This is the accepted manuscript made available via CHORUS. The article has been published as:

**Multivalley two-dimensional electron system in an AlAs quantum well with mobility exceeding**

\[ 2 \times 10^6 \text{cm}^2 \text{V}^{-1} \text{s}^{-1} \]


*Phys. Rev. Materials* **2**, 071001 — Published 2 July 2018

DOI: [10.1103/PhysRevMaterials.2.071001](https://doi.org/10.1103/PhysRevMaterials.2.071001)
Multivalley two-dimensional electron system in an AlAs quantum well with mobility exceeding $2 \times 10^6$ cm$^2$V$^{-1}$s$^{-1}$


Department of Electrical Engineering, Princeton University, Princeton, NJ 08544, USA

Degenerate conduction-band minima, or ‘valleys’, in materials such as Si, AlAs, graphene, and MoS$_2$ allow them to host two-dimensional electron systems (2DESs) that can access a valley degree of freedom. These multivalley 2DESs present exciting opportunities for both pragmatic and fundamental research alike because not only are they a platform for valleytronic devices, but they also provide a tool to tune and investigate the properties of complex many-body ground states. Here, we report ultra-high quality, modulation doped AlAs quantum wells containing 2DESs that occupy two anisotropic valleys and have electron mobilities peaking at $2.4 \times 10^6$ cm$^2$V$^{-1}$s$^{-1}$ at a density of $2.2 \times 10^{11}$ cm$^{-2}$. This is more than an order of magnitude improvement in mobility over previous results. The unprecedented quality of our samples is demonstrated by magneto-transport data that show high-order fractional quantum Hall minima up to the Landau level filling $\nu = 8/17$, and even the elusive $\nu = 1/5$ quantum Hall state.

In materials such as Si$^{1-5}$, AlAs$^{6-19}$, graphene$^{20-25}$, and MoS$_2^{26-28}$, in addition to charge and spin there is yet another tunable electronic degree of freedom, namely their multiple conduction-band minima located away from the Brillouin zone center reciprocal space. Commonly denoted as ‘valleys’, these conduction-band minima have an inherent degeneracy determined by the symmetry of the crystal structure, and perturbations that break this symmetry can be used to control the valley occupancy of electrons. Two-dimensional electron systems (2DESs) hosted in these multivalley materials are therefore remarkably versatile platforms that can be used to study new concepts for information processing as well as complex many-body interactions that involve multiple components$^{29}$.

A good example is the AlAs 2DES, where the prospect of valleytronics was first discussed in experiments demonstrating the possibility to tune valley occupancy via uniaxial strain$^{11}$ or lateral confinement$^{12}$. It is also a system in which it is possible to observe quantum Hall ferromagnets$^{7,10,17}$ and valley skyrmions$^{10}$, as well as composite fermions with a valley degree of freedom$^{14}$. Moreover, the electrons in AlAs 2DESs have a large, anisotropic mass, making the system an intriguing candidate to investigate the physical properties of delicate many-body ground states$^{13,16}$.

Because of these exciting prospects, there has always been a strong motivation to improve the AlAs 2DES quality but it has been in a stalemate for over a decade now$^{8,15,18,19}$. Here we report more than an order of magnitude improvement in the mobility of AlAs 2DESs, with a peak value of $\mu = 2.4 \times 10^6$ cm$^2$V$^{-1}$s$^{-1}$ at a 2D electron density of $n = 2.2 \times 10^{11}$ cm$^{-2}$. The high quality of our samples is further demonstrated by the observation of high-order fractional quantum Hall states up to the filling $\nu = 8/17$ in a sample with $n = 1.0 \times 10^{11}$ cm$^{-2}$. In a sample with $n = 0.60 \times 10^{11}$ cm$^{-2}$, we can even observe a $\nu = 1/5$ fractional quantum Hall state, flanked by reentrant insulating phases which are generally interpreted as pinned Wigner crystal states, a feat only achieved in the cleanest of 2DESs. These results represent tremendous advancements in AlAs 2DES quality, putting it in a regime comparable to that of state-of-the-art 2DESs.

Figure 1(a) shows a schematic diagram of the first Brillouin zone for bulk AlAs. Electrons in bulk AlAs occupy three full ellipsoids of revolution centered at the X points in reciprocal space, with each ellipsoid having a longitudinal effective mass $m_l = 1.1$ and transverse effective mass $m_t = 0.2$, both in units of free electron mass (see Fig. 1(b)). A 2DES can be hosted in an AlAs quantum well (QW) in a modulation-doped structure similar to that of a GaAs 2DES, with the primary difference being that the lowest energy band of the QW is the X band for AlAs while it is the $\Gamma$ band for GaAs$^{8,13,19}$. A representative conduction-band diagram for our structures in the vicinity of the AlAs QW is given in Fig. 1(d), while a detailed description of our sample structure is shown in Fig. 1(e). Because of the large mass anisotropy, when an AlAs QW is defined along a $<100>$ direction, one would expect that electrons occupy the out-of-plane valley since the confinement mass for this valley ($m_l$) is larger compared to the confinement mass for the two in-plane valleys ($m_t$). However, when an AlAs QW is grown pseudomorphically on a GaAs substrate by molecular beam epitaxy (MBE), the slightly larger lattice constant of AlAs puts the AlAs layer under biaxial compression. This lowers the energies of the two in-plane valleys so that these valleys are occupied when the AlAs QW width ($w$) is larger than $6$ nm as shown in Fig. 1(c)$^{13,30,31}$. All of our AlAs QW samples presented here have $w > 10$ nm, meaning we are studying AlAs 2DESs with two in-plane valleys occupied.

It is remarkable that the vast majority of AlAs QWs used to study 2DESs with two in-plane valleys occupied have $w \approx 10$ nm. This is at first sight surprising, as 2DESs in such narrow QWs are bound to suffer from interface roughness scattering induced by the layer-thickness fluctuations during the MBE growth. For a 10-nm-wide QW, even a monolayer fluctuation ($\approx 0.28$ nm for GaAs or AlAs) would cause the ground-state energy $E_0 = \frac{e^2h^2}{2m(w^2)}$ to fluctuate by $\approx 6\%$ (assuming an
infinite potential well). Such fluctuations would lead to local density variations in the plane of the 2DES. Accordingly, an electron traversing this plane would experience scattering due to sporadic changes in its Fermi wavevector. When \( w \) is increased, \( E_0 \) becomes less sensitive to layer fluctuations, and therefore we can expect less scattering and better quality. The fact that narrow QWs are detrimental to 2DES quality is well understood and has been studied in detail both experimentally and numerically for GaAs\cite{32-34} and AlAs QWs\cite{13,35}.

Based on the above arguments, we can speculate that increasing the QW width to \( w \geq 20 \text{ nm} \) may yield higher-quality AlAs 2DESs. However, the MBE growth of AlAs QWs that fit this criterion is not so trivial because Al is an extremely reactive element. Increasing \( w \) in an AlAs QW also increases the amount of background impurities near the AlAs 2DES, resulting in the possibility that the 2DES quality would actually worsen despite the discussion in the previous paragraph. The absence of any reports on AlAs QWs with \( w \geq 20 \text{ nm} \) strongly supports this hypothesis.

Therefore, to properly verify whether the AlAs 2DES quality can be improved by increasing the QW width, it is crucial to first significantly decrease the amount of background impurities present in the AlAs itself. Our approach to this problem was to systematically purify our Al source and used the mobility of the Al cell. As described in detail elsewhere\cite{36}, we measured the mobility of specially designed GaAs 2DESs as we outgassed our Al source and used the mobility to evaluate the purity of the Al cell. The main idea was to exploit the fact that impurities surface segregate in the MBE growth direction for Al\(_x\)Ga\(_{1-x}\)As. If an Al\(_x\)Ga\(_{1-x}\)As barrier lies directly below a GaAs 2DES, any impurities segregating at the MBE surface of the underlying Al\(_x\)Ga\(_{1-x}\)As barrier will cause the mobility of the GaAs 2DES to decrease. Assuming that the vacuum conditions are unchanged, the total amount of surface segregated impurities should depend on the purity of the Al source and the thickness of the underlying Al\(_x\)Ga\(_{1-x}\)As barrier. Indeed, as we outgassed our Al source we observed the GaAs 2DES mobility increase and approach values in hetero-structure samples which have no underlying Al\(_x\)Ga\(_{1-x}\)As barrier. After sufficiently purifying our Al source, we were able to grow a GaAs QW that had no degradation in mobility from the segregating impurities even with a 350-nm-thick underlying Al\(_{0.33}\)Ga\(_{0.67}\)As barrier. This result roughly implies that there would not be a significant amount of impurities even in a 350 \( \times \) 0.33 \( \sim \) 116 nm thick AlAs QW, which leaves plenty of room to test out AlAs 2DESs with \( w \geq 20 \text{ nm} \).

For our study, modulation-doped AlAs QWs were grown on <001> GaAs substrates by molecular beam epitaxy. The main structure is comprised of an AlAs QW flanked on both sides by Al\(_x\)Ga\(_{1-x}\)As barriers that were \( \delta \)-doped with Si at a concentration of \( \sim 6 \times 10^{11} \) cm\(^{-2}\) (see Fig. 1(c)). The growth temperature was 645°C except during Si \( \delta \)-doping, where the temperature was decreased to 500°C. Growth rates for the Ga and Al cells were calibrated by reflection high-energy electron diffraction oscillations and set to be 0.28 nm/s and 0.14 nm/s, respectively. The As beam flux was fixed to 5.8 \( \times \) 10\(^{-6}\) Torr, and the Ga:As flux ratio was 1:13 while the Al:As ratio was 1:20. The QW width was varied to optimize the 2DES quality and the spacer was varied to control the 2DES density. Electrical measurements were performed on \( \approx \) 4 mm \( \times \) 4 mm van der Pauw samples using the low-frequency lock-in technique at two temperatures, 300 mK to evaluate the mobility of the samples and 100 mK to

FIG. 1. (a) Schematic diagram of the first Brillouin zone in bulk AlAs. Three full ellipsoids (or equivalently, six half-filled ellipsoids) are occupied at the X points in reciprocal space. Electron occupation for (b) bulk AlAs and (c) AlAs QWs grown on a GaAs substrate. The biaxial compression from the GaAs substrate causes the two in-plane valleys to be occupied for (d) bulk AlAs and (e) AlAs QWs grown on a GaAs substrate. (e) A schematic conduction-band diagram of the samples used in our study. The \( \text{Al}_{0.33}\)\text{Ga}_{0.67}\text{As} barriers flanking the AlAs QW are \( \delta \)-doped with Si. The well width \( w \) and spacer thickness \( s \) are variables. (e) The sample structure for our AlAs quantum wells.
measure magneto-transport traces. A red light-emitting diode (LED) was illuminated for \( \sim 5 \) minutes at 10 K during the cooldown procedure to achieve maximum carrier density through persistent photo-conductivity\(^\text{19}\), and after the red LED was turned off the samples were then cooled to the base temperature. The cooldown procedure was fixed for all measurements.

Figure 2 shows the measured 2DES mobility of our AlAs QWs as a function of \( w \) for various 2DES densities \((n)\). We varied \( n \) by changing the spacer thickness \( s \) as described in the caption of Fig. 2. Comparing the mobility of the sample with \( n = 3.4 \times 10^{11} \text{ cm}^{-2} \) and \( w = 11 \text{ nm} \) with previous results\(^\text{8}\), the effect of our Al source purification is already evident. It is also clear from Fig. 2 that having a QW with \( w \geq 20 \text{ nm} \) is instrumental in achieving optimal quality in AlAs 2DESs, where mobilities exceeding \( 10^6 \text{ cm}^2\text{V}^{-1}\text{s}^{-1} \) are readily observed at different densities. At \( n = 2.2 \times 10^{11} \text{ cm}^{-2} \), the mobility peaks at \( 2.4 \times 10^6 \text{ cm}^2\text{V}^{-1}\text{s}^{-1} \) for \( w = 30 \text{ nm} \), more than ten times higher than previous results at similar densities\(^\text{6,8,13,15,19}\). Moreover, we were able to grow high-quality AlAs QWs as wide as \( 50 \text{ nm} \), in which we measure a mobility of \( 2.5 \times 10^5 \text{ cm}^2\text{V}^{-1}\text{s}^{-1} \) even at the extremely low density of \( 0.60 \times 10^{11} \text{ cm}^{-2} \).

The decrease in mobility observed in Fig. 2 at densities \( 3.4 \) and \( 2.2 \times 10^{11} \text{ cm}^{-2} \) as \( w \) increases is attributed to scattering contributions from the second subband. This is consistent with the fact that the mobility peaks at a smaller \( w \) for the higher density samples. Preliminary results deduced from our Schrödinger-Poisson solver also support this view. To ensure that no additional scattering from the second subband ensues in our lower density samples, \( w \) was fixed to \( 30 \text{ nm} \) for the sample with \( n = 1.4 \times 10^{11} \text{ cm}^{-2} \). The widest well width of \( w = 50 \text{ nm} \) was only used for the two substantially more dilute cases with \( n = 1.0 \times 10^{11} \text{ cm}^{-2} \) and \( 0.60 \times 10^{11} \text{ cm}^{-2} \).

Figure 3 shows the density and mobilities of our samples as a function of spacer thickness. As expected for modulation-doped structures, the density of our 2DESs follows the \( n \propto s^{-1} \) rule, which is depicted by the dashed line in Fig. 3(a). The variation of the mobility in Fig. 3(b) is not monotonous, peaking at \( s = 100 \text{ nm} \) and then decreasing as the spacer thickness increases and the density decreases. Considering that the enhanced screening with larger \( n \) usually results in the mobility to also increase in a 2DES, the behavior of mobility in Fig. 3(b) when \( s \leq 100 \text{ nm} \) seemingly puzzling. There are two possible causes for the drop in mobility as \( s \) decreases well below \( 100 \text{ nm} \). One is the increased scattering from the intentional remote dopants and the other is the inevitably narrow QW width required at the higher 2DES density to ensure that the upper electric subband is not occupied. Comparing the mobilities of samples with \( w = 20 \text{ nm} \) for \( n = 3.4 \) and \( 2 \times 10^{11} \text{ cm}^{-2} \) in Fig. 2, it is clear that the
former is not the main cause. The mobilities in Fig. 3(b) therefore include not only the effect of change in 2DES density from varying \( s \), but also the associated change in the representative QW width. The data imply that at small \( s \) the increased scattering from the narrower QW width overcomes the effect of higher 2DES density while at larger \( s \) the QW width can be wide enough that only the effect of smaller 2DES density is evident. Thus the results shown here provide a general guideline for AlAs 2DES quality optimization when both QW width \( w \) and 2DES density \( n \) are variables.

It is interesting to compare the mobilities of our AlAs 2DESs with those of high-quality GaAs 2DESs. Because the two systems have distinct electrical parameters (effective mass, Fermi sea anisotropy, etc.), it is impossible to compare their mobilities directly. However, given a situation where both 2DESs have the same density and similar structural conditions such as spacer thickness and QW well width, we can make a reasonable comparison. An exceptionally high-quality GaAs 2DES that exhibits the \( \nu = 1/7 \) fractional quantum Hall effect (FQHE) has been reported to have a mobility of \( 10 \times 10^6 \, \text{cm}^2\text{V}^{-1}\text{s}^{-1} \) at \( n = 1.0 \times 10^{11} \, \text{cm}^{-2} \). The sample in Ref. \(^{37} \) has a QW with \( w = 50 \, \text{nm} \) and a spacer thickness \( s = 220 \, \text{nm} \), which are the exact structural parameters of our AlAs 2DES with the same density. Assuming that the mobility scales inversely with the effective mass \( (m^*) \) via 

\[
\mu = \frac{e^2}{\pi m^* \tau},
\]

where \( e \) is the electron charge and \( \tau \) is the scattering time, we can compare our results with Ref. \(^{37} \). For transport along [100], and assuming that the two valleys in AlAs are equally occupied, when we scale our AlAs 2DES (effective transport mass \( m^* = \frac{2m_e m_h}{(m_e + m_h)} = 0.34 \)) to a GaAs 2DES \( (m^* = 0.067) \), we obtain a mobility of \( 3.8 \times 10^6 \, \text{cm}^2\text{V}^{-1}\text{s}^{-1} \). Although there are other subtle differences between the two systems such as barrier composition and valley occupancy, this rescaled mobility suggests that our AlAs 2DESs are approaching the quality of ultra-clean GaAs 2DESs.

Given the high mobility of our AlAs 2DESs, we also performed magneto-transport measurements at low temperatures to further attest their unprecedented quality. Figures 4(a) and (b) show the longitudinal resistance \( (R_{xx}) \) traces taken at \( T = 100 \, \text{mK} \) for our samples with 2DES densities of \( n = 1.0 \) and \( 0.60 \times 10^{11} \, \text{cm}^{-2} \), respectively. In comparison with previous results\(^{6,8,13,15,19} \), it is clear that our samples have significantly better quality as demonstrated from the observation of high-order FQHE features up to the Landau level filling of \( \nu = 8/17 \) at the density of \( n = 1.0 \times 10^{11} \, \text{cm}^{-2} \). Moreover, as shown in Fig. 4(b), in our lowest-density sample with \( n = 0.60 \times 10^{11} \, \text{cm}^{-2} \) we observe the delicate \( \nu = 1/5 \) FQHE, flanked by insulating phases which likely signal pinned Wigner crystal states\(^{38,39} \). Considering that the \( \nu = 1/5 \) state has only ever been reported in the cleanest of 2D carrier systems\(^{23,38-40} \), this spectacular result highlights the extraordinary quality of our AlAs 2DESs.

It is worthwhile to compare our results to those for other multivalley 2DESs such as Si or monolayer graphene. In a Si/SiGe field-effect transistor structure, it has been reported that Si 2DESs which occupy two out-of-plane valleys can achieve a mobility of \( 1.6 \times 10^6 \, \text{cm}^2\text{V}^{-1}\text{s}^{-1} \) at \( n \approx 2.6 \times 10^{11} \, \text{cm}^{-2} \) and \( T = 30 \, \text{mK} \). Since the transport effective mass for this case \( (m^* = m_\text{Si} = 0.19) \) is smaller than our AlAs 2DESs, we expect that our samples have higher quality. This conjecture is consistent with the fact that while the Si 2DES data in Ref.\(^4 \) show high-order FQHE minima up to \( \nu = 4/9 \) in the extreme quantum limit, our samples exhibit FQHE features up to \( \nu = 8/17 \) even at lower densities (Fig. 4(a)). As for monolayer graphene, a direct comparison

\[\text{FIG. 4. Magneto-transport data of our AlAs samples with 2DES densities of (a) } n = 1.0 \times 10^{11} \, \text{cm}^{-2} \ (w = 50 \, \text{nm}, \mu = 7.5 \times 10^5 \, \text{cm}^2\text{V}^{-1}\text{s}^{-1}) \text{ and (b) } n = 0.6 \times 10^{11} \, \text{cm}^{-2} \ (w = 50 \, \text{nm}, \mu = 2.5 \times 10^5 \, \text{cm}^2\text{V}^{-1}\text{s}^{-1}), \text{ measured at } T = 100 \, \text{mK}. \text{ The data shown in red in (b) was divided by } 40 \text{ to match the scale shown in the y-axis. The inset in (a) enlarges the region near } \nu = 1/2 \text{ to show the higher-order FQHE states more clearly.} \]
to our AlAs data is difficult because $m^*$ in graphene is ill
defined and mobility values are seldom reported. Moreover,
rather than magneto-transport traces at a fixed
density, often compressibility data, measured at a fixed
magnetic field and as a function of varying the density,
are presented. Nevertheless, a comparison of the high-order FQHE features observed in the two systems is
illuminating. The highest-order FQHE feature reported
for graphene is at $\nu = 6/13$ at a magnetic field of $\approx 28
T$ while we observe FQHE features at even larger
denominator fillings at magnetic fields which are a factor
of three smaller (Fig. 4(a)).

We emphasize that the high-quality samples presented
in our report were achieved by purifying our Al source
and subsequently increasing and optimizing the AlAs
QW. Our results put AlAs 2DESs in a new league with
quality comparable to the finest 2D carrier systems cur-
rently available. We add that, besides their extremely
high quality, our AlAs 2DESs differentiate from other
multivalley materials such as monolayer graphene in
that the valley occupancy can be externally tuned using
strain. This tunability is extremely useful in studies of
many-body interactions or other practical applications

Acknowledgments

We acknowledge support through the NSF (Grants DMR 1709076 and ECCS 1508925) for measurements, and the NSF (Grant MRSEC DMR 1420541), the Gordon and Betty Moore Foundation (Grant GBMF4420), and the Department of Energy Basic Energy Sciences (DEFG02-00-ER45841) for sample fabrication and char-
acterization.

1 T. Ando, A. B. Fowler, and F. Stern, Electronic properties
of two-dimensional systems, Rev. Mod. Phys. 54, 437
(1982).
2 K. Lai, W. Pan, D. C. Tsui, S. Lyon, M. Mühlberger, and
F. Schäffler, Two-flux composite fermion series of the fractional
3 S. Goswami, K. A. Slinker, M. Friessen, L. M. McGuire, J.
L. Truitt, C. Tahan, L. J. Klein, J. O. Chu, P. M. Mooney,
D. W. van der Weide, R. Joynt, S. N. Coppersmith, and M.
A. Eriksson, Controllable valley splitting in silicon quantum
4 T. M. Lu, W. Pan, D. C. Tsui. C.-H. Lee, and C. W.
6 T. S. Lay, J. J. Heremans, Y. W. Suen, M. B. Santos, K.
Hirakawa, M. Shayegan, and A. Zrenner, High-quality two-
7 E. P. De Poortere, E. Tutuc, S. J. Papadakis, and M.
8 E. P. De Poortere, Y. P. Shkolnikov, E. Tutuc, S. J. Pa-
padakis, M. Shayegan, E. Palm, and T. Murphy, Enhanced electron mobility and high order fractional quantum Hall states in AlAs quantum wells. Appl. Phys. Lett. 80, 1583
(2002).
9 O. Gunawan, Y. P. Shkolnikov, E. P. De Poortere, E. Tu-
tuc, and M. Shayegan, Ballistic electron transport in AlAs
10 Y. P. Shkolnikov, S. Misra, N. C. Bishop, E. P. De
Poortere, and M. Shayegan, Observation of quantum Hall
11 O. Gunawan, Y. P. Shkolnikov, K. Vakili, T. Gokmen, E.
12 O. Gunawan, B. Habib, E. P. De Poortere, and M.
Shayegan, Quantized conductance in an AlAs two-
dimensional electron system quantum point contact. Phys.
13 M. Shayegan, E. P. De Poortere, O. Gunawan, Y. P. Shkol-
14 N. C. Bishop, M. Padmanabhan, K. Vakili, Y. P. Shkol-
15 S. Dasgupta, C. Knaak, J. Moser, M. Bichler, S. F. Roth,
A. Fontcuberta i Morral, G. Abstreiter, and M. Grayson,
16 T. Gokmen, M. Padmanabhan, and M. Shayegan, Transfer-
ance of transport anisotropy to composite fermions. Nat.
Phys. 6, 621 (2010).
17 M. Padmanabhan, T. Gokmen, and M. Shayegan, Fer-
romagnetic fractional quantum Hall states in a valley-
18 A. V. Shchepetilnikov, Yu. A. Nefyodorov, I. V. Kukushkin,
19 Y. J. Chung, K. W. Baldwin, K. W. West, D. Kam-
burov, M. Shayegan, and L. N. Pfeiffer, Design rules for
modulation-doped AlAs quantum wells, Phys. Rev. Mate-
rials 1, 021002(R) (2017).
21 K. S. Novoselov, Z. Jiang, Y. Zhang, S. V. Morozov, H. L.
Stormer, U. Zeitler, J. C. Maan, G. S. Boebinger, P. Kim,
and A. K. Geim, Room-Temperature Quantum Hall Effect in
22 C. R. Dean, A. F. Young, P. Cadden-Zimansky, L. Wang,


35 W. Pan, H. L. Stormer, D. C. Tsui, L. N. Pfeiffer, K. W. Baldwin, and K. W. West, Transition from an electron solid to the sequence of fractional quantum Hall states at very low Landau level filling factor, Phys. Rev. Lett. 88, 176802 (2002).

36 H. W. Jiang, R. L. Willett, H. L. Stormer, D. C. Tsui, L. N. Pfeiffer, and K. W. West, Quantum liquid versus electron solid around $\nu = \frac{1}{5}$ Landau-level filling, Phys. Rev. Lett. 65, 633 (1990).
