nano-36 system. Lift off was performed in acetone using an ultrasonication bath for 15 minutes. To obtain SrFeO₃/SrFeO_{2.5} lateral heterostructures, Cr-masked films were exposed to ozone in the manner described above,

followed by a wet etch of the Cr using Chromium Etch 1020AC (Transene). To obtain SrFeO_{2.5}.
/SrFeO₂F heterostructures, Cr- or Cu-masked films were exposed to fluorine via decomposition of PVDF in the manner described above, followed by a wet etch of the Cr or Cu (Copper Etchant -100, Transene), and subsequent ozone anneal to obtain the oxidized SrFeO₃/SrFeO₂F heterostructure.

C. Film characterization. Film thickness and crystalline quality were characterized by X-ray diffraction (XRD) and reflectivity (XRR) using a Rigaku SmartLab diffractometer with a double bounce monochromator. Diffraction and reflectivity data were modeled using GenX [53]. Photoemission electron microscopy (PEEM) and X-ray absorption spectroscopy (XAS) were performed using the FE-LEEM P90 AC instrument commercialized by SPECS and installed as a permanent end-station at the soft X-ray undulator beamline UE56/1-SGM at the synchrotron facility BESSY-II in Berlin. The samples were investigated in ultra-high vacuum (10⁻⁹ Torr) at an elevated temperature of ~200 °C in order to increase conductivity of the films. Electronic transport measurements were performed in a Physical Property Measurement System (Quantum Design), in a four-point van der Pauw geometry for monolithic films and two-point probe to measure anisotropy of heterostructures. Optical absorption measurements were carried out on a variable angle spectroscopic ellipsometer (J.A. Woollam M2000-U) at five angles (65°, 67.5°, 70° , 72.5° , and 75°) in the photon energy range of 1.25-5 eV. Optical constants Ψ and Δ were converted to refractive index, n, and extinction coefficient, k, by WVASE software. A bare LSAT substrate was measured and fit to a Cauchy dispersion relationship. Thin film samples were measured and fit to a model using a homogeneous film with thickness determined by X-ray reflectivity on the LSAT substrate. Optical constants n and k were extracted from the film by fitting the model to the data using Tauc-Lorentz, Drude, and Gaussian oscillators. Optical

absorption (α) was calculated using the relationship $\alpha = 4\pi k \lambda^{-1}$, where λ is the photon wavelength.

III. Results and Discussion

Films of reduced strontium ferrate were grown by oxygen-assisted molecular beam epitaxy on LSAT substrates. In bulk Sr₂Fe₂O₅, the brownmillerite structure can be confirmed through the existence of half-order peaks in the full XRD scan, but for films under compressive strain, as is the case for SrFeO_{2.5} on LSAT, the oxygen vacancies order along adjacent (100) planes thereby doubling the in-plane unit cell [54]. Therefore, SrFeO_{2.5} films grown on (001)-oriented LSAT will not show half-order peaks in 00*L* XRD scans. While XRD experiments could not confirm the brownmillerite structure, they yielded an out-of-plane lattice parameter of 4.016 Å, consistent with previously reported brownmillerite SrFeO_{2.5} films [55]. The electronic and optical properties of the as-grown films, described later in this paper, are also indicative of a film with oxygen composition of or near SrFeO_{2.5}.

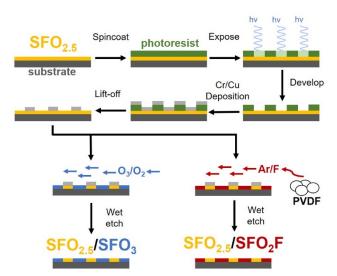


FIG. 1. Fabrication process for achieving oxide lateral heterostructures. A hard mask is lithographically defined on a film of SFO_{2.5} (spincoating, exposure, developing, deposition, and lift-off). This is followed by either an ozone anneal to achieve the SFO_{2.5}/SFO₃ heterostructure, or a fluorination reaction to achieve the SFO_{2.5}/SFO₂F heterostructure. A wet etch removes the mask.

In the patterning process, direct-writing photolithography was used to deposit a hard mask of chromium or copper in the desired pattern onto a SrFeO_{2.5} film (Figure 1). Hard mask deposition was followed by an ozone anneal to achieve the SrFeO_{2.5}/SrFeO₃ (SFO_{2.5}/SFO₃) lateral heterostructure, or alternatively a fluorination reaction using PVDF as the fluorine source to achieve the SrFeO_{2.5}/SrFeO₂F (SFO_{2.5}/SFO₂F) lateral heterostructure. In the final step, a wet etch was used to remove the Cr or Cu hard mask. Further details of the topochemical process are found in the Supplemental Material [52] (Figure S1). To obtain a SrFeO₃/SrFeO₂F (SFO₃/SFO₂F) lateral heterostructure, the SFO_{2.5}/SFO₂F sample was then subjected to an ozone anneal, as the fluoride ions were found to be effectively immobile once incorporated into the films with respect to subsequent low-temperature anneals in ambient and O₂/O₃ atmospheres.

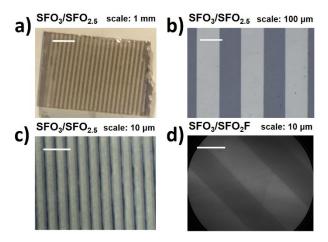


FIG. 2. Optical micrographs of (a) a 200 μm periodicity SFO₃/SFO_{2.5} heterostructure, (b) a 200 μm periodicity SFO₃/SFO_{2.5} heterostructure, and (c) a 4 μm periodicity SFO₃/SFO_{2.5} heterostructure. (d) A PEEM image measured at 690 eV, on the F *K*-edge, of a 20 μm periodicity SFO₃/SFO₂F heterostructure. Scale bars are included.

Optical microscopy images of the various patterned films are shown in Figure 2a-c. Figure 2a displays a \sim 5 × 5 mm² film patterned with striped periodic regions 200 μ m in width of

SFO₃/SFO_{2.5} with distinct regions of SFO₃ and SFO_{2.5}. Micrographs of the patterned films at higher magnification also reveal the disparity of phases in 200 μm striped SFO₃/SFO_{2.5} (Figure 2b) and 4 μm striped SFO₃/SFO_{2.5} (Figure 2c). Figure 2d shows a PEEM image of a 20 μm striped SFO_{2.5}/SFO₂F heterostructure measured at the F *K*-edge, 690 eV. The brighter areas are the regions containing fluorine, SFO₂F, while the darker areas are those without, SFO_{2.5}. XAS measurements further corroborate the containment of fluorine within the lateral stripes through comparison of F *K*-edge and O *K*-edge spectra in the light and dark regions, revealing a fluorine signal and lower oxygen signal in the light region (Figure S2, Supplemental Material [52]). We note that while the features are clearly defined in the low-magnification micrographs shown in Figure 2, the interfaces are not atomically abrupt as lateral diffusion of O and F occurs along with vertical diffusion of ions during the topochemical reactions.

The coexistence of the two distinct phases and their crystallographic integrity within the patterned films was examined using XRD. Figure 3 shows comparisons of the 0 0 2 diffraction peaks measured in the monolithic pure phases and their respective heterostructured combinations, prepared with a Cr hard mask. On the LSAT substrate (a = 3.868 Å), SrFeO_{2.5} has an out-of-plane (c-axis) lattice parameter of 4.016 Å. Upon oxidation, the c-axis parameter shrinks \sim 3.8% to 3.861 Å. Upon fluorination, SFO₂F has a \sim 0.8% expanded lattice parameter of 4.051 Å. To the best of our knowledge, SFO₂F films have not been previously reported on LSAT, but the expansion of the out-of-plane lattice parameter is consistent with previously reported SFO₂F films on SrTiO₃ substrates synthesized with a similar vapor transport fluorination approach [47,50].

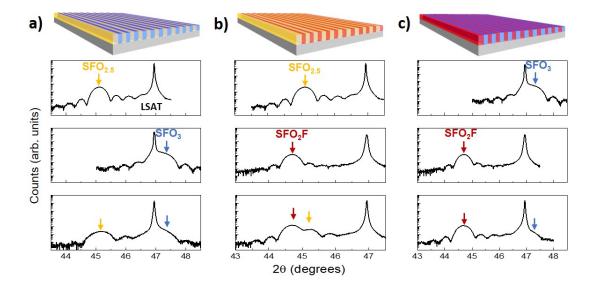


FIG. 3. X-ray diffraction measured from (a) SFO_{2.5}/SFO₃, (b) SFO_{2.5}/SFO₂F, and (c) SFO₃/SFO₂F heterostructures (bottom panels) with data from monolithic constituent phases shown in the top and middle panels for reference.

In the lateral heterostructures, XRD data show a superposition of the constituent phases, confirming the coexistence of the phases. After ozone annealing the SFO_{2.5}/SFO₂F heterostructure (Figure 3b) to achieve the SFO₃/SFO₂F heterostructure (Figure 3c), there is no shift in the position of the SFO₂F diffraction peak, signifying no appreciable fluorine loss. We therefore conclude that the fluorine ions are immobile at the temperature of the ozone anneal (150 °C). We note that the film peak of the phase that was covered by the Cr hard mask during lithography (SFO_{2.5} in Figure 3a,b, and SFO₃ in Figure 3c) exhibits some peak broadening (~27%) in relation to unreacted phase, indicating a decrease in the coherence length of the film [56]. Upon investigation of the surface chemistry of patterned films using PEEM, agglomerates were seen on the surface that we associate with a phase separation of SrO at the surface layer, as supported by XAS spectra shown in Figure S2 (Supplemental Material [52]). We hypothesize that the phase separation is potentially caused by the high temperature of

deposition and anodic tendency of Cr. Further discussion can be found in the Supplemental Material [52]. Accordingly, the use of a Cu hard mask is found to mitigate the formation of these undesired phases and enables lateral heterostructures with no apparent degradation of crystallinity as determined by XRD. The XRD data and simulations of a SFO₃/SFO₂F heterostructure fabricated with a Cu mask are shown in Figure 4, confirming the absence of XRD peak broadening between the two phases. Atomic force microscopy measurements of a SFO_{2.5}/SFO₂F heterostructure processed using a Cu mask are shown in Figure S3 in the Supplemental Material [52].

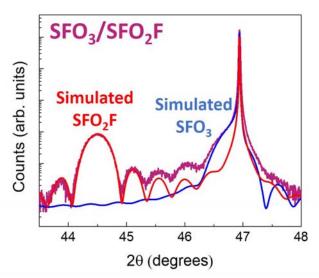


FIG. 4. X-ray diffraction of SFO₃/SFO₂F heterostructure (purple) with superimposed simulated diffraction data of SFO₃ (blue) and SFO₂F (red).

Having established the ability to form oxide-based lateral heterostructures, we turn to the functional properties of these systems. Electronic transport properties of the patterned films were measured to confirm anisotropy within the lateral heterostructures. Figure 5 (top panel) shows the resistivity as a function of temperature for pure SFO_{3- δ} ($\delta \approx 0.5$), an insulator, and SFO₃, a metal. The bottom panel of Figure 5 shows the results of two-point probe resistance measurements in a 200 μ m period SFO₃/SFO_{2.5} heterostructure. As would be expected, the lateral

heterostructure displays low-resistance when current flows parallel to the metallic SFO₃ stripes, and insulating behavior when measured perpendicular to the stripes, with the alternating SFO_{2.5} and SFO₃ regions essentially acting as resistors in series. The reproducibility of the results are shown in Figure S4 (Supplemental Material [52]), and the material quality is further corroborated in that the parallel resistance data retain a resistive feature near 110 K that we attribute to the onset of helical magnetic ordering in SFO₃ (Figure S5, Supplemental Material [52]) [55]. Electronic anisotropy is a result of the chosen geometry of parallel metal-oxide stripes, but other geometries and materials systems could also select for ionic and thermal anisotropy, or alternative targeted properties and phenomena based on lateral arrays.

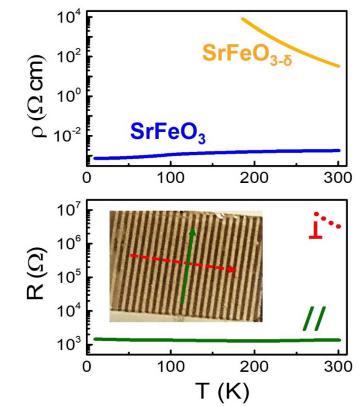


FIG. 5. Electronic resistivity as a function of temperature for monolithic insulating SFO_{3- δ} ($\delta \approx 0.5$) and metallic SFO₃ (top), and resistance as a function of temperature for a 200 μ m periodicity SFO₃/SFO_{2.5} heterostructure (bottom) measured perpendicular to the stripe direction (red, dotted) and parallel to the stripe direction (green, solid), with inset visualization of current direction on a film.

Oxide thin films have demonstrated use as optical waveguides [57,58], and therefore lateral heterostructured films could prove useful for optical applications such as Bragg reflectors [59], resonance filters [60], plasmonic waveguides, and diffraction gratings. Vital to predicting use for these applications is the prerequisite that constituent layers maintain their pure-phase optical properties. Optical absorption data from monolithic SFO_{2.5}, SFO₃, and a 200 μm period striped SFO₃/SFO_{2.5} heterostructure are presented in Figure 6, which confirm that the constituent layers retain their optical response within the heterostructure. As expected of SFO_{2.5}, the phase-pure film is transparent in a large portion of the visible spectrum (Figure 6, top) with a band gap of ~2.3 eV, in agreement with calculated values for SrFeO_{2.5} epitaxially strained on LSAT [54], and exhibits a major absorptive feature at 4.25 eV. Also in agreement with theoretical and experimental values [49], SFO₂F has an increased band gap of ~2.4 eV (Figure S6, Supplemental Material [52]). SFO₃ absorbs across the entire spectrum (Figure 6, middle), as expected of a metal, with a major absorptive feature at 2.6 eV. The absorption spectrum of the SFO₃/SFO_{2.5} heterostructure, shown in Figure 6 (bottom), contains both major absorptive features of the distinct SFO_{2.5} and SFO₃ phases. No diffractive effects are expected to occur at these wavelengths for the 200 µm stripe periodicity of this sample, however we anticipate that diffraction gratings can be engineered from similar heterostructures with smaller periodicities. Spectra measured with ellipsometer beam elongation parallel to the stripes and perpendicular to the stripes were equivalent (Figure S7, Supplemental Material [52]). This optical characterization further confirms that constituent layers in the laterally heterostructured films retain their purephase properties when patterned with this approach.

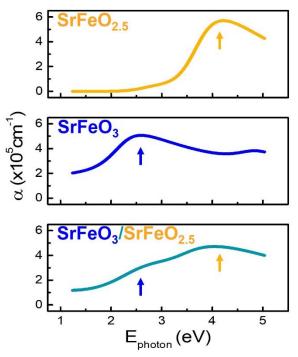


FIG. 6. Optical absorption spectra of monolithic SFO $_{2.5}$ (top), SFO $_{3}$ (middle), and of the 200 μm periodicity SFO $_{3}$ /SFO $_{2.5}$ heterostructure (bottom).

The topochemical reduction and oxidation processes within the heterostructures enable the erasure and reformation of patterns. This functionality is demonstrated in Figure 7, which shows XRD data and optical micrographs of a heterostructured film cycled between SFO_{2.5}/SFO₂F and SFO₃/SFO₂F illustrating the generation, erasure, and regeneration of the metal-insulator pattern. The conversion from SFO₃/SFO₂F to SFO_{2.5}/SFO₂F occurs by heating the sample at 250°C in air, during which oxygen is spontaneously lost from the SFO₃ layers. The SFO₂F phase remains nominally unchanged while the metallic SFO₃ regions are thermally reduced to the electronically insulating and optically transparent SFO_{2.5} phase. Figure S8 (Supplemental Material [52]) shows the erasure of the metallic stripes in an SFO₃/SFO₂F heterostructure during a thermal anneal at 250 °C over time. The oxidation back to SFO₃/SFO₂F is realized through subsequent ozone annealing of the SFO_{2.5}/SFO₂F pattern.

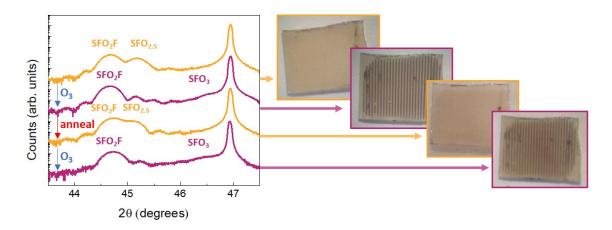


FIG. 7. XRD data and optical microscope images of a 200 μ m period SFO_{2.5}/SFO₂F heterostructured film undergoing a sequence of oxidation and thermal reduction (250 °C) steps, demonstrating reconfigurable pattern behavior.

In this demonstration of reconfigurability, the significance of the fluorinated heterostructure lies in the effective permanence of the fluorine pattern in the films under these reaction conditions. As such, the films can be annealed in air and ozone to cyclically erase and recover the metal-insulator pattern, bypassing the need for further lithography. This switching could potentially be realized in the form of a solid state ionic-gating [61–63] or ionic liquid gating [64,65] to cycle the heterostructure using an electrical potential and oxygen conductor instead of ozone. Of note for any practical application, SrFeO₃ tends to spontaneously lose oxygen at room temperature over a time scale of days to weeks, but binary oxide capping layers have been shown to prevent spontaneous oxygen loss [66]. Similar capping layers could be utilized on lateral heterostructures to increase material stability, with the ion-conducting gate layer potentially also playing this role. The operating temperatures of SFO-derived devices would then be limited by minimalizing lateral ionic diffusion, which motivates detailed future studies of oxygen and fluorine in-plane diffusion kinetics in perovskite oxides and oxyfluorides. We also envision that new patterns can be reconfigured in all-oxide heterostructures, such as

 $ABO_{2.5}/ABO_3$, by reducing the whole structure back to $ABO_{2.5}$ and then carrying out a new lithographic patterning step.

IV. Conclusion

A novel technique for lateral patterning of oxide thin film materials was presented, using hard-mask-facilitated topochemical anionic manipulation of strontium ferrate thin films. Striped heterostructures with distinct material phases consisting of oxidized, reduced, and fluorinated SFO were produced with periodicities of 4-200 μm. Microscopy, diffraction, and optical spectroscopy confirm the coexistence of distinct phases, and anisotropic electronic transport properties were elicited. In SrFeO₃/SrFeO₂F and SrFeO_{2.5}/SrFeO₂F heterostructures, pattern erasure and regeneration was demonstrated through low-temperature oxidation and reduction reactions, suggesting that these lateral anionic heterostructures are a potential platform for reconfigurable devices. While here we focused on SrFeO_{3-δ}-based heterostructures, the technique should be broadly applicable to any oxide material amenable to a wide range of topochemical transformations [67–69] in which anion substitution or oxygen-deficiency can drive property tunability.

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