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20
21
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23 **Abstract**

24
25 We report the synthesis of millimeter-sized freestanding single-crystal $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$
26 heterostructure membranes with nanoscale thickness. On a SrTiO_3 substrate, a heteroepitaxial
27 water-soluble sacrificial layer of $\text{Sr}_3\text{Al}_2\text{O}_6$ followed by an encapsulated $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$ film is
28 grown by pulsed laser deposition. A freestanding $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$ heterostructure membrane is
29 obtained by etching the sacrificial layer. Its high crystalline quality is demonstrated via X-ray
30 diffraction, electrical measurements, and scanning transmission electron microscopy.

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I. INTRODUCTION

The advent of crystalline van der Waals two-dimensional nanomaterials has enabled exciting recent scientific and technological developments [1,2]. In particular, the ability to circumvent the conventional restrictions of thermodynamic and epitaxial compatibility in direct heterostructure growth allows a wide variety of materials to be assembled post synthesis [3–5]. With similar motivations, a number of techniques have been developed for obtaining crystalline oxide nanomembranes [6,7], with a particular emphasis on materials that cannot be easily exfoliated [8–14]. Among them, a promising direction has been the use of $\text{Sr}_3\text{Al}_2\text{O}_6$ (SAO) as an epitaxial sacrificial layer that can be dissolved in room temperature water, offering a broad approach for synthesizing membranes compatible with growth on perovskites, especially transition metal oxides hosting correlated electronic systems [15,16]. While this has been demonstrated for SrTiO_3 (STO) and $\text{La}_{0.7}\text{Sr}_{0.3}\text{MnO}_3$, both materials are chemically stable in pH neutral water [17,18]. It remains to be seen whether this technique can be applied to water sensitive materials. Here we address this issue by developing the synthesis of freestanding crystalline $\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$ (YBCO) membranes, a prototypical high-temperature superconductor [19] that is widely used for industrial superconducting tapes. While YBCO thin films have been successfully grown using a variety of methods, the high-quality and low-cost synthesis of YBCO in flexible form is enabled by ion-beam-assisted deposition (IBAD) and rolling-assisted biaxially textured substrate (RABiTS) technologies [20,21], where a flexible metal alloy with multiple oxide buffer layers is fabricated to approximate a single crystal texture, replacing the high-cost and rigid single-crystal substrate. Our approach of synthesizing freestanding YBCO provides an alternative option to fabricate flexible films with reusable

54 single-crystal substrates [17]. This is achieved by utilizing two important features: 1) the use of
55 encapsulating epitaxial LaAlO_3 (LAO) layers to protect the YBCO, and 2) the use of an alkaline
56 solution to dissolve the sacrificial SAO layer such that the electronic properties of the cuprate
57 layer are preserved despite the exposure to water. Using this method, we demonstrate the
58 fabrication of millimeter-scale freestanding YBCO heterostructure membranes with bulk-like
59 structural and electronic properties.

60

61 II. METHODS

62 The freestanding perovskite membrane technique used here builds upon the epitaxial growth and
63 the selective water-etching of the SAO sacrificial layer [17]. The cubic unit cell (space group
64 $P\bar{a}3$) of SAO is shown in Fig. 1(a). The lattice constant is 15.844 \AA , which matches four times
65 the STO lattice constant to within 1.5 %. Moreover, the SAO unit cell closely resembles the
66 $4 \times 4 \times 4$ perovskite lattice. In Fig. 1(b), the (001)-plane projection of the SAO unit cell is
67 juxtaposed, to correct aspect ratios, with that of 4×4 lattices of STO, LAO, and YBCO [22–24].
68 In addition to the apparent matching of the lattice constants, we see that the oxygen and cation
69 sublattices of SAO resemble those of the STO/LAO/YBCO systems to within small
70 offsets [17,22,24]. This structural similarity allows for the epitaxial growth of SAO on the STO
71 substrate and the growth of a STO/LAO/YBCO/LAO heterostructure on SAO. After the growth,
72 the etching and transfer process begins by attaching a polymer mechanical support to the
73 heterostructure and submerging the entire sample into an aqueous solution. Upon the complete
74 dissolution of the SAO layer, the heterostructure is released from the substrate. It is then lifted
75 off from the substrate via its bonding with the mechanical support. Fig. 1(c) shows a picture of a
76 detached encapsulated YBCO membrane transferred on Si. The membrane can be transferred to

77 other substrates for measurements or other integration purposes. A schematic of the liftoff and
78 transfer process is shown in Fig. 1(d).

79
80 Specifically, the YBCO heterostructures presented here were grown on 0.5 mm thick STO (100)
81 substrates by pulsed laser deposition using a krypton fluoride excimer laser of 248 nm
82 wavelength. Before growth, the substrate is pre-annealed for 30 minutes at 930 °C in 5×10^{-6}
83 Torr of oxygen to achieve a sharp step-and-terrace surface. An atomically flat surface is
84 confirmed by the reflection high-energy electron diffraction (RHEED) pattern shown in Fig.
85 2(a). First, 1.2 J/cm² laser energy density is imaged to a spot of size 5 mm² to deposit a 24 nm
86 thick layer of SAO epitaxially with 1 Hz repetition rate at 700 °C in 5×10^{-6} Torr of Ar. Next, 3
87 unit cells of STO and 27 unit cells of LAO are grown as buffer layers by *in situ* target exchange
88 (with O₂ in place of Ar). The 3-unit-cell STO layer improves the crystallinity of the subsequent
89 layers. The LAO buffer layer serves to 1) prevent possible interdiffusion of Sr, Ti, Ba, and Cu
90 atoms among SAO, STO and YBCO layers [25], 2) reduce the lattice mismatch at the SAO-
91 YBCO interface [17], and 3) protect the water sensitive YBCO [26,27]. A 75 nm thick YBCO
92 layer is then deposited using a laser energy density of 1.8 J/cm² with spot size 2.4 mm² and 1 Hz
93 repetition rate at 730 °C in 500 mTorr of O₂. A LAO capping layer of 27 unit cells is then grown
94 (at the same temperature and oxygen pressure for thermodynamically stabilizing the grown
95 YBCO) to form a structure with LAO layers sandwiching the YBCO layer. Assuming a
96 negligible contribution from the 3-unit-cell STO layer, this structure minimizes the internal
97 strains coming from the lattice mismatch. Finally, the sample is annealed *in situ* for 30 minutes at
98 470 °C in 700 Torr of O₂ for optimal YBCO hole doping [28].

99

100 Specular RHEED oscillations are used to monitor the deposited thickness during the low-
101 pressure growth of the SAO, STO, and LAO buffer layers as shown in Fig. 2(f). (RHEED during
102 the growth of the subsequent layers is inaccessible due to the high oxygen pressure used.) The
103 thicknesses of the YBCO layer and the LAO cap layer are calibrated by the pulse counts. Note
104 that the oscillation period of SAO corresponds to the deposition of 1/4 of the SAO unit cell, i.e.
105 the perovskite equivalent subunit [17]. RHEED patterns showing pronounced 2D streaks are
106 recorded at the completion of each layer as seen in Fig. 2(b), 2(c), 2(d), confirming the
107 preservation of a flat surface during growth. In Fig. 2(e), a weak three-dimensional spotty feature
108 is noticeable along with the dominant two-dimensional streaks. Atomic force microscopy (AFM)
109 scans are performed to characterize the surface quality, which are shown in the Supplemental
110 Material [29]. The surface morphology of the STO buffer layer (Fig. S1(a) [29], measured *ex*
111 *situ*) exhibits atomically flat steps and terraces. After completion of all layers, rounded
112 precipitates can be seen on top of the noticeable underlying unit cell terraces, as shown in Fig.
113 S1(b) [29], with a root-mean-square surface roughness of 1.5 nm. The precipitates are
114 segregations of excess elements localized at the top surface of the film, which are usually seen in
115 YBCO films grown by laser ablation, especially in optimized films with high crystallinity and
116 good superconducting properties [30,31].

117
118 To release this YBCO heterostructure membrane, we used a roughly 100 μm thick soft
119 polycarbonate polymer (PPC) film for adhesion, stacked on a 2 mm thick polydimethylsiloxane
120 (PDMS) layer as the mechanical support. The SAO layer is then etched for 1 day at room
121 temperature with a potassium hydroxide solution of $\text{pH} = 12$. SAO is amphoteric and thus
122 dissolves in basic solutions as well as in pH neutral water. The basic solution is chosen to

123 preserve the YBCO electronic properties during the prolonged exposure to water [26,27]. Crack
124 formations are observed with the typical size of a continuous piece reaching $> 500 \mu\text{m}$ (Fig.
125 1(c)). The PPC, with the freestanding heterostructure attached to it, is peeled off from the PDMS
126 using adhesive tapes and then transferred onto a Si wafer covered with a $300 \mu\text{m}$ thick SiO_2
127 surface layer. Prior to measurements, the PPC is removed by annealing the transferred sample in
128 flowing oxygen at 250°C for 2 hours.

129

130 III. RESULTS

131 X-ray diffraction (XRD) is used to characterize the crystalline quality of the grown YBCO
132 heterostructure. Fig. 3(a) shows the XRD $2\theta-\omega$ scan of a sample pre- and post-freestanding
133 processing. Prior to releasing the membrane, strong (005) and (007) YBCO peaks are seen [the
134 (006) peak is overshadowed by the STO substrate (002) peak] alongside clear SAO (008) and
135 LAO (002) peaks [17,24]. The measured on-substrate YBCO c -axis lattice constant is $11.688 \pm$
136 0.003 \AA . After transferring the freestanding membrane onto a Si wafer, all three clear YBCO
137 peaks are observed, with the YBCO (006) peak now visible in the absence of the dominant STO
138 (002) substrate peak. Note also the disappearance of the SAO (008) peak, which confirms the
139 dissolution of SAO. Wide angle XRD scan of the transferred membrane is provided in the
140 Supplemental Material Fig. S2 [29], in which the series of YBCO film peaks from (002) to
141 (0011) are observed, indicating the preservation of the crystallinity of YBCO. The c -axis lattice
142 constant in the freestanding form is $11.692 \pm 0.003 \text{ \AA}$, matching the bulk value (11.691 \AA) for
143 optimally doped single crystals within error [22,32]. Grazing incidence X-ray diffraction
144 (GIXRD) of the freestanding YBCO heterostructure membrane on Si wafer is further performed
145 to measure the in-plane lattice constants, which is shown in the inset of Fig. 3(a) (ϕ scan with

146 fixed 2θ is shown in Fig. S3 [29]). A double-peak feature is observed in the 2θ - ω scan,
147 indicating the existence of twinning of the freestanding YBCO film. The a -axis and b -axis lattice
148 constants are found to be $3.87 \pm 0.01 \text{ \AA}$ and $3.83 \pm 0.01 \text{ \AA}$, respectively, matching the values of a
149 ($= 3.886 \text{ \AA}$) and b ($= 3.820 \text{ \AA}$) of a detwinned bulk single crystal [22].

150

151 Four-point resistivity measurements were performed to characterize the electrical properties of
152 the YBCO membrane pre- and post-processing. To make electrical contacts, the top surface of
153 the membrane is mechanically scored in the electrode regions to remove the LAO cap and
154 expose the YBCO layer. Subsequently, electrodes consisting of 30 nm thick Ag and 20 nm thick
155 Au are patterned onto the film using masked evaporation. The resistivity-versus-temperature (ρ -
156 T) measurements for the as-grown on-substrate heterostructure and in the freestanding form on
157 the Si wafer are shown in Fig. 3(b) upper and lower panels, respectively. An optical image of the
158 freestanding YBCO membrane measurement configuration is shown in the inset. The two
159 measurements showed similar ρ - T dependence with a small room-temperature ρ difference. This
160 difference is within the error from the estimation of the geometric aspect ratio for different
161 samples due to the finite size of electrical contacts and the irregular sample boundaries. Note also
162 that the room-temperature ρ is close to $300 \mu\Omega\text{cm}$, comparable to that of high-quality YBCO
163 bulk single crystals [22,33]. The superconducting transition temperature T_C , defined as the
164 temperature at which ρ becomes 50% of the normal state value just before the transition, is 92.2
165 K and 90.5 K for the on-substrate and the freestanding membranes, respectively. The transition
166 width for both cases is less than 1 K (90%-10% ρ). For $T > T_C$, ρ is metallic and linear and
167 extrapolates close to the origin. The linearity of ρ - T , high T_C , sharp superconducting transition,

168 and negligible residual resistivity confirm the high quality of both the as-grown and the
169 freestanding YBCO heterostructure membranes [22,33].

170
171 Cross-sectional high-angle annular dark-field (HAADF) scanning transmission electron
172 microscopy (STEM) of the freestanding YBCO heterostructure is performed to characterize the
173 crystal and interface quality. Fig. 4(a) and Fig. S4 [29] confirm the abrupt interface between the
174 LAO buffer layer and the YBCO layer, showing that the freestanding heterostructure is free from
175 intermixing. A larger field-of-view image of the YBCO layer in the freestanding form, shown in
176 Fig. 4(b), further demonstrates the high quality of the crystal, intact from the release process.

177
178 The YBCO and the buffer layer thicknesses presented here were intentionally chosen to be thick
179 enough to enable clear XRD measurement results. We have also fabricated heterostructures with
180 comparable electrical properties for YBCO thicknesses ranging from 20 nm to 150 nm, and LAO
181 layers as thin as 5 unit cells, as shown in Fig. S4 [29]. However, there are two limiting aspects
182 involved. First, the solubility of the SAO sacrificial layer is found to be sensitive to the growth
183 conditions for the subsequent layers. We find that growth of YBCO at temperatures > 800 °C or
184 utilization of LAO buffer layers below 3 nm thickness are adverse factors for clean SAO
185 removal, possibly arising from the degradation of the SAO layer due to interdiffusion [25].
186 Second, crack formation limits the size of the obtainable continuous YBCO freestanding
187 membranes (Fig. 1(c)). Possible origins include lattice mismatch, SAO layer thickness, and the
188 intrinsic brittleness of the oxide layers. While the combination of the STO and LAO buffer layers
189 serves to reduce the lattice mismatch with YBCO [22], and the STO layer improves the surface
190 flatness for subsequent growth of YBCO (Fig. S1(a) [29]), there remains room for improvement

191 of the heterostructure design. Nevertheless, within the structural design and growth conditions
192 reported here, > 500 μm size freestanding YBCO heterostructure membranes can be consistently
193 produced.

194
195 In order to investigate the long-term aging phenomena of the YBCO heterostructure, we
196 performed two degradation studies. First, to confirm the passivation effect of the LAO capping
197 layer, we compared a capped YBCO heterostructure sample against an un-capped YBCO thin
198 film sample on substrate. The degradation of these two samples from a 25-day exposure to
199 atmosphere is shown in Fig. S5 [29]. While the room temperature resistance of the un-capped
200 sample increased by about 27%, only a 7% increase is observed for the capped heterostructure,
201 suggesting the passivation of the YBCO layer by the LAO capping layer. Second, to characterize
202 the long-term degradation of the structure, we performed a STEM-EELS study of a freestanding
203 heterostructure after 1-year storage in air (Fig. S6 [29]). The result shows that the LAO capping
204 layer has become non-crystalline and a decrease of lattice quality can be seen in the top part of
205 the YBCO layer while the rest of the film remains structurally and chemically intact. This
206 implies that the effectiveness of the capping layer is a major factor for sample degradation.

207
208 One advantage of this freestanding technique is that the STO substrate is reusable [17].
209 Furthermore, the current method is readily scalable by depositing metal layers (e.g. Au, or
210 Ag/Cu) directly on top of the YBCO instead of the LAO capping layer, which does not need to
211 be removed afterwards since Au/Ag serves as an Ohmic contact to YBCO. For example, we have
212 experimentally found that a thin deposited top Au metal layer (~ 20 nm) in place of the LAO cap
213 layer is effective in increasing the size of the continuous membranes to the scale of the substrates

214 used for our investigations (> 3 mm). Moreover, the pulsed laser deposition growth technique is
215 compatible with adding artificial pinning centers *in situ* for higher critical currents in magnetic
216 field [20,21,34]. Thus, our results may provide opportunities towards further development of
217 superconducting components for industrial applications.

218

219 **IV. CONCLUSIONS**

220 In conclusion, we have demonstrated the epitaxial growth of high-quality single-crystal
221 encapsulated YBCO heterostructures, on top of the water-soluble epitaxial sacrificial layer SAO.
222 Using a basic potassium hydroxide solution, we can release flexible YBCO heterostructure
223 membranes from reusable substrates. By comparing structural and resistivity characterizations
224 before and after the release of the freestanding YBCO heterostructure membranes, we confirm
225 that high crystalline and electronic quality is preserved during the freestanding procedure,
226 matching bulk values. These results should enable the incorporation of this high temperature
227 superconductor in various composite structures post synthesis.

228

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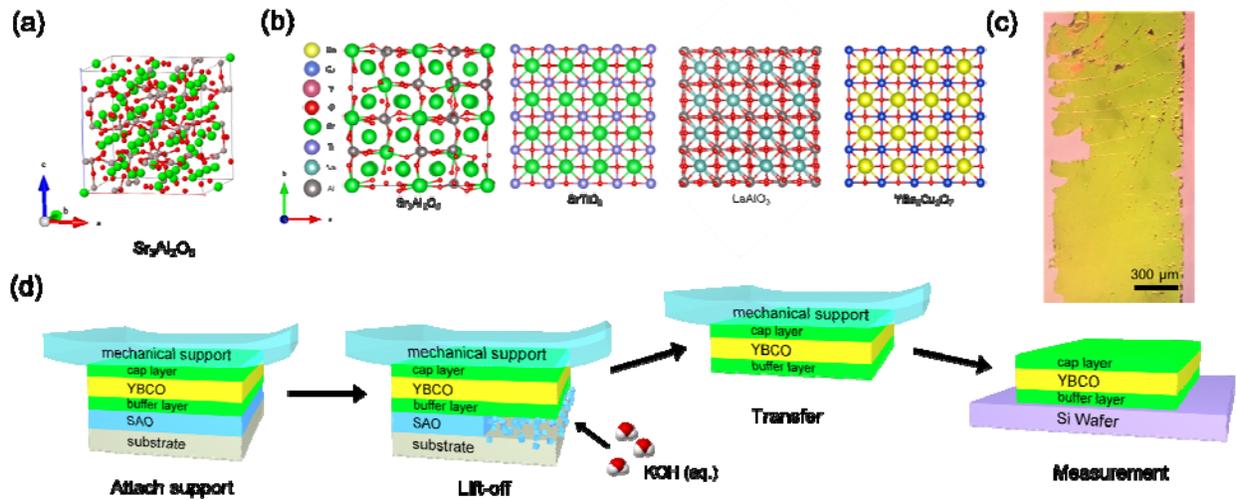
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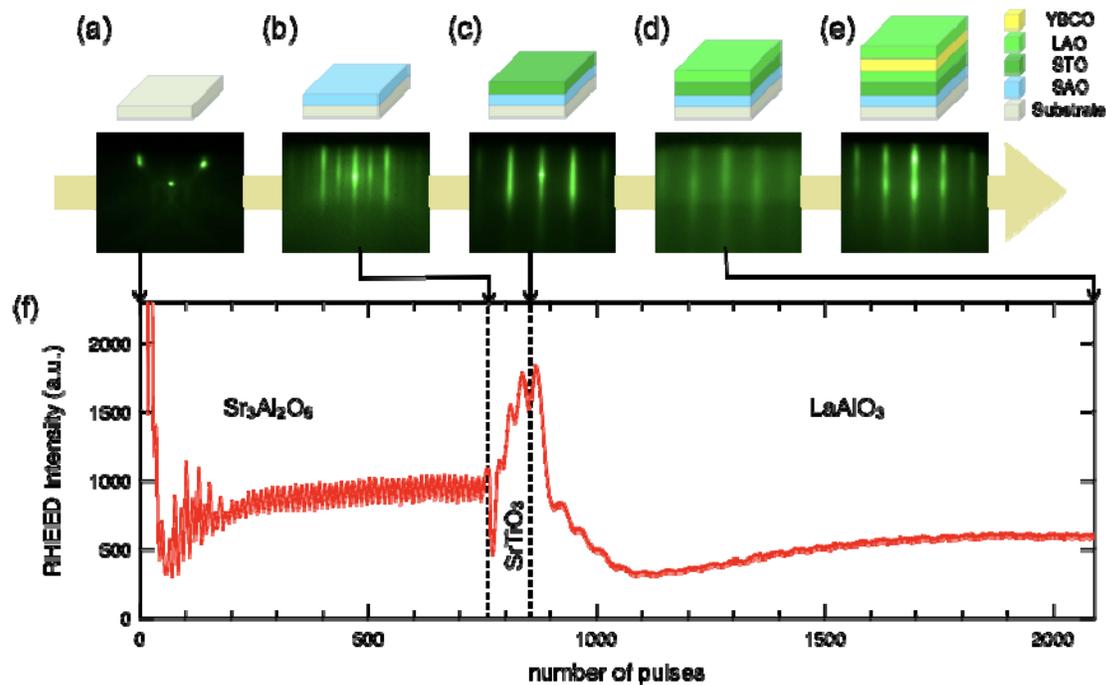
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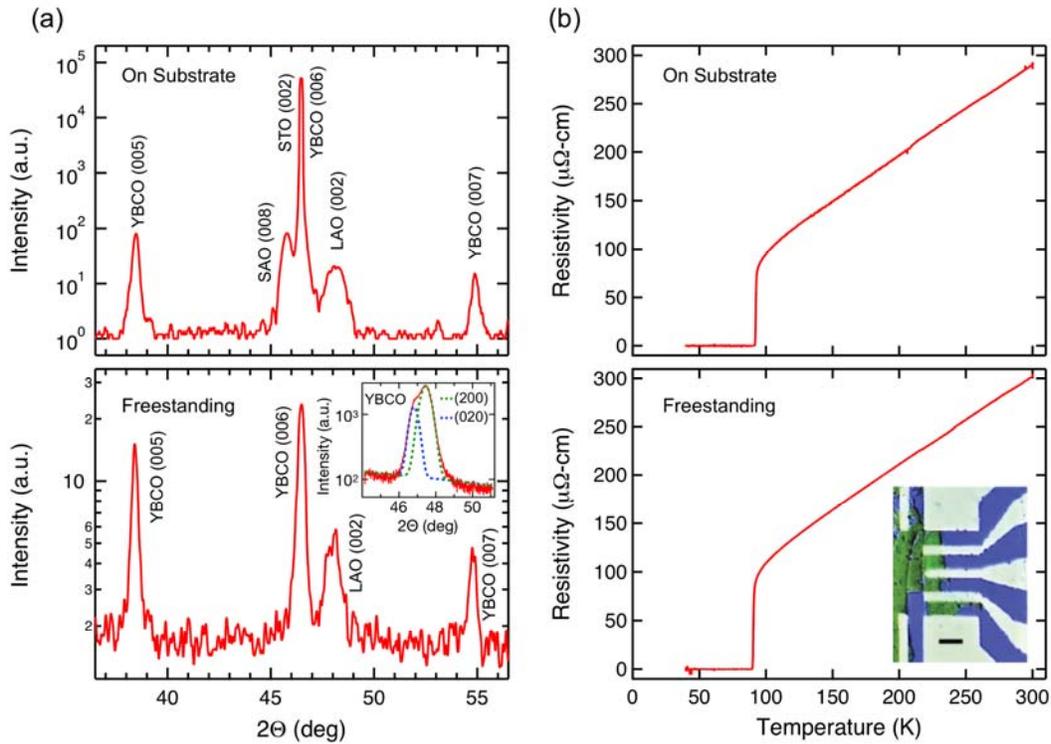


302
303

304 FIG. 1. (a) Unit cell of $\text{Sr}_2\text{Al}_3\text{O}_6$. (b) Juxtaposition of scaled ab-plane projections of half of a
305 SAO unit cell, 4×4 STO unit cells, 4×4 LAO pseudo-cubic unit cells, and 4×4 YBCO unit cells
306 (Y is overshadowed by Ba). (c) Optical image of a typical freestanding LAO-encapsulated 75 nm
307 thick YBCO heterostructure on PPC/PDMS with millimeter-sized continuous pieces. (d)
308 Schematic diagram of the processing steps to release the heterostructure.

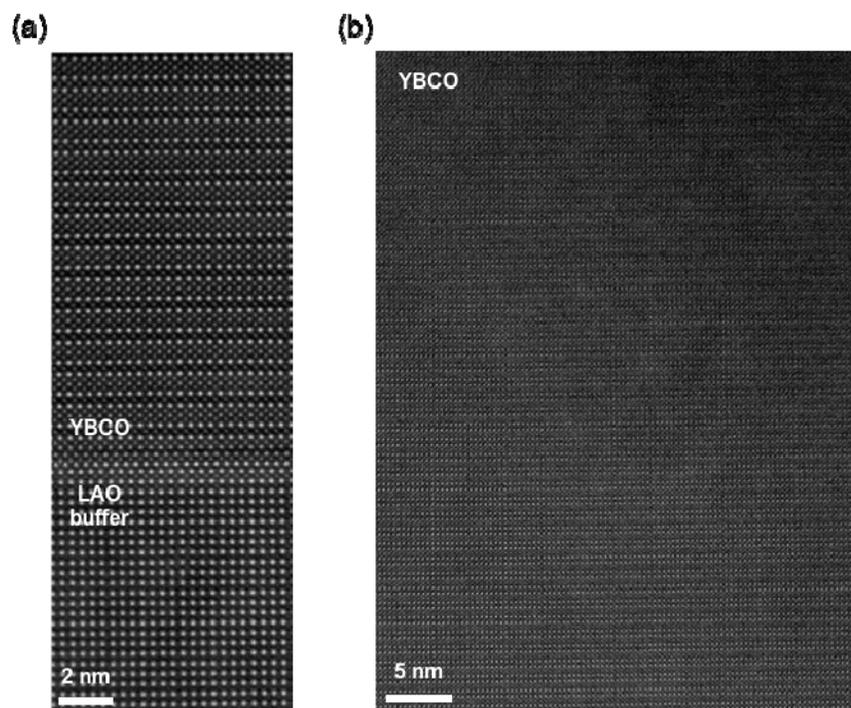


310
 311 FIG. 2. (a-e) RHEED patterns along the substrate $\{100\}$ direction upon the completion of the
 312 growth of each layer. The schematics above the RHEED patterns illustrate the structures of the
 313 sample at each corresponding stage. The substrate is STO (100). (f) RHEED oscillations for the
 314 low-pressure growth of the sacrificial layer and the buffer layers. The dashed lines indicate
 315 pauses during the growth for switching targets and tuning the growth conditions. RHEED
 316 intensities are normalized to match at the dashed lines. The RHEED oscillations for the first 2
 317 unit cells of SAO are partly cropped by the intensity limit.



319

320 FIG. 3. (a) 2θ - ω XRD scan of the YBCO heterostructure before (upper panel) and after (lower
 321 panel) liftoff. For the upper and lower panels, the 2θ axes are calibrated by the STO (002) peak
 322 and Si (004) peak, respectively. In the upper panel, the YBCO (006) peak is overshadowed by
 323 the STO (002) peak. The inset of the lower panel shows the 2θ - ω GIXRD scan for the
 324 freestanding YBCO heterostructure. Blue and green curves are fits for the two resolved peaks in
 325 the scan, from which the YBCO lattice constants along a and b axes are extracted respectively.
 326 (b) Resistivity-versus-temperature measurement of the YBCO heterostructure before (upper
 327 panel) and after (lower panel) liftoff. Inset: four-probe resistivity measurement configuration.
 328 The scale bar is 100 μm long.



330
331 FIG. 4 Cross-sectional HAADF STEM images of (a) the interface between the YBCO layer and
332 the LAO buffer layer in the $\{100\}$ direction and (b) a larger field-of-view of the YBCO layer of
333 the transferred freestanding heterostructure on a Si wafer.