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## Quantifying Energy Conversion in Higher Order Phase Space Density Moments in Plasmas

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Weakly collisional and collisionless plasmas are typically far from local thermodynamic equilibrium (LTE), and understanding energy conversion in such systems is a forefront research problem. The standard approach is to investigate changes in internal (thermal) energy and density, but this omits energy conversion that changes any higher order moments of the phase space density. In this study, we calculate from first principles the energy conversion associated with all higher moments of the phase space density for systems not in LTE. Particle-in-cell simulations of collisionless magnetic reconnection reveal that energy conversion associated with higher order moments can be locally significant. The results may be useful in numerous plasma settings, such as reconnection, turbulence, shocks, and wave-particle interactions in heliospheric, planetary, and astrophysical plasmas.

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29 phase space density  $f_{\sigma}$  are defined as  $f_{\sigma}$  multiplied by 57 30 powers of components of  $\mathbf{v}'_{\sigma}$  and integrated over all ve-31 32 velocity space coordinate is  $\mathbf{v}$ , bulk flow velocity is  $\mathbf{u}_{\sigma} =$ 33  $(1/n_{\sigma}) \int f_{\sigma} \mathbf{v} d^3 v$ , and number density is  $n_{\sigma} = \int f_{\sigma} d^3 v$ . 34 A standard approach to study energy conversion in plas-35 mas [5–27] centers on the first few internal moments. 36 Compressional work describes changes to  $n_{\sigma}$ , *i.e.*, the 37 zeroth internal moment of  $f_{\sigma}$ , described by the conti-38 nuity equation [5, 28]. The internal energy per particle 39 40  $\mathcal{E}_{\sigma,\text{int}} = (3/2)k_B \mathcal{T}_{\sigma}$ , *i.e.*, the second internal moment of  $f_{\sigma}$  divided by  $n_{\sigma}$ , can change due to compressional heat-41 <sup>42</sup> ing by work  $-\mathcal{P}_{\sigma}(\nabla \cdot \mathbf{u}_{\sigma})$ , incompressional heating via the <sup>43</sup> remainder of the pressure-strain interaction (called Pi-D <sup>44</sup> [5]), heat flux, or collisions, according to [2, 5, 28]

$${}_{45} \quad \frac{3}{2} n_{\sigma} k_B \frac{d\mathcal{T}_{\sigma}}{dt} = -(\mathbf{P}_{\sigma} \cdot \nabla) \cdot \mathbf{u}_{\sigma} - \nabla \cdot \mathbf{q}_{\sigma} + n_{\sigma} \dot{Q}_{\sigma,\text{coll,inter.}}$$
(1)

46 Here, the elements of the pressure tensor  $\mathbf{P}_{\sigma}$  are <sup>47</sup>  $P_{\sigma,jk} = m_{\sigma} \int v'_{\sigma j} v'_{\sigma k} f_{\sigma} d^3 v$ , temperature tensor is <sup>48</sup>  $\mathbf{T}_{\sigma} = \mathbf{P}_{\sigma} / n_{\sigma} k_B$ , effective pressure is  $\mathcal{P}_{\sigma} =$ 

Energy conversion is largely well understood for sys-  $_{49}$  (1/3)tr[ $\mathbf{P}_{\sigma}$ ], effective temperature is  $\mathcal{T}_{\sigma} = \mathcal{P}_{\sigma}/n_{\sigma}k_B =$ tems with initial and final states in or near local ther-  $v_{\sigma} (m_{\sigma}/3n_{\sigma}k_B) \int v_{\sigma}'^2 f_{\sigma} d^3 v$ , vector heat flux density is modynamic equilibrium (LTE) [1, 2]. However, energy  $_{51} \mathbf{q}_{\sigma} = \int (1/2) m_{\sigma} v_{\sigma}'^2 \mathbf{v}_{\sigma}' f_{\sigma} d^3 v$ , and volumetric heat-conversion in systems far from LTE, such as weakly colli-  $_{52}$  ing rate per particle due to inter-species collisions is sional or collisionless plasmas endemic to many space and  $_{53}\dot{Q}_{\sigma,\text{coll,inter}} = (1/n_{\sigma})\int (1/2)m_{\sigma}v_{\sigma}^{\prime 2}\sum_{\sigma'}C_{\text{inter}}[f_{\sigma},f_{\sigma'}]d^{3}v,$ astrophysical environments, remains a forefront research 54 where the inter-species collision operator is  $C_{\text{inter}}[f_{\sigma}, f_{\sigma'}]$ , 55  $k_B$  is Boltzmann's constant,  $m_{\sigma}$  is the constituent mass, For a species  $\sigma$  not in LTE, internal moments of the  $_{56}$  and  $d/dt = \partial/\partial t + \mathbf{u}_{\sigma} \cdot \nabla$  is the convective derivative.

There is an energy conversion channel beyond those 58 discussed thus far.  $f_{\sigma}$  has an infinite number of internal locity space. Here, the random velocity is  $\mathbf{v}'_{\sigma} = \mathbf{v} - \mathbf{u}_{\sigma}$ , 59 moments that are all treated on equal footing. While 60 Eq. (1) includes the impact of off-diagonal pressure ten-<sup>61</sup> sor elements and heat flux on  $\mathcal{E}_{\sigma,int}$ , any energy conver-<sup>62</sup> sion associated with time evolution of all other internal <sup>63</sup> moments themselves is not contained in the continuity  $_{64}$  equation or Eq. (1).

> 65 Studies have addressed time evolution of other mo-<sup>66</sup> ments and their contribution to energy conversion. The 67 evolution of non-isotropic pressures has been studied 68 [12, 14, 29–36]. Other approaches capture the effect of all 69 moments of  $f_{\sigma}$ . Linearizing  $f_{\sigma}$  around its equilibrium in 70 kinetic theory and gyrokinetics reveals the so-called free <sup>71</sup> energy [37–39], which quantifies non-LTE energy conver-<sup>72</sup> sion into mechanical or magnetic energy [37]. It is asso-<sup>73</sup> ciated with the phase space cascade of entropy which can lead to dissipation [40]. The velocity space cascade has 74 been studied without linearizing  $f_{\sigma}$  [17, 41–43]. In an-75 other approach, changes to bulk kinetic energy are quan-76 tified kinetically using field-particle correlations [44–53]. 77

> In this study, we use a first-principles theory to quan-<sup>79</sup> tify energy conversion associated with all internal mo-<sup>80</sup> ments. We show this energy conversion is physically associated with changing the velocity space shape of  $f_{\sigma}$ .

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<sup>82</sup> There are three important ingredients. First, the key <sup>128</sup> (4b) gives quantity is kinetic entropy [2, 54–57] rather than energy. Second, we employ the decomposition of kinetic 84 129 entropy into position and velocity space kinetic entropy 85 [58, 59]. Third, we employ the so-called relative en-86 tropy [55, 56, 60]. Our analysis was performed indepen-<sup>130</sup> 87 dently, but we found it is similar to treatments in chem-88 ical physics of dilute gases [55] and quantum statistical  $_{131}$ 89 mechanics [86]. The novelty of our analysis stems from 90 using the decomposition of kinetic entropy and signifi-91 cant differences in interpretation than in previous work. 92 We employ a particle-in-cell (PIC) simulation of collision-93 less magnetic reconnection, revealing energy conversion 94 associated with higher order moments can be locally sig-95 nificant. 96

We first derive an expression for the rate of energy con-97 version associated with non-LTE internal moments of  $f_{\sigma}$ , 98 emphasizing departures from the treatment in Ref. [55]. 99 We assume a classical (non-relativistic, non-quantum) 100 three-dimensional (3D) system of infinite volume or in 101 a thermally insulated domain with a fixed number  $N_{\sigma}$ 102 of monatomic particles. The kinetic entropy density  $s_{\sigma}$ 103 <sup>104</sup> associated with  $f_{\sigma}$  is [62]

$$s_{\sigma} = -k_B \int f_{\sigma} \ln\left(\frac{f_{\sigma}\Delta^3 r_{\sigma}\Delta^3 v_{\sigma}}{N_{\sigma}}\right) d^3 v, \qquad (2)$$

where the integral is over all velocity space, and  $\Delta^3 r_{\sigma}$ 106 and  $\Delta^3 v_{\sigma}$  are position space and velocity space volume 107 elements in phase space, respectively [59, 63, 64]. In the comoving (Lagrangian) frame,  $s_{\sigma}$  evolves according to  $_{146}$  where  $n_{\sigma}$ ,  $\mathbf{u}_{\sigma}$ , and  $\mathcal{T}_{\sigma}$  are based on  $f_{\sigma}$ . (Ref. [55] used a 109 ([55] and Supplemental Material A [65]) 110

 $\frac{d}{dt}\left(\frac{s_{\sigma}}{n_{\sigma}}\right) + \frac{\nabla \cdot \mathcal{J}_{\sigma,\text{th}}}{n_{\sigma}} = \frac{\dot{s}_{\sigma,\text{coll}}}{n_{\sigma}},$ 

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<sup>112</sup> where  $\mathcal{J}_{\sigma,\mathrm{th}}$  is thermal kinetic entropy density flux and  $\dot{s}_{\sigma,\text{coll}}$  is local time rate of change of kinetic entropy den-113 sity through collisions, defined in Eqs. (S.4) and (S.3), 114 respectively. We note that Eq. (3) has no explicit depen-115 dence on body forces including gravitational and elec-116 tromagnetic forces, which implies they do not directly 117 change internal moments of  $f_{\sigma}$ . Eq. (1) exemplifies this 118 for the special case of internal energy. 119

In a key departure from Ref. [55], we decompose kinetic 120 <sup>121</sup> entropy density  $s_{\sigma}$  into a position space kinetic entropy 160 122 density  $s_{\sigma p}$  and velocity space kinetic entropy density <sup>123</sup>  $s_{\sigma v}$ , with  $s_{\sigma} = s_{\sigma p} + s_{\sigma v}$ , as [58, 59]

$$s_{\sigma p} = -k_B n_\sigma \ln\left(\frac{n_\sigma \Delta^3 r_\sigma}{N_\sigma}\right),\tag{4a}$$

$$s_{\sigma v} = -k_B \int f_{\sigma} \ln\left(\frac{f_{\sigma} \Delta^3 v_{\sigma}}{n_{\sigma}}\right) d^3 v.$$
 (4b)

<sup>126</sup> A direct calculation (see Supplemental Material B-D) of <sup>127</sup> the terms on the left side of Eq. (3) using Eqs. (4a) and <sup>167</sup>

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(3)

$$\frac{d}{dt}\left(\frac{s_{\sigma p}}{n_{\sigma}}\right) = \frac{1}{\mathcal{T}_{\sigma}}\frac{d\mathcal{W}_{\sigma}}{dt},\tag{5a}$$

$$\frac{d}{dt}\left(\frac{s_{\sigma v}}{n_{\sigma}}\right) = \frac{1}{\mathcal{T}_{\sigma}}\frac{d\mathcal{E}_{\sigma,\text{int}}}{dt} + \frac{d}{dt}\left(\frac{s_{\sigma v,\text{rel}}}{n_{\sigma}}\right),\qquad(5b)$$

$$\frac{\nabla \cdot \boldsymbol{\mathcal{J}}_{\sigma,\mathrm{th}}}{n_{\sigma}} = -\frac{1}{\mathcal{T}_{\sigma}} \frac{d\mathcal{Q}_{\sigma}}{dt} + \frac{(\nabla \cdot \boldsymbol{\mathcal{J}}_{\sigma,\mathrm{th}})_{\mathrm{rel}}}{n_{\sigma}}, \qquad (5c)$$

<sup>132</sup> where  $d\mathcal{W}_{\sigma} = \mathcal{P}_{\sigma} d(1/n_{\sigma})$  is the compressional work <sup>133</sup> per particle done by the system,  $d\mathcal{E}_{\sigma,\text{int}} = (3/2)k_B d\mathcal{T}_{\sigma}$ 134 is the increment in internal energy per particle, and <sup>135</sup>  $d\mathcal{Q}_{\sigma}/dt = [-\nabla \cdot \mathbf{q}_{\sigma} - (\mathbf{P}_{\sigma} \cdot \nabla) \cdot \mathbf{u}_{\sigma} + \mathcal{P}_{\sigma}(\nabla \cdot \mathbf{u}_{\sigma})]/n_{\sigma}$ <sup>136</sup> is the (thermodynamic) heating rate per particle from 137 sources other than compression that can change the ef-<sup>138</sup> fective temperature [see Eq. (1)]. Lastly,  $s_{\sigma v, rel}$  is the <sup>139</sup> relative entropy density and  $(\nabla \cdot \mathcal{J}_{\sigma, \text{th}})_{\text{rel}}$  is the thermal <sup>140</sup> relative entropy density flux divergence, given by

$$s_{\sigma v, \text{rel}} = -k_B \int f_\sigma \ln\left(\frac{f_\sigma}{f_{\sigma M}}\right) d^3 v, \tag{6}$$

$$(\nabla \cdot \boldsymbol{\mathcal{J}}_{\sigma, \text{th}})_{\text{rel}} = -k_B \int \left[ \nabla \cdot (\mathbf{v}'_{\sigma} f_{\sigma}) \right] \ln \left( \frac{f_{\sigma}}{f_{\sigma M}} \right) d^3 v(7)$$

<sup>143</sup> and the "Maxwellianized" phase space density  $f_{\sigma M}$  asso-144 ciated with  $f_{\sigma}$  is [60]

$$f_{\sigma M} = n_{\sigma} \left(\frac{m_{\sigma}}{2\pi k_B \mathcal{T}_{\sigma}}\right)^{3/2} e^{-m_{\sigma} (\mathbf{v} - \mathbf{u}_{\sigma})^2 / 2k_B \mathcal{T}_{\sigma}}, \qquad (8)$$

<sup>147</sup> more general reference phase space density than  $f_{\sigma M}$ , so <sup>148</sup> our choice is a special case of theirs.)

Equations (5a)-(5c) have important implications, and 149 our interpretation greatly departs from Ref. [55]. Ignor-150  $_{151}$  ing the relative terms in Eqs. (5b) and (5c), we see Eq. (3) 152 (scaled by the effective temperature) inherently contains 153 information about work, internal energy, and thermodynamic heat as captured by the continuity equation and 154 Eq. (1). This suggests the relative terms describe energy 155 conversion associated with all internal moments beyond 156 the second moment. 157

We therefore define increments of relative energy per 158 <sup>159</sup> particle  $d\mathcal{E}_{\sigma,\mathrm{rel}}$  and relative heat per particle  $d\mathcal{Q}_{\sigma,\mathrm{rel}}$  by

$$\frac{d\mathcal{E}_{\sigma,\mathrm{rel}}}{dt} = \mathcal{T}_{\sigma} \frac{d(s_{\sigma v,\mathrm{rel}}/n_{\sigma})}{dt},\tag{9a}$$

$$\frac{d\mathcal{Q}_{\sigma,\mathrm{rel}}}{dt} = -\mathcal{T}_{\sigma} \frac{(\nabla \cdot \mathcal{J}_{\sigma,\mathrm{th}})_{\mathrm{rel}}}{n_{\sigma}}.$$
 (9b)

Further defining energy increments per particle in all 162 163 internal moments at and above the second moment as  $_{164}$   $d\mathcal{E}_{\sigma,\text{gen}} = d\mathcal{E}_{\sigma,\text{int}} + d\mathcal{E}_{\sigma,\text{rel}}$  and generalized heat per parti-<sup>165</sup> cle as  $d\mathcal{Q}_{\sigma,\text{gen}} = d\mathcal{Q}_{\sigma} + d\mathcal{Q}_{\sigma,\text{rel}}$ , Eqs. (3) - (5c), (9a) and <sup>166</sup> (9b) take on the simple form

$$\frac{d\mathcal{W}_{\sigma}}{dt} + \frac{d\mathcal{E}_{\sigma,\text{gen}}}{dt} = \frac{d\mathcal{Q}_{\sigma,\text{gen}}}{dt} + \dot{\mathcal{Q}}_{\sigma,\text{coll}}.$$
 (10)



FIG. 1. Schematic showing energy conversion channels according to their impact on the phase space density  $f_{\sigma}$ . The initial  $f_{\sigma}$  is depicted as Maxwellian for illustrative purposes on the left. The final  $f_{\sigma}$  is to their right. The descriptions of the changes in  $f_{\sigma}$  are to their right.

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172 173 quires understanding energy conversion via its impact on 231 measure of the temporally and spatially local departure 174 175 176 The top row shows a process taking a Maxwellianized  $f_{\sigma}$  <sup>235</sup> x's along a streamline in Fig. 2(b). 177 from an initial to final state. The intensification of col- 236  $\bar{M}_{e,KP}$  and  $d\mathcal{E}_{e,rel}/dt$  together reveal whether  $f_{\sigma}$  is lo-178 179 180 181 182 spreads in velocity space. 183

184 185 186 187 188 190 191 192 193 is not. 194

195 196 197 198 that the evolution of  $f_{\sigma}$  is consistent with the interpre- 257 away from LTE in the comoving frame. 199 tation in the previous paragraph. 200

201 202 <sup>204</sup> collisionless limit,  $d\mathcal{E}_{\sigma,\mathrm{rel}}$  also contains reversible effects. <sup>262</sup> zontal and vertical directions, along with  $d\mathcal{E}_{e,\mathrm{rel}}/dt$ , are <sup>205</sup> Thus, the term is not purely irreversible as previously <sup>263</sup> plotted in Figs. 2(g) and (h), respectively. At the X-line,

suggested [55].

 $d\mathcal{Q}_{\sigma}$  describes non-Maxwellian features of  $f_{\sigma}$  that cause a flux of energy per particle that changes  $\mathcal{T}_{\sigma}$  [see Eq. (1)].  $d\mathcal{Q}_{\sigma,\text{rel}}$  is analogous: non-Maxwellian features in higher order internal moments produce a flux that modifies internal moments of  $f_{\sigma}$  other than  $n_{\sigma}$  and  $\mathcal{T}_{\sigma}$ .  $Q_{\sigma,\text{coll}}$ describes both intra- and inter-species collisions, as op-212 posed to solely inter-species arising in Eq. (1). This is 213 because both collision types can change higher order internal moments of  $f_{\sigma}$ , while elastic intra-species collisions conserve energy.

We demonstrate key results of the theory using sim-217 ulations of reconnection. Data are from the simulation in Ref. [27]. The code and numerical aspects are dis-219 cussed there and in Supplemental Material F. The out-220 <sup>221</sup> of-plane current density  $J_z$  around a reconnection X-line <sub>222</sub> at  $(x_0, y_0)$  is in Fig. 2(a), with reversing magnetic field <sup>223</sup> lines in black and electron streamline segments in orange, <sup>224</sup> revealing typical profiles.

We first confirm relative energy changes are related to 225 Equation (10) generalizes Eq. (1), which contains energy  $_{226}$   $f_{\sigma}$  evolving towards or away from LTE. Figure 2(b) shows conversion associated with only density and effective tem- 227 the electron entropy-based Kaufmann and Paterson nonperature, as opposed to all internal moments of  $f_{\sigma}$ . This <sup>228</sup> Maxwellianity  $\bar{M}_{e,KP} = (s_{eM} - s_e)/[(3/2)k_B n_e]$  [63, 90], interpretation is a significant departure from Ref. [55]. 229 where  $s_e$  comes from Eq. (2) based on  $f_e$ , while  $s_{eM}$ We now provide a physical interpretation, which re- 230 comes from Eq. (2) based on  $f_{eM}$  in Eq. (8). It is a  $f_{\sigma}$ . Work per particle  $dW_{\sigma} = \mathcal{P}_{\sigma} d(1/n_{\sigma})$  changes the ze- <sup>232</sup> from LTE. Figure 2(e) is the rate of relative energy per roth moment of  $f_{\sigma}$ . This is depicted graphically in Fig. 1, 233 particle  $d\mathcal{E}_{e,rel}/dt$ . Figure 2(i)-(l) are reduced electron where two velocity space dimensions of  $f_{\sigma}$  are sketched.<sup>234</sup> phase space densities  $f_e(v_x, v_z)$  at the four color-coded

ors denote a change in  $f_{\sigma}$ , and therefore  $n_{\sigma}$ . Similarly, 237 cally in LTE [panel (b)] and whether it is evolving to $d\mathcal{E}_{\sigma,\text{int}}$  is associated with changes to the second internal 238 wards or away from LTE [(e)]. Just upstream of the moment of  $f_{\sigma}$ , depicted in the second row of Fig. 1 for a 239 electron diffusion region (EDR) ( $|x - x_0| < 1, 0.45 <$ process that increases  $\mathcal{E}_{\sigma,\text{int}}$ , *i.e.*, the Maxwellianized  $f_{\sigma}_{240} |y-y_0| < 1$ ), electrons get trapped by the upstream mag- $_{\it 241}$ netic field [34], so  $f_e$  becomes non-Maxwellian [dark red To interpret  $d\mathcal{E}_{\sigma,\text{rel}}$ , Eq. (6) shows  $s_{\sigma v,\text{rel}}$  vanishes if  $_{242}$  in (b)], with  $f_e$  elongated in the parallel direction [(i)].  $f_{\sigma}$  is a Maxwellian  $(f_{\sigma} = f_{\sigma M})$  [60]. Thus,  $d\mathcal{E}_{\sigma, rel}$  <sup>243</sup> Thus, in the comoving frame, as a fluid element convects describes non-LTE physics. Since a Maxwellian is  $^{244}$  towards the X-line from upstream,  $f_e$  evolves away from the highest kinetic entropy state for a fixed  $N_{\sigma}$  and  $_{245}$  Maxwellianity, consistent with (e) where  $d\mathcal{E}_{e,rel}/dt < 0$ .  $\mathcal{E}_{\sigma,\text{int}}$  [54],  $d(s_{\sigma v,\text{rel}}/n_{\sigma})/dt > 0$  implies  $f_{\sigma}$  evolves to- <sup>246</sup> Continuing towards the X-line,  $f_e$  develops striations [(j)] wards Maxwellianity in the comoving frame, associated 247 due to electrons becoming demagnetized in the reversed with  $d\mathcal{E}_{\sigma,\mathrm{rel}} > 0$ , while  $d(s_{\sigma v,\mathrm{rel}}/n_{\sigma})/dt < 0$  implies  $f_{\sigma}$  <sup>248</sup> magnetic field [91, 92]. This is associated with evolution evolves away from Maxwellianity and  $d\mathcal{E}_{\sigma, rel} < 0$ . A pro- <sup>249</sup> away from LTE [blue in (e)]. Downstream of the X-line, cess changing the shape of  $f_{\sigma}$  is depicted in the third row 250 there is a red patch in (e) at  $|x - x_0| \simeq 1.25, |y - y_0| \simeq$ of Fig. 1, where  $f_{\sigma}$  is initially Maxwellian and finally it  $_{251}$  0 where electrons thermalize (Maxwellianize) [93, 94], <sup>252</sup> which is seen in  $f_e$  [(k)]. Just downstream from there A concrete example showing that  $d\mathcal{E}_{\sigma,\mathrm{rel}}$  is associated  $_{253}$  ( $|x - x_0| \simeq 1.8$ ),  $f_e$  evolves away from LTE where elecwith  $f_{\sigma}$  changing shape is provided in Supplemental 254 trons begin to remagnetize at the downstream edge of Material E.  $d\mathcal{E}_{\sigma,\text{rel}}$  is calculated analytically for a bi- 255 the EDR [93, 95] [(1)]. These results confirm the sign Maxwellian distribution with converging flow. It is shown 256 of  $d\mathcal{E}_{\sigma,\mathrm{rel}}$  identifies whether  $f_{\sigma}$  changes shape towards or

Next, we demonstrate the quantitative importance of 258 Collisions directly change the shape of  $f_{\sigma}$ , so  $d\mathcal{E}_{\sigma,\mathrm{rel}}$  in- 259 relative energy. Rates of work and internal energy per cludes irreversible contributions if collisions are present. 260 particle are shown in Figs. 2(c) and (d), respectively. However, since  $f_{\sigma}$  can change shape even in the perfectly  $_{261}$  Cuts of these quantities through the X-line in the hori-



FIG. 2. Electron energy conversion in a PIC simulation of magnetic reconnection. (a) Out-of-plane current density  $J_z$ , with projections of magnetic field lines and segments of electron velocity streamlines overplotted in black and orange, respectively. (b) Electron entropy-based non-Maxwellianity  $\overline{M}_{KP,e}$ . Time rates of change per particle of (c) work  $dW_e/dt$ , (d) internal energy  $d\mathcal{E}_{e,\mathrm{int}}/dt$ , and (e) relative energy  $d\mathcal{E}_{e,\mathrm{rel}}/dt$ . (f)  $\log_{10}[|(d\mathcal{E}_{e,\mathrm{rel}}/dt)/(d\mathcal{E}_{e,\mathrm{int}}/dt)|]$ . 1D cuts of the terms in panels (c)-(e) in the (g) x and (h) y directions. (i)-(l) Reduced electron phase space density  $f_e(v_x, v_z)$  at locations denoted by the colored x's at the top left of the plots corresponding to the x's in panel (b) along a streamline.

the values are 0.031, 0.027, and -0.016, respectively, in  $_{266}$  LTE, so it could lead to significant advances compared to 264 265 266 267 268 269 270 included, which is a significant difference. 271

To assess its importance in other locations, Fig. 2(f) 294 272 273 274 275 276 277 278 279 280 281 282 or even dominant. 283

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normalized code units. Their sum, 0.042, is the total rate 287 manifestly perturbative theories [1, 2, 39]. An extensive of energy per particle going into internal moments of elec- 288 comparison to previous work is in Supplemental Matetrons. To see that relative energy is important, the stan- 289 rial G. For a physical process that changes both internal dard approach using Eq. (1) would say the energy rate 200 energy and higher order moments, the theory captures going into changing  $n_e$  and  $\mathcal{T}_e$  is 0.031 + 0.027 = 0.058, 291 both and allows each to be calculated separately. Since 38% higher than the total rate when relative energy is 292 the theory contains all internal moments of  $f_{\sigma}$ , it over-<sup>293</sup> comes the closure problem.

It is important to note that internal energy per particle shows  $\log_{10}[|(d\mathcal{E}_{e,\mathrm{rel}}/dt)/(d\mathcal{E}_{e,\mathrm{int}}/dt)|]$ , with a color bar 295  $\mathcal{E}_{\sigma,\mathrm{int}}$  is a state variable, meaning it is history independent. saturated at  $\pm 2$  to better reveal details. Where internal 296 dent, but relative energy per particle  $\mathcal{E}_{\sigma,\mathrm{rel}}$  is not. Only and relative energy changes are comparable are white.  $_{297}$  in special cases can relative energy per particle  $\mathcal{E}_{\sigma,\mathrm{rel}}$  be Locations where  $|d\mathcal{E}_{e,\text{rel}}|$  exceeds  $|d\mathcal{E}_{e,\text{int}}|$  are red, espe- 298 calculated from  $f_{\sigma}$  at a particular time. Rather, only the cially just upstream of the EDR. In the deep blue regions, 299 increment  $d\mathcal{E}_{\sigma,\mathrm{rel}}$  has an instantaneous physical meaning.  $d\mathcal{E}_{e,\text{rel}} \ll |d\mathcal{E}_{e,\text{int}}|$ . In the light blue regions, including 300 This was pointed out in Ref. [55], and used as motivation much of the EDR and island,  $|d\mathcal{E}_{e,rel}|$  is at least 20% of  $_{301}$  to not employ relative entropy per particle because they the magnitude of  $|d\mathcal{E}_{e,int}|$ . Thus, energy conversion asso- 302 sought a thermodynamic theory of irreversible processes. ciated with non-LTE internal moments in reconnection 303 Our interpretation is distinctly different; we argue relais broadly non-negligible, and can be locally significant 304 tive energy per particle not being a state variable reflects  $_{305}$  the physical consequence that changing the shape of  $f_{\sigma}$ We conclude with implications of the present results. 306 is typically history dependent. Thus, a description re-First, the theory applies for systems arbitrarily far from 307 taining this history dependence is crucial for quantifying



Arrows show conversion channels between work (blue), heat 347 forms. (pink), energy (orange), and collisions (green), with standard channels in black and relative channels in red. The light blue dashed arrow signifies how the relative terms couple to thermodynamic terms.

energy conversion into non-LTE internal moments. 308

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and Pi-D.

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measured, such as PIC and Vlasov/Boltzmann plasma 325 simulations and satellite observations [96, 97]. Satellites measure  $f_{\sigma}$  with spatio-temporal resolution sufficient to 327 take gradients [98, 99] and compute kinetic entropy [64]. 328 The theory may advance efforts using machine learning 329 to parametrize kinetic corrections to transport terms in 330 fluid models [100]. Generalizations of the present result 331 may be useful beyond plasma physics, such as many body 332 astrophysics [101], micro- and nano-fluidics [102, 103], 333 and quantum entanglement [86]. 334

There are limitations of the present work. Each re-335 striction to the theory before Eq. (2) could be relaxed. 336 Relative energy describes energy conversion associated with all non-LTE internal moments, but does not iden-338 tify which of the individual non-LTE internal moments 330 contribute; it would be interesting to address this in fu-340 341 ture work, likely in context of recent theories of the velocity space cascade [41] and/or Casimir invariants [104]. 342 There are settings for which  $f_{\sigma M}$  is not the appropriate 343 <sup>344</sup> reference for  $f_{\sigma}$  [105, 106]; Ref. [55] employs a more gen-<sup>345</sup> eral reference  $f_{\sigma}$  than we use here; it would be interesting FIG. 3. Sketch illustrating energy conversion from Eq. (10). 346 to generalize the results for more general plasma-relevant

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