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# Cation distribution and magnetic properties in ultrathin (Ni\_{1-x}Co\_{x})Fe\_{2}O\_{4}(x=0-1) layers on Si(111) studied by soft x-ray magnetic circular dichroism

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1	Cation distribution and magnetic properties in ultrathin $(Ni_{1-x}Co_x)Fe_2O_4$ ( $x = 0 - 1$ )
2	layers on Si(111) studied by soft X-ray magnetic circular dichroism
3	
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25	Abstract
26	We study the electronic structure and the magnetic properties of epitaxial
27	$(Ni_{1-x}Co_x)Fe_2O_4(111)$ layers ( $x = 0 - 1$ ) with thicknesses $d = 1.7 - 5.2$ nm grown on
28	Al <sub>2</sub> O <sub>3</sub> (111)/Si(111) structures, to achieve a high value of inversion parameter $y$ which is
29	the inverse-to-normal spinel-structure ratio, and hence to obtain good magnetic
30	properties even when the thickness is thin enough for electron tunneling as a spin filter.
31	We revealed the crystallographic (octahedral $O_h$ or tetrahedral $T_d$ ) sites and the valences
32	of the Fe, Co, and Ni cations using experimental soft X-ray absorption spectroscopy and

1	X-ray magnetic circular dichroism spectra and configuration-interaction cluster-model
2	calculation. In all the $(Ni_{1-x}Co_x)Fe_2O_4$ layers with $d \sim 4$ nm, all Ni cations occupy the
3	$Ni^{2+}(O_h)$ site, whereas Co cations occupy the three different $Co^{2+}(O_h)$ , $Co^{2+}(T_d)$ , and
4	$\operatorname{Co}^{3+}(O_h)$ sites with constant occupancies. According to these features, the occupancy of
5	the $\operatorname{Fe}^{3+}(O_h)$ cations decreases and that of the $\operatorname{Fe}^{3+}(T_d)$ cations increases with
6	decreasing $x$ . Consequently, we obtained a systematic increase of $y$ with decreasing $x$
7	and achieved the highest y value of 0.91 for the NiFe <sub>2</sub> O <sub>4</sub> layer with $d = 3.5$ nm. From
8	the <i>d</i> dependences of <i>y</i> and magnetization in the <i>d</i> range of $1.7 - 5.2$ nm, a
9	magnetically-dead layer is present near the NiFe <sub>2</sub> O <sub>4</sub> /Al <sub>2</sub> O <sub>3</sub> interface, but its influence on
10	the magnetization was significantly suppressed compared with the case of $\mathrm{CoFe_2O_4}$
11	layers reported previously [Y. K. Wakabayashi et al., Phys. Rev. B 96, 104410 (2017)],
12	due to the high site selectivity of the Ni cations. Since our epitaxial NiFe <sub>2</sub> O <sub>4</sub> layer with
13	d = 3.5 nm has a high y values (0.91) and a reasonably large magnetization, it is
14	expected to exhibit a strong spin filter effect which can be used for efficient spin
15	injection into Si.

16 PACS numbers: 75.70.-i, 75.47.Lx, 75.25.-j, 73.20.-r

# 1 I. INTRODUCTION

 $\mathbf{2}$ Towards the realization of Si-based spintronic devices for practical use at 300K [1-4], one of the most important building blocks is a spin injector/extractor which 3 inject/extract a highly spin-polarized electrons into/from a Si channel. For such spin 4  $\mathbf{5}$ injector/detector junctions, the spin filter effect through an inverse spinel ferrite barrier 6 is expected to be very useful, since it completely selects down-spin polarization of  $\overline{7}$ tunneling electrons by the spin-dependent tunnel probability which originates from the spin-polarized lower down-spin and higher up-spin conduction bands of the spinel 8 ferrites. In addition, the Curie temperatures  $T_{\rm C}$  of bulk ferrites are typically far above 9 room temperature:  $T_{\rm C}$  = 793 K for CoFe<sub>2</sub>O<sub>4</sub> [5-7] and  $T_{\rm C}$  = 850 K for NiFe<sub>2</sub>O<sub>4</sub> [8,9]. 10 11 However, the experimental spin-polarization values estimated from the tunnel 12magnetoresistance ratio have been much less than the expectations in multi-layered 13structures with metal electrodes and a few-nm-thick ferrite tunnel barrier [8,10,11]. 14These results indicate that the ferrite barriers in these experiments had neither the 15ideally spin-polarized band structure nor good magnetic properties of the inverse spinel 16ferrites, owing to imperfection in cation ordering and lattice structures.

17 The inverse and normal spinel structures of  $M \text{Fe}_2 \text{O}_4$  (M = Co or Ni) ferrites are 18 defined by the cation occupancies on the octahedral ( $O_h$ ) and tetrahedral ( $T_d$ ) sites in the

1	lattice of O anions. Figure 1(a) shows a schematic picture of the spinel structure, where
2	small red, small blue, and large gray spheres represent the $O_h$ sites, $T_d$ sites, and oxygen
3	anions, respectively, and blue and red arrows represent the antiferromagnetic coupling
4	between the magnetic moments of cations at the $T_d$ and $O_h$ sites, respectively. Generally,
5	the inverse (normal) spinel structure stands for full occupancy of the $T_d$ sites by Fe (M)
6	cations. To quantify the regularity of cation distribution, the inversion parameter $y$ is
7	frequently defined by the ideal chemical formula $[M_{1-y}Fe_y]_{Td}[Fe_{2-y}M_y]_{Oh}O_4$ and
8	represents the inverse-to-normal spinel-structure ratio: $y = 1$ ( $y = 0$ ) denotes the perfect
9	inverse (normal) spinel structure. Hereafter, the ratio of the total $O_h$ to the total $T_d$ sites
10	occupied by Fe and M cations is referred to as the total $O_h/T_d$ site ratio and is 2 in the
11	above ideal chemical formula. From first-principles calculations for MFe <sub>2</sub> O <sub>4</sub> ferrites
12	with $y = 1$ [7,12], the lower down-spin conduction band is composed of 3 <i>d</i> ( $t_{2g}$ ) states of
13	Fe cations at the $O_h$ sites, whereas the higher up-spin conduction band is composed of
14	the 3 <i>d</i> ( <i>e</i> ) states of Fe cations at the $T_d$ sites, as schematically shown in Fig. 1(b). When
15	y < 1, up-spin midgap states are formed, which results in the decrease of spin selectivity
16	during the electron tunneling [7,12,13] and also in the degradation of magnetic
17	properties [6,13-17]. Therefore, the spin filter effect requires a thin $MFe_2O_4$ layer ( $M =$
18	Co or Ni) with a high y. In addition to the above-mentioned cation distribution

1	determined by the site selectivity of cations, a study on an epitaxial $\mathrm{Fe_3O_4}$ layer on a
2	MgO substrate [18] reported that the polar interface with oxide materials causes
3	unoccupied $T_d$ sites, which should lead to a significant degradation of magnetic
4	properties; The amount of unoccupied $T_d$ sites is maximum at the heterointerface and
5	exponentially decreases with increasing the $Fe_3O_4$ thickness in the thickness range
6	below 10 nm. Recently, we also confirmed such characteristics in epitaxial
7	CoFe <sub>2</sub> O <sub>4</sub> (111) layers with various thicknesses $d = 1.4$ , 2.3, 4.0, and 11 nm on
8	Al <sub>2</sub> O <sub>3</sub> (111)/Si(111) [19,20]; We found that a magnetically-dead layer originating from
9	various complex networks of superexchange interactions is present mostly at the
10	$CoFe_2O_4/Al_2O_3$ interface at $d = 1.4$ nm, y increases with increasing d, and the
11	magnetization comparable to that of bulk materials were obtained only at $d = 11$ nm.
12	This is a serious problem in utilizing the spin filter effect, since the spin filter tunnel
13	barrier requires a few-nm-thick ferrite with a high y and good magnetic properties, and
14	this is probably the reason why the spin polarization of electrons is smaller even at low
15	temperatures (4 – 10 K) reported in Refs. [8,13].
16	The purpose of this study is to achieve a high <i>y</i> and good ferrimagnetic properties
17	even in a few-nm-thick ferrite layer epitaxially grown on $Al_2O_3(111)/Si(111)$ by
18	overcoming the above-mentioned problems. Our main material is NiFe <sub>2</sub> O <sub>4</sub> , since Ni

1	cations are known to have a significantly higher site selectivity for the $O_h$ sites than Co
2	cations: $y > 0.8$ for bulk NiFe <sub>2</sub> O <sub>4</sub> [21-23] and $y = 0.68-0.80$ for bulk CoFe <sub>2</sub> O <sub>4</sub> [24-27].
3	In the present work, in order to systematically reveal the effect of Ni cations on $y$ as
4	well as the magnetic properties, we investigate the properties of NiFe <sub>2</sub> O <sub>4</sub> layers with
5	various thicknesses $d (= 1.7, 3.5, and 5.2 nm)$ , compare with our previous report on
6	CoFe <sub>2</sub> O <sub>4</sub> [20], and also characterize an epitaxial NiO(111) layer to confirm the spectra
7	of $Ni^{2+}$ cations occupying the $O_h$ sites. Furthermore, we also investigate the properties
8	of Ni <sub>1-x</sub> Co <sub>x</sub> Fe <sub>2</sub> O <sub>4</sub> with $x = 0.25$ , 0.5, and 0.75, since such a study allows us to directly
9	reveal the difference in the site selectivity between Co and Ni cations, and the
10	x-dependent change in the magnetic properties and electronic structure will be useful
11	information for optimizing the spin filter effect in Si-based tunnel junctions. Note that
12	detailed properties, particularly the electronic structure, of Ni <sub>1-x</sub> Co <sub>x</sub> Fe <sub>2</sub> O <sub>4</sub> have never
13	been clarified yet [28,29].

Our experimental techniques are soft X-ray absorption spectroscopy (XAS) and X-ray magnetic circular dichroism (XMCD), which are extremely sensitive tools to the local electronic structure and the magnetic properties of each element in magnetic materials [30-34], and allow us to determine the crystallographic sites and valences of cations [35-37]. In addition, since XMCD is free from the diamagnetic signal from the

substrate, one can perform accurate measurements on ultra-thin magnetic layers.
 Therefore, XMCD measurements are useful for the systematic investigation of a
 few-nm-thick magnetic layers in oxide magnetic multilayers.

In this paper, we present the electronic structure and magnetic properties of 4 epitaxial NiFe<sub>2</sub>O<sub>4</sub>(111) layers (d = 1.7, 3.5, and 5.2 nm) and epitaxial 3.5-nm-thick  $\mathbf{5}$  $(Ni_{1-x}Co_x)Fe_2O_4(111)$  layers (x = 0.25, 0.5, 0.75) grown on Al<sub>2</sub>O<sub>3</sub>(111)/Si(111) structures 6 using XAS and XMCD. The crystallographic sites and valences of the cations in the 7 8 layers are determined using the experimental XAS and XMCD spectra and theoretical calculation based on the configuration-interaction (CI) cluster model [38]. We obtained 9 high y values of 0.79 - 0.91 for NiFe<sub>2</sub>O<sub>4</sub> layers with d = 1.7, 3.5, and 5.2 nm, owing to 10 the 100% selectivity of Ni<sup>2+</sup> for the  $O_h$  sites. We found that the high site selectivity of Ni 11 12cations and the low site selectivity of Co cations are universal nature in all the  $(Ni_{1-x}Co_x)Fe_2O_4$  layers, which leads to the x-dependent occupancies of the Fe<sup>3+</sup> cations 13for the  $T_d$  and  $O_h$  sites. Consequently, y systematically increases with decreasing x and it 14shows the highest value at x = 0 (NiFe<sub>2</sub>O<sub>4</sub>). 15

16

#### 17 II. EXPERIMENTAL

18 We grew two series of epitaxial thin films: (i) Epitaxial single-crystalline

1	NiFe <sub>2</sub> O <sub>4</sub> (111) layers with various thicknesses $d (= 1.7, 3.5, \text{ and } 5.2 \text{ nm})$ , and (ii)
2	3.5-nm-thick $(Ni_{1-x}Co_x)Fe_2O_4(111)$ layers with various x (= 0.25, 0.5, and 0.75), on
3	1.4-nm-thick $\gamma$ -Al <sub>2</sub> O <sub>3</sub> (111) buffer layer / $n^+$ -Si(111) substrates using pulsed laser
4	deposition (PLD), as shown in Fig. 1(c). For a reference, we grew a 3.5-nm-thick NiO
5	layer on a 1.4-nm-thick $\gamma$ -Al <sub>2</sub> O <sub>3</sub> (111) buffer layer / $n^+$ -Si(111) substrate by PLD, to
6	confirm the spectra of $Ni^{2+}(O_h)$ cations. During the growth of these layers, the substrate
7	temperature (500°C), $O_2$ pressure (10 Pa), and the laser setup were the same as those in
8	our previous report [20]. The stoichiometry of each layer is referred to as that of a
9	sintered target used for each growth.

10 Figure 2 shows reflection high-energy electron diffraction (RHEED) patterns of an 11epitaxial NiFe<sub>2</sub>O<sub>4</sub>/γ-Al<sub>2</sub>O<sub>3</sub>(111)/Si(111) structure after the growth of a 3.5-nm-thick 12NiFe<sub>2</sub>O<sub>4</sub> layer as an example. The RHEED patterns of all the  $(Ni_{1-x}Co_x)Fe_2O_4$  (x = 0, 0.25, and 0.75) layers show similar sharp 6-fold streaks with a  $2 \times 2$  reconstruction. These 13patterns indicate a high-quality 2-dimensional epitaxial growth mode in all the 14(Ni<sub>1-x</sub>Co<sub>x</sub>)Fe<sub>2</sub>O<sub>4</sub> layers. The RHEED patterns of the NiO layer also show 6-fold spotty 1516streaks. These 6-fold symmetry diffraction patterns indicate that one domain is completely aligned with the Si substrate with the epitaxial relationship of  $(Ni_{1-x}Co_x)Fe_2O_4[112](111)$ 17// γ-Al<sub>2</sub>O<sub>3</sub>[112](111) // Si[112](111) and NiO[112](111)] // γ-Al<sub>2</sub>O<sub>3</sub>[112](111) // Si[11 18

 $\overline{2}$ ](111), whereas another domain is rotated by 60° in the (111) plane. These double domain structures are basically the same as those reported in Refs. [19,20].

4 and 5.2 nm, peaked signals from NiFe<sub>2</sub>O<sub>4</sub>(111) were obtained (Fig. 3). From the fitting 5 of a Gaussian function to each signal, we found that the lattice spacing along the [111] 6 direction was unchanged for both films and it was estimated to be 8.25 Å, which is 7 smaller by 1% than that of a bulk material with a lattice constant  $a_{bulk} = 8.339$  Å [39].

In the  $\theta$ -2 $\theta$  X-ray diffraction (XRD) patterns for the NiFe<sub>2</sub>O<sub>4</sub> films with d = 1.7

8 Thus, the NiFe<sub>2</sub>O<sub>4</sub> layers were compressed along the [111] direction.

9 Figures 4(a) and (b) show cross-sectional high-resolution transmission electron microscopy (HRTEM) images of the NiFe<sub>2</sub>O<sub>4</sub> film with d = 5.2 nm projected along the Si 10 11 <112> axis. Almost the entire region of the NiFe<sub>2</sub>O<sub>4</sub> layer has an epitaxially-grown single-crystalline structure with a smooth surface and interface with the  $\gamma$ -Al<sub>2</sub>O<sub>3</sub> buffer 1213layer. A ~2-nm-thick SiO<sub>x</sub> interfacial layer seems to be formed by the high O<sub>2</sub> pressure of 10 Pa during the growth of the NiFe<sub>2</sub>O<sub>4</sub> layer. The orange dashed lines represent 1415anti-phase boundaries (APBs), which are growth defects of the cation sublattice inherent 16in the spinel structure [14-16]: The oxygen lattice remains unchanged across an APB whereas the cation sublattice is shifted by the <220> translation vector [16]. 17



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Figure 5 shows the magnetic field  $\mu_0 H$  dependence of the magnetization for the

1	NiFe <sub>2</sub> O <sub>4</sub> layers with $d = 1.7, 3.5$ , and 5.2 nm measured by a superconducting quantum
2	interference device (SQUID) magnetometer. In the SQUID measurements, $H$ was
3	applied parallel to the in-plane $[1\overline{1}0]$ direction. Here, the diamagnetic signal of the Si
4	substrate has been subtracted from the raw magnetization data, assuming that the
5	magnetization saturates in the magnetic field range higher than 0.5 T. The saturation
6	magnetizations at 1 T with $d = 3.5$ and 5.2 nm (~210 emu/cc) are the same, and it
7	decreases with decreasing d from 3.5 to 1.7 nm (~180 emu/cc), indicating the
8	degradation of magnetization near the NiFe <sub>2</sub> O <sub>4</sub> /Al <sub>2</sub> O <sub>3</sub> interface. These values are 78%
9	and 67% of that for a bulk material (270 emu/cc), respectively.
10	We performed XAS and XMCD measurements at the soft X-ray beamline BL23SU
10 11	We performed XAS and XMCD measurements at the soft X-ray beamline BL23SU of SPring-8 with a twin-helical undulator of in-vacuum type [40], which allows us to
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11 12	of SPring-8 with a twin-helical undulator of in-vacuum type [40], which allows us to perform efficient and accurate measurements of XMCD with various incident photon
11 12 13	of SPring-8 with a twin-helical undulator of in-vacuum type [40], which allows us to perform efficient and accurate measurements of XMCD with various incident photon energies. The monochromator resolution was $E/\Delta E > 10000$ . XMCD spectra were
11 12 13 14	of SPring-8 with a twin-helical undulator of in-vacuum type [40], which allows us to perform efficient and accurate measurements of XMCD with various incident photon energies. The monochromator resolution was $E/\Delta E > 10000$ . XMCD spectra were obtained by reversing the photon helicity at each energy point and were recorded in the
<ol> <li>11</li> <li>12</li> <li>13</li> <li>14</li> <li>15</li> </ol>	of SPring-8 with a twin-helical undulator of in-vacuum type [40], which allows us to perform efficient and accurate measurements of XMCD with various incident photon energies. The monochromator resolution was $E/\Delta E > 10000$ . XMCD spectra were obtained by reversing the photon helicity at each energy point and were recorded in the total-electron-yield (TEY) mode. To eliminate possible experimental artifacts, we

Ni  $L_{2,3}$  edges were subtracted from the raw data, assuming that they are hyperbolic tangent functions. All the XAS and XMCD measurements were performed at 300 K, and a magnetic field applied perpendicular to the layer surface is denoted by  $\mu_0 H$ . Note that the data of the CoFe<sub>2</sub>O<sub>4</sub> layer [(Ni<sub>1-x</sub>Co<sub>x</sub>)Fe<sub>2</sub>O<sub>4</sub> with x = 1] are the same as those reported previously [20].

6 To clarify the correlation between the cation distribution and magnetic properties quantitatively, we determined the crystallographic sites and valences of Fe, Co, and Ni 7 cations using the experimental XAS and XMCD spectra and cluster-model calculation. 8 It has been well recognized that the XAS and XMCD spectra of transition-metal oxides 9 10 strongly depend on the 3d electron configuration, crystal field, spin-orbit coupling, and 11 electron-electron interaction within the transition-metal cation, and the hybridization of 123d electrons with other valence electrons. Taking into account these effects, we 13calculated XAS and XMCD spectra for Fe, Co, and Ni cations with a specific site and 14valence by employing the CI cluster model [38]. In the calculation, we adopted 15empirical relationship between the on-site Coulomb energy  $U_{dd}$  and the 3d-2p hole Coulomb energy  $U_{dc}$ :  $U_{dc}$  /  $U_{dd}$  = 1.25 [41], and that between the Slater-Koster 16parameters  $pd\sigma$  and  $pd\pi$ :  $pd\sigma / pd\pi = -2.17$  [42]. The hybridization strength between O 17182p orbitals  $T_{pp}$  was fixed to be 0.7 eV (for  $O_h$  site) and 0 eV (for  $T_d$  site) [38,41], and

1	80% of the ionic Hartree-Fock values were used for Slater integrals. Thus, the
2	crystal-field splitting 10Dq, the charge-transfer energy $\Delta$ , $U_{dd}$ , and $pd\sigma$ were treated as
3	adjustable parameters. As for the Fe cations, $10Dq$ , $\Delta$ , and $pd\sigma$ were adjusted to
4	reproduce the various experimental Fe $L_{2,3}$ -edge spectra by the weighted sum of
5	calculated spectra for the Fe <sup>3+</sup> ( $O_h$ ), Fe <sup>3+</sup> ( $T_d$ ), and Fe <sup>2+</sup> ( $O_h$ ) cations. $U_{dd}$ was fixed to the
6	value reported for Fe <sub>3</sub> O <sub>4</sub> [41]. As for the Ni <sup>2+</sup> ( $O_h$ ) cation, all the adjustable parameters
7	were chosen to reproduce the experimental spectra of NiFe <sub>2</sub> O <sub>4</sub> layers measured with
8	$\mu_0 H = 7$ T. As for the Co cations, the adjustable parameters were adopted from
9	previously reported CoFe <sub>2</sub> O <sub>4</sub> values [20]. The parameter values used for these
10	calculations are listed in Table 1. The spin magnetic moment $m_{\rm spin}$ and the orbital
11	magnetic moment $m_{orb}$ were also calculated within the CI cluster model using the above
12	parameters for the Fe, Co, and Ni cations, and they are summarized in Table 2.
13	
14	III. EXPERIMENTAL RESULTS AND ANALYSES
15	A. Thickness <i>d</i> dependence of the cation distribution and magnetic properties in
16	the NiFe <sub>2</sub> O <sub>4</sub> layers
17	Figure 6 (a) and (b) show Fe and Ni $L_{2,3}$ -edge XMCD ( $\mu^+ - \mu^-$ ) spectra normalized

18 at 708.7 and 851.1 eV, respectively, for the NiFe<sub>2</sub>O<sub>4</sub> layers with d = 1.7, 3.5, and 5.2 nm

1	measured with $\mu_0 H = 7$ T. In Fig. 6(b), a normalized Ni $L_{2,3}$ -edge XMCD spectrum for
2	the NiO layer with $\mu_0 H = 10$ T is also shown as a reference (black curve). Here, $\mu^+$ and
3	$\mu^-$ denote the absorption coefficients for the photon helicities parallel and antiparallel to
4	the Ni 3d majority spin direction, respectively. These spectra show multiplet structures
5	which are characteristic of the localized $3d$ state of Fe and Ni cations in oxides
6	[9,35-37]. The Fe $L_3$ -edge XMCD spectra have a positive peak at 708.0 eV and two
7	negative peaks at 706.8 and 708.7 eV. It is well known that those peaks at 706.8, 708.0,
8	and 708.7 eV mainly come from $\text{Fe}^{2+}(O_h)$ , $\text{Fe}^{3+}(T_d)$ , and $\text{Fe}^{3+}(O_h)$ cations, respectively
9	[20,35,41], where the superscript number denotes the valence of the cations. Our
10	calculation also supports these assignments, as will be described. The peak height for
11	the Fe <sup>3+</sup> ( $T_d$ ) cations (708.0 eV) are comparable to or larger than that for the Fe <sup>3+</sup> ( $O_h$ )
12	cations (708.7 eV) in all the NiFe <sub>2</sub> O <sub>4</sub> layers, indicating that the amount of the Fe <sup>3+</sup> ( $T_d$ )
13	cations is comparable to or larger than that of the $\operatorname{Fe}^{3+}(O_h)$ cations. This result means
14	that all the NiFe <sub>2</sub> O <sub>4</sub> layers have a high inversion parameter $y$ . On the other hand, the
15	XMCD signals at the Ni $L_3$ edge are mostly negative. This means that the spin magnetic
16	moments of the $\text{Fe}^{3+}(T_d)$ cations and Ni cations have an antiparallel configuration, as
17	shown in Fig. 1(a), which is characteristic of the Ni $(O_h)$ cations in inverse spinel
18	ferrites [20,43,44].

1	In Fig. 6(b), the Ni $L_{2,3}$ -edge XMCD spectra for various d are identical with each
2	other and are similar to that for the NiO layer that has only $Ni^{2+}(O_h)$ cations. This
3	indicates that all the NiFe <sub>2</sub> O <sub>4</sub> layers have Ni <sup>2+</sup> ( $O_h$ ) cations. Meanwhile, the normalized
4	XMCD intensities for the Fe <sup>3+</sup> ( $T_d$ ) cations (708.0 eV) in the $d = 3.5$ and 5.2 nm layers
5	are the same, and decreases with decreasing $d$ from 3.5 to 1.7 nm, as shown in Fig. 6(a),
6	indicating that the amount of the $Fe^{3+}(T_d)$ cations relative to the total amount of all the
7	Fe cations becomes smaller for $d = 1.7$ nm. These results mean that the total $O_h/T_d$ site
8	ratio is larger than 2 near the NiFe <sub>2</sub> O <sub>4</sub> /Al <sub>2</sub> O <sub>3</sub> interface. This feature was also observed at
9	the Fe <sub>3</sub> O <sub>4</sub> /MgO interface [18].
10	We quantitatively estimated the crystallographic sites and the valences of the Fe

11	and Ni cations in the NiFe <sub>2</sub> O <sub>4</sub> layers using the experimental XAS $[(\mu^+ + \mu^-)/2]$ and
12	XMCD spectra and the CI cluster-model calculations. Figures 7(a) and (b) show
13	calculated Fe $L_{2,3}$ -edge XAS and XMCD spectra for the Fe <sup>3+</sup> ( $O_h$ ), Fe <sup>3+</sup> ( $T_d$ ), and Fe <sup>2+</sup>
14	$(O_h)$ cations, respectively, using the parameters in Table 1. Figures 7(c) and (d) show the
15	experimental Fe $L_{2,3}$ -edge XAS and XMCD spectra measured with $\mu_0 H = 7$ T for the
16	NiFe <sub>2</sub> O <sub>4</sub> layers with $d = 1.7$ , 3.5 and 5.2 nm, and the corresponding curve fitting
17	(particularly for the $L_3$ edges) with the weighted sum of the calculated spectra shown in
18	Figs. 7(a) and (b). Here, the weight of the each calculated spectra is proportional to the

1	site occupancy of each Fe cation in Fig. 9(a). The experimental spectra are well
2	reproduced by the weighted sum of the calculated spectra, including the characteristic
3	kink structure at around 707.5 eV in the XMCD spectra. These results give strong
4	evidence that the Fe cations are composed of the Fe <sup>3+</sup> ( $O_h$ ), Fe <sup>3+</sup> ( $T_d$ ), and Fe <sup>2+</sup> ( $O_h$ )
5	cations. From these fits, we obtained the magnetic moments of the Fe <sup>3+</sup> ( $O_h$ ), Fe <sup>3+</sup> ( $T_d$ ),
6	and $\text{Fe}^{2+}(O_h)$ cations with $\mu_0 H = 7$ T and the site occupancy of each Fe cations. We also
7	estimated the inversion parameter $y$ from the site occupancies of these Fe cations; $y =$
8	$2f[\text{Fe}^{3+}(T_d)]$ , where $f[\text{Fe}^{3+}(T_d)]$ represents the site occupancy of the $\text{Fe}^{3+}(T_d)$ cations [9].
9	We note that the above estimation of $y$ is based on the ideal chemical formula
10	$[M_{1-y}Fe_y]_{Td}[Fe_{2-y}M_y]_{Oh}O_4$ ; the effects of oxygen vacancies, non-stoichiometry, and/or the
11	change of the total $O_h/T_d$ site ratio are ignored for the estimation of y values.
11 12	change of the total $O_h/T_d$ site ratio are ignored for the estimation of y values. Figure 8 shows the calculated Ni $L_{2,3}$ -edge XAS (a) and XMCD (b) spectra, where
12	Figure 8 shows the calculated Ni $L_{2,3}$ -edge XAS (a) and XMCD (b) spectra, where
12 13	Figure 8 shows the calculated Ni $L_{2,3}$ -edge XAS (a) and XMCD (b) spectra, where the dot-dashed and dotted curves represent the spectra for the Ni <sup>2+</sup> ( $O_h$ ) and Ni <sup>2+</sup> ( $T_d$ )
12 13 14	Figure 8 shows the calculated Ni $L_{2,3}$ -edge XAS (a) and XMCD (b) spectra, where the dot-dashed and dotted curves represent the spectra for the Ni <sup>2+</sup> ( $O_h$ ) and Ni <sup>2+</sup> ( $T_d$ ) cations, respectively, using the parameters in Table 1. Owing to the strong
12 13 14 15	Figure 8 shows the calculated Ni $L_{2,3}$ -edge XAS (a) and XMCD (b) spectra, where the dot-dashed and dotted curves represent the spectra for the Ni <sup>2+</sup> ( $O_h$ ) and Ni <sup>2+</sup> ( $T_d$ ) cations, respectively, using the parameters in Table 1. Owing to the strong antiferromagnetic interaction between the $O_h$ and $T_d$ sites in the spinel structure, the sign

1	spectrum for the Ni <sup>2+</sup> ( $O_h$ ) cation, as shown in Figs. 8(c) and (d). These results confirm
2	that all the NiFe <sub>2</sub> O <sub>4</sub> layers have only Ni <sup>2+</sup> ( $O_h$ ) cations. From these fits, we also obtained
3	the magnetic moment of the Ni <sup>2+</sup> ( $O_h$ ) cations with $\mu_0 H = 7$ T.
4	Figure 9(a) shows the <i>d</i> dependence of the site occupancies of $\text{Fe}^{3+}(O_h)$ , $\text{Fe}^{3+}(T_d)$ ,
5	and $\operatorname{Fe}^{2+}(O_h)$ cations in the NiFe <sub>2</sub> O <sub>4</sub> layers, which were estimated from the same fitting
6	procedure. In the same figure, the inversion parameter $y$ estimated from the site
7	occupancy of these Fe cations, as described above, is also shown. Considering the
8	charge neutrality, the valence of all the Fe cations for all $d$ was essentially 3+ due to the
9	fact that the occupancy of the Ni <sup>2+</sup> ( $O_h$ ) cations is 100% in all $d$ , as shown in Fig. 9(b).
10	However, the occupancy of the Fe <sup>2+</sup> ( $O_h$ ) cations was found to be ~5% in all $d$ , which
11	may come from small amount of the oxygen vacancies and/or non-stoichiometry in the
12	NiFe <sub>2</sub> O <sub>4</sub> layer. Whereas the occupancies of all the cations are almost the same for $d =$
13	3.5 and 5.2 nm, the occupancy of the Fe <sup>3+</sup> ( $O_h$ ) cations becomes larger and that of the
14	$\text{Fe}^{3+}(T_d)$ cations becomes smaller when d decreases from 3.5 to 1.7 nm. In response to
15	the site occupancies and site ratio, y is as high as ~0.91 for $d = 3.5$ and 5.2 nm, but it
16	becomes 0.79 when $d$ decreases from 3.5 to 1.7 nm.
17	Figure 10 shows XMCD intensity – $H$ curves measured at the Fe $L_3$ edge for the

18 NiFe<sub>2</sub>O<sub>4</sub> layers with d = 1.7 and 3.5 nm, in which each XMCD intensity along the

1	vertical axis is scaled so that it represents the sum of the estimated magnetizations of all
2	the Fe and Ni cations in each layer. The rhombus in the figure represents the
3	magnetization at $\mu_0 H = 7$ T for the NiFe <sub>2</sub> O <sub>4</sub> layer with $d = 5.2$ nm, which is scaled in the
4	same manner. The linearity of the XMCD – $H$ curve for $d = 1.7$ nm is higher than that
5	for $d = 5.2$ nm, indicating the degradation of ferrimagnetic ordering near the
6	NiFe <sub>2</sub> O <sub>4</sub> /Al <sub>2</sub> O <sub>3</sub> interface, and the magnetization at $\mu_0 H = 7$ T is correlated with y in Fig.
7	9(a): it is ~180 emu/cc for $d = 3.5$ and 5.2 nm, and it decreases to 60 emu/cc when $d$
8	decreases from 3.5 to 1.7 nm. Such degradation of the magnetic properties near the
9	NiFe <sub>2</sub> O <sub>4</sub> /Al <sub>2</sub> O <sub>3</sub> interface were also observed in the in-plane $[1\overline{1}0]$ magnetizations
10	measured by SQUID (Fig. 5).

# 12 **B.** Co concentration *x* dependence of the cation distribution in the (Ni<sub>1-x</sub>Co<sub>x</sub>)Fe<sub>2</sub>O<sub>4</sub>

13 layers with d = 3.5 or 4 nm

Figures 11(a), (b), and (c) show Fe, Co, and Ni  $L_{2,3}$ -edge XMCD spectra normalized at 708.7, 777.5, and 851.1 eV, respectively, for the 3.5 nm-thick (Ni<sub>1-x</sub>Co<sub>x</sub>)Fe<sub>2</sub>O<sub>4</sub> layers (x = 0, 0.25, 0.5, and 0.75) and the 4 nm-thick CoFe<sub>2</sub>O<sub>4</sub> layer (x= 1) measured with  $\mu_0H$  = 7 T. The XMCD signals at the Co and Ni  $L_3$  edges are mostly negative, indicating that the magnetic moments of the Co and Ni cations have an

1	antiparallel configuration to those of the $\text{Fe}^{3+}(T_d)$ cations, as shown in Fig. 1(a). This is
2	characteristic of the Co $(O_h)$ and Ni $(O_h)$ cations in inverse spinel ferrites [20,35,43,44].
3	In Fig. 11(a), the normalized Fe L <sub>3</sub> -edge XMCD intensity for the Fe <sup>3+</sup> ( $T_d$ ) cations
4	(708.0 eV) decreases with increasing $x$ , namely, $y$ decreases with increasing $x$ . In
5	contrast, the normalized Co and Ni XMCD spectra do not vary with $x$ , as shown in Figs.
6	11(b) and (c), respectively, which indicates that the site occupancies of the Ni and Co
7	cations are constant for various $x$ . Thus, the higher $y$ value with lower $x$ is associated
8	with the difference of the site selectivity of the Ni and Co cations.
9	To clarify the correlation between the site selectivity, cation distribution, and
10	magnetization quantitatively, we also determined the crystallographic sites and valences
11	of Fe, Co, and Ni cations in the (Ni <sub>1-x</sub> Co <sub>x</sub> )Fe <sub>2</sub> O <sub>4</sub> layers using the experimental XAS and
12	XMCD spectra and the CI cluster-model calculation. Figures 12(a) and (b) show the
13	experimental Fe $L_{2,3}$ -edge XAS and XMCD spectra measured with $\mu_0 H = 7$ T for the
14	
	3.5-nm-thick (Ni <sub>1-x</sub> Co <sub>x</sub> )Fe <sub>2</sub> O <sub>4</sub> layers ( $x = 0, 0.25, 0.5, and 0.75$ ) and the 4-nm-thick
15	3.5-nm-thick (Ni <sub>1-x</sub> Co <sub>x</sub> )Fe <sub>2</sub> O <sub>4</sub> layers ( $x = 0, 0.25, 0.5, and 0.75$ ) and the 4-nm-thick CoFe <sub>2</sub> O <sub>4</sub> layer ( $x = 1$ ), and the corresponding curve fittings (particularly for the $L_3$
15 16	
	CoFe <sub>2</sub> O <sub>4</sub> layer ( $x = 1$ ), and the corresponding curve fittings (particularly for the $L_3$

1 fits, we can obtain the site occupancies of the Fe<sup>3+</sup> ( $O_h$ ), Fe<sup>3+</sup> ( $T_d$ ), and Fe<sup>2+</sup> ( $O_h$ ) cations 2 as shown in Fig. 14(a). We also estimated the inversion parameter y from the site 3 occupancies of these Fe cations.

Figures 13(a) and (b) show the calculated Co  $L_{2,3}$ -edge XAS and XMCD spectra 4 for the  $\operatorname{Co}^{2+}(O_h)$ ,  $\operatorname{Co}^{2+}(T_d)$ , and  $\operatorname{Co}^{3+}(O_h)$  cations, respectively, using the parameters in  $\mathbf{5}$ Table 1. Figures 13(c) and (d) show the experimental Co  $L_{2,3}$ -edge XAS and XMCD 6 spectra measured with  $\mu_0 H = 7$  T for the 3.5 nm-thick (Ni<sub>0.25</sub>Co<sub>0.75</sub>)Fe<sub>2</sub>O<sub>4</sub> layer, and the  $\overline{7}$ weighted sum of the calculated spectra in Figs. 13(a) and (b). The experimental XAS 8 and XMCD spectra are well reproduced by the weighted sum of the calculated spectra, 9 which indicates that most of the Co cations are  $\operatorname{Co}^{2+}(O_h)$ ,  $\operatorname{Co}^{2+}(T_d)$ , and  $\operatorname{Co}^{3+}(O_h)$ 10 11 cations. The small discrepancy between the experimental spectra and the weighted sum 12of the calculated spectra may come from factors other than the assumptions in the 13calculation [20]: some other Co cations, such as low spin Co cations [45], Co cations at 14the trigonal prism sites [46], and Co cations under local distortion. The experimental Co 15 $L_{2,3}$ -edge XAS and XMCD spectra for the 3.5 or 4 nm-thick (Ni<sub>1-x</sub>Co<sub>x</sub>)Fe<sub>2</sub>O<sub>4</sub> layers with 16other x's (x = 0.25, 0.5, and 1) can also be reproduced by the same weighted sum of the calculated spectra since they are identical with those for the  $(Ni_{0.25}Co_{0.75})Fe_2O_4$  layer in 17Fig. 11(b). From these calculations, we obtain the site occupancies of the  $Co^{2+}(O_h)$ , 18

1  $\operatorname{Co}^{2+}(T_d)$ , and  $\operatorname{Co}^{3+}(O_h)$  cations at  $\mu_0 H = 7$  T as shown in Fig.13(b).

2	In the same way, the experimental Ni $L_{2,3}$ -edge XAS and XMCD spectra for the 3.5
3	nm-thick $(Ni_{1-x}Co_x)Fe_2O_4$ layers with x (= 0.25, 0.5, and 0.75), which are identical with
4	that for the NiFe <sub>2</sub> O <sub>4</sub> layer [Fig. $11(c)$ ], also can be reproduced by the calculated spectra
5	for the Ni <sup>2+</sup> ( $O_h$ ) cation in Figs. 8(a) and (b). From these fits, we can also obtain the site
6	occupancies of the Ni <sup>2+</sup> ( $O_h$ ) cations at $\mu_0 H = 7$ T as shown in Fig.14(c).
7	In Fig. 14(a), the inversion parameter $y$ estimated from the site occupancies of the
8	Fe cations, as described above, is also shown by red rhombuses. In all the layers, all Ni
9	cations occupy the Ni <sup>2+</sup> ( $O_h$ ) site [Fig. 14(c)], whereas Co cations occupy the three
10	different $\operatorname{Co}^{2^+}(O_h)$ , $\operatorname{Co}^{2^+}(T_d)$ , and $\operatorname{Co}^{3^+}(O_h)$ sites with constant occupancies [Fig.
11	14(b)]. On the other hand, the site selectivity of the Fe cations strongly depends on $x$ : as
12	x decreases from 1, the occupancy of the $\text{Fe}^{3+}(O_h)$ cations decreases and that of the $\text{Fe}^{3+}$
13	$(T_d)$ cations increases [Fig. 14(a)]. Although these changes are only ~10% in the full x
14	range, $y$ drastically increases with decreasing $x$ and it shows the highest value of 0.91 at
15	x = 0.
16	

16

# 17 IV. DISCUSSION

18 In this section, we summarize the experimental results described in the previous

sections, and discuss the relationship between the electronic structure and the magnetic properties of the  $(Ni_{1-x}Co_x)Fe_2O_4$  layers with x = 0 - 1, in order to provide a comprehensive understanding and an insight into the design of Si-based spintronic devices using spinel ferrites.

In all the  $(Ni_{1-x}Co_x)Fe_2O_4$  layers with  $d \sim 4$  nm, all the Ni cations occupy the Ni<sup>2+</sup>  $\mathbf{5}$  $(O_h)$  site, whereas Co cations occupy the three different Co<sup>2+</sup>  $(O_h)$ , Co<sup>2+</sup>  $(T_d)$ , and Co<sup>3+</sup> 6  $(O_h)$  sites with constant occupancies. This indicates that the coexistence of Ni and Co 7 has no influence on the site selectivity of each cation, and that the amount of the Co<sup>2+</sup> 8  $(T_d)$  cations decreases and the amount of the Ni<sup>2+</sup>  $(O_h)$  cations increases as x decreases. 9 10 This means that the amount of the  $M(T_d)$  cations decreases and the amount of the M  $(O_h)$  cations increases with decreasing x. On the other hand, the site selectivity of the Fe 11 cations strongly depends on x; as x decreases from 1, the occupancy of the  $Fe^{3+}(O_h)$ 12cations decreases and that of the  $Fe^{3+}$  ( $T_d$ ) cations increases, resulting in the highest y 1314value, which is suitable for the spin filter effect with a high spin selectivity as stated in 15the introduction, in the NiFe<sub>2</sub>O<sub>4</sub> layer. This is simply understood by the ideal chemical 16formula  $[M_{1-v}Fe_v]_{Td}[Fe_{2-v}M_v]_{Oh}O_4$ ; the increase in the amount of the Fe  $(T_d)$  cations originates from both the decrease in the amount of the  $M(T_d)$  cations and the increase in 1718the amount of the  $M(O_h)$  cations with decreasing x.

1	In our previous report on the CoFe <sub>2</sub> O <sub>4</sub> layers [20], we concluded that the
2	degradation of magnetization in the thinner thickness ( $d \le 4$ nm) mainly originates from
3	the magnetically-dead layer near the $CoFe_2O_4/Al_2O_3$ interface due to the decrease of y,
4	reflecting the increase both in the site occupancy of the $\text{Co}^{2+}(T_d)$ cations and in the total
5	$O_h/T_d$ site ratio from 2. In the case of the NiFe <sub>2</sub> O <sub>4</sub> layers, the increase in the site
6	occupancy of the Ni $(T_d)$ cations is excluded due to the Ni <sup>2+</sup> $(O_h)$ cations with 100%
7	occupancy for any $d$ . Although the $d$ dependences of $y$ (Fig. 9) and magnetization (Fig.
8	10) indicate the increase of the $O_h/T_d$ site ratio near the NiFe <sub>2</sub> O <sub>4</sub> /Al <sub>2</sub> O <sub>3</sub> interface, the y
9	values for the NiFe <sub>2</sub> O <sub>4</sub> layers are high $0.79 - 0.91$ . It should be noteworthy that $y = 0.79$
10	for $d = 1.7$ nm is slightly higher than $y = 0.75$ obtained for the CoFe <sub>2</sub> O <sub>4</sub> layer with $d =$
11	11 nm, which is the highest value among the $CoFe_2O_4$ layers with $d = 1.4 - 11$ nm [20].
12	Thus, the significant improvement in $y$ was achieved using NiFe <sub>2</sub> O <sub>4</sub> even when $d$ is thin
13	enough for electron tunneling. Furthermore, the improvement of the magnetic properties
14	is confirmed by the magnetization at 7 T applied perpendicular to the layer surface; the
15	magnetization of the NiFe <sub>2</sub> O <sub>4</sub> layers with $d = 3.5$ and 5.2 nm is 67% of that for a bulk
16	material 270 emu/cc [Error! Bookmark not defined.], whereas the magnetization of
17	the 11-nm-thick CoFe <sub>2</sub> O <sub>4</sub> layer was 44% of that for a bulk material 425 emu/cc [Error!
18	Bookmark not defined.]. These results mean that the NiFe <sub>2</sub> O <sub>4</sub> layers are more

# 1 promising for a spin-filter tunnel barrier than the CoFe<sub>2</sub>O<sub>4</sub> layers.

On the other hand, despite such improvement, y < 1 and the magnetizations of the  $\mathbf{2}$ NiFe<sub>2</sub>O<sub>4</sub> layers are still smaller than the magnetization of a bulk material, which 3 indicates the presence of a magnetically-dead layer near the NiFe<sub>2</sub>O<sub>4</sub>/Al<sub>2</sub>O<sub>3</sub> interface. In 4 the NiFe<sub>2</sub>O<sub>4</sub> layers, APBs are present, as shown in Fig. 4, and other structural defects  $\mathbf{5}$ may be also present, particularly in the vicinity of the domain boundary between the 6 two in-plane-rotated domains. Thus, we concluded that the increase of the  $O_h/T_d$  site 7 ratio as well as other structural defects form the magnetically-dead layer moderately 8 degrades the properties of the NiFe<sub>2</sub>O<sub>4</sub> layers. 9

10

#### 11 V. CONCLUSION

12	We have investigated the electronic structure and the magnetic properties of the
13	epitaxial NiFe <sub>2</sub> O <sub>4</sub> layers with various thicknesses ( $d = 1.7, 3.5, and 5.2 nm$ ) and
14	$(Ni_{1-x}Co_x)Fe_2O_4$ layers with x (= 0.25, 0.5, 0.75, and 1) and d = 3.5 or 4 nm in the
15	epitaxial (Ni <sub>1-x</sub> Co <sub>x</sub> )Fe <sub>2</sub> O <sub>4</sub> (111)/Al <sub>2</sub> O <sub>3</sub> (111)/Si(111) structures using XAS and XMCD.
16	We have also determined the crystallographic sites and the valences of the Fe, Co, and
17	Ni cations using the experimental XAS and XMCD spectra, and the CI cluster-model
18	calculation. In all the $(Ni_{1-x}Co_x)Fe_2O_4$ layers with $d \sim 4$ nm, all the Ni cations occupy the

1	$Ni^{2+}(O_h)$ site, whereas Co cations occupy the three different $Co^{2+}(O_h)$ , $Co^{2+}(T_d)$ , and
2	$\operatorname{Co}^{3+}(O_h)$ sites with constant occupancies. According to these facts, the occupancy of
3	the $\text{Fe}^{3+}$ (O <sub>h</sub> ) cations decreases and that of the $\text{Fe}^{3+}$ (T <sub>d</sub> ) cations increases with
4	decreasing x. These features result in the highest y value (= 0.91) in the NiFe <sub>2</sub> O <sub>4</sub> layer.
5	For the NiFe <sub>2</sub> O <sub>4</sub> layers with $d = 1.7$ , 3.5, and 5.2 nm, we obtained high y values of 0.79
6	-0.91, which are higher than the highest $y = 0.75$ obtained for the 11-nm-thick CoFe <sub>2</sub> O <sub>4</sub>
7	layer in our previous report [20]. From the $d$ dependence of $y$ and magnetization, the
8	magnetically-dead layer near the $NiFe_2O_4/Al_2O_3$ interface is also present in the $NiFe_2O_4$
9	layers, but it was found to have less influence on the magnetization compared with the
10	case of $CoFe_2O_4$ . Consequently, the improvements of y and magnetization were
11	achieved even in thinner d, mostly owing to the 100% $Ni^{2+}$ selectivity for $O_h$ sites. We
12	also discussed that the increase of the $O_h/T_d$ site ratio together with other structural
13	defects, such as APBs, are possible origins of the slight degradation of $y$ and the
14	moderate degradation of magnetization.
15	As stated in the introduction, the spin filter effect requires a thin $M$ Fe <sub>2</sub> O <sub>4</sub> layer ( $M$
16	= Co or Ni) with a high y. Judging from this standard, our NiFe <sub>2</sub> O <sub>4</sub> layers are expected

to exhibit a strong spin filter effect even when the thickness is thin enough for electron tunneling (d = 3.5 nm). The results revealed in this study give us an important guideline

1	for the design of Si-based spintronic devices using spinel ferrites; Among
2	$(Ni_{1-x}Co_x)Fe_2O_4$ with $x = 0 - 1$ , NiFe <sub>2</sub> O <sub>4</sub> is most promising to realize a highly-efficient
3	spin injection into Si by the spin filter effect through a thin-layer spinel ferrite. For
4	further improvement, interface engineering is necessary, such as introducing the
5	epitaxial strain [43], to realize the ideal $O_h/T_d$ site ratio near the NiFe <sub>2</sub> O <sub>4</sub> /Al <sub>2</sub> O <sub>3</sub>
6	interface.

 $\mathbf{7}$ 

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## **1** Figure and table Captions

FIG. 1. Schematic pictures of (a) the spinel structure with the octahedral  $(O_h)$  and tetrahedral  $(T_d)$  $\mathbf{2}$ sites [20], (b) the density of states of the valence-band top and conduction-band bottom for  $MFe_2O_4$ 3 (M = Co or Ni) ferrites, and (c) the sample structure. (a) Small red, small blue, and large gray spheres 4 represent the  $O_h$  sites,  $T_d$  sites, and oxygen anions, respectively, and blue and red arrows represent  $\mathbf{5}$ the antiferromagnetic coupling between the magnetic moments of cations at the  $T_d$  and  $O_h$  sites. (b) 6  $\overline{7}$ The contributions of other orbitals are omitted for the simplicity. 8 9 FIG. 2. Reflection high-energy electron diffraction (RHEED) patterns of an epitaxial 10 NiFe<sub>2</sub>O<sub>4</sub>/ $\gamma$ -Al<sub>2</sub>O<sub>3</sub>(111)/Si(111) film after the growth of a 3.5-nm-thick NiFe<sub>2</sub>O<sub>4</sub> layer by pulsed laser deposition (PLD), where electrons are incident along the (a) [110] and (b) [112] directions of the Si 11 12substrate, respectively. 1314FIG. 3.  $\theta$ -2 $\theta$  X-ray diffraction (XRD) patterns for the NiFe<sub>2</sub>O<sub>4</sub> films, where red and blue curves represent experimental signals for d = 1.7 and 5.2 nm, respectively. The dashed curves and solid line 15represent Gaussian fittings and their peak positions, respectively. 1617

FIG. 4. High-resolution transmission electron microscopy (HRTEM) lattice image of the NiFe<sub>2</sub>O<sub>4</sub> film with d = 5.2 nm projected along the Si <112> axis. (b) Magnified image of (a), where the orange 1 dashed lines represent anti-phase boundaries (APBs).

 $\mathbf{2}$ 

FIG. 5. Magnetic field  $\mu_0 H$  dependence of the magnetization for the NiFe<sub>2</sub>O<sub>4</sub> layers with d = 1.7, 3.5and 5.2 nm measured by SQUID. The inset shows a magnified view near zero field. In the SQUID measurements, *H* was applied parallel to the in-plane [110] direction.

6

FIG. 6. Fe (a) and Ni (b)  $L_{2,3}$ -edge XMCD (=  $\mu^+ - \mu^-$ ) spectra normalized at 708.7 and 851.1 eV, respectively, for the NiFe<sub>2</sub>O<sub>4</sub> layers with d = 1.7, 3.5, and 5.2 nm measured with a magnetic field  $\mu_0 H$ = 7 T. In (b), a spectrum for a NiO layer measured with a magnetic field  $\mu_0 H = 10$  T is also shown.

FIG. 7. Calculated Fe  $L_{2,3}$ -edge XAS  $[(\mu^+ + \mu^-)/2]$  (a) and XMCD (b) spectra, where the dot-dashed, dotted, and solid curves represent the spectra for Fe<sup>3+</sup> ( $O_h$ ), Fe<sup>3+</sup> ( $T_d$ ), and Fe<sup>2+</sup> ( $O_h$ ), respectively. Experimental Fe  $L_{2,3}$ -edge XAS (c) and XMCD (d) spectra for the NiFe<sub>2</sub>O<sub>4</sub> layers with d = 1.7, 3.5, and 5.2 nm measured with a magnetic field  $\mu_0 H = 7$  T. In the figure, circles are experimental data and red curves are the weighted sum of the calculated spectra shown in panels (a) and (b). Each spectrum has been arbitrarily scaled for easy comparison.

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FIG. 8. Calculated Ni  $L_{2,3}$ -edge XAS (a) and XMCD (b) spectra, where the dot-dashed and dotted curves represent the spectra for the Ni<sup>2+</sup> ( $O_h$ ) and Ni<sup>2+</sup> ( $T_d$ ) cations, respectively. Experimental Ni L<sub>2,3</sub>-edge XAS (c) and XMCD (d) spectra for the NiFe<sub>2</sub>O<sub>4</sub> layers with d = 1.7, 3.5, and 5.2 nm
measured with a magnetic field μ<sub>0</sub>H = 7 T. In the figure, circles are experimental data and red curves
are the calculated spectra for the Ni<sup>2+</sup> (O<sub>h</sub>) cation shown in panels (a) and (b). Each spectrum has
been arbitrarily scaled for easy comparison.
FIG. 9. (a) Thickness d dependence of site occupancies of the Fe cations, where the circles, squares,
and triangles represent Fe<sup>3+</sup> (O<sub>h</sub>), Fe<sup>3+</sup> (T<sub>d</sub>), and Fe<sup>2+</sup> (O<sub>h</sub>), respectively, in the NiFe<sub>2</sub>O<sub>4</sub> layers.

Inversion parameter y is also shown by rhombuses. (b) Thickness d dependence of site occupancy of

9 the Ni cations in the NiFe<sub>2</sub>O<sub>4</sub> layers.

10

8

FIG. 10. XMCD intensity – *H* curves measured at the Fe  $L_3$  edge for the NiFe<sub>2</sub>O<sub>4</sub> layers with d = 1.7and 3.5 nm. The magnetization for the NiFe<sub>2</sub>O<sub>4</sub> layers with d = 5.2 nm with  $\mu_0 H = 7$  T is also shown by a rhombus. The vertical axis of the XMCD intensities is scaled so that it represents the sum of the magnetizations of the Fe and Ni cations estimated from the fits in Figs. 6(c), 6(d), 7(c), and 7(d).

15

FIG. 11. Fe (a), Co (b), and Ni (c)  $L_{2,3}$ -edge XMCD spectra normalized at 708.7, 777.5, and 851.1 eV, respectively, for the (Ni<sub>1-x</sub>Co<sub>x</sub>)Fe<sub>2</sub>O<sub>4</sub> layers with x (= 0, 0.25, 0.5, 0.75, and 1) measured with a magnetic field  $\mu_0H = 7$  T, where d = 3.5 nm for x = 0 - 0.75 and d = 4.0 nm for x = 1 (CoFe<sub>2</sub>O<sub>4</sub>). The inset of (a) shows magnified plots of the spectra at the Fe  $L_3$  edges.

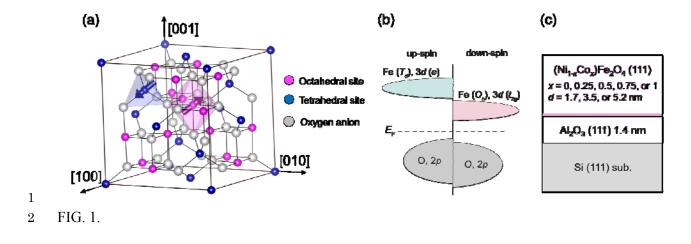
 $\mathbf{2}$ 

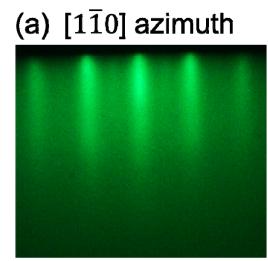
3	(the same samples in Fig. 10) measured with a magnetic field $\mu_0 H = 7$ T. In the figure, circles are
4	experimental data and red curves are the weighted sum of the calculated spectra shown in Figs. $6(a)$
5	and $6(b)$ . Each spectrum has been arbitrarily scaled for easy comparison.
6	
7	FIG. 13. Calculated Co $L_{2,3}$ -edge XAS (a) and XMCD (b) spectra, where the dot-dashed, dotted, and
8	solid curves represent the spectra for $\operatorname{Co}^{2^+}(O_h)$ , $\operatorname{Co}^{2^+}(T_d)$ , and $\operatorname{Co}^{3^+}(O_h)$ , respectively. Experimental
9	Co $L_{2,3}$ -edge XAS (c) and XMCD (d) spectra for the (Ni <sub>1-x</sub> Co <sub>x</sub> )Fe <sub>2</sub> O <sub>4</sub> layers (the same samples in Fig.
10	10) measured with a magnetic field $\mu_0 H = 7$ T. In the figure, circles are the experimental data and red
11	curves are the weighted sum of the calculated spectra shown in panels (a) and (b).
12	
13	FIG. 14. Co concentration $x$ dependence of site occupancies of the Fe, Co, and Ni cations in the
14	$(Ni_{1-x}Co_x)Fe_2O_4$ layers (the same samples in Fig. 10): (a) Fe cations, (b) Co cations, and (c) Ni
15	cations. (a) Circles, squares, and triangles represent the site occupancies of the Fe <sup>3+</sup> ( $O_h$ ), Fe <sup>3+</sup> ( $T_d$ ),
16	and $\operatorname{Fe}^{2+}(O_h)$ cations, respectively, and the rhombuses denote inversion parameter y. (b) Circles,
17	squares, and triangles represent the site occupancies of the $\operatorname{Co}^{2+}(O_h)$ , $\operatorname{Co}^{2+}(T_d)$ , and $\operatorname{Co}^{3+}(O_h)$
18	cations, respectively. (c) Triangles represent the site occupancy of the $Ni^{2+}(O_h)$ cations.

FIG. 12. Experimental Fe  $L_{2,3}$ -edge XAS (a) and XMCD (b) spectra for the  $(Ni_{1-x}Co_x)Fe_2O_4$  layers

- 1 Table 1. Parameter values in units of eV used in the calculation based on the CI cluster model. For
- 2 the Fe cations,  $U_{dd}$  was adopted from [41].
- 3
- 4 Table 2.  $m_{\rm spin}$  and  $m_{\rm orb}$  ( $\mu_B$ /atom) calculated based on the CI cluster model for the Fe<sup>3+</sup> ( $O_h$ ), Fe<sup>3+</sup>

5  $(T_d)$ , Fe<sup>2+</sup>  $(O_h)$ , Co<sup>2+</sup>  $(O_h)$ , Co<sup>2+</sup>  $(T_d)$ , Co<sup>3+</sup>  $(O_h)$ , Ni<sup>2+</sup>  $(O_h)$ , and Ni<sup>2+</sup>  $(T_d)$  cations.

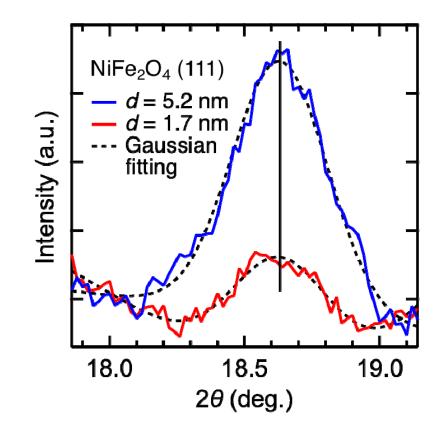




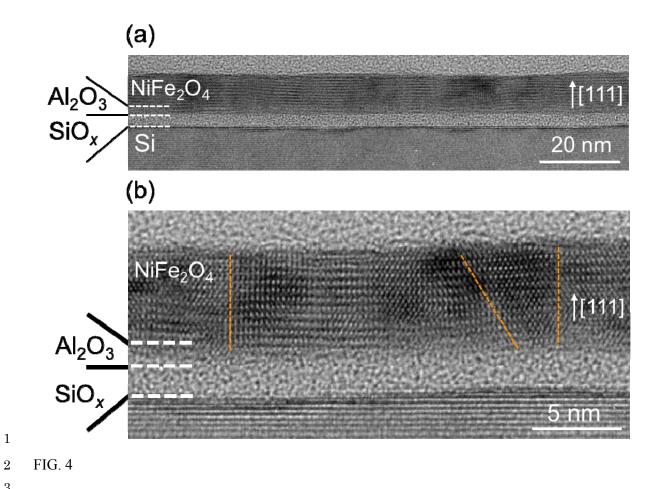
**2** FIG. 2.

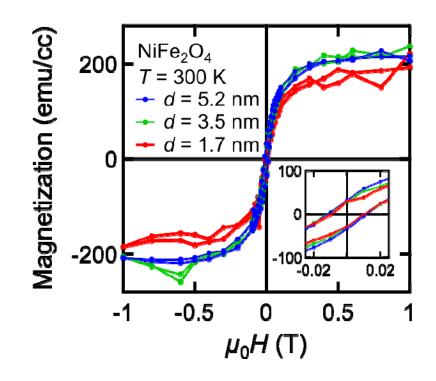
## (b) $[11\overline{2}]$ azimuth



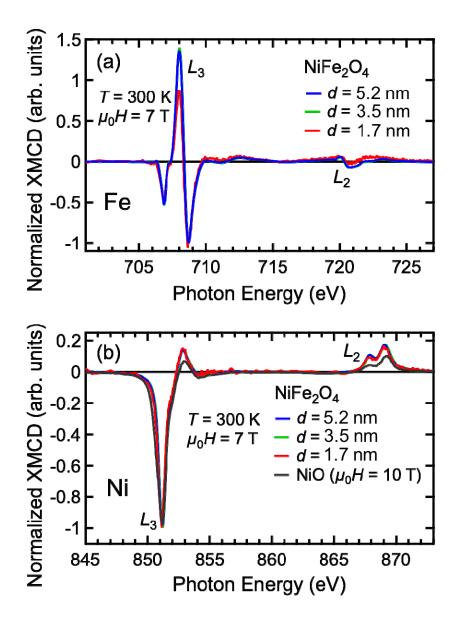


2 FIG. 3.

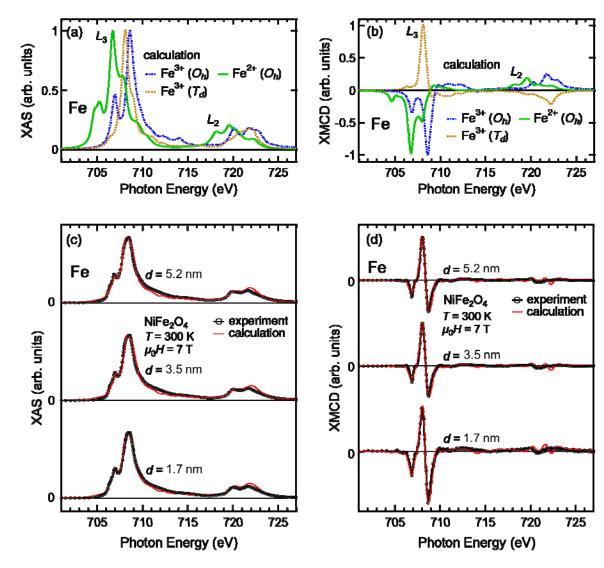




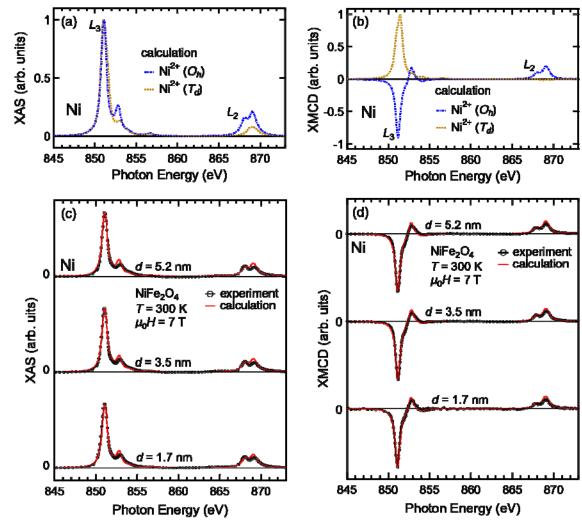
2 FIG. 5.



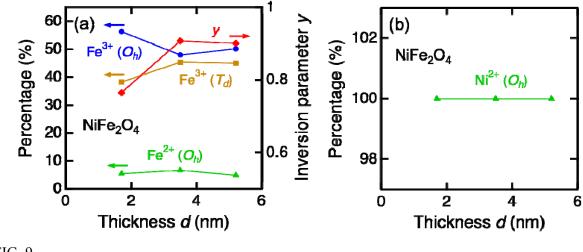
3 FIG. 6.





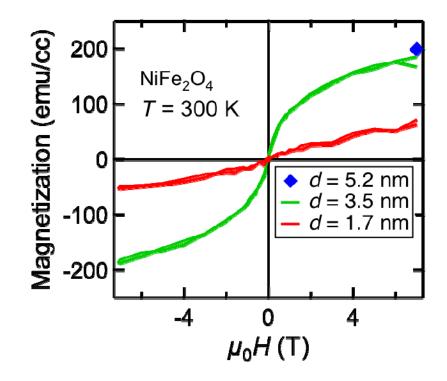


2 FIG. 8.



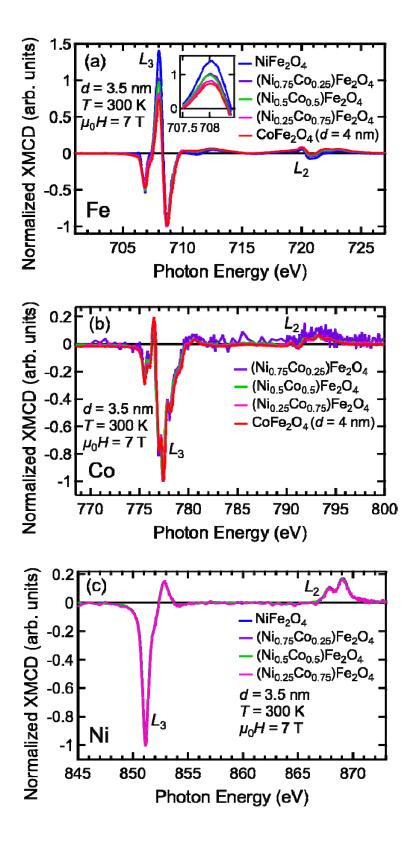




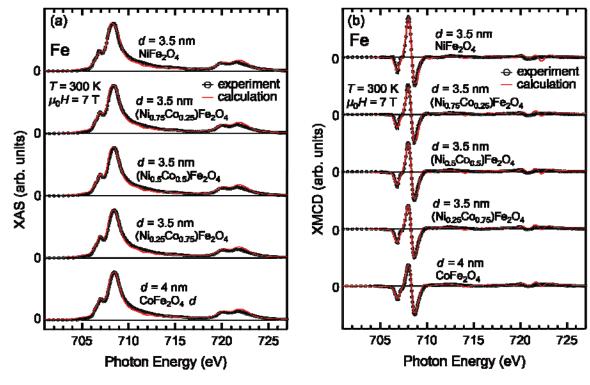




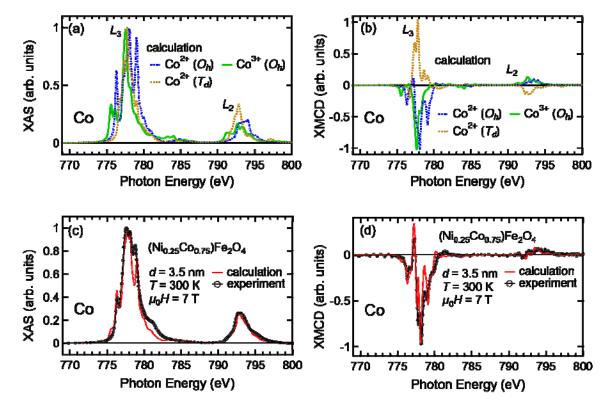
2 FIG. 10.



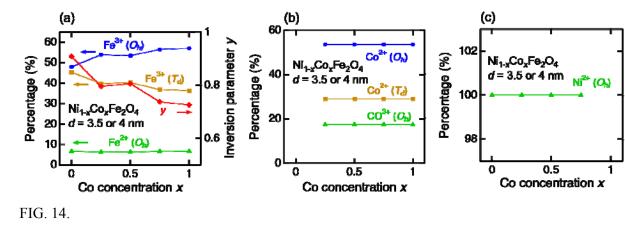
2 FIG. 11.



2 FIG. 12.



2 FIG. 13.





	Δ	10 <i>Dq</i>	$pd\sigma$	$U_{dd}$
$\operatorname{Fe}^{3+}(O_h)$	0.4	0.9	1.2	6.0
$\operatorname{Fe}^{3^{+}}(T_{d})$	2.5	-0.1	2.2	6.0
$\operatorname{Fe}^{2^{+}}(O_{h})$	6.5	0.9	1.6	6.0
$\operatorname{Co}^{2^{+}}(O_{h})$	5.6	0.5	1.3	6.5
$\operatorname{Co}^{2^{+}}(T_d)$	6	-0.3	1.4	6.0
$\operatorname{Co}^{3^+}(O_h)$	0	0.5	1.3	6.0
$\operatorname{Ni}^{2^{+}}(O_{h})$	4.2	1.0	1.0	6.9
$\mathrm{Ni}^{2+}(T_d)$	4.2	-0.65	1.4	7.0

 $\mathbf{2}$ 

3 Table 1.

	Fe <sup>3+</sup>	Fe <sup>3+</sup>	Fe <sup>2+</sup>	Co <sup>2+</sup>	Co <sup>2+</sup>	Co <sup>3+</sup>	Ni <sup>2+</sup>	Ni <sup>2+</sup>
	$(O_h)$	$(T_d)$	$(O_h)$	$(O_h)$	$(T_d)$	$(O_h)$	$(O_h)$	$(T_d)$
$m_{\rm spin} \left( \mu_B / {\rm atom} \right)$	4.41	4.42	3.62	2.54	2.87	3.20	1.67	1.47
$m_{\rm orb}  (\mu_B / {\rm atom})$	0.02	0.01	0.56	1.07	0.47	0.70	0.26	1.00

2 Table 2.