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# **Energetics of defect production in fluorite structured CeO<sub>2</sub> induced by highly ionizing radiation**

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## **ABSTRACT**

Understanding the energetics of radiation-induced defects is critical to the development of next-generation materials for nuclear and other energy systems and for aerospace applications. However, it remains a challenge to experimentally characterize defect morphologies and energies, especially in regard to anion defects in irradiated oxides. Here, using a combination of advanced structural and calorimetric characterization techniques, we show that the energetic response of defects in CeO<sub>2</sub> is strongly coupled to atomic disordering on the oxygen sublattice induced by energetic heavy ions. Fitting of calorimetric data yields an estimate of stored energy in the form of defects and microstrain. These results provide a new means to calculate the efficiency of structural destabilization and aid in a better understanding defect formation and annealing mechanisms in fluorite-structured materials subject to extreme conditions.

## **I. INTRODUCTION**

Numerous fluorite-structured materials are used in nuclear and electrochemical energy systems, as they generally exhibit attractive properties and phase stability under a wide range of extreme conditions. One such extreme is high energy ion irradiation,<sup>1-4</sup> which is common among nuclear, geological, and space environments. Energy deposition from highly ionizing radiation

typically results in the formation of point defects in these materials<sup>5-8</sup> that are either isolated or associated in clusters.<sup>9-11</sup> The accumulation of defects can have both positive and negative impacts on material properties and performance in energy-related applications. For example, individual defects from self-irradiation can form voids and cracks at elevated temperatures that contribute to mechanical failure of nuclear fuel materials.<sup>12</sup> In contrast, the incorporation of heterogeneous microstrain from radiation-induced oxygen defects can significantly increase the ionic conductivity.<sup>13,14</sup> This opens an opportunity to use ion beams for nano-engineering and to tailor defects to improve oxygen transport in solid oxide fuel cell (SOFC) electrolytes. This highlights the need for better understanding the underlying mechanisms of defect accumulation and annealing in fluorite-structured oxides.

Substantial efforts have been made to understand the behavior of fluorite-structured materials under highly ionizing radiation. Several recent studies have focused on structural aspects of irradiated materials through the application of neutron scattering, X-ray diffraction, X-ray absorption spectroscopy, and Raman spectroscopy. These analytical techniques have allowed for the better understanding of damage accumulation, redox effects,<sup>15</sup> and defect annealing processes.<sup>16,17</sup> However, a fundamental thermodynamic approach is required in order to fully assess defect production mechanisms and to link energetics with underlying defect structure features. Experimental efforts to quantify defect energetics are challenging, thus there are only a limited number of studies focusing on natural zircon damaged *via* alpha decay of uranium<sup>18</sup> and swift heavy ion-irradiated pyrochlore oxides.<sup>19-21</sup> It was shown for amorphizable pyrochlore materials that damage recovery processes are complex, involving modifications to the local structure which do not directly correlate to bulk structural changes. Further coupled thermodynamic and structural investigations are needed to better understand damage formation and thermal recovery.

Many materials with a fluorite structure have enhanced radiation resistance and cannot be amorphized by energetic ion beams at and above room temperature. Despite the importance of these materials to the nuclear fuel cycle, such compounds have not been in the focus of thermodynamic studies and their defect energetics remain for the most part unclear.<sup>2,3</sup> Fluorite-structured cerium dioxide ( $\text{CeO}_2$ ) is isostructural with several actinide oxides and doped zirconia (*e.g.*, yttria-stabilized zirconia) and exhibits similar thermophysical properties to uranium dioxide. Furthermore,  $\text{CeO}_2$  is a candidate component of inert matrix fuel<sup>22</sup> and a structural analogue for  $\text{PuO}_2$  for facilitating the study of various physiochemical and dilution properties of highly radioactive plutonium<sup>23</sup> because Ce exhibits (IV) and (III) oxidation states and similar size to Pu (ionic radii of 0.97Å for Ce(IV) and 0.96Å for Pu(IV) in 8-fold coordination<sup>24</sup>). This makes it a useful non-radioactive analogue to nuclear fuel and SOFC electrolyte materials and a good model system for first thermodynamic investigations.

Cerium dioxide is also an important catalyst material due to its variable oxidation state ( $\text{Ce}^{3+}$  and  $\text{Ce}^{4+}$ ) large oxygen transport and capacity.<sup>25</sup> Computer simulations<sup>26–29</sup> have shown that intrinsic defects, predominantly oxygen vacancies, exhibit low formation energies. Surface effects also play a major role in the accumulation of defects and are largely responsible for the unique catalytic properties of ceria.<sup>30–32</sup> Both oxygen vacancies ( $V_{\text{O}}^{\bullet\bullet}$ ) and interfaces (*i.e.*, grain boundaries) are effective recombination sites for extrinsic defects caused by irradiation.<sup>33,34–36</sup> Vacancy formation is typically accompanied by the localization of electrons on cerium ions, which further induces reduction of cerium atoms from Ce(IV) to Ce(III).<sup>37,38</sup> These redox processes, which are highly dependent on the grain size of the material, can result in an increase of the unit cell volume by distortion of the local coordination environment and electrostatic repulsion between reduced ions.<sup>15</sup> Both experimental and simulation studies<sup>9–11,17,36</sup> also suggest the existence of various oxygen defect

complexes. Despite a substantial body of work, many details of defect structures and atomic disordering remain unclear, particularly as to how they correlate to overall lattice destabilization.

Here, we report findings from combined experimental structural and thermodynamic analyses of defect formation and stability in microcrystalline and nanocrystalline  $\text{CeO}_2$  subject to dense electronic excitation from energetic ion irradiation (1.1 GeV and 2.2 GeV Au ions). Neutron and X-ray diffraction were used to evaluate the overall structural response while pair distribution function analysis and Raman spectroscopy provided information on the short-range structural modifications. Non-destructive structural characterization allowed further investigation by high-temperature oxide melt solution calorimetry and differential scanning calorimetry to evaluate the overall magnitude of energetic destabilization, defect annealing, and defects energetics.

## II. METHODS

### A. Sample preparation

All samples were prepared from microcrystalline (grain size  $\sim 1\text{-}5\ \mu\text{m}$ ) and nanocrystalline (grain size  $\sim 20\ \text{nm}$ )  $\text{CeO}_2$  powders purchased from Alfa Aesar and MTI Corporation, respectively. The powders were uniaxially pressed at room temperature and in air into 1 cm diameter, 45-75  $\mu\text{m}$  deep circular indentations that were machined into small aluminum plates. The filled aluminum holders were tightly wrapped with 7-10  $\mu\text{m}$ -thick aluminum foil in order to secure the pressed powders for subsequent shipping and irradiation.

### B. Irradiation

The samples were irradiated at room temperature and under vacuum at the X0 beamline of the Universal Linear Accelerator (UNILAC) at the GSI Helmholtz Center for Heavy Ion Research in Darmstadt, Germany. One set of microcrystalline  $\text{CeO}_2$  samples were irradiated with a  $5\times 5\ \text{cm}^2$  beam of 2.2 GeV  $^{197}\text{Au}$  ions. Another set of microcrystalline and nanocrystalline  $\text{CeO}_2$  samples were irradiated with 1.1 GeV  $^{197}\text{Au}$  ions. The final ion beam energies at the sample surfaces were

1.93 GeV and 1.04 GeV after taking into account the energy loss in the aluminum foils. The ion flux was monitored with the help of an on-line secondary electron detector consisting of three aluminum foils (total thickness  $\sim 3\mu\text{m}$ ). The current was calibrated with a Faraday cup and is used to determine the target fluence on the samples.<sup>39</sup> The ion energy loss in the GeV energy regime is primarily electronic with negligible nuclear energy loss contributions (approximately three orders of magnitude smaller). Electronic energy loss was calculated using the SRIM 2008 code.<sup>40</sup> Calculated values for the mean electronic energy loss deposited in the samples were  $27\pm 3$  keV/nm and  $37\pm 2$  keV/nm for 1.1 GeV and 2.2 GeV  $^{197}\text{Au}$  ions irradiations, respectively. In both cases, the nuclear energy loss was more than an order of magnitude lower than the electronic energy loss. The mean values with errors represent the energy loss variation over the entire sample thickness of the energetic ions which fully penetrated the samples.

### C. Characterization

#### *1. Structural characterization*

Neutron total scattering measurements were performed at the Nanoscale-Ordered Material Diffractometer (NOMAD) beamline at the Spallation Neutron Source (SNS) at Oak Ridge National Laboratory. The irradiated powders ( $\sim 100$  mg for a given sample and fluence) were removed from the irradiation sample holders and loaded into quartz tubes with 0.01 mm wall thickness and 2 mm diameter. The scattering from an empty quartz tube served as the background measurement. The NOMAD detectors were calibrated using a diamond powder standard. Neutron structure factors,  $S(Q)$  were obtained by first normalizing the measured scattering intensity to the scattering from a solid vanadium rod and then subtracting the background signal.

X-ray total scattering measurements were performed at beamline 11-ID-B of the Advanced Photon Source (APS) at Argonne National Laboratory. Small quantities of the irradiated powders were loaded into Kapton tubes and the data were collected using a beam of 86.7 keV ( $\lambda = 0.1430$  Å)

photons. Background measurements were collected using an empty Kapton tube. Exposure times were limited to 0.2 seconds in order to mitigate detector saturation. The reported data represent a sum of 900 frames (*i.e.*, 3 mins of total exposure). The X-ray detector was calibrated with the use of a CeO<sub>2</sub> NIST standard. X-ray  $S(Q)$  functions were obtained by subtracting the background scattering intensity from each corrected sample scattering pattern.

Both neutron and X-ray pair distribution functions (PDFs),  $G(r)$ , were calculated by the Fourier transform:

$$G(r) = r \left( A \int_{Q_{min}}^{Q_{max}} Q [S(Q) - 1] \sin(Qr) dQ \right), \quad (1)$$

where  $Q$  is the scattering vector  $Q = 4\pi/\lambda \sin(\theta)$ ,  $r$  is real-space distance, and  $A$  is an arbitrary scaling factor. The  $Q_{min}$  and  $Q_{max}$  values were set to 0.1 Å<sup>-1</sup> and 31.4 Å<sup>-1</sup> for the neutron scattering data, and 0.5 Å<sup>-1</sup> and 34.0 Å<sup>-1</sup> for the X-ray scattering data.

All scattering data were analyzed using both Rietveld refinement of diffraction patterns and small-box structural refinement of PDFs. Rietveld refinements were conducted with the GSAS-II software.<sup>41</sup> Since X-ray diffraction (XRD) and neutron diffraction (ND) are primarily sensitive to cerium and oxygen, respectively, the XRD and ND patterns were refined simultaneously using multiple diffraction patterns for each sample: an XRD pattern and ND patterns from detectors located at different scattering angles. Refining both XRD and the ND patterns together ensured that the derived structural model was consistent with the behaviors of both cation and oxygen sublattices. The instrument parameters for the Rietveld refinements were derived by fitting the scattering patterns of NIST silicon (ND) and CeO<sub>2</sub> (XRD) standards.

Neutron and X-ray PDFs were refined using the PDFgui software.<sup>42</sup> The instrument resolution factors,  $Q_{damp}$  and  $Q_{broad}$ , were derived from refinements of standards (Si and CeO<sub>2</sub>). As with the diffraction analysis, the neutron and X-ray PDFs for each sample were refined simultaneously in order to obtain structural models consistent with both cerium and oxygen substructures. In all cases,

six variables were refined. These were the scale, isometric unit cell parameter, the atomic displacement parameters for cerium and oxygen, the occupancy of the oxygen site, and the delta parameter, which models short-range correlated motion of nearest neighbor atoms.

Micro-Raman spectroscopy data were collected using a LabRam HR Evolution instrument equipped with a 785 nm red diode laser. Optical filters maintained a low laser power (0.01 mW) during the experiments ensuring that there were no undesired annealing effects during the measurements. The spectra presented for each sample represent the average of 25 measurements performed at different spatial positions on the surface of each sample. This spectral averaging ensured that the results are representative of the average sample composition. All spectra were normalized to the  $T_{2g}$  peak at  $\sim 465\text{ cm}^{-1}$  and the DATALAB software<sup>43</sup> was used to perform peak fitting of the Raman and X-ray PDF data.

## *2. Thermodynamic characterization*

The surface area of the nanocrystalline  $\text{CeO}_2$  sample was measured by the Brunauer–Emmett–Teller (BET) method using a Micromeritics ASAP 2020 instrument.<sup>44</sup> The sample was degassed under vacuum at  $200^\circ\text{C}$  for 12 h and re-oxidized under oxygen ( $P = 700\text{ mmHg}$ ) at  $200^\circ\text{C}$  for an additional 12 h. The sample tube was dipped into liquid nitrogen and five-point adsorption isotherms of nitrogen were acquired in the relative pressure range from 0.05 to 0.30. The sample was measured three times to get an average value of the surface area.

The enthalpies of drop solution of the samples into molten sodium molybdate ( $3\text{Na}_2\text{O}\cdot 4\text{MoO}_3$ ) at  $700^\circ\text{C}$  were measured in a custom built Tian-Calvet high-temperature microcalorimeter. Constant oxygen bubbling was performed through the melt ( $4.5\text{ ml/min}$ ) and flushing over it ( $70\text{ ml/min}$ ) in order to ensure an oxidative environment and evolved gas evacuation. The samples were pressed into  $\sim 5\text{ mg}$  pellets and dropped from room temperature into the calorimeter cell located in a room with controlled environment. The number of drops varied

depending on the sample availability. The calorimeter was calibrated using the heat content of  $\sim 5$  mg  $\alpha$ - $\text{Al}_2\text{O}_3$  pellets.<sup>45–47</sup> Upon rapid and complete dissolution of the sample, the enthalpy of drop solution  $\Delta H_{ds}$  was obtained. Values for nanocrystalline  $\text{CeO}_2$  obtained during the experiments were corrected for surface energy components and water content by building a thermocycle similar to what was applied for ceria samples before.<sup>48</sup>

Differential scanning calorimetry (DSC) was performed on DSC 404 F1 Pegasus (Netzsch Instruments, Selb, Germany) to evaluate the number of damage recovery steps and to study the extent of recovery. The runs were performed in oxidative (flow of simulated air 20 ml/min of 25%  $\text{O}_2$  / 75%  $\text{N}_2$ ) and inert (50 ml/min of Ar) atmospheres. The sensitivity correction run was performed on sapphire prior to the samples set measurement. For all the sample runs  $\sim 20$  mg of the powder was put into the platinum crucibles with a cap and heated to  $1000^\circ\text{C}$  at  $20^\circ/\text{min}$  and then cooled to  $30^\circ\text{C}$ . This cycle was repeated for the baseline correction.

### III. RESULTS

#### A. Irradiation-induced structural modifications

Fluorite-structured simple oxides do not typically undergo phase transformations or amorphization under highly energetic ion irradiation at and above room temperature.<sup>1</sup> Neutron and X-ray diffraction patterns (Fig. 1) confirm that swift heavy ion irradiation results in only minor structural modification of micro- and nanocrystalline  $\text{CeO}_2$ , such as the incorporation of point defects and heterogeneous microstrain, as indicated by peak broadening and decreases in diffraction peak intensities. Small peak shifts to higher  $d$ -spacings after irradiation are indicative of an increase in unit cell volume caused by the accumulation of point defects.<sup>15,49</sup> Rietveld refinement was used to quantify the change in unit cell parameter  $\Delta a/a_0$ , with increasing ion fluence (Fig. 2). Swelling increases after irradiation but does not saturate, as shown previously for this fluence range for swift heavy ion-irradiated  $\text{CeO}_2$ .<sup>15</sup> Saturation of the unit cell parameter for this material typically occurs at

fluences of at least  $1 \times 10^{13}$  ions/cm<sup>2</sup> for GeV ion irradiations.<sup>15,49</sup> The data are consistent with this behavior, as the maximum fluence in the present study,  $5 \times 10^{12}$  ions/cm<sup>2</sup>, is not high enough to result in saturation of volumetric swelling and lattice parameter change.

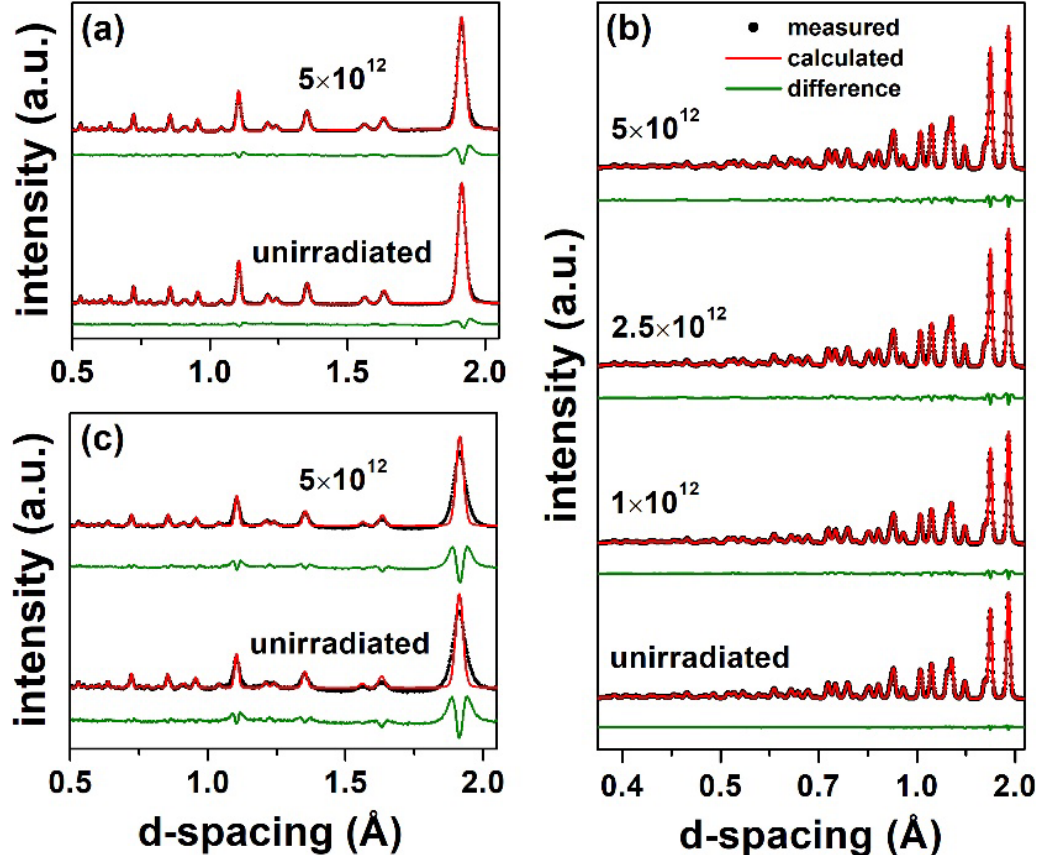


FIG. 1. Rietveld refinement fits for (a) neutron and (b) X-ray diffraction patterns of microcrystalline CeO<sub>2</sub> and (c) neutron diffraction patterns of nanocrystalline CeO<sub>2</sub>. Black circles, red lines, and green lines represent the measured data, refined fluorite structural model, and the difference curves, respectively. All samples were irradiated with 1.1 GeV Au ions to various fluences (values are given in ions/cm<sup>2</sup>).

One advantage to using neutron scattering is the increased sensitivity to the oxygen sublattice compared to X-ray methods. The use of neutron diffraction enables accurate determination of occupancy values for the 8c Wyckoff site of oxygen atoms in the fluorite structure (space group *Fm-3m*) by Rietveld refinement. The evolution of the 8c site occupancy value for microcrystalline CeO<sub>2</sub> is shown in Fig. 2. Refinement of the unirradiated sample resulted in a site occupancy value of 1, which indicates that essentially all oxygen sites are occupied and the structure is undisturbed. After irradiation, the site occupancy factor monotonically decreases, indicating an

increasing concentration of oxygen vacancies with accumulation of ion fluence. Qualitatively similar trends for volumetric swelling and oxygen site occupancy factors were observed for ceria after irradiation with 2.2 GeV Au ions.<sup>17</sup>

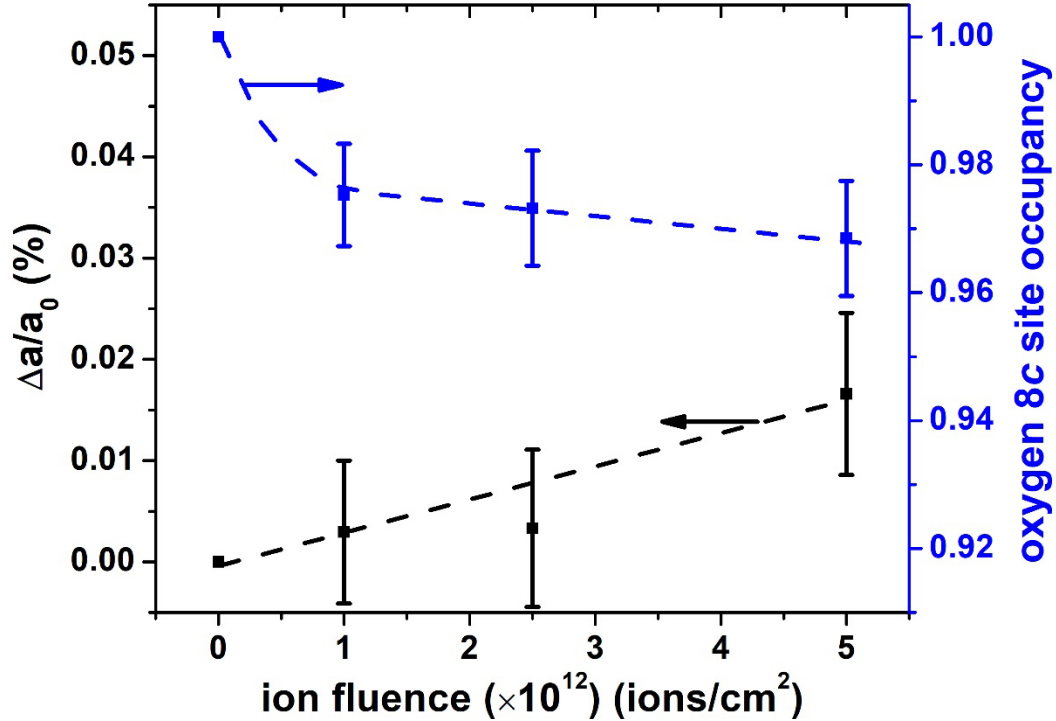


FIG. 2. Results from simultaneous Rietveld refinement of neutron and X-ray diffraction patterns of microcrystalline CeO<sub>2</sub> irradiated with 1.1 GeV Au ions. The results show a relative increase in unit cell parameter  $\Delta a/a_0$ , and a decrease in oxygen site occupancy factor with increasing ion fluence. Dashed lines are meant to guide the eye and error bars reflect estimated standard deviation values from the least squares refinement procedure.

Both volumetric swelling and the decreasing oxygen site occupancy are consistent with the accumulation of point defects. Unit cell expansion is often attributed to the incorporation of interstitials and vacancies, which result in defect agglomerates, such as loops and clusters, at high defect concentrations. The decrease in site occupancy values indicates that significant concentrations of oxygen vacancies are generated during irradiation. The decreased oxygen site occupancy factor also suggests that partial reduction of cerium occurs ( $\text{Ce}^{4+} \rightarrow \text{Ce}^{3+}$ ) during exposure to highly ionizing radiation because cation reduction is concomitant with oxygen vacancy formation and is a dominant charge compensation mechanism in ceria, especially at high temperatures.<sup>50</sup> It has been shown previously that the redox behavior plays a key role in the radiation

behavior of CeO<sub>2</sub> and it was suggested that cation reduction can enhance swelling due to the large size difference between Ce<sup>3+</sup> (1.14 Å) and Ce<sup>4+</sup> (0.97 Å).<sup>15</sup>

Rietveld analysis revealed that the nanocrystalline material also undergoes swelling after irradiation with 1.1 GeV Au ions with a relative increase in unit cell parameter  $\Delta a/a_0$ , of ~0.09% at the highest fluence,  $5 \times 10^{12}$  ions/cm<sup>2</sup>. This increase is approximately five times larger than the value for the corresponding microcrystalline sample. Values for the relative change in unit cell parameter (~0.02% and ~0.09% for micro and nanocrystalline samples irradiated with 1.1 GeV Au ions to  $5 \times 10^{12}$  ions/cm<sup>2</sup>) are similar to values reported for 950 MeV Au<sup>15,51</sup> and 2.2 GeV Au<sup>17</sup> ion irradiations, suggesting that CeO<sub>2</sub> swelling behavior under highly ionizing radiation is little influenced by variations in ion energy at low fluences, but depends strongly on the grain size of the material. A similar conclusion was derived from the study of microcrystalline CeO<sub>2</sub> irradiated with 950 MeV Au and 167 MeV Xe ions to higher fluences.<sup>15</sup> Rietveld analysis also revealed a decreased 8c site occupancy ( $0.93 \pm 0.02$ ) in the starting nanocrystalline sample indicating that the nanocrystalline material was slightly reduced before irradiation, in agreement with studies of valence dependence on grain size in ceria.<sup>31</sup> Surprisingly, the 8c occupancy value of the nanocrystalline material remained constant within experimental uncertainty ( $0.95 \pm 0.02$ ) after irradiation to the maximum fluence of  $5 \times 10^{12}$  ions/cm<sup>2</sup>. It is known that smaller ceria crystallite sizes show enhanced redox effects compared to larger crystallite sizes.<sup>15</sup> Therefore, it is likely that the oxygen site occupancy factor does not account for all sources of cation reduction, and cation reduction can also be caused by other types of defects or structural modifications. For example, the accumulation of oxygen interstitials and subsequent formation of peroxide ion defects is an alternative mechanism by which cerium cations can be reduced in CeO<sub>2</sub>.<sup>52</sup>

Rietveld analysis reveals that point defects are generated during irradiation, but the results do not provide insights into how defects are accommodated within the fluorite structure. In order to

probe short-range structural modifications and to search for characteristic defect signatures, the samples were further investigated using pair distribution function (PDF) analysis. Figure 3 shows the neutron and X-ray PDFs of microcrystalline ceria irradiated with 1.1 GeV Au ions at various fluences alongside the results from small-box refinement fits to the PDFs with the fluorite structural model. Most noticeable is the increasingly poor agreement between the structural model and the experimental data at higher fluences, as indicated by the larger difference curve intensities (green lines in Fig. 3). Quantifying these differences using goodness-of-fit values ( $R_w$ ) showed that neutron PDF  $R_w$  values are approximately five times larger than those of corresponding X-ray PDFs at the highest fluences [see Fig. 6(b)]. These observations are consistent with the diffraction analysis, which showed that ion irradiation causes subtle changes in peak positions, peak intensities, and peak widths as a result of incorporation of point defects and associated heterogeneous microstrain. The poor fits at high fluences are more noticeable in the neutron PDFs compared to the X-ray PDFs, suggesting that defects are accumulated primarily on the oxygen sublattice, since neutrons are more sensitive to oxygen and X-rays are more sensitive to cerium for  $\text{CeO}_2$ . Similar trends were observed for X-ray and neutron PDF data collected for nanocrystalline  $\text{CeO}_2$  irradiated with 1.1 GeV ions. A comparison of neutron and X-ray data could not be made for samples irradiated with 2.2 GeV ions because no X-ray PDF data were collected for those samples and no more irradiated samples are currently available.<sup>17</sup>

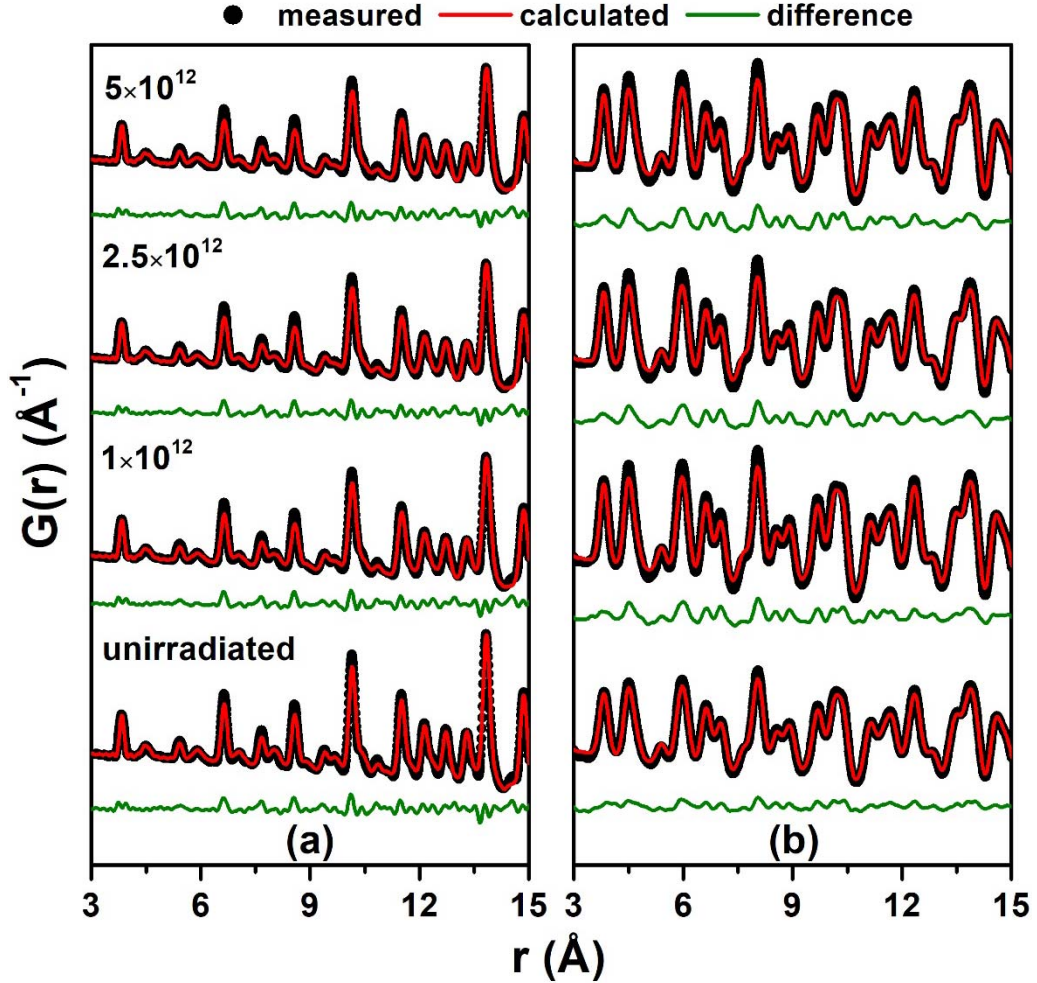


FIG. 3. Small-box refinement fits of (a) X-ray and (b) neutron pair distribution functions of microcrystalline  $\text{CeO}_2$  irradiated with 1.1 GeV Au ions to different fluences (values are given in ions/cm<sup>2</sup>). Black circles, red lines, and green lines represent the measured data, refined fluorite structural model, and the difference curves, respectively.

Further evidence of defect accumulation is seen in the evolution of the very local structure (1 – 5 Å) of  $\text{CeO}_2$  after irradiation (Fig. 4). Monotonic decreases in the first-nearest-neighbor (1-NN) Ce-O and Ce-Ce peak intensities are observed in both neutron and X-ray PDFs of microcrystalline  $\text{CeO}_2$  with increasing ion fluence [see insets in Fig. 4(b)]. This decrease is consistent with molecular dynamics radial distribution function predictions<sup>53</sup> and experimental PDF analysis findings for ceria irradiated with 2.2 GeV Au ions<sup>17</sup> showing that swift heavy ion irradiation results in a decrease in PDF peak intensities as a result of atomic displacement and point-defect production. The decreases in peak intensities saturate at the highest fluences indicating that damage production eventually

approaches steady state with increasing exposure to radiation. In other words, saturation means that the rate of point defect production approximately equals the rate of point defect annihilation. Point defect annihilation can occur by several mechanisms. For example, point defects can be annealed during transient ion-matter interactions, or point defects can essentially be eliminated by migration to sinks, such as grain boundaries or defect aggregates. If point defect concentrations continued to increase indefinitely, the PDF peak intensities would continue to decrease and the material would amorphize, which is not observed. The saturation of the PDF peak intensities is in contrast to the unit cell changes derived from the diffraction data that showed no saturation at the highest fluence. This suggests that volumetric swelling is somewhat decoupled from the defect production mechanism that governs changes in PDF peak intensities. Considering that the materials continue to swell at higher fluences, it is possible that defect clustering continues to occur at higher fluences, at least until  $\sim 1 \times 10^{13}$  ions/cm<sup>2</sup> when swelling saturates for this material.<sup>15</sup> Some of the swelling may be related to the production of voids which need not affect the crystal structure of the surrounding crystalline matrix.

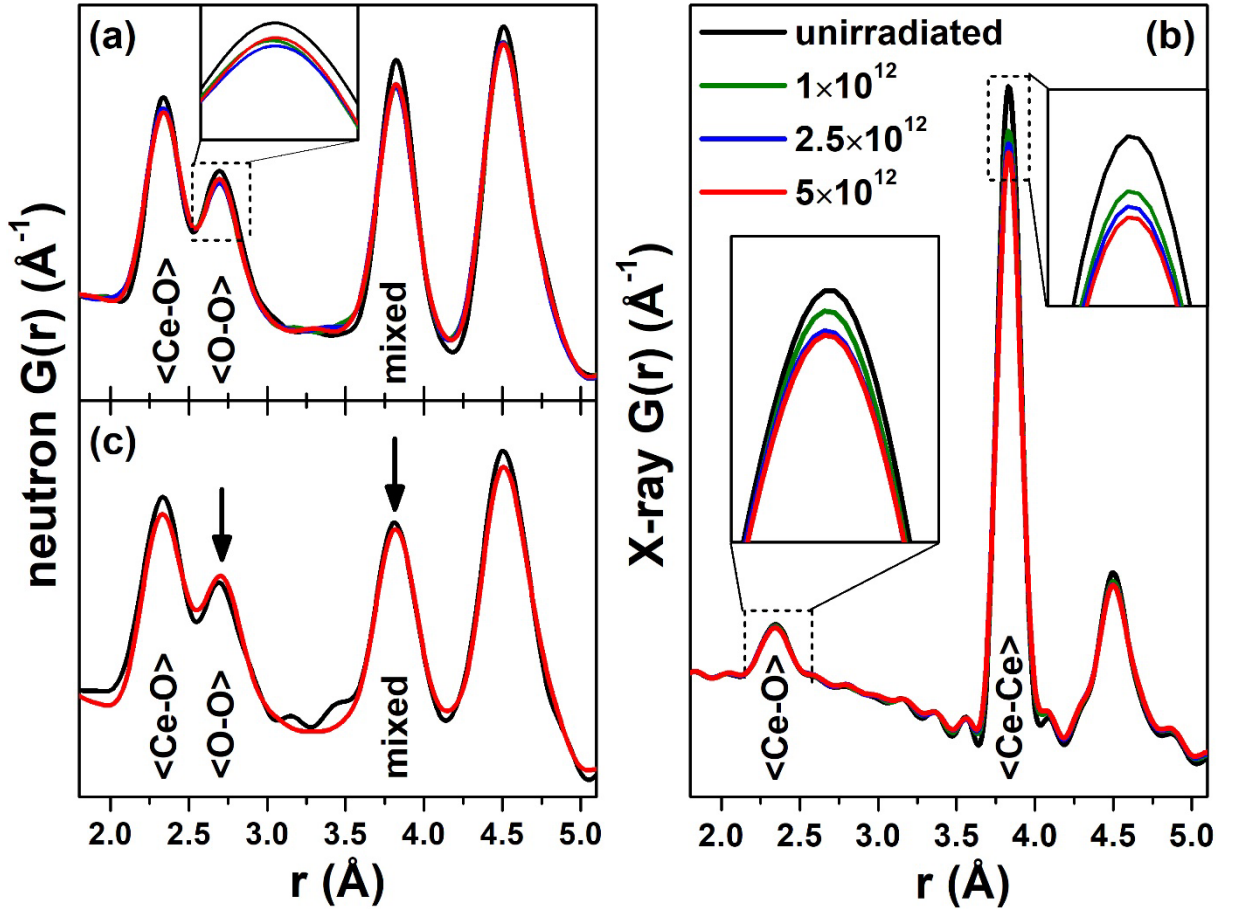


FIG. 4. First-nearest neighbor peaks of (a) neutron and (b) X-ray PDFs of microcrystalline  $\text{CeO}_2$  and (c) neutron PDFs of nanocrystalline  $\text{CeO}_2$ . Peaks labeled *mixed* in the neutron PDFs comprise contributions from both  $\langle\text{Ce-Ce}\rangle$  and  $\langle\text{O-O}\rangle$  peaks. Insets in (a-b) are magnified views of the peak maxima that highlight the saturation in peak intensity changes at high fluences. All samples were irradiated with 1.1 GeV Au ions to different fluences (values are given in  $\text{ions}/\text{cm}^2$ ).

The evolution with ion fluence of the 1-NN O-O peak is much different from that of the Ce-O and Ce-Ce peaks. The neutron PDFs of both microcrystalline [Fig. 4(a)] and nanocrystalline [Fig. 4(c)] ceria illustrate that irradiation results in a larger concentration of O-O pairs in the regions between  $\sim 2.5$ - $3.0$  Å, *i.e.*, the peak intensities increase after irradiation. For reference, the PDF peak at  $\sim 2.7$  Å corresponds to the 1-NN O-O atom pair. This suggests that the materials incorporate a significant number of defects with interatomic distances between  $\sim 2.5$  and  $3.0$  Å. These distances are longer than values typically reported for smaller defects such as dimers<sup>54</sup> and may therefore arise from the formation of larger aggregates, such as clusters or dislocation loops. A similar change in the 1-NN O-O peak of microcrystalline  $\text{CeO}_2$  was observed after irradiation with 2.2 GeV Au ions.<sup>17</sup>

PDF analysis<sup>17</sup> also revealed the emergence of a small correlation at  $\sim 1.45$  Å in CeO<sub>2</sub> after 2.2 GeV Au ion irradiation. This feature was also observed for microcrystalline samples irradiated with 1.1 GeV ions but was not observed in data for nanocrystalline samples because of poor data quality. The corresponding interatomic O-O distance of this correlation is similar to that of the peroxide ions, which are predicted to be stable in ceria by first principles calculations.<sup>52,55</sup> The small PDF feature is therefore attributed to the presence of irradiation-induced oxygen dimers, potentially peroxide ions. Peroxide ions are chemically-bound dimers with an overall charge state of -2. The production of these defects by highly ionizing radiation is notable because they provide an alternative means for charge transfer, which facilitates cerium cation reduction. The charge state and size of these defects also reduces the chemical and mechanical impact of interstitials on the local structure.

Raman spectroscopy provides further clues as to the local defect configurations present after irradiation. Figure 5 shows the Raman spectra of microcrystalline ceria before and after irradiation. Group theory predicts one triply-degenerate Raman-active mode ( $T_{2g}$ ) for the fluorite structure.<sup>56</sup> The  $T_{2g}$  peak is centered at  $466 \pm 1$  cm<sup>-1</sup> and represents the breathing mode of the local Ce-O coordination environment. Two large modifications to the Raman spectra occur after irradiation. These are the asymmetric broadening of the  $T_{2g}$  peak to the lower frequency side (feature 3 in Fig. 5) and the degradation of the signal-to-noise ratio. The latter is denoted by the increasing background at frequencies greater than  $\sim 1000$  cm<sup>-1</sup> (see Fig. 5 inset). Asymmetric broadening of the  $T_{2g}$  peak is typically attributed to distorted Ce-O coordination environments and the associated increase in heterogeneous microstrain.<sup>57,58</sup> This feature has also been attributed to an increased concentration of oxygen vacancies.<sup>58</sup> Degradation of the signal-to-noise ratio indicates atomic disordering and is concomitant with the degradation of the pristine fluorite structure after irradiation.

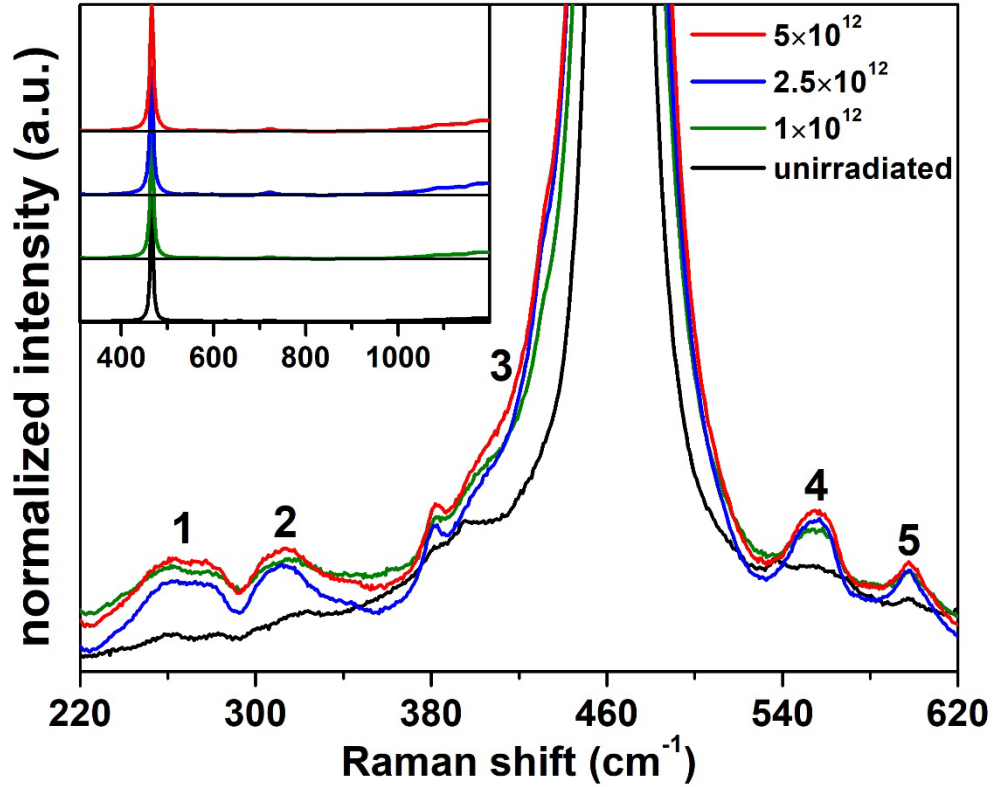


FIG. 5. Low-intensity defect bands in the Raman spectra of microcrystalline  $\text{CeO}_2$  after irradiation with 1.1 GeV Au ions to various ion fluences (values are given in ions/cm<sup>2</sup>). The various defect bands and features in the vicinity of the  $T_{2g}$  mode are numbered (see text for detailed explanation). The full range Raman spectra are presented in the inset, illustrating the increasing background at high wavenumbers.

A closer inspection of the Raman spectra also reveals the emergence of low-intensity defect bands after irradiation (see Fig. 5). Many of these bands have been observed in studies of irradiated ceria<sup>57</sup> and ceria doped with aliovalent cations.<sup>59</sup> Peaks 1 and 4 are attributed to oxygen vacancies in coordination with cerium, whereas peak 5 is attributed to  $\text{Ce}^{3+}$  cations at the  $\text{O}_h$  symmetry site.<sup>57</sup> Peak 2 at  $\sim 310 \text{ cm}^{-1}$  was observed in the Raman spectra of  $\text{Rh/Ce}_{0.5}\text{Zr}_{0.5}\text{O}_2$ ,<sup>60</sup> but was not attributed to any structural or chemical modification in particular. It is possible that this peak is also related to the presence of  $\text{Ce}^{3+}$  and/or vacancies based on the descriptions of peaks 1, 4, and 5. Collectively, these findings from Raman spectroscopy confirm the presence of cation-vacancy complexes and reduced cerium ions after irradiation.

A summary of variables associated with atomic disorder from Rietveld, PDF, and Raman analyses shows that nearly all variables for  $\text{CeO}_2$  irradiated with 1.1 GeV Au ions exhibit similar

saturation behavior (Fig. 6). Examples include atomic displacement parameters ( $U$ ) from diffraction analysis [Fig. 6(a)] which partially quantify static atomic displacements, the full-width at half-maximum (FWHM) of the 1-NN Ce-Ce PDF peak [Fig. 6(b)], which represents heterogeneous microstrain, goodness-of-fit ( $R_w$ ) parameters [Fig. 6(b)] that illustrate the increasingly poor fit of the fluorite structural model to the experimental PDFs, and the  $T_{2g}$  Raman peak area [Fig. 6(c)], which increases as a result of the production of defects and associated microstrain. Saturation behavior is consistent for various types of analytical techniques (*i.e.*, neutron, X-ray, and Raman) that measure different aspects of structural damage. This implies that further increase in the magnitude of radiation damage is not limited by the sensitivity of an analytical technique, but rather by the ion-matter interaction mechanism itself. Saturation indicates that the rate of damage production matches the rate of damage annihilation. The latter can be caused by mechanisms such as dynamic defect annealing or the effective elimination of point defects by aggregation or migration to other sinks, such as grain boundaries.

A comparison of Fig. 2 with Fig. 6 clearly shows that atomic disordering and microstrain (represented by Fig. 6) saturates faster than volumetric swelling (illustrated in Fig. 2). Based on prior reports for swift heavy ion irradiated  $\text{CeO}_2$ ,<sup>15</sup> saturation of swelling occurs at fluences 3-5 times higher than the saturation fluence for atomic disordering and microstrain. Similar behavior was observed for swift heavy ion irradiated  $\text{ThO}_2$ .<sup>61</sup> These findings suggest that swelling of the material may be highly dependent on defect clustering, which occurs at high point defect concentrations in order to relieve strain in the material. Several studies have shown that swift heavy ion irradiation of  $\text{CeO}_2$  causes the formation of cylindrical damage zones, so-called *ion tracks*, that comprise a vacancy-rich core surrounded by an interstitial-rich shell.<sup>62</sup> Core and shell components exhibit smaller and larger cross-sectional areas, respectively. Therefore, it is likely that atomic disordering and microstrain variables (data in Fig. 6) represent the entirety of radiation damage in

the material (both core and shell regions) whereas swelling is primarily driven by defects and disorder occurring in the vacancy-rich core region. The latter assumption would imply that swelling is highly dependent on vacancy concentrations and vacancy clustering.

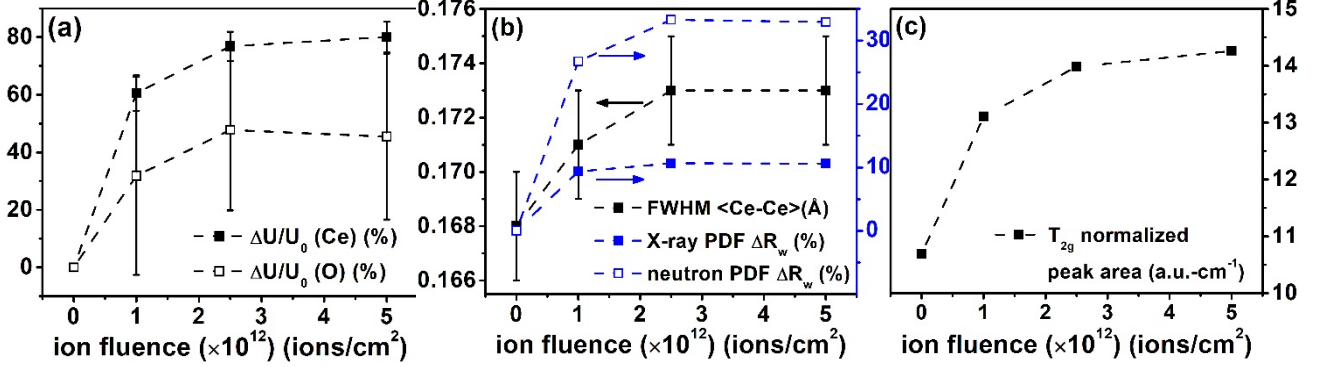


FIG. 6. Summary of disorder-related structural parameters as a function of increasing ion fluence from analysis of (a) neutron and X-ray diffraction, (b) neutron and X-ray PDF analyses, and (c) Raman spectroscopy of the microcrystalline  $\text{CeO}_2$  samples irradiated with 1 GeV Au ions. The variables are: relative change in isotropic atomic displacement parameter,  $\Delta U/U_0$ , from Rietveld refinement; full-width at half-max ( $FWHM$ ) of the first nearest-neighbor X-ray PDF  $\langle \text{Ce-Ce} \rangle$  peak; relative change in goodness-of-fit parameters,  $R_w$ , from fitting of the PDFs; integrated  $T_{2g}$  peak area from the normalized Raman spectra. All disorder-related variables from both long- and short-range structural analyses begin to saturate at a similar fluence. Arrows denote the y-axis to be used for the respective data points. The units for the variables are denoted in the plot legends.

### B. Thermodynamics of irradiation-induced defects

After non-destructive characterization, the irradiated samples were further analyzed by high-temperature calorimetry. One advantage to using high temperature oxide melt solution calorimetry is that the sample fully dissolves and releases energy in the form of a heat flow which can be recorded precisely.<sup>45–47</sup> The heat effect from dissolution of an irradiated sample consists of not only heat capacity and heat of dissolution, but also of the energy stored in the form of defects. Knowing the initial and the final states of the sample, the enthalpy of damage can be calculated using Hess's law.<sup>63</sup> The enthalpy of damage (*i.e.*, energetic destabilization) for the irradiated samples was calculated as:

$$\Delta H_{dmg} = \Delta H_{ds,700}^{unirradiated} - \Delta H_{ds,700}^{irradiated}, \quad (2)$$

where  $\Delta H_{ds,700}^{unirradiated}$  and  $\Delta H_{ds,700}^{irradiated}$  are enthalpies of drop solution ( $ds$ ) at 700°C for the unirradiated and irradiated samples, respectively. The calculated values are tabulated in Table I and plotted against fluence in Fig. 7. The data reflect that the extent of energetic destabilization depends significantly on the ion energy and fluence.

TABLE I. Enthalpies of drop solution ( $\Delta H_{ds}$ ) of irradiated and unirradiated CeO<sub>2</sub> samples. Numbers in brackets represent the number of single measurements performed. Errors are 2 standard deviations of the mean.

	Ion energy, GeV	Fluence, ions/cm <sup>2</sup>	$\Delta H_{ds}$ , kJ/mol	
			microcrystalline CeO <sub>2</sub>	nanocrystalline CeO <sub>2</sub>
Non-irradiated	-	-	75.92 ± 1.22(6)	85.42 ± 2.12(4)
Irradiated	1.1	5.0 × 10 <sup>11</sup>	-	-
		1.0 × 10 <sup>12</sup>	70.10 ± 0.42(3)	-
		2.5 × 10 <sup>12</sup>	69.91 ± 0.70(3)	-
		5.0 × 10 <sup>12</sup>	69.18 ± 0.32(3)	54.03 ± 1.86(3)
	2.2	5.0 × 10 <sup>11</sup>	64.98 ± 0.57(3)	-
		1.0 × 10 <sup>12</sup>	64.37 ± 0.61(3)	-
		2.5 × 10 <sup>12</sup>	-	-
		5.0 × 10 <sup>12</sup>	62.63 ± 0.47(3)	-

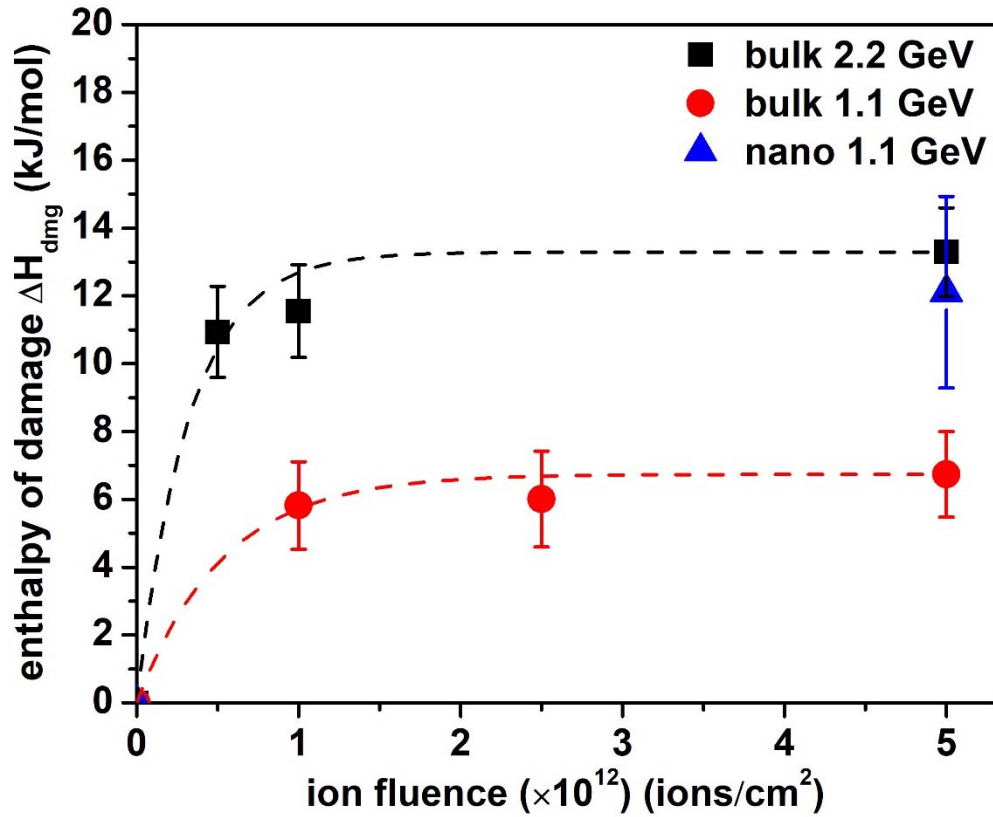


FIG. 7. Enthalpy of damage calculated from drop solution calorimetry, denoted as the difference between irradiated and unirradiated samples, plotted against ion fluence. The value of nanocrystalline sample was corrected for the surface energy component. The curves represent fits using single impact mechanism described by a Poisson's law.

2.2 GeV Au ions cause a larger overall energetic destabilization in microcrystalline ceria than 1.1 GeV Au ions and the energetic responses show a similar fluence dependence as was

observed for disorder-related parameters from X-ray and neutron PDF characterization [Fig. 6(b)]. The saturation value for the 2.2 GeV irradiation is about a factor of two larger than for the 1.1 GeV ion irradiation and the increase at lower fluence appears to be more rapid for the 2.2 GeV Au ions. The energetic destabilization in irradiated nanocrystalline CeO<sub>2</sub> is larger than in microcrystalline CeO<sub>2</sub> under identical 1.1 GeV Au ion irradiation (a factor two at the maximum fluence). The contribution of the surface energy to the destabilization energy was applied to the data in Fig. 7 by building a thermodynamic cycle similar to that applied to ceria samples previously.<sup>48</sup> Thus, the value for the destabilization in nanocrystalline ceria can be fully attributed to the energetics of defect formation caused by swift heavy ion irradiation. Besides the formation of simple defects, such as Frenkel pairs, ceria is also susceptible to defects related to the partial reduction of Ce<sup>4+</sup> to Ce<sup>3+</sup>.<sup>30</sup> Nanocrystalline ceria has been shown to undergo substantially more volumetric swelling under swift heavy ion irradiation owing to enhanced reduction of Ce<sup>4+</sup> to Ce<sup>3+</sup>.<sup>31</sup> It has been proposed that reduction is enhanced because irradiation of nanocrystalline materials enables more efficient expulsion of oxygen from the smaller crystallites.<sup>15</sup>

As was mentioned earlier, *ion tracks* comprise a vacancy-rich core surrounded by an interstitial-rich shell.<sup>62</sup> The enthalpy data shown in Fig. 7 follow Poisson-type behavior as a function of increasing fluence and can therefore be described by a single-impact model<sup>64</sup> for radiation damage accumulation. This enables the estimation of the areal dimension of cylindrical ion tracks by the following expression:

$$H = H_0 + \exp(-\sigma \times \phi), \quad (3)$$

where  $H$  is the fraction of energy stored in the form of defects,  $H_0$  is the saturation value for energy stored in the material,  $\phi$  is the ion fluence, and  $\sigma$  is the cross-sectional area of a single ion track. The calculated track diameters are  $16.6 \pm 3.2$  nm for 2.2 GeV irradiation and  $13.8 \pm 2.8$  nm for 1.1 GeV irradiation. These values are somewhat larger than the track diameter associated with microstrain

reported by Tracy *et al.*<sup>15</sup> ( $8.4 \pm 0.7$  nm) for 950 MeV Au irradiation of CeO<sub>2</sub>. Effects of microstrain contribute significantly to the enthalpy of radiation damage and can be effectively measured using calorimetry. However, calorimetry measures the entirety of the defect structure associated with an ion track because the entire sample is dissolved. Isolated defects can be found in the periphery of an ion track, much further out than distances probed by many diffraction techniques, such as XRD, which are sensitive only to the track core and shell regions (*i.e.*, most heavily-damaged). For example, Takaki *et al.*<sup>62</sup> used scanning transmission electron microscopy to measure the diameter of tracks in CeO<sub>2</sub> damaged by 200 MeV Xe ions and found the extent of oxygen disorder to be ~17 nm in diameter, which is closer to the values reported here.

In addition to measuring the overall magnitude of lattice destabilization, differential scanning calorimetry (DSC) was also used to probe the defect transformation regimes with respect to annealing temperature. The scans were recorded in oxidizing [Fig. 8(a)] and reducing [Fig. 8(b)] atmospheres for micro- and nanocrystalline CeO<sub>2</sub> samples irradiated with 1.1 GeV and 2.2 GeV Au ions (fluence  $5 \times 10^{12}$  ions/cm<sup>2</sup>) to observe heat effects from annealing events of radiation-induced defects. Heating was performed at a constant rate such that the temperature axis is also proportional to time. Unirradiated microcrystalline CeO<sub>2</sub> does not show any heat effect, as there are no structural changes during heat treatment. This is in contrast to the irradiated microcrystalline samples, which exhibit two distinguishable heat release steps under oxidizing atmosphere [Fig. 8(a)]. The first annealing stage consists of several sharp peaks in the lower temperature region (150 – 400°C) whereas the second annealing step is a much slower process with broader peaks occurring at higher temperatures (500 – 1000°C). A similar multistep annealing behavior was previously observed in isochronal annealing studies of other irradiated fluorite-structured materials.<sup>16,65</sup>

The annealing events associated with the first step observed within an oxidizing atmosphere [Fig. 8(a)] are less pronounced when samples are heated in an inert atmosphere [Fig. 8(b)], as the

peaks are less intense and very broad with significant overlap across the entire temperature regime. Signal intensity also increases in the temperature range 400 – 500°C. This implies that the mechanism responsible for the annealing regime of the first step is less favorable (kinetically, thermodynamically, or both) in the absence of oxygen and requires higher temperature to occur. This atmosphere dependence suggests that the first annealing regime is associated with modifications on the oxygen sublattice. The first annealing step also occurs over a relatively narrow temperature range within oxygen atmosphere compared to the second one. This can probably be attributed to the various kinetic constants for the reactions governing the heat release. Such a fast-slow, two-step defect annealing process might be associated with (1) surface-assisted defect annihilation where processes are faster and are facilitated by the atmosphere, and (2) defect annealing in the bulk where processes are limited by adjacent atoms that constrain atomic diffusion. This is supported by the behavior of the irradiated nanocrystalline sample which shows a much more pronounced first annealing step which can be attributed to the greatly enhanced surface-to-volume ratio.

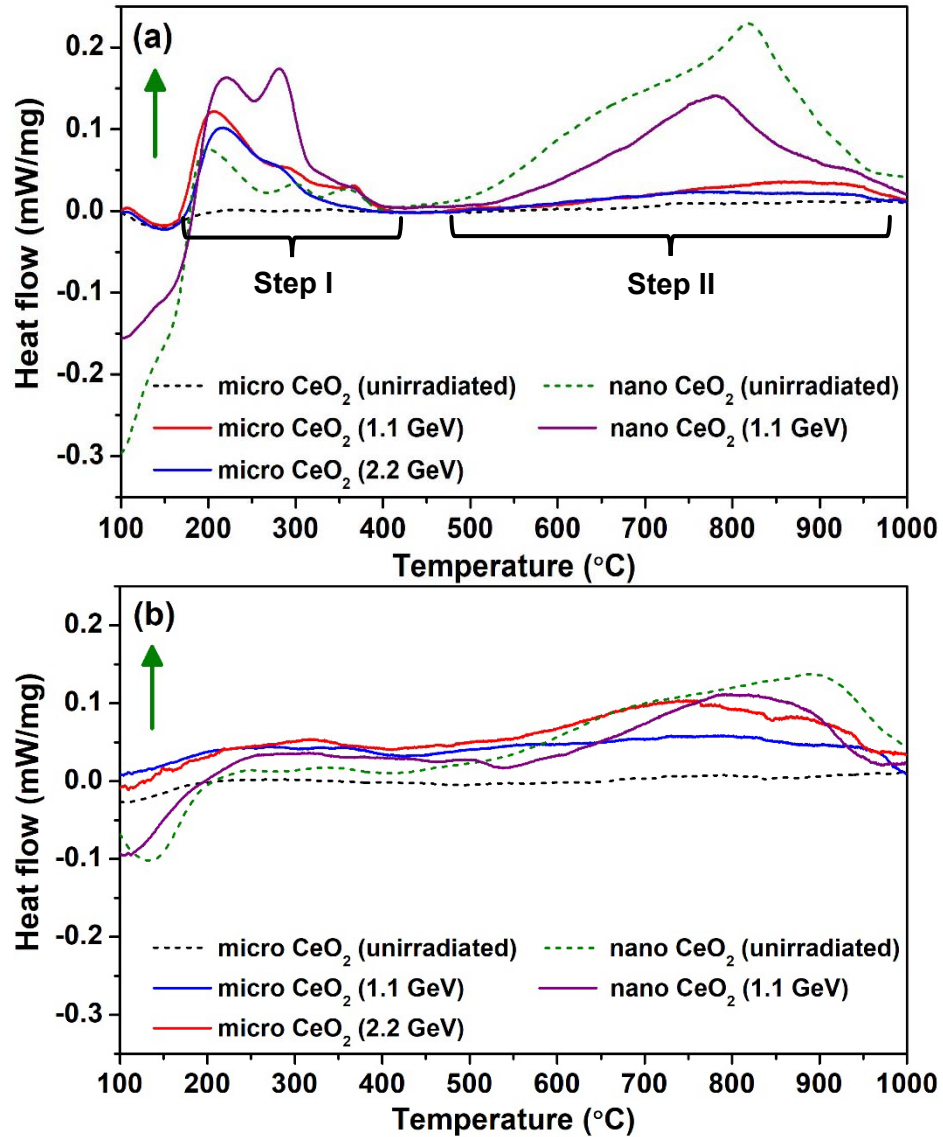


FIG. 8. DSC scans of micro- and nanocrystalline  $\text{CeO}_2$  in air (a) and argon (b). All irradiated samples were taken from the batches with highest fluence ( $5 \times 10^{12}$  ions/ $\text{cm}^2$ ). Correction performed using second run on same sample. Arrow indicates the direction of exothermic signal. Compared to unirradiated samples – irradiated  $\text{CeO}_2$  samples release heat in two distinguishable steps. The processes seem to be slower in inert atmosphere in all samples. The nanocrystalline sample annealing behavior implies the presence of the unstable structures before irradiation.

Heat effects associated with the two annealing stages were quantified by fitting the areas under DSC peaks (Table II). It is evident that the overall peak area is higher for the 2.2 GeV irradiation as compared to the 1.1 GeV irradiation, which agrees well with the higher destabilization energy (Fig. 7). The sum of heat effects in DSC also gives the same values as those from oxide melt solution calorimetry. This suggests that the heated irradiated samples are fully annealed from any

damage caused by swift heavy ion radiation after reaching the temperature of 1000°C. This behavior is different from that seen recently for radiation damaged pyrochlore, where radiation amorphized samples heated up to 1200°C recovered only about half from the energetic destabilization caused by swift heavy ion irradiation.<sup>21</sup>

TABLE II. Heat effects from DSC scans (Fig. 8a, air atmosphere) and enthalpy of damage of irradiated microcrystalline ceria. DSC scans were measured only once so the error cannot be propagated. Errors for enthalpy of damage are propagated and are 2 standard deviations of the mean.

Sample	I DSC step (150-400°C), kJ/mol	II DSC step (600-1000°C), kJ/mol	Total $\Delta H_{\text{dmg}}$ (sum from DSC), kJ/mol	$\Delta H_{\text{dmg}}$ (from solution calorimetry), kJ/mol
CeO <sub>2</sub> 2.2 GeV	8.7	3.5	12.2	13.4 ± 1.3
CeO <sub>2</sub> 1.1 GeV	5.1	1.7	6.8	6.7 ± 1.3

The origin of the individual annealing events within the first step (narrow sub-peaks) remains unclear. Previous DSC studies on disordered pyrochlores also reported a two-step annealing process,<sup>19,21</sup> however, no individual peaks have been reported within the two annealing stages. This implies that recovery of irradiated non-amorphizable CeO<sub>2</sub> is much more complex involving several independent processes with associated activation energies. Prior structural studies of irradiated CeO<sub>2</sub><sup>17,36,66</sup> have suggested that oxygen interstitials aggregate as small defect clusters, such as bonded peroxide ions. In order to anneal such defects, defects need to be dissolved and then recombine on Ce<sup>3+</sup> sites and oxygen vacancies to fully recover. This mechanism alone yields at least 3 distinct thermal recovery processes with distinct activation energies, which accounts for the complex sub-peak structure within the two annealing steps.

DSC scans of nanocrystalline samples generally exhibit two features: endothermic water loss at lower temperatures (up to 200°C) and exothermic grain coarsening at elevated temperatures (500 – 1000°C in CeO<sub>2</sub><sup>67</sup>). Surprisingly, the unirradiated nanocrystalline CeO<sub>2</sub> sample shows (apart from the normal nanocrystalline features) the presence of heat effects that are similar to those observed for irradiated nano- and microcrystalline samples in both annealing stages. This indicates that the nanoscale grain size affects the amount of intrinsic defects in the fluorite structure of CeO<sub>2</sub>.<sup>31</sup> After

irradiation of the nanocrystalline samples, heat effects are enlarged in the temperature range 150 – 400°C. It appears that irradiation results in the enhancement of defect features that were already present in the unirradiated nanocrystalline sample. Irradiation also causes a decrease in signal intensity at higher temperatures (600 – 1000°C). This might imply grain coarsening that result in a decrease in surface area and consequent decrease in water content. However, powder X-ray diffraction performed on pristine and irradiated nanocrystalline sample did not indicate any grain growth. This suggests that the underlying mechanism responsible for the annealing behavior of nanocrystalline ceria is different and remains unclear. Further studies are needed to better understand the distinct annealing mechanisms.

### C. Structural destabilization efficiency

We explained above that the enthalpy of damage in kJ/mol is obtained from the difference between the values of drop solution enthalpy of irradiated and non-irradiated samples. Similarly, one can calculate an absolute heat release from the dissolution of each sample in order to obtain the heat of damage in kJ. Assuming a homogeneous distribution of ion tracks in the samples, one can use the mass of each sample pellet to estimate the average energy stored in the form of defects and microstrain ( $E_{dmg}$ ) for a single ion track in the material:

$$E_{dmg} = \frac{H_{dmg} \times \rho \times t}{m \times \varphi}, \quad (4)$$

where  $H_{dmg}$  is the heat of damage of an individual irradiated sample in kJ,  $\rho$  is the density of the material,  $t$  is the thickness of the irradiated sample,  $m$  is the mass, and  $\varphi$  is the ion fluence. SRIM calculations<sup>40</sup> were used to estimate the total energy deposited in the sample by a single ion,  $E_{dep}$ . We define the ratio of these energies for each fluence as the efficiency of structural destabilization by ion irradiation,  $\eta$ :

$$\eta = \frac{E_{dmg}}{E_{dep}}. \quad (5)$$

The efficiency factor, in other words, defines the percentage of the total energy deposited in the material that is retained in the sample and results in a measurable amount of structural disorder.

Figure 9 shows the calculated efficiency values for all irradiated samples at all fluences. The efficiency for both ion energies decreases significantly with increasing fluence and shows a saturation behavior at the maximum fluence. The efficiency of 2.2 GeV Au ions is initially  $\sim 1.4\%$  at  $5 \times 10^{11}$  ions/cm<sup>2</sup>, a fluence at which ion tracks have minimal overlap, and decreases to  $\sim 0.2\%$  at  $\sim 5 \times 10^{12}$  ions/cm<sup>2</sup>, when track overlap is reached (Fig. 6). The corresponding efficiency for 1.1 GeV Au ion irradiations are  $\sim 0.4\%$  and  $\sim 0.1\%$ , respectively. Thus, the formation of defects and microstrain is less efficient for the lower ion energy, but the relative difference diminishes at higher fluences. The efficiency for the nanocrystalline sample is the lowest of all samples at the highest fluence ( $\sim 0.05\%$ ). The overall behavior is in agreement with recently performed molecular dynamics (MD) simulations on track formation based on the two-temperature thermal spike model, which show that most of the energy deposited from a swift heavy ion results in heat that is quickly dissipated.<sup>68</sup> Only a small fraction of total deposited energy ( $< \sim 2\%$ ) results in defects and microstrain that can be probed by analytical techniques.

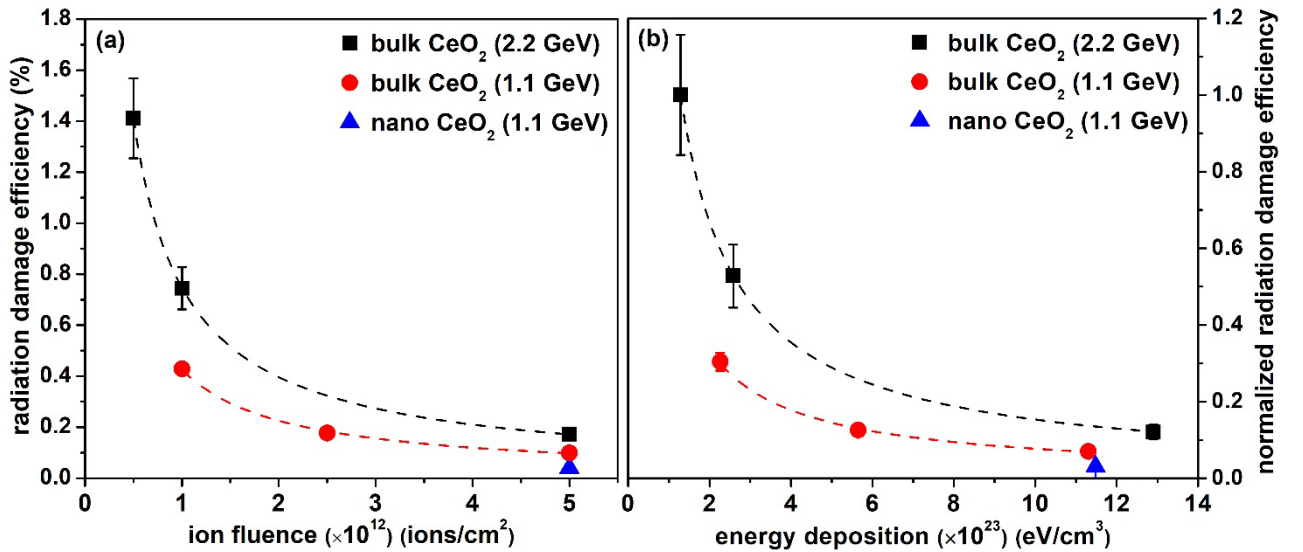


FIG. 9. (a) Efficiency of structural destabilization,  $\eta$ , caused by energy deposition from swift heavy ions in CeO<sub>2</sub> based on calorimetric data (see text for details). Efficiency factors decrease with

increasing fluence and tend towards saturation at the maximum fluence. (b) Normalized efficiency of structural destabilization ( $\eta/\phi$ ) as a function of total deposited energy. The normalized efficiency is efficiency divided by fluence and represents efficiency per ion track. Dashed lines are used to guide the eye.

The large discrepancy in defect efficiency at low fluences can be qualitatively explained in terms of deposited energy densities induced by the 1.1 and 2.2 GeV Au ions in the material. The transfer of energy from swift heavy ions to the lattice results in initial excitation and ionization processes that yield the production of holes and  $\delta$ -electrons. The latter are highly energetic electrons ejected from atoms through collisions with swift heavy ions and can travel hundreds of nanometers radially from the ion trajectory, dissipating energy laterally by secondary ionization processes.<sup>69</sup> The maximum energy and thus the range of  $\delta$ -electrons can be estimated by simple kinematic calculations based on the maximum energy transfer between the heavy ion and a light electron. Estimated maximum  $\delta$ -electron energies were converted into range values using the National Institute of Standards and Technology (NIST) software, EStar.<sup>70</sup> These simple kinematic calculations yield maximum  $\delta$ -electron range estimates of  $\sim 840$  nm and  $\sim 2650$  nm for 1.1 GeV and 2.2 GeV irradiations, respectively. Energy deposition falls rapidly radially from the ion trajectory and we therefore used 66% of these ranges as cutoff values to estimate effective damage volumes ( $\sim 550$  nm and  $\sim 1750$  nm, respectively). This results in maximum effective damage volumes of  $\sim 4 \times 10^{10}$  nm<sup>3</sup> and  $\sim 7 \times 10^{11}$  nm<sup>3</sup> for 1.1 GeV and 2.2 GeV Au ions, respectively. Note that the effective damage volume caused by 2.2 GeV ions is approximately 17 times larger than the volume produced by 1.1 GeV ions. Using deposited energy values calculated by SRIM<sup>40</sup> resulted in deposited energy densities of  $\sim 4.3$  J/cm<sup>3</sup> and  $\sim 0.6$  J/cm<sup>3</sup> for 1.1 GeV and 2.2 GeV irradiations, respectively.

Results from these very simple calculations are also supported by calculations performed using the inelastic thermal spike (i-TS) model. Calculations were performed for CeO<sub>2</sub> following the procedure outlined elsewhere.<sup>71</sup> The i-TS model utilizes time-dependent energy diffusion equations

that are solved numerically for a cylindrical ion track geometry to quantify energy deposited on the electronic system following swift heavy ion irradiation.<sup>72</sup> These calculations show that 66% of electronic energy loss from 1.1 GeV and 2.2 GeV Au ions is deposited in cylindrical regions with radii of 6.2 nm and 7.3 nm, respectively. Equivalent values for energy deposited on electrons are 2.8 eV/atom and 2.3 eV/atom, respectively. Results from both the very simple kinematic calculation and the i-TS calculation reflect the fact that the lower energy Au ions cause smaller damage volumes with more concentrated energy deposition compared to higher energy Au ions, which cause larger damage volumes with more diffuse energy deposition. This so-called velocity effect is often used to explain the apparent increase in amorphous ion track diameter with decreasing ion velocity in materials that are amorphizable by swift heavy ion irradiation at room temperature.<sup>73</sup> It is noted that energy is assumed to be deposited on the electronic system and we make no assumption as to how energy is transferred to the atomic system. MD simulations of swift heavy ion irradiated ceria suggest that approximately 85% of energy deposited on the electronic system is transferred to phonons under a wide range of swift heavy ion irradiation conditions (electronic energy loss  $\sim 12\text{-}42$  keV/nm).<sup>53</sup>

Figure 9 shows that 1.1 GeV ion irradiation leads to radiation damage that is, in terms of system energetics, less efficient at destabilizing the structure compared to 2.2 GeV ion irradiation. This lower efficiency explains the lower magnitude of energetic destabilization overall (Fig. 7). Collectively, these observations and the energy deposition calculations suggest that higher deposited energy densities (*i.e.*, the 1.1 GeV irradiation) induce lower overall point defect concentrations in the low fluence regime where ion tracks do not severely overlap. These features are somewhat analogous to effects observed from lower-energy ion irradiations that exhibit a higher ratio of nuclear-to-electronic energy loss: atomic displacement cascades caused by more energetic primary knock-on atoms (PKAs) can cause pronounced in-cascade Frenkel pair recombination and

clustering, thus lowering defect production efficiency.<sup>74</sup> The lower magnitude of energetic destabilization caused by 1.1 GeV Au ion irradiation is likely a consequence of prominent defect clustering and/or dynamic Frenkel pair recombination, both of which would lower point defect concentrations. The analytical methods used in the present study do not enable distinction between the two mechanisms. In contrast, lower deposited energy densities (*i.e.*, the 2.2 GeV irradiation) result in less dynamic annealing and/or clustering. This however, does not explain the saturation behavior at high fluences where ion tracks overlap. The saturation behavior of  $\eta$  with ion fluence (Fig. 9) suggests that the efficiency approaches a value which is only weakly dependent on the energy of a swift heavy ion and the grain size of the material. This indicates that defect production processes at high fluences are influenced by nano-scale interplay of newly formed and pre-existing defect structures. When ion tracks continually overlap, point defects anneal and/or agglomerate in such a way that energetic destabilization of the structure is mitigated. The relative ratio of annealing to agglomeration at high fluences must be different to that of low fluences in order to explain the observed behavior. The present data show that defects within swift heavy ion tracks behave qualitatively similar as defects produced by elastic collision cascades. However, it is difficult to disentangle effects from dynamic defect annealing and defect clustering at low and high fluence regimes without additional experimental characterization and modeling. It is reasonable to assume that pre-existing defect agglomerates produced by swift heavy ions act as additional sinks and reduce point-defect concentration leading to a reduced energetic destabilization at higher fluences. Recent models predict that most ionic oxides exhibit dislocation network formation after ion irradiation to high fluences as means to relieve strain.<sup>75</sup> If defect clustering dominates at high fluences, it is possible that dynamic Frenkel pair recombination plays a larger role at lower ion fluences. The opposite has also been proposed. It has been suggested that dynamic damage annealing from damage region overlap is the cause for high-fluence saturation behavior.<sup>74</sup>

#### IV. SUMMARY AND CONCLUSIONS

Combining both structural and thermodynamic characterizations enabled a more fundamental insight into the behavior of radiation-induced defects in fluorite-structured materials. Structural analyses showed that all variables associated with atomic disorder and associated microstrain saturate at a fluence ( $\sim 2 \times 10^{12}$  ions/cm<sup>2</sup>) that is significantly lower than the reported fluence for the onset of swelling saturation ( $\sim 1 \times 10^{13}$  ions/cm<sup>2</sup>).<sup>15,49</sup> This discrepancy is attributed to differences in defect structures probed by the various analytical techniques. Neutron total scattering and Raman spectroscopy applied in the present study are sensitive to the anion sublattice of the samples and therefore characterize the extent of damage occurring in both ion track core and shell regions. This is in contrast to volumetric swelling measurements derived from unit cell data that are presumably more influenced by vacancies and vacancy clusters relegated mostly to ion track cores. The effective damage cross section probed by neutron and Raman methods is thus larger and causes quicker saturation than the cross section derived from unit cell data. Analysis of Fresnel contrast by transmission electron microscopy, for example, has yielded track sizes on the order of 15 nm for swift heavy ion irradiated ceria,<sup>62</sup> in agreement with track sizes of  $\sim 10$ -15 nm estimated from the structural analysis results presented in Fig. 6. Track sizes for unit cell expansion data are by contrast  $\sim 3$ -5 nm<sup>15</sup> under similar irradiation conditions.

Thermodynamic analysis provides insight into energetic structural destabilization mechanisms in fluorite-structured materials caused by different ion energies and fluence regimes. In these non-amorphizable materials, the vast majority of deposited energy is dissipated in form of heat and only a small amount of energy is stored in the form of defects and microstrain that can be measured (on the order of 1%). The deposited energy density, which depends on energy loss and ion velocity, controls the formation, recovery, and agglomeration of defects within individual ion tracks. However, track-overlap provides an additional means to control defect formation and stability and

this seems to be the dominating mechanism in the high-fluence regime diminishing the dependence on energy density and grain size. Such behavior is well-known for traditional displacement damage from elastic collisions with low energy ions but the present study reveals a similar behavior for swift heavy ions. It is not possible to clearly disentangle competing effects from dynamic defect recovery and defect agglomeration from the present data. It is often assumed that athermal Frenkel pair recombination and annihilation of defects on pre-existing defect agglomerates (additional sinks for point defects) dominate when ion tracks overlap; however, experimental validation is difficult. Recent findings<sup>75</sup> have begun to unravel the intricacies of defect clustering under ion irradiation, but dynamic defect annealing effects are still not fully understood. The so-called velocity effect is often invoked to describe defect creation mechanisms in a wide variety of materials, but results can sometimes be unexpected and highly material-dependent (see, *e.g.*, Volkov *et al.* <sup>76</sup>). Defect annealing measured by DSC reflects the complex damage structure present in swift heavy ion irradiated materials. Two distinct annealing stages are present with many individual annealing peaks, possibly caused by a wide range of defect structures. The results put forth by this combined structural and thermodynamic study elucidate defect accumulation and annealing mechanisms in swift heavy ion irradiated CeO<sub>2</sub>. This represents an innovative approach to reveal potential trends of damage production processes in a very important class of oxide materials relevant to numerous energy-related applications.

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