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Photocurrent induced by a bicircular light drive in centrosymmetric systems

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A bicircular light (BCL) consists of left and right circularly polarized lights with different frequencies, and draws a rose-like pattern with a rotational symmetry determined by the ratio of the two frequencies. Here we show that an application of a BCL to centrosymmetric systems allows a photocurrent generation through introduction of an effective polarity to the system. We derive formulas for the BCL-induced photocurrent from a standard perturbation theory, which is then applied to a simple 1D model and 3D Dirac/Weyl semimetals. A nonperturbative effect with strong light intensity is also discussed with the Floquet technique.

Introduction. — Symmetry plays a central role in studying quantum phases of matter and governs their responses to external perturbations [1]. For example, time-reversal symmetry breaking allows quantum Hall effects with quantized Hall conductivity [2]. Inversion symmetry breaking is necessary for emergence of electric polarization [3] and bulk photovoltaic effect [4]. Symmetry of the electronic system in solids is usually determined by the crystal structure, spontaneous symmetry breaking in the ground state such as magnetic order, and an application of the external field.

Dynamical control of quantum systems by periodic driving has attracted keen attention and is recently called “Floquet engineering” [5–7]. Periodic driving has an advantage that it can control the symmetry and topology of quantum materials without changing their chemical composition and sometimes offers novel quantum phases which have no counterpart in the equilibrium [8–12]. In particular, an application of the circularly polarized light (CPL) can introduce an effective time-reversal symmetry (TRS) breaking to the system, which is exemplified by the emergence of an anomalous Hall insulating phase in graphene under CPL [13, 14].

Employing a two-frequency drive instead of a monochromatic drive has recently been attracting an interest as a method to enlarge the capabilities of Floquet engineering [15–21]. In particular, the spatial inversion symmetry and rotational symmetry of the system can be controlled by applying so called bicircular light (BCL). The BCL consists of two CPL waves with different frequencies and opposite chirality [22], and is expressed in the form of a vector potential as

$$A_x(t) + iA_y(t) = A_0[e^{in_1\Omega t} + e^{-in_2\Omega t + i\theta}], \quad (1)$$

where A_0 is the amplitude and n_1, n_2 are the integers representing the frequencies of the two CPL waves. The BCL wave draws the rose-like pattern with $(n_1 + n_2)/\text{gcd}(n_1, n_2)$ -fold rotational symmetry as shown in Fig. 1(a), which implies that applying BCL can reduce the system’s rotational symmetry from that of the crystal structure. The parameter θ is the phase difference between two CPL waves and serves as a knob to rotate the rose pattern drawn by the BCL wave [see Fig. 1(b)]. Recently, several studies on the control of symmetry and topology using a BCL drive have been reported, including charge dynamics in graphene [23] and Weyl point generation in Dirac semimetals [24]. Moreover, it was also shown that the BCL driving can introduce polarity in centrosymmetric systems and

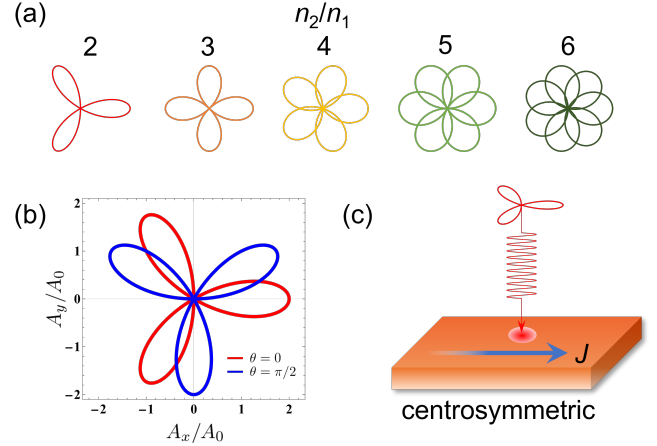


FIG. 1. Schematics of bicircular light (BCL) that consists of circularly polarized light (CPL) with different frequencies. (a) The electric field of the BCL draws the rose-like pattern with a rotational symmetry determined by the ratio of the two frequencies. The rose patterns with $n_1 = 1, n_2 = n$ in Eq. (1) are plotted. (b) The relative phase between two CPL waves leads to rotation of the rose pattern of the BCL. (c) Application of BCL to centrosymmetric systems can induce photocurrent with introduction of an effective polarity.

induce electric polarization due to inversion symmetry breaking originating from incompatible rotation symmetry of the BCL [25].

BCL’s ability to control the inversion and rotation symmetry of the materials is also expected to apply to optoelectronic properties of materials. In particular, bulk photovoltaic effect (BPVE) [4] is an important nonlinear optical phenomenon in a system lacking inversion symmetry, where the light irradiation induces a dc photocurrent [26–28]. There are several mechanisms for BPVE, including the shift current, injection current, and ballistic current [29–31]. Among them, the injection current is a photocurrent proportional to relaxation time of photocarriers and plays a dominant role in the circular photogalvanic effect in which circularly polarized light induces chirality dependent photocurrent [31]. Indeed, application of two frequency drive has been studied as a method to induce injection current [32] and injection spin current [33] based on a perturbation theory, showing a coherent control of the current direction with the relative phase of the two frequency lights.

Such coherent control of photocurrent was demonstrated in Bi_2Se_3 in a collinear polarization scheme [34]. More recently, photocurrent induced by co-rotating CPL in the strong intensity regime has been studied with an ab initio calculation [35].

In this paper, we study photocurrent induced by the BCL, on the basis of Floquet engineering of inversion and rotational symmetries of the system. We demonstrate that irradiating the C_3 -symmetric BCL creates photocurrent in inversion symmetric systems such as C_2 or C_4 symmetric systems [Fig. 1(c)], where photocurrent cannot be induced by conventional monochromatic light irradiation. Specifically, using the perturbation theory and the Floquet theory, we derive a formula for the BCL-induced photocurrent which is proportional to the relaxation time τ (i.e., injection current) in systems with spatial symmetries. We apply the obtained formula to a 1D system with inversion symmetry and a 3D Dirac/Weyl semimetal, where the latter exhibits a large photocurrent due to its gapless nature. The direction of the photocurrent can be controlled by the pattern of the BCL light through the phase θ . Also, nonperturbative effects on the photocurrent with the light intensity are discussed with the Floquet-Keldysh formalism.

BCL-induced photocurrent. — Let us study photocurrent induced by a BCL drive in centrosymmetric systems based on a standard perturbation theory. Specifically, we adopt a diagrammatic approach [36] to calculate the nonlinear optical conductivity for the BCL-induced photocurrent. Under the vector potential $\mathbf{A}(t)$ of the BCL, the dynamics of the electron obeys the time-dependent Schrödinger equation with the time-dependent Hamiltonian $H(t)$ with the minimal coupling,

$$H(t) = H_0(\mathbf{k} + e\mathbf{A}(t)/\hbar), \quad (2)$$

where $H_0(\mathbf{k})$ is the Bloch Hamiltonian in the equilibrium. In the following, we focus on the C_3 -symmetric BCL represented by $\mathbf{A}(t) \equiv (A_x(t), A_y(t))$ with $(n_1, n_2) = (1, 2)$ in Eq. (1). The electric field is given by $\mathbf{E}(t) = -\partial\mathbf{A}(t)/\partial t \equiv \text{Re}[\mathbf{E}^{(\Omega)} e^{i\Omega t} + \mathbf{E}^{(-2\Omega)} e^{-2i\Omega t}]$, with the complex electric fields $\mathbf{E}^{(\Omega)}$ and $\mathbf{E}^{(-2\Omega)}$. BCL driving breaks both time-reversal symmetry and spatial symmetries including inversion, which leads to a photocurrent generation even in systems with spatial inversion or rotational symmetry. Specifically, the BCL-induced photocurrent is a third-order response with respect to the electric field at the lowest order as with the combination of the photon energies, $\Omega + \Omega - 2\Omega = 0$. The dominant contribution to the photocurrent is the so called injection current contribution which is proportional to the relaxation time $\tau \sim \hbar/\gamma_0$. In the diagrammatic approach, such contribution is included in the box diagram as detailed in Appendix. By keeping only the terms $\propto 1/\gamma_0$ in the diagrammatic computation, we obtain the expression for the BCL-induced photocurrent as

$$J_{\text{BCL}}^{\mu\alpha\beta\gamma}(\Omega) = \text{Re} \left[\sigma_{\text{BCL}}^{\mu\alpha\beta\gamma} E_\alpha^{(\Omega)} E_\beta^{(\Omega)} E_\gamma^{(-2\Omega)} \right] \quad (3)$$

with

$$\begin{aligned} \sigma_{\text{BCL}}^{\mu\alpha\beta\gamma} &\simeq \frac{i\pi e^4}{3\hbar^4 \Omega^3 \gamma_0} \sum_{\{\alpha, \beta, \gamma\}} \int [d\mathbf{k}] f_{ab}(v_{aa}^\mu - v_{bb}^\mu) \\ &\times \left[\left(\frac{v_{ac}^\alpha v_{cb}^\beta v_{ba}^\gamma}{\epsilon_{ac} + 2\hbar\Omega} + \frac{v_{ac}^\alpha v_{cb}^\beta v_{ba}^\gamma}{\epsilon_{ac} - \hbar\Omega} \right) \delta(\epsilon_{ab} + \hbar\Omega) \right. \\ &\left. + \frac{v_{ca}^\alpha v_{bc}^\beta v_{ab}^\gamma}{\epsilon_{ac} + \hbar\Omega} \delta(\epsilon_{ab} + 2\hbar\Omega) \right], \quad (4) \end{aligned}$$

where we defined $[d\mathbf{k}] \equiv d\mathbf{k}/(2\pi)^d$ with the spatial dimension d , $\epsilon_{ab} = \epsilon_a - \epsilon_b$ and $f_{ab} = f(\epsilon_a) - f(\epsilon_b)$ represent the difference of band energies and the Fermi distribution functions, respectively, and $v_{ab}^\alpha = \langle a | \frac{\partial H_0}{\partial k_\alpha} | b \rangle$ represents the matrix element of the velocity operator. Here we defined $\sigma_{\text{BCL}}^{\mu\alpha\beta\gamma} \equiv \sum_{\{\alpha, \beta, \gamma\}} \sigma^{\mu\alpha\beta\gamma}(0; \Omega, \Omega, -2\Omega)$ by adding up the nonlinear conductivities $\sigma^{\mu\alpha\beta\gamma}$ in all possible permutations of $\{\alpha, \beta, \gamma\}$, e.g. $\sigma_{\text{BCL}}^{xyy} = \sigma^{xyy} + \sigma^{yyx} + \sigma^{yxy}$. This simplifies the expression due to some cancellations between different tensor elements $\sigma^{\mu\alpha\beta\gamma}$. We note that the above expression is only valid when the photocurrent is generated by the C_3 -symmetric BCL driving and $E_\alpha^{(\Omega)} E_\beta^{(\Omega)} E_\gamma^{(-2\Omega)}$ remains the same value regardless of the permutations of the spatial indices $\{\alpha, \beta, \gamma\}$ because $\mathbf{E}^{(\Omega)}$ and $\mathbf{E}^{(-2\Omega)}$ are proportional to each other.

In the two band limit ($n = 1, 2$), the expressions for $J^x(\Omega)$ and $J^y(\Omega)$ reduce to

$$\begin{aligned} J_{\text{BCL}}^\alpha(\Omega) &= \frac{2\pi e^4 A_0^3}{3\hbar^5 \Omega \gamma_0} C \int [d\mathbf{k}] f_{12} \Delta v^\alpha \\ &\times \left[(|v_{12}^x|^2 - |v_{12}^y|^2) \Delta v^\alpha + 2s \text{Re}[v_{12}^x v_{21}^y] \Delta v^\beta \right] \\ &\times \left(-\frac{1}{2} \delta(\epsilon_{12} + \hbar\Omega) + \delta(\epsilon_{12} + 2\hbar\Omega) \right), \quad (5) \end{aligned}$$

with $(\alpha, \beta, C, s) = (x, y, \cos \theta, -1)$ for J^x and $(\alpha, \beta, C, s) = (y, x, \sin \theta, 1)$ for J^y . Here, $\Delta v^\alpha = (v_{11}^\alpha - v_{22}^\alpha)$ is the group velocity difference for the two bands. In particular, the above expression clearly indicates that one can control the direction of the photocurrent by tuning the phase difference θ since $J_{\text{BCL}}^x \propto \cos \theta$ and $J_{\text{BCL}}^y \propto \sin \theta$.

Applications to 1D SSH model and 3D Dirac/Weyl semimetals. — To demonstrate the BCL-induced photocurrent, we first apply the above formula to the 1D Su-Schrieffer-Heeger (SSH) model, which we adopt as a simple 1D model for systems with inversion symmetry and is described by the Hamiltonian (we set lattice constant to be $a = 2$),

$$H(k) = 2t_0 \cos k \sigma_x - 2\delta t_0 \sin k \sigma_y, \quad (6)$$

where $t_0 \pm \delta t_0$ is the amplitude of the nearest neighbor hopping with bond alternation and σ_i ($i = x, y, z$) are Pauli matrices [Fig. 2(a)]. The energy dispersion is given by $E(k) = \pm \sqrt{4t_0^2 \cos^2 k + 4\delta t_0^2 \sin^2 k}$ as plotted in Fig. 2(b). We note that $H(k)$ has an inversion symmetry, i.e., $\sigma_x H(k) \sigma_x = H(-k)$ which prohibits the conventional second order contribution to

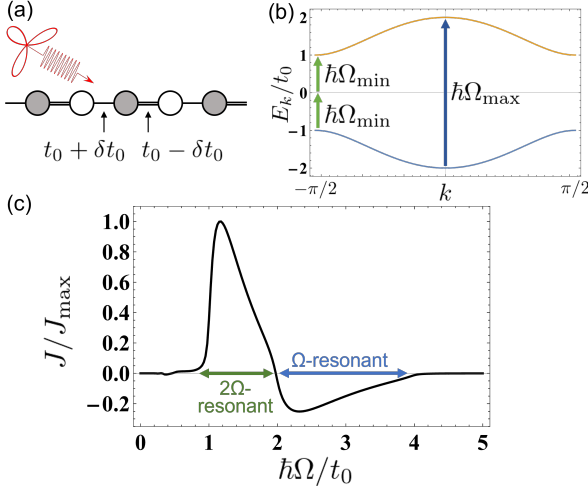


FIG. 2. BCL-induced photocurrent in 1D SSH model. (a) A schematic picture of SSH model driven by 3-fold BCL. (b) The band dispersion of the SSH model. The minimum/maximum input frequency $\Omega_{\min/\max}$ is depicted with the green/blue arrow. (c) Photocurrent dependence on the input frequency. (We set $\delta t_0 = 0.5t_0$).

the photocurrent and makes the BCL-induced photocurrent a dominant contribution.

Using the formula for two band systems in Eq. (5), we obtain the photocurrent for the SSH model under the BCL as

$$J_{\text{BCL}}(\Omega) = \frac{2\pi e^4 A_0^3}{3\hbar^5 \Omega \gamma_0} \cos \theta \int [dk] |v_{12}|^2 (v_{11} - v_{22})^2 \quad (7)$$

$$\times \left(-\frac{1}{2} \delta(\epsilon_{12} + \hbar\Omega) + \delta(\epsilon_{12} + 2\hbar\Omega) \right).$$

This shows that the photocurrent induced by BCL driving involves two interband resonance terms: the Ω -resonant term $\propto \delta(\epsilon_{12} + \hbar\Omega)$ and the 2Ω -resonant term $\propto \delta(\epsilon_{12} + 2\hbar\Omega)$ with a sign change. Figure 2(c) shows the photocurrent induced by BCL driving in the 1D SSH model when the Fermi energy lies within the energy gap. For $|\delta t_0| < |t_0|$, the photo-excitation by BCL driving occurs in the region $2|\delta t_0| \leq \hbar\Omega \leq 2|t_0|$ due to the 2Ω -resonant term, and $4|\delta t_0| \leq \hbar\Omega \leq 4|t_0|$ due to the Ω -resonant term. The directions of the photocurrent are opposite for the two regions reflecting the relative sign in the two delta functions in Eq. (7). The minimum and maximum frequencies for photocurrent generation are given by $\hbar\Omega_{\min} = 2|\delta t_0|$ and $\hbar\Omega_{\max} = 4|t_0|$. Also, we find that the intensity of the first peak is four times larger than the second one due to the $1/\Omega$ term in Eq. (7).

Next we study the BCL-induced photocurrent in 3D Dirac/Weyl semimetals which host gapless linear dispersions. For simplicity, we consider a model of a single Weyl fermion with anisotropic velocity along the z direction,

$$H = \hbar v_F (k_x \sigma_x + k_y \sigma_y + \eta k_z \sigma_z). \quad (8)$$

Here v_F is a Fermi velocity and η denotes the anisotropy along the z direction. This model has two-fold rotational symmetry

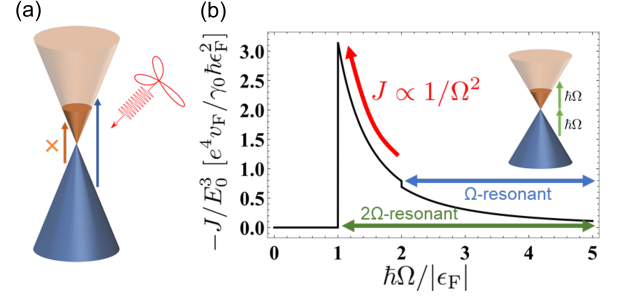


FIG. 3. BCL-induced photocurrent in Dirac/Weyl semimetals. (a) A schematic picture of a single Dirac cone driven by 3-fold BCL. Pauli blocking prohibits the photo-excitation with the Ω (2Ω) resonant process for frequencies $\hbar\Omega < 2|\epsilon_F|$ ($2\hbar\Omega < 2|\epsilon_F|$) with the chemical potential ϵ_F . The orange line indicates Pauli blocking for the Ω -resonant process. (b) Photocurrent dependence on the frequency. We set $\eta = 0.8$. The green (blue) arrow represents the frequency range where the Ω (2Ω) resonant process is allowed.

along the y direction C_2^y . Thus, irradiation of C_3 -symmetric BCL perpendicular to the (010) surface induces the photocurrent in the x - z plane. From the two-band formula (5), we obtain

$$\begin{aligned} \begin{pmatrix} J_{\text{BCL}}^x(\Omega) \\ J_{\text{BCL}}^z(\Omega) \end{pmatrix} &= \frac{4e^4 |v_F| E_0^3}{45\pi\gamma_0 \hbar^2 \Omega^2} \frac{1 - \eta^2}{|\eta|} \\ &\times \left[-\Theta(\hbar\Omega - |\epsilon_F|) + \frac{1}{8} \Theta(\hbar\Omega - 2|\epsilon_F|) \right] \begin{pmatrix} \cos \theta \\ \eta^2 \sin \theta \end{pmatrix}, \end{aligned} \quad (9)$$

where $\Theta(x)$ is the step function. Note that when the anisotropy is absent with $\eta^2 = 1$, the system has continuous rotational symmetry. In this case, the overall driven system still possesses the C_3^y symmetry of the BCL and the photocurrent vanishes. For a finite chemical potential, the photo-absorption occurs only in the range $\hbar\Omega > |\epsilon_F|$ due to the Pauli blocking. The photocurrent behaves as $J \propto 1/\Omega^2$ with the input light frequency Ω . Since Weyl/Dirac semimetals are gapless, this suggests an enhancement of photocurrent by applying the BCL wave in the low frequency region, as shown in Fig. 3. Also the direction of the photocurrent can be controlled with the phase θ of BCL, where (J^x, J^z) draws an ellipse by changing the relative phase θ with the ratio of the minor and major axes being η^2 . Since this photocurrent is independent of the sign of the Weyl charge (i.e. $\text{sgn}(\eta)$), the photocurrent simply doubles in the Dirac semimetals.

Nonperturbative effects with the Floquet approach. — Next let us study nonperturbative effects for the BCL-induced photocurrent by using an approach based on the Floquet theory. The Floquet theory describes periodically driven systems by an effective band theory with a Floquet Hamiltonian. The Floquet approach for nonlinear optical responses treats the optical resonance as a nonequilibrium steady state realized at an anticrossing of the Floquet bands, and is able to incorporate nonperturbative effects with respect to the electric field that

cannot be captured by diagrammatic approach [37].

We study the nonperturbative effect focusing on the SSH model driven by the C_3 -symmetric BCL. The nonequilibrium steady state given by the time-dependent Hamiltonian $H(t) = H_0(k + eA(t)/\hbar)$ with the vector potential of the C_3 -symmetric BCL can be described by the following block of the Floquet Hamiltonian

$$\mathcal{H}_F = \begin{pmatrix} H_0 + 2\hbar\Omega & A'v & A'e^{-i\theta}v \\ A'v & H_0 + \hbar\Omega & A'v \\ A'e^{i\theta}v & A'v & H_0 \end{pmatrix}, \quad (10)$$

with $A' = eA_0/2\hbar$. Here, we consider Floquet bands made of photon-dressed states with 0, 1, and 2 photons, since the C_3 -symmetric BCL includes Ω and 2Ω frequency components. Photocurrent in the driven system can be obtained by computing $ie\text{Tr}(v_F G^<)/\hbar$ as detailed in Appendix. The lesser Green's function $G^<$ encodes information of occupation of Floquet bands, where the nonequilibrium distribution function of electrons is stabilized by attaching an effective particle bath to the system. The current operator under the driving v_F is defined as $v_F = \partial\mathcal{H}_F/\partial k$ when \mathcal{H}_F is represented with k -independent basis.

The photocurrent in the driven systems includes several contributions depending on which Floquet bands are involved in photoexcitation. One typical contribution for the photocurrent from the 2Ω -resonant process is obtained by focusing on three Floquet bands that consist of the valence band with one photon, the valence band with two photons, and the conduction band, which reads

$$J = \frac{2\pi e A'^3}{\hbar^2 \Omega} \cos\theta \int [dk] \frac{|v_{12}|^2 v_{11}(v_{11} - v_{22})}{\gamma'} \delta(\epsilon_{12} + 2\hbar\Omega), \quad (11)$$

$$\gamma' = \sqrt{\left| A'e^{-i\theta}v_{12} + \frac{A'^2}{\hbar\Omega} v_{11}v_{12} \right|^2 + \gamma_0^2}, \quad (12)$$

where γ' is the effective relaxation rate in the presence of the BCL with γ_0 being the relaxation rate from the fermionic heat bath (For details of the derivation, see Appendix). The factor A'^3/γ' gives a nonperturbative effect with respect to E . This leads to a saturation of photocurrent for large intensity with a crossover from $J \propto A_0^3/\gamma_0$ to $J \propto A_0^n$. The exponent n in the high intensity region is expected to depend on which term in $|\dots|^2$ becomes dominant in Eq. (12). While we have discussed the contribution from a specific three-band model in Eq. (11), contributions from other combinations of Floquet bands also show similar crossover behaviors. In addition, by adding up all the contributions and focusing on the leading order in E , we can reproduce the formula for the BCL-induced photocurrent that was derived from the diagrammatic approach (For details of the full Floquet formulation, see Appendix).

Application of the above Floquet formulation to the 1D SSH model shows a nonperturbative correction to the photocurrent (Fig. 4). Figure 4(a) shows the amplitude dependence of the photocurrent with several relaxation rate values

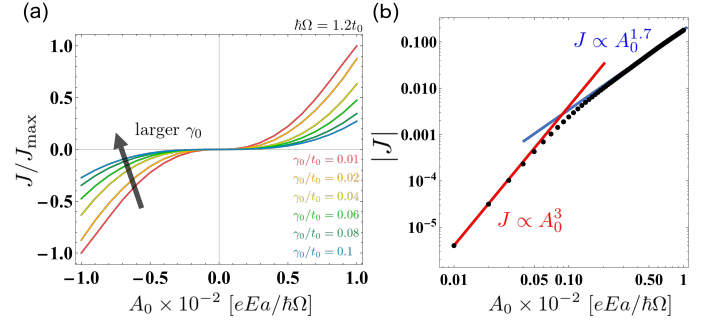


FIG. 4. Nonperturbative effect on the BCL-induced photocurrent. (a) The amplitude dependence of the photocurrent with several relaxation rate values γ_0 . (b) The amplitude dependence of the photocurrent at $\gamma_0/t_0 = 0.02$ with fitting curves of $J \propto A_0^3$ (red line) and $J \propto A_0^{1.7}$ (blue line). We set $\hbar\Omega/t_0 = 1.2$.

γ_0 . At small γ_0 , the crossover of photocurrent from $J \propto A_0^3/\gamma_0$ to $J \propto A_0^{1.7}$ occurs as shown in Fig. 4(b). The exponent 1.7 in the high intensity region falls in between 1 and 2 as expected from Eq. (12). We note that the present Floquet approach can be also applied to 2D/3D systems, where the BCL-induced photocurrent is obtained in a similar way and shows a similar saturation effect.

Discussions. — The BCL-induced photocurrent is a third order response with respect to the electric field, $J \propto A^3$, as seen in Eq. (5). While the presence of inversion symmetry forbids the second order responses such as the BPVE with monochromatic light, the third order responses are not forbidden in centrosymmetric systems generally. In this regard, the appearance of the BCL-induced photocurrent is not contradicting the presence of inversion symmetry in the unperturbed system. Our finding is that application of the BCL introduces a polarity to the centrosymmetric system and the phase of the two frequency lights can control the direction of the polarity, and hence, the photocurrent. Such controllability of BCL paves a novel venue for searching optoelectronic functionality.

Since Dirac/Weyl semimetals show a diverging photocurrent at low frequencies, those topological semimetals are a promising platform to observe the BCL-induced photocurrent. In particular, a tetragonal 3D Dirac semimetal Cd_3As_2 [38, 39] and a hexagonal 3D Dirac semimetal Na_3Bi [40] will be good candidate materials. Both materials host 3D Dirac fermions with anisotropic Fermi velocities along the z direction and the anisotropies are reported to be $\eta \sim 0.25$. In these cases, the magnitude of the BCL-induced photocurrent density is estimated as $J \sim 10^6$ A/m² with realistic parameters $\hbar\Omega = 0.1$ eV, $E_0 = 1$ kV/cm, $v_F = 10^6$ m/s and $\tau = \hbar/\gamma_0 = 1$ ps. Considering a sample with the width $L = 100$ μm and penetration depth $\delta = 1$ μm , the magnitude of the photocurrent is estimated as $I = JL\delta \sim 100$ μA , which gives a large photocurrent in the mid-infrared region. Such enhancement of J originates from the gapless nature of Dirac semimetals. Finally,

the field strength exhibiting the crossover due to the nonperturbative effect can be estimated as follows. From Fig. 4(b), we can estimate the value at which the photocurrent begins to deviate from $J \propto A_0^3$ as $A_0 \sim 0.01 [eE_0a/\hbar\Omega]$ corresponding to $E_0 = 0.4$ MV/cm with a typical lattice constant $a = 3 \text{ \AA}$ and a photon energy $\hbar\Omega = 1.2$ eV.

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