

CHCRUS

This is the accepted manuscript made available via CHORUS. The article has been published as:

Generic Nonadditivity of Quantum Capacity in Simple Channels

Felix Leditzky, Debbie Leung, Vikesh Siddhu, Graeme Smith, and John A. Smolin Phys. Rev. Lett. **130**, 200801 — Published 18 May 2023 DOI: 10.1103/PhysRevLett.130.200801

Generic nonadditivity of quantum capacity in simple channels

Felix Leditzky*

Department of Mathematics and IQUIST, University of Illinois at Urbana-Champaign, Urbana, IL 61801, USA Institute for Quantum Computing, and Department of Combinatorics & Optimization, University of Waterloo, Waterloo, ON N2L 3G1, Canada and

Perimeter Institute for Theoretical Physics, Waterloo, ON N2L 2Y5, Canada

Debbie Leung[†]

Institute for Quantum Computing, and Department of Combinatorics & Optimization, University of Waterloo, Waterloo, ON N2L 3G1, Canada and Perimeter Institute for Theoretical Physics, Waterloo, ON N2L 2Y5, Canada

Vikesh Siddhu[‡]

JILA, University of Colorado/NIST, 440 UCB, Boulder, CO 80309, USA and Department of Physics and Quantum Computing Group, Carnegie Mellon University, Pittsburgh, PA, 15213, USA

Graeme Smith[§]

JILA, University of Colorado/NIST, 440 UCB, Boulder, CO 80309, USA and Department of Physics and Center for Theory of Quantum Matter, University of Colorado, Boulder, Colorado 80309, USA

John A. Smolin[¶]

IBM Quantum, IBM T.J. Watson Research Center, Yorktown Heights, New York 10598, USA

Determining capacities of quantum channels is a fundamental question in quantum information theory. Despite having rigorous coding theorems quantifying the flow of information across quantum channels, their capacities are poorly understood due to super-additivity effects. Studying these phenomena is important for deepening our understanding of quantum information, yet simple and clean examples of super-additive channels are scarce. Here we study a family of channels called platypus channels. Its simplest member, a qutrit channel, is shown to display super-additivity of coherent information when used jointly with a variety of qubit channels. Higher-dimensional family members display super-additivity of quantum capacity together with an erasure channel. Subject to the "spin-alignment conjecture" introduced in the companion paper [1], our results on superadditivity of quantum capacity extend to lower-dimensional channels as well as larger parameter ranges. In particular, super-additivity occurs between two weakly additive channels each with large capacity on their own, in stark contrast to previous results. Remarkably, a single, novel transmission strategy achieves super-additivity in all examples. Our results show that super-additivity is much more prevalent than previously thought. It can occur across a wide variety of channels, even when both participating channels have large quantum capacity.

Introduction. A central aim of quantum information theory is to find out how much information a noisy quantum channel can transmit reliably—to find a quantum channel's capacity [2, 3]. In fact, a quantum channel has many capacities, depending on what sorts of information are to be transmitted and what additional resources are on hand. The primary capacities of a quantum channel are the classical [4–6], private [7–9], and quantum capacities [9–14]. This paper focuses on *unassisted* capacities, when no additional resources (such as free entanglement) are available.

The theory of quantum capacities is far richer and more complex than the corresponding classical theory [15, 16]. This richness includes many synergies and surprises: super-additivity of coherent information [17–31], private information [32–34], and Holevo information [35], superactivation of quantum capacity [36–40], and private communication at a rate above the quantum capacity [41, 42]. Over the past two decades, there have been numerous exciting discoveries about these phenomena, but they remain mysterious. As a result, we don't have a theory of how to best communicate with quantum channels, and can't answer many of the sorts of questions classical information theory does. For example, in quantum information theory random codes can be suboptimal, and we can only evaluate capacities in special cases [43–51]. Our understanding of error correction in the quantum setting is thus incomplete, whether the data is classical, private, or quantum.

Any quantum channel \mathcal{B} can be expressed as an isometry $J: A \mapsto BE$ followed by a partial trace over the environment $E: \mathcal{B}(\rho) = \operatorname{Tr}_E(J\rho J^{\dagger})$. Physically, it means that quantum noise arises from sharing the unclonable quantum data with the environment which is subsequently

lost (i.e., traced out). Therefore, to understand quantum transmission we must also consider the environment's view of the channel, known as the complementary channel: $\mathcal{B}^c(\rho) = \operatorname{Tr}_B(J\rho J^{\dagger})$. Together, the channel and its complement allow us to define the coherent information of a channel \mathcal{B} on an input state ρ as $\Delta(\mathcal{B}, \rho) :=$ $S(\mathcal{B}(\rho)) - S(\mathcal{B}^c(\rho))$, where $S(\sigma) = -\operatorname{tr}(\sigma \log \sigma)$ is the von Neumann entropy of σ . Mathematically, the coherent information signifies how much more information about the input is available in system B than in system E. Operationally, a random coding argument shows that indeed, for any input state ρ , the quantity $\Delta(\mathcal{B}, \rho)$ is an achievable rate for quantum transmission [9, 11–14]. Maximizing over all inputs ρ gives the channel coherent information $\mathcal{Q}^{(1)}(\mathcal{B})$.

If the channel coherent information is additive, that is, $\mathcal{Q}^{(1)}(\mathcal{B}_1 \otimes \mathcal{B}_2) = \mathcal{Q}^{(1)}(\mathcal{B}_1) + \mathcal{Q}^{(1)}(\mathcal{B}_2)$ for any two channels \mathcal{B}_1 and \mathcal{B}_2 , then the theory of quantum capacity will resemble its classical analogue. However, a rich theory of quantum capacity originates from two distinct notions of nonadditivity: violations of *weak additivity* and violations of *strong additivity*.

We first discuss violations of *weak additivity*. The quantum capacity can be expressed as [9, 11-14, 52]

$$\mathcal{Q}(\mathcal{B}) = \lim_{n \to \infty} \frac{1}{n} \mathcal{Q}^{(1)}(\mathcal{B}^{\otimes n}), \tag{1}$$

where $\mathcal{B}^{\otimes n}$ is the *n*-fold tensor product of \mathcal{B} . If $\mathcal{Q}^{(1)}(\mathcal{B}^{\otimes n}) = n\mathcal{Q}^{(1)}(\mathcal{B})$ for all $n \in \mathbb{N}$, we say that \mathcal{B} has weakly additive coherent information, in which case $\mathcal{Q}(\mathcal{B}) = \mathcal{Q}^{(1)}(\mathcal{B})$. However, there are channels \mathcal{B} for which $\mathcal{Q}^{(1)}(\mathcal{B}^{\otimes n}) > n\mathcal{Q}^{(1)}(\mathcal{B})$ holds for some n [17–21, 23–26, 28–30]. Thus, the $n \to \infty$ limit is in general required in the above *regularized* expression for the quantum capacity. When a channel does *not* have weakly additive coherent information, special quantum codes can outperform the classical-inspired random coding strategy achieved by $\mathcal{Q}^{(1)}$. This unbounded optimization also means that we can rarely determine the quantum capacity of a quantum channel.

The second notion of nonadditivity, violations of strong additivity, can be phrased as follows. For two channels \mathcal{B}_1 and \mathcal{B}_2 , we have the general inequality

$$\mathcal{Q}^{(1)}(\mathcal{B}_1 \otimes \mathcal{B}_2) \ge \mathcal{Q}^{(1)}(\mathcal{B}_1) + \mathcal{Q}^{(1)}(\mathcal{B}_2).$$
 (2)

Letting \mathcal{B}_1 be a fixed channel, if equality in (2) holds for all channels \mathcal{B}_2 , we say that \mathcal{B}_1 has strongly additive coherent information. In this case, the quantum capacity satisfies $\mathcal{Q}(\mathcal{B}_1 \otimes \mathcal{B}_2) = \mathcal{Q}(\mathcal{B}_1) + \mathcal{Q}(\mathcal{B}_2)$. Note that strong additivity implies weak additivity. Violations of strong additivity imply that two different channels can have strictly superadditive coherent information, or even capacity. As a result, not only do we not know the capacity of most quantum channels, we also do not know when two channels used jointly can have capacity exceeding the sum of the individual channels. A more general notion of a channel's capability to transmit quantum data thus depends on the details of other resources available [36, 53, 54], and does not necessarily coincide with its capacity, a drastic deviation from the classical theory.

Similar to the quantum capacity, a channel's private and classical capacities can be defined as the highest rates of faithful transmission of private and classical information, respectively; expressions analogous to (1) are known [5, 6, 8, 9]. Both capacities require regularized expressions [35, 55], and the private capacity can be shown to be non-additive for some channels [32, 56].

For classical capacity, the underlying information quantity is the Holevo information, which was conjectured to be additive for a long time. In fact, strong additivity was proved for certain channels such as entanglement-breaking [44], depolarizing, [46], Hadamard [48, 49], and unital qubit channels [45]. As a result, for these channels the classical capacity completely characterizes their ability to faithfully send classical information. Furthermore, the only known proofs of violation of weak additivity of the Holevo information [35, 57, 58] are based on random channel constructions and no explicit example has been found yet [3, 35]. It is still open if the classical capacity can be non-additive. It is furthermore unclear if additivity is more prevalent for classical data transmission, or if proofs are simply harder to come by since the Holevo information involves a more complex optimization compared to coherent information.

The situation for quantum information transmission is quite different. There is a plethora of concrete channels with super-additive coherent information [17–20, 23–30]. The only known class of channels with strongly additive coherent information are the entanglement-breaking channels, but they are somewhat trivial – their quantum capacity is zero. Degradable channels [47, 59] have weakly additive coherent information, and two degradable channels have additive coherent information, yet surprisingly degradability does not imply strong additivity for a channel. Even weakly additive channels like some (anti-)degradable [47] and PPT channels [60] may have super-additive quantum capacity in combination with suitable channels [36, 39, 56]. A common feature in these violations of strong additivity is that one or both of the channels are manifestly noisy, that is, with vanishing or small quantum capacity. Most of these proofs come from a qualitative inability for the channels to transmit quantum data; in addition, nearly noiseless channels are indeed limited in their non-additivity [61].

In this paper, we provide qualitatively new examples of super-additivity of quantum capacity. The phenomenon seems prevalent, does not involve channels engineered to exhibit the effect, and can involve pairs of channels with large quantum capacity. Our findings show an even more complex landscape of non-additivity than hitherto appreciated. Yet, our channels and the proofs are simple, and thus we hope they improve our understanding of the subject.

Main results. Our first main result is that a simple qutrit 'platypus channel', defined via eq. (3) below, violates strong additivity of coherent information when used together with a variety of simple and well-known qubit channels such as the erasure, amplitude damping, depolarizing, and even randomly constructed qubit channels. Even more remarkably, the same simple code achieves non-additivity in all cases. Our findings strongly suggest that super-additivity is much more prevalent and generic than previously thought.

Second, as proved in our companion paper [1], platypus channels have weakly additive coherent information if the spin alignment conjecture introduced in [1] holds. As the erasure channel and the amplitude damping channel also have weakly additive coherent information, we have an example of non-additivity of quantum capacity between two weakly additive channels. The only known prior example revolves around superactivation [36], and requires substantial fine-tuning to demonstrate the effect. In contrast, our channel requires no such tuning, and both channels exhibit non-additivity over a wide range of parameters, including regimes where both channels have substantial capacity themselves.

Third, we show that higher-dimensional platypus channels have similar non-additive behavior. In particular, when used jointly with a higher-dimensional erasure channel, it exhibits super-additivity of quantum capacity unconditionally, i.e., without relying on the spin alignment conjecture. The underlying mechanism at work achieving all of these non-additivity results is qualitatively different from previous results in [36, 39, 56], as explained in the Discussion section.

In the following paragraphs we discuss our main results; see the Supplementary information [62] for additional details. MATLAB and Python code used to obtain the numerical results mentioned above will be made available at [63].

The qutrit platypus channel. The qutrit 'platypus channel' \mathcal{N}_s is defined by the following isometry F_s : $\mathcal{H}_a \mapsto \mathcal{H}_b \otimes \mathcal{H}_c$:

$$F_{s}|0\rangle = \sqrt{s}|0\rangle \otimes |0\rangle + \sqrt{1-s}|1\rangle \otimes |1\rangle$$

$$F_{s}|1\rangle = |2\rangle \otimes |0\rangle$$

$$F_{s}|2\rangle = |2\rangle \otimes |1\rangle,$$
(3)

where $0 \leq s \leq 1/2$, and the input \mathcal{H}_a , output \mathcal{H}_b and environment \mathcal{H}_c have dimension 3, 3, and 2, respectively. This channel [28, 64] is extensively studied in the companion paper [1]. From [1, 28], the channel coherent information is always positive and can be attained on inputs of the form $\sigma(u) \coloneqq (1-u)|0\rangle\langle 0| + u|2\rangle\langle 2|$:

$$\mathcal{Q}^{(1)}(\mathcal{N}_s) = \max_{u \in [0,1]} \Delta(\mathcal{N}_s, \sigma(u)) > 0.$$

Conditioned on the spin-alignment conjecture (SAC) formulated in [1], the channel coherent information $\mathcal{Q}^{(1)}(\mathcal{N}_s)$ can be proved to be weakly additive, and thus $\mathcal{Q}(\mathcal{N}_s) = \mathcal{Q}^{(1)}(\mathcal{N}_s)$. Without the SAC, we have the upper bound $\mathcal{Q}(\mathcal{N}_s) \leq \log(1 + \sqrt{1-s})$.

Violation of strong additivity. We find that \mathcal{N}_s displays super-additivity in the strong sense,

$$\mathcal{Q}^{(1)}(\mathcal{N}_s \otimes \mathcal{K}) > \mathcal{Q}^{(1)}(\mathcal{N}_s) + \mathcal{Q}^{(1)}(\mathcal{K}), \qquad (4)$$

when used with just about any small channel \mathcal{K} . Since $\mathcal{Q}^{(1)}(\mathcal{N}_s) > 0$, the additional channel \mathcal{K} is said to amplify $\mathcal{Q}^{(1)}(\mathcal{N}_s)$. We consider various well-known and physically relevant channels \mathcal{K} , such as the qubit erasure channel, $\mathcal{E}_{\lambda}(\rho) = (1-\lambda)\rho + \lambda \mathrm{Tr}(\rho)|e\rangle\langle e|$ with erasure probability $\lambda \in [0,1]$, the qubit amplitude damping channel, $\mathcal{A}_{\gamma}(\rho) = N_0 \rho N_0^{\dagger} + N_1 \rho N_1^{\dagger}$ with damping probability $\gamma \in [0,1]$ and Kraus effects $N_0 = |0\rangle \langle 0| + \sqrt{1-\gamma} |1\rangle \langle 1|$ and $N_1 = \sqrt{\gamma} |0\rangle \langle 1|$, and the qubit depolarizing channel, $\mathcal{D}_p(\rho) = (1-4p/3)\rho + 2p/3I$ with depolarizing parameter $p \in [0, 1]$. For erasure and amplitude damping channels the quantum capacity equals the channel coherent information [43, 47, 65]. The amplification in (4) not only occurs when each of the channels $\mathcal{E}_{\lambda}, \mathcal{A}_{\gamma}$, and \mathcal{D}_p has zero coherent information (see Fig. 1), but it persists for a wide range of channel parameters $0 \le s \le 1/2, \lambda_{\min} \le$ $\lambda \leq \lambda_{\max}, \gamma_{\min} \leq \gamma \leq \gamma_{\max}, \text{ and } p_{\min} \leq p \leq p_{\max}$ (see Supplementary material [62]).

Remarkably, the amplification of $\mathcal{Q}^{(1)}(\mathcal{N}_s)$ by all three channels \mathcal{E}_{λ} , \mathcal{A}_{γ} , and \mathcal{D}_p can be achieved by a single input state ansatz for $\mathcal{N}_s \otimes \mathcal{K}$,

$$\rho(\epsilon, r_1, r_2) = r_1 |00\rangle \langle 00| + r_2 |01\rangle \langle 01| + (1 - r_1 - r_2) |\chi_\epsilon\rangle \langle \chi_\epsilon|, \quad (5)$$

where $|\chi_{\epsilon}\rangle = \sqrt{1-\epsilon}|20\rangle + \sqrt{\epsilon}|11\rangle$, and the parameters satisfy the constraints $\epsilon, r_1, r_2, r_1+r_2 \in [0, 1]$. In more detail, we find that $\Delta^*(\mathcal{N}_s \otimes \mathcal{K}_x) \coloneqq \max_{\epsilon, r_1, r_2} \Delta(\mathcal{N}_s \otimes \mathcal{K}_x, \rho(\epsilon, r_1, r_2))$ exceeds $\mathcal{Q}^{(1)}(\mathcal{N}_s) + \mathcal{Q}^{(1)}(\mathcal{K}_x)$ where \mathcal{K}_x is one of $\mathcal{E}_{\lambda}, \mathcal{A}_{\gamma}$, or \mathcal{D}_p . Since all three channels $\mathcal{E}_{\lambda}, \mathcal{A}_{\gamma}$, and \mathcal{D}_p have well known symmetries, one may suspect that the amplification strategy (5) coincides because of these symmetries. We find this not to be the case. Numerics reveal that amplification of $\mathcal{Q}^{(1)}(\mathcal{N}_{1/2})$ using (5) occurs even when \mathcal{K} is defined in terms of a random qubit channel. Super-additivity occurs both when $\mathcal{Q}^{(1)}(\mathcal{K}) > 0$ or when the coherent information of \mathcal{K} itself vanishes.

Unconditional super-additivity of quantum capacity. In the previous section we showed super-additivity of the coherent information of \mathcal{N}_s when used in parallel with other channels such as \mathcal{E}_{λ} or \mathcal{A}_{γ} . The latter channels are known to satisfy $\mathcal{Q}(\mathcal{E}_{\lambda}) = \mathcal{Q}^{(1)}(\mathcal{E}_{\lambda})$ and $\mathcal{Q}(\mathcal{A}_{\gamma}) =$ $\mathcal{Q}^{(1)}(\mathcal{A}_{\gamma})$. Moreover, conditioned on the spin alignment conjecture (SAC) [1], we also have $\mathcal{Q}^{(1)}(\mathcal{N}_s) = \mathcal{Q}(\mathcal{N}_s)$. Hence, the super-additivity of $\mathcal{Q}^{(1)}$ in (4) can be elevated to super-additivity of the quantum capacity \mathcal{Q} , provided the SAC is true.



FIG. 1. Amplification of coherent information for the channel \mathcal{N}_s and various additional channels. We plot $\mathcal{Q}^{(1)}(\mathcal{N}_s \otimes \mathcal{K}) - \mathcal{Q}^{(1)}(\mathcal{N}_s)$ for $\mathcal{K} = \mathcal{E}_{1/2}$ (solid magenta), $\mathcal{K} = \mathcal{A}_{1/2}$ (solid blue), and $\mathcal{K} = \mathcal{D}_{p^*}$ (solid green). Here, $\mathcal{E}_{1/2}$ and $\mathcal{A}_{1/2}$ are the symmetric erasure and amplitude damping channels respectively, \mathcal{D}_{p^*} is the qubit depolarizing channel with $p^* \approx 0.1893$, so that all three channels have zero coherent information $\mathcal{Q}^{(1)}(\mathcal{K}) = 0$. We also plot $\hat{R}_{\alpha}(\mathcal{N}_s) - \mathcal{Q}^{(1)}(\mathcal{N}_s)$ (dashed orange), where $\hat{R}_{\alpha}(\cdot)$ with $\alpha = 1 + 2^{-5}$ is the upper bound (UB) on the quantum capacity $\mathcal{Q}(\cdot)$ derived in [66].

We now show that, remarkably, this result can be strengthened to an *unconditional* super-additivity of quantum capacity. To this end, we consider a channel \mathcal{M}_d introduced in [1] that generalizes $\mathcal{N}_{1/2}$ to d input and output dimensions, and d-1 environment dimensions, with $d \geq 3$. The isometry $G: \mathcal{H}_a \to \mathcal{H}_b \otimes \mathcal{H}_c$ acts on an orthonormal input basis $\{|i\rangle\}_{d=1}^{d=0}$ as

$$G|0\rangle = \frac{1}{\sqrt{d-1}} \sum_{j=0}^{d-2} |j\rangle \otimes |j\rangle,$$

$$G|i\rangle = |d-1\rangle \otimes |i-1\rangle \quad \text{for } i = 1, \dots, d-1,$$
(6)

and defines the channel $\mathcal{M}_d(\cdot) \coloneqq \operatorname{tr}_c(G \cdot G^{\dagger}).$

Comparing (6) to the isometry (3) for $\mathcal{N}_{1/2}$, we see that $\mathcal{M}_3 = \mathcal{N}_{1/2}$, and hence \mathcal{M}_d is indeed a *d*dimensional generalization of $\mathcal{N}_{1/2}$. The coherent information $\mathcal{Q}^{(1)}(\mathcal{M}_d)$ is evaluated in [1], and similar to $\mathcal{N}_{1/2}$ we have $\mathcal{Q}(\mathcal{M}_d) = \mathcal{Q}^{(1)}(\mathcal{M}_d)$ modulo (a generalized version of) the spin alignment conjecture. However, we do not make use of this (conjectured) identity here and instead use the following upper bound on the quantum capacity of \mathcal{M}_d derived in [1]:

$$\mathcal{Q}(\mathcal{M}_d) \le \log\left(1 + \frac{1}{\sqrt{d-1}}\right) \le \frac{1}{\ln 2} \frac{1}{\sqrt{d-1}}.$$
 (7)

This upper bound follows from evaluating the "transposition bound" on the quantum capacity of a quantum channel [67]. It is phrased in terms of the diamond norm and can be evaluated using semidefinite programming techniques.

The quantum capacity of \mathcal{M}_{d+1} is super-additive when used together with the *d*-dimensional erasure channel $\mathcal{E}_{\lambda,d}$ where $\lambda \in [0, 1]$. More precisely, we show that

$$\mathcal{Q}(\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}) > \mathcal{Q}(\mathcal{M}_{d+1}) + \mathcal{Q}(\mathcal{E}_{\lambda,d})$$
(8)

for suitable λ and d in two steps: First, using the upper bound (7) on $\mathcal{Q}(\mathcal{M}_d)$ and the fact that the quantum capacity of $\mathcal{E}_{\lambda,d}$ is given by $\mathcal{Q}(\mathcal{E}_{\lambda,d}) = \max\{(1-2\lambda) \log d, 0\}$ [43], we obtain an upper bound

$$u(\lambda, d) \coloneqq \log\left(1 + 1/\sqrt{d}\right) + \max\{(1 - 2\lambda)\log d, 0\} \quad (9)$$

on the right-hand side of (8). Second, letting \mathcal{H}_a and $\mathcal{H}_{a'}$ be the input Hilbert spaces for \mathcal{M}_{d+1} and $\mathcal{E}_{\lambda,d}$, respectively, we find an input state $\rho_{aa'}$ with coherent information $\Delta(\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}, \rho_{aa'})$ exceeding $u(\lambda, d)$,

$$\mathcal{Q}(\mathcal{M}_{d+1}) + \mathcal{Q}(\mathcal{E}_{\lambda,d}) \leq u(\lambda,d) < \Delta(\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}, \rho_{aa'}) \leq \mathcal{Q}(\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}).$$
(10)

This chain of inequalities proves (8).

The input state achieving (10) is $\rho_{aa'} = \operatorname{Tr}_{rr'}[\psi]_{ara'r'}$, where for $w \in [0, 1]$ we define

$$\begin{split} |\psi\rangle_{ara'r'} &= \sqrt{1-w} \; |0\rangle_r |0\rangle_a \; \frac{1}{\sqrt{d}} \sum_{i=0}^{d-1} |i\rangle_{r'} |i\rangle_{a'} \\ &+ \sqrt{w} \; |1\rangle_r |0\rangle_{r'} \; \frac{1}{\sqrt{d}} \sum_{i=1}^d |i\rangle_a |i-1\rangle_{a'}, \quad (11) \end{split}$$

and the reference spaces \mathcal{H}_r and $\mathcal{H}_{r'}$ have dimensions two and d, respectively. The pure state $|\psi\rangle_{ara'r'}$ is a superposition of two orthogonal 'pieces' with amplitudes $\sqrt{1-w}$ and \sqrt{w} , respectively. By itself, the first piece only generates coherent information via $\mathcal{E}_{\lambda,d}$, as the input of \mathcal{M}_{d+1} in \mathcal{H}_a is in a product state with both the input to $\mathcal{E}_{\lambda,d}$ and the reference. The second piece by itself generates no coherent information, since the joint input system $\mathcal{H}_a \otimes \mathcal{H}_{a'}$ is unentangled with the reference $\mathcal{H}_r \otimes$ $\mathcal{H}_{r'}$.

Optimizing over the parameter $w \in [0, 1]$, this superposition of coding strategies results in a coherent information of the joint channel $\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}$ that exceeds the upper bound $u(\lambda, d)$ on $\mathcal{Q}(\mathcal{M}_{d+1}) + \mathcal{Q}(\mathcal{E}_{\lambda,d})$. We first show this numerically for $\lambda \in [0.37, 0.57]$ and sufficiently large d. This is summarized in Fig. 2, where we plot the minimal values $\lambda_{\min}^{\mathcal{Q}}(d)$ (dashed blue) and $\lambda_{\max}^{\mathcal{Q}}(d)$ (dashed magenta) of λ as a function of d such that (8) holds numerically for all $\lambda \in [\lambda_{\min}^{\mathcal{Q}}(d), \lambda_{\max}^{\mathcal{Q}}(d)]$. Note

that $\mathcal{E}_{\lambda,d}$ has positive quantum capacity when $\lambda < 1/2$, and hence for suitable d and λ we obtain super-additivity of quantum capacity (8) for two channels, \mathcal{M}_d and $\mathcal{E}_{\lambda,d}$, each with strictly positive \mathcal{Q} .

In Fig. 2 we also plot the minimal values $\lambda_{\min}(d)$ (solid blue) and $\lambda_{\max}(d)$ (solid magenta) such that the coherent information of $\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}$ is super-additive for all $\lambda \in [\lambda_{\min}(d), \lambda_{\max}(d)]$. While the interval $[\lambda_{\min}(d), \lambda_{\max}(d)]$ marks the 'true' extent of the super-additivity of quantum capacity (modulo the spin alignment conjecture), we stress once again that the super-additivity of quantum capacity within the interval $[\lambda_{\min}^{\mathcal{Q}}(d), \lambda_{\max}^{\mathcal{Q}}(d)]$ is unconditional.

We can further strengthen the numerical results of Fig. 2 by proving analytically that the super-additivity of quantum capacity in (8) indeed holds for all $\lambda \in (0, 1)$ and sufficiently large d. The proof is based on a log-singularity-like argument [28], and applied for any $\lambda \in (0, 1)$, by a suitable choice of the parameter w in the state (11). Details of this calculation can be found in the Supplementary material [62].

Discussion. Interestingly, a single ansatz (11) is responsible for super-additivity of $\mathcal{Q}^{(1)}$ when \mathcal{N}_s is used with a variety of other channels $\mathcal{E}_{\lambda}, \mathcal{A}_{\gamma}, \mathcal{D}_{p}$, and randomly constructed qubit channels. A higher dimensional version of this ansatz gives rise to super-additivity of quantum capacity when \mathcal{M}_d is used with $\mathcal{E}_{\lambda,d}$. The mechanism and extent of this super-additivity is distinct from superactivation, where the private capacity of a zero quantum capacity channel \mathcal{N} is transformed into quantum capacity when used jointly with an anti-degradable channel \mathcal{A} . This transformation has efficiency at most 1/2, and thus one obtains super-activation when $0 = \mathcal{Q}(\mathcal{N}) < \mathcal{P}(\mathcal{N})/2$. By contrast, $\mathcal{Q}(\mathcal{N}_s) > \mathcal{P}(\mathcal{N}_s)/2 > 0$, thus ruling out the super-activation mechanism as the cause for our superadditivity involving \mathcal{N}_s ; our protocol (11) employs a different mechanism.

Like super-activation our protocol works robustly [39]

when $\mathcal{A} = \mathcal{E}_{\lambda,d}$ and λ is varied, but unlike superactivation we find super-amplification, i.e., superadditivity even when both channels \mathcal{M}_d and $\mathcal{E}_{\lambda,d}$ have non-zero quantum capacity. Similar super-additivity of quantum capacity arises in high-dimensional rocket and half-rocket channels when used with zero capacity channels [32, 42]. These noisy channels, carefully constructed to display super-additivity, have quantum capacity well below the dimensional bound $r = \mathcal{Q}/\log d \ll$ 1. By contrast, \mathcal{M}_d is simply constructed by hybridizing a degradable qubit channel with a useless channel, with the goal to support weak additivity of $\mathcal{Q}^{(1)}$. Yet, it exhibits super-additivity of \mathcal{Q} even when it has modest input dimension and noise; for instance superadditivity occurs at d = 5 and r > .2. Our result on \mathcal{M}_d also contrasts with those obtainable by extending super-activation via continuity arguments. The superactivating channels can be perturbed to have positive capacities, but these capacities are necessarily very small. Moreover, super-additivity involving \mathcal{M}_d occurs over a wide range of erasure probabilities that is well beyond what one may expect from such perturbations. For instance, at $d = 10, r \simeq .075$, and super-additivity holds over erasure probabilities .43 $\leq \lambda \leq$.53, and the erasure channel can have substantial capacity. Using \mathcal{M}_d with a symmetric channel, \mathcal{S} , of unbounded dimension leads to super-additivity, $\mathcal{Q}(\mathcal{M}_d \otimes \mathcal{S}) \geq \mathcal{Q}(\mathcal{M}_d) + \mathcal{Q}(\mathcal{S})$ for any $d \geq 7$ where $\mathcal{P}(\mathcal{M}_d)/2 > \mathcal{Q}(\mathcal{M}_d)$ [1], since $\mathcal{Q}(\mathcal{M}_d \otimes \mathcal{S}) > \mathcal{P}(\mathcal{M}_d)/2$ [36]. These super-additivity results can be strengthened and simplified further if the SAC is proven. The simplicity of the channels involved in super-additivity here raises the question of whether qualitatively similar constructions are possible for investigating super-additivity of private and classical capacities.

Acknowledgments. This work was partially supported by ARO MURI Quantum Network Science under contract number W911NF2120214, NSF grants CCF 1652560, PHY 1915407, 2137953 and an NSERC discovery grant.

§ graeme.smith@colorado.edu

- Felix Leditzky, Debbie Leung, Vikesh Siddhu, Graeme Smith, and John A. Smolin, "The platypus of the quantum channel zoo," arXiv preprint (2022), arXiv:2202.08380 [quant-ph].
- Charles H. Bennett and Peter W. Shor, "Quantum channel capacities," Science 303, 1784–1787 (2004), https://www.science.org/doi/pdf/10.1126/science.1092381.
- [3] A.S. Holevo, "Quantum channel capacities," Quantum Electronics 50, 440–446 (2020).
- [4] A.S Holevo, "Bounds for the quantity of information transmitted by a quantum communication channel," Problems of Information Transmission 9, 177 – 183 (1973).
- [5] Alexander S. Holevo, "The capacity of the quantum channel with general signal states," IEEE Transactions on Information Theory 44, 269–273 (1998), arXiv:quant-ph/9611023.

^{*} leditzky@illinois.edu

[†] wcleung@uwaterloo.ca

[‡] vsiddhu@protonmail.com; Present Address: IBM Quantum, IBM T.J. Watson Research Center, Yorktown Heights, New York 10598, USA

smolin@us.ibm.com



FIG. 2. Plot of the region of super-additivity of coherent information and quantum capacity of the quantum channel $\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}$. The solid lines are the minimal values $\lambda_{\min}(d)$ (blue) and maximal values $\lambda_{\max}(d)$ (magenta) between which $\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}$ has super-additive coherent information, $\mathcal{Q}^{(1)}(\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}) > \mathcal{Q}^{(1)}(\mathcal{M}_{d+1}) + \mathcal{Q}^{(1)}(\mathcal{E}_{\lambda,d})$. The dashed lines are the minimal values $\lambda_{\min}^{\mathcal{Q}}(d)$ (blue) and maximal values $\lambda_{\max}^{\mathcal{Q}}(d)$ (magenta) between which $\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}$ has super-additive quantum capacity, $\mathcal{Q}(\mathcal{M}_{d+1} \otimes \mathcal{E}_{\lambda,d}) > \mathcal{Q}(\mathcal{M}_{d+1}) + \mathcal{Q}(\mathcal{E}_{\lambda,d})$.

- [6] Benjamin Schumacher and Michael D. Westmoreland, "Sending classical information via noisy quantum channels," Physical Review A 56, 131–138 (1997).
- [7] C. H. Bennett and G. Brassard, "Quantum cryptography: Public key distribution and coin tossing," in Proceedings of IEEE International Conference on Computers, Systems, and Signal Processing (India, 1984) p. 175.
- [8] N. Cai, A. Winter, and R. W. Yeung, "Quantum privacy and quantum wiretap channels," Problems of Information Transmission 40, 318–336 (2004).
- [9] Igor Devetak, "The private classical capacity and quantum capacity of a quantum channel," IEEE Transactions on Information Theory 51, 44–55 (2005), arXiv:quant-ph/0304127.
- [10] Charles H. Bennett, David P. DiVincenzo, John A. Smolin, and William K. Wootters, "Mixed-state entanglement and quantum error correction," Physical Review A 54, 3824–3851 (1996), arXiv:quant-ph/9604024.
- [11] Seth Lloyd, "Capacity of the noisy quantum channel," Physical Review A 55, 1613 (1997), arXiv:quant-ph/9604015.
- [12] Howard Barnum, Michael A. Nielsen, and Benjamin Schumacher, "Information transmission through a noisy quantum channel," Physical Review A 57, 4153–4175 (1998), arXiv:quant-ph/9702049.
- [13] Howard Barnum, E. Knill, and M. A. Nielsen, "On quantum fidelities and channel capacities," IEEE Transactions on Information Theory 46, 1317–1329 (2000), arXiv:quant-ph/9809010.
- [14] Peter W. Shor, "Quantum error correction," in *MSRI Workshop on Quantum Computation* (2002) available at: https://www.msri.org/workshops/203/schedules/1181.
- [15] C. E. Shannon, "A mathematical theory of communication," Bell System Technical Journal 27, 379–423 (1948).
- [16] Thomas M. Cover and Joy A. Thomas, *Elements of Information Theory* (John Wiley & Sons, Ltd, 2001).
- [17] Peter W Shor and Smolin A John, "Quantum error-correcting codes need not completely reveal the error syndrome," arXiv preprint (1996), arXiv:quant-ph/9604006.
- [18] David P. DiVincenzo, Peter W. Shor, and John A. Smolin, "Quantum-channel capacity of very noisy channels," Physical Review A 57, 830 (1998), arXiv:quant-ph/9706061.
- [19] Jesse Fern and K Birgitta Whaley, "Lower bounds on the nonzero capacity of pauli channels," Physical Review A 78, 062335 (2008), arXiv:0708.1597 [quant-ph].
- [20] Graeme Smith and John A Smolin, "Degenerate quantum codes for pauli channels," Physical Review Letters **98**, 030501 (2007), arXiv:quant-ph/0604107.
- [21] Toby Cubitt, David Elkouss, William Matthews, Maris Ozols, David Pérez-García, and Sergii Strelchuk, "Unbounded number of channel uses may be required to detect quantum capacity," Nature Communications 6, 6739 (2015), arXiv:1408.5115 [quant-ph].
- [22] Youngrong Lim and Soojoon Lee, "Activation of the quantum capacity of gaussian channels," Physical Review A 98, 012326 (2018), arXiv:1803.04230 [quant-ph].

- [23] Felix Leditzky, Debbie Leung, and Graeme Smith, "Dephrasure channel and superadditivity of coherent information," Physical Review Letters 121, 160501 (2018), arXiv:1806.08327 [quant-ph].
- [24] Johannes Bausch and Felix Leditzky, "Error thresholds for arbitrary pauli noise," SIAM Journal on Computing 50, 1410–1460 (2021), arXiv:1910.00471 [quant-ph].
- [25] Johannes Bausch and Felix Leditzky, "Quantum codes from neural networks," New Journal of Physics 22, 023005 (2020), arXiv:1806.08781 [quant-ph].
- [26] Vikesh Siddhu and Robert B Griffiths, "Positivity and nonadditivity of quantum capacities using generalized erasure channels," IEEE Transactions on Information Theory 67, 4533–4545 (2021), arXiv:2003.00583 [quant-ph].
- 27] Vikesh Siddhu, "Leaking information to gain entanglement," arXiv preprint (2020), arXiv:2011.15116 [quant-ph].
- [28] Vikesh Siddhu, "Entropic singularities give rise to quantum transmission," Nature Communications 12, 5750 (2021), arXiv:2003.10367 [quant-ph].
- [29] Sergey N Filippov, "Capacity of trace decreasing quantum operations and superadditivity of coherent information for a generalized erasure channel," Journal of Physics A: Mathematical and Theoretical 54, 255301 (2021), arXiv:2101.05686 [quant-ph].
- [30] Govind Lal Sidhardh, Mir Alimuddin, and Manik Banik, "Exploring super-additivity of coherent information of noisy quantum channels through genetic algorithms," arXiv preprint (2022), arXiv:2201.03958 [quant-ph].
- [31] Seid Koudia, Angela Sara Cacciapuoti, and Marcello Caleffi, "How deep the theory of quantum communications goes: Superadditivity, superactivation and causal activation," arXiv preprint (2021), arXiv:2108.07108 [quant-ph].
- [32] Graeme Smith and John A. Smolin, "Extensive nonadditivity of privacy," Physical Review Letters 103, 120503 (2009), arXiv:0904.4050 [quant-ph].
- [33] Graeme Smith and John A. Smolin, "Can nonprivate channels transmit quantum information?" Physical Review Letters **102**, 010501 (2009), arXiv:0810.0276 [quant-ph].
- [34] David Elkouss and Sergii Strelchuk, "Superadditivity of private information for any number of uses of the channel," Physical Review Letters **115**, 040501 (2015), arXiv:1502.05326 [quant-ph].
- [35] Matthew Hastings, "Superadditivity of communication capacity using entangled inputs," Nature Physics 5, 255–257 (2009), arXiv:0809.3972 [quant-ph].
- [36] Graeme Smith and Jon Yard, "Quantum communication with zero-capacity channels," Science **321**, 1812–1815 (2008), arXiv:0807.4935 [quant-ph].
- [37] J. Oppenheim, "For quantum information, two wrongs can make a right," Science **321**, 1783–1784 (2008), arXiv:1004.0052 [quant-ph].
- [38] Graeme Smith, John A. Smolin, and Jon Yard, "Quantum communication with gaussian channels of zero quantum capacity," Nature Photonics 5, 624–627 (2011), arXiv:1102.4580 [quant-ph].
- [39] Fernando G. S. L. Brandão, Jonathan Oppenheim, and Sergii Strelchuk, "When does noise increase the quantum capacity?" Physical Review Letters **108**, 040501 (2012), arXiv:1107.4385 [quant-ph].
- [40] Youngrong Lim, Ryuji Takagi, Gerardo Adesso, and Soojoon Lee, "Activation and superactivation of single-mode gaussian quantum channels," Physical Review A **99**, 032337 (2019), arXiv:1901.03147 [quant-ph].
- [41] Karol Horodecki, Michał Horodecki, Paweł Horodecki, and Jonathan Oppenheim, "Secure key from bound entanglement," Physical Review Letters 94, 160502 (2005), arXiv:quant-ph/0309110.
- [42] Debbie Leung, Ke Li, Graeme Smith, and John A Smolin, "Maximal privacy without coherence," Physical Review Letters 113, 030502 (2014), arXiv:1312.4989 [quant-ph].
- [43] Charles H. Bennett, David P. DiVincenzo, and John A. Smolin, "Capacities of quantum erasure channels," Physical Review Letters 78, 3217–3220 (1997), arXiv:quant-ph/9701015.
- [44] Peter W. Shor, "Additivity of the classical capacity of entanglement-breaking quantum channels," Journal of Mathematical Physics 43, 4334–4340 (2002), arXiv:quant-ph/0201149.
- [45] Christopher King, "Additivity for unital qubit channels," Journal of Mathematical Physics 43, 4641–4653 (2002), arXiv:quant-ph/0103156.
- [46] Christopher King, "The capacity of the quantum depolarizing channel," IEEE Transactions on Information Theory 49, 221–229 (2003), arXiv:quant-ph/0204172.
- [47] Igor Devetak and Peter W. Shor, "The capacity of a quantum channel for simultaneous transmission of classical and quantum information," Communications in Mathematical Physics **256**, 287–303 (2005), arXiv:quant-ph/0311131.
- [48] Christopher King, "An application of the lieb-thirring inequality in quantum information theory," in XIVth International Congress on Mathematical Physics (2006) pp. 486–490, arXiv:quant-ph/0412046.
- [49] Christopher King, Keiji Matsumoto, Michael Nathanson, and Mary Beth Ruskai, "Properties of conjugate channels with applications to additivity and multiplicativity," Markov Process and Related Fields 13, 391–423 (2007), arXiv:quant-ph/0509126.
- [50] Graeme Smith, "Private classical capacity with a symmetric side channel and its application to quantum cryptography," Physical Review A 78, 022306 (2008), arXiv:0705.3838 [quant-ph].
- [51] Shun Watanabe, "Private and quantum capacities of more capable and less noisy quantum channels," Physical Review A 85, 012326 (2012), arXiv:1110.5746 [quant-ph].
- [52] Benjamin Schumacher and M. A. Nielsen, "Quantum data processing and error correction," Physical Review A 54, 2629–2635 (1996), arXiv:quant-ph/9604022.
- [53] G. Smith, J.A. Smolin, and A. Winter, "The quantum capacity with symmetric side channels," IEEE Transactions on Information Theory 54, 4208–4217 (2008), arXiv:quant-ph/0607039.

- [54] D. Yang and A. Winter, "Potential capacities of quantum channels," IEEE Transactions on Information Theory 62, 1415–1424 (2016), arXiv:1505.00907 [quant-ph].
- [55] Graeme Smith, Joseph M. Renes, and John A. Smolin, "Structured codes improve the bennett-brassard-84 quantum key rate," Physical Review Letters 100, 170502 (2008), arXiv:quant-ph/0607018.
- [56] Ke Li, Andreas Winter, XuBo Zou, and GuangCan Guo, "Private capacity of quantum channels is not additive," Physical Review Letters **103**, 120501 (2009), arXiv:0903.4308 [quant-ph].
- [57] Motohisa Fukuda, Christopher King, and David K Moser, "Comments on hastings' additivity counterexamples," Communications in Mathematical Physics **296**, 111–143 (2010), arXiv:0905.3697 [quant-ph].
- [58] Fernando GSL Brandao and Michał Horodecki, "On Hastings' counterexamples to the minimum output entropy additivity conjecture," Open Systems & Information Dynamics 17, 31–52 (2010), arXiv:0907.3210 [quant-ph].
- [59] Toby S. Cubitt, Mary Beth Ruskai, and Graeme Smith, "The structure of degradable quantum channels," Journal of Mathematical Physics 49, 102104 (2008), 10.1063/1.2953685, arXiv:0802.1360 [quant-ph].
- [60] Paweł Horodecki, Michał Horodecki, and Ryszard Horodecki, "Binding entanglement channels," Journal of Modern Optics 47, 347–354 (2000), arXiv:quant-ph/9904092.
- [61] Felix Leditzky, Debbie Leung, and Graeme Smith, "Quantum and private capacities of low-noise channels," Physical Review Letters **120**, 160503 (2018), arXiv:1705.04335 [quant-ph].
- [62] Supplemental Material has mathematical details that support various claims in the main text and includes additional Ref. [68–77].
- [63] "Github repository with supplementary code," (2022), available at https://github.com/felixled/platypus.
- [64] Xin Wang and Runyao Duan, "Separation between quantum Lovász number and entanglement-assisted zero-error classical capacity," IEEE Transactions on Information Theory 64, 1454–1460 (2018), arXiv:1608.04508 [quant-ph].
- [65] Vittorio Giovannetti and Rosario Fazio, "Information-capacity description of spin-chain correlations," Physical Review A 71, 032314 (2005), arXiv:quant-ph/0405110.
- [66] Kun Fang and Hamza Fawzi, "Geometric rényi divergence and its applications in quantum channel capacities," Communications in Mathematical Physics 384, 1615–1677 (2021), arXiv:1909.05758 [quant-ph].
- [67] Alexander S Holevo and Reinhard F Werner, "Evaluating capacities of bosonic gaussian channels," Physical Review A 63, 032312 (2001), arXiv:quant-ph/9912067.
- [68] Jon Yard, Patrick Hayden, and Igor Devetak, "Capacity theorems for quantum multiple-access channels: Classicalquantum and quantum-quantum capacity regions," IEEE Transactions on Information Theory 54, 3091–3113 (2008), arXiv:quant-ph/0501045.
- [69] Hamza Fawzi and Omar Fawzi, "Efficient optimization of the quantum relative entropy," Journal of Physics A: Mathematical and Theoretical **51**, 154003 (2018), arXiv:1705.06671 [quant-ph].
- [70] Navneeth Ramakrishnan, Raban Iten, Volkher B. Scholz, and Mario Berta, "Computing quantum channel capacities," IEEE Transactions on Information Theory 67, 946–960 (2021), arXiv:1905.01286 [quant-ph].
- [71] Felix Leditzky, Nilanjana Datta, and Graeme Smith, "Useful states and entanglement distillation," IEEE Transactions on Information Theory **64**, 4689–4708 (2018), arXiv:1701.03081 [quant-ph].
- [72] Xin Wang, "Pursuing the fundamental limits for quantum communication," IEEE Transactions on Information Theory 67, 4524–4532 (arXiv:1912.00931 [quant-ph].
- [73] Marco Fanizza, Farzad Kianvash, and Vittorio Giovannetti, "Quantum flags and new bounds on the quantum capacity of the depolarizing channel," Physical Review Letters 125, 020503 (2020), arXiv:1911.01977 [quant-ph].
- [74] Farzad Kianvash, Marco Fanizza, and Vittorio Giovannetti, "Bounding the quantum capacity with flagged extensions," Quantum 6, 647 (2022), arXiv:2008.02461 [quant-ph].
- [75] K. Horodecki, M. Horodecki, P. Horodecki, and J. Oppenheim, "General paradigm for distilling classical key from quantum states," IEEE Transactions on Information Theory 55, 1898–1929 (2009), arXiv:quant-ph/0506189.
- [76] C. King and M. B. Ruskai, "Minimal entropy of states emerging from noisy quantum channels," IEEE Transactions on Information Theory 47, 192–209 (2001), arXiv:quant-ph/9911079.
- [77] Xin Wang, Wei Xie, and Runyao Duan, "Semidefinite programming strong converse bounds for classical capacity," IEEE Transactions on Information Theory **64**, 640–653 (2017), arXiv:1610.06381 [quant-ph]