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Stimulated Rayleigh Scattering Enhanced by a Longitudinal Plasma Mode in a Periodically Driven Dirac Semimetal

$$\frac{\text{Cd}}{\text{As}}^{\frac{3}{2}}$$

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1 **Stimulated Rayleigh Scattering Enhanced by Longitudinal** 2 **Plasma Mode in a Periodically Driven Dirac Semimetal** 3 **Cd₃As₂**

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14 **Abstract**

15
16 With the broadband (12-45 THz) multiterahertz spectroscopy, we show that stimulated
17 Rayleigh scattering dominates the transient optical conductivity of cadmium arsenide, a
18 Dirac semimetal, under an optical driving field at 30 THz. The characteristic dispersive
19 lineshape with net optical gain is accounted for by optical transitions between light-
20 induced Floquet subbands, strikingly enhanced by the longitudinal plasma mode.
21 Stimulated Rayleigh scattering with an unprecedentedly large refractive index change
22 may pave the way for slow light generation in conductive solids at room temperature.

23 **Main text**

24
25 Light has opened various ways to reach interesting nonequilibrium phases of matter, such
26 as light-induced superconductivity [1,2], charge density wave [3], and excitonic insulator
27 [4]. The emerging field of Floquet engineering is accelerating new discoveries through
28 the versatility of periodic driving to modify material properties [5,6]. Examples include
29 control of band topology [7-10] and excitonic correlations [11,12]. Floquet engineering
30 is also interesting from the viewpoint of nonlinear optics. Historically, the concept of
31 photon-dressed states has provided an indispensable basis to understand the nonlinear
32 optical response of discrete level systems [13]. Modern interest in Floquet engineering
33 has extended the idea of dressed states to continuous bands in solids, revealing new
34 aspects of nonlinear optics, e.g., in terms of topology [14]. It is thus natural to expect
35 novel optical phenomena to emerge from light-induced Floquet states.

36

37 Despite remarkable progress in theory, experimental exploration of Floquet states is still
38 limited. Time- and angle-resolved photoemission spectroscopy succeeded in directly
39 observing electron population in photon-dressed Floquet-Bloch bands on a surface of a
40 light-driven topological insulator [15,16]. Ultrafast transport measurement has recently
41 demonstrated that irradiation by circularly polarized light transforms graphene into a
42 Floquet topological insulator [7,17], which partly contributes to anomalous Hall effect
43 [18]. Manifestations of the light-induced Floquet states in the optical response itself,
44 however, remain unclear. Little has been known about fundamental optical properties of
45 Floquet states in solids, except for the well-known ac Stark effect of discrete levels.
46 Cadmium arsenide (Cd_3As_2), a three-dimensional Dirac semimetal, is an ideal material to
47 investigate this problem, because it combines high-mobility carriers, a small scattering
48 rate, and low-energy interband transitions [19], which allow for coherent dynamics with
49 suppressed dissipation and laser heating. Moreover, Cd_3As_2 exhibits large optical
50 nonlinearity in a broad frequency region ranging from terahertz to visible [20-25], which
51 makes it a promising platform to search for novel functionality in nonlinear optics and
52 optoelectronics from the perspective of Floquet engineering.

53

54 Figure 1(a) shows the band structure of Cd_3As_2 . Two Dirac nodes lie on the k_z axis,
55 which allow low-energy interband transitions [19,26]. The valence and conduction bands
56 are expected to form Floquet states upon periodic driving by a light field, as shown in Fig.
57 1(b). To explore the spectroscopic signature and optical functionality of the Floquet states,
58 we measure transient optical conductivity of an epitaxially grown, (112)-oriented, 140
59 nm-thick Cd_3As_2 thin film on a CdTe substrate [27], exposed to an intense multiterahertz
60 electromagnetic pulse at room temperature. Figure 1(c) depicts the experimental setup.
61 Our sample is unintentionally electron-doped so that the Fermi level is shifted to 58 meV
62 above the Dirac nodes [25]. Despite the anisotropy in the low-energy band structure, the
63 linear response in the infrared region is almost isotropic because of the quasi-cubic nature
64 of the structural units that make up the unit cell [36,37]. Figure 1(d) shows the optical
65 conductivity of the sample in equilibrium. It can be decomposed into the low-frequency
66 (<15 THz) intraband and high-frequency (>15 THz) interband contributions, by taking
67 account of the low-frequency data outside the panel [25,38]. The narrowband pump pulse
68 drives the interband transitions with a tunable frequency from 16 to 40 THz (66-165 meV
69 in energy, 8-19 μm in wavelength) and with a variable bandwidth, while the probe pulse
70 covers a broad frequency range from 12 to 45 THz (50-186 meV, 7-25 μm) with a
71 duration of 30 fs. The probe pulse after transmitting the sample is spatially separated from

72 the pump pulse and is detected by electro-optic sampling to obtain response functions
73 depending on the pump-probe delay time Δt [27].

74
75 Figure 2(a) shows the transient optical conductivity measured by probe pulses polarized
76 in the same direction as the pump, tuned to 29.4 THz. During the pump irradiation, a
77 photoinduced absorption (blue) appears just below the pump frequency, while an opposite
78 change (red) occurs on the higher-frequency side. The resulting dispersive lineshape is
79 clearly seen in Fig. 2(b), which plots the optical conductivity at several delay times. This
80 characteristic behavior is distinct both from spectral hole burning [39] and from photon-
81 assisted absorption bands [40], the two scenarios that have been theoretically considered
82 so far. Note that net optical gain ($\sigma_1 < 0$) develops from the suppressed absorption at
83 around the maximum pump-probe overlap ($\Delta t \approx 0$ ps). The dispersive structure vanishes
84 after the pump pulse leaves the sample, as visualized in Fig. 2(d). Upon changing the
85 pump fluence, positions of the peak and the dip stay almost constant, as shown in Fig.
86 2(e). We also plot in the same figure the fluence dependence of the peak and dip values
87 along with the equilibrium values at 28.2 and 31.3 THz (open triangles). In the weak
88 excitation limit (< 0.1 mJ/cm²), both the peak and the dip grow linearly with the pump
89 fluence, indicating a perturbative origin of the signal. We found that no dispersive signal
90 appears when the probe is polarized perpendicularly to the pump [27], implying a
91 coherent nature of the involved processes. In addition, Fig. 2(c) verifies that the position
92 of the dispersive structure follows the center frequency of the pump, excluding the
93 possibility that the signal could arise from some special points in the band structure or
94 specific phonon modes.

95
96 In the case of semiconductors, it is known that a dispersive absorption change appears in
97 the early stage of photoexcitation as a result of excitonic effect [41,42]. In this mechanism,
98 however, the absorption peak should lie on the higher energy side of the pump photon
99 energy, which is opposite to the behavior observed here. Thus, excitonic effects are of
100 minor importance in Cd₃As₂, consistent with recent predictions [43].

101
102 From a phenomenological point of view, the dispersive absorption change in Cd₃As₂ can
103 be understood in terms of stimulated Rayleigh scattering (SRLS). Suppose that
104 application of the optical field primarily changes the real part of the refractive index.
105 When the pump and probe beams spatially overlap, their interference creates a transient
106 grating, which diffracts the pump beam into two directions; one is a new direction often
107 studied in four-wave mixing experiments, and the other the propagation direction of the

108 probe, as shown in Fig. 3(e). The latter effect suppresses or enhances absorption of the
109 probe beam depending on the phase of the diffracted wave. In case of a negative refractive
110 index change, this process results in a photoinduced absorption (emission) for a probe
111 frequency slightly lower (higher) than the pump, as seen in Fig. 3(a). This mechanism
112 accounts for our experimental results, because interband excitation actually reduces the
113 refractive index through a blueshift of the longitudinal plasma mode initially located at
114 10 THz [25,27]. The blueshift is associated with increased density of charge carriers,
115 since the squared plasma frequency is proportional to the carrier density. In nonlinear
116 optics, light scattering by light-induced density fluctuations of gases and crystals is
117 known as SRLS [13]. Therefore, the process described above also belongs to SRLS,
118 which utilizes the collective plasma oscillation of charge carriers as a novel source of it.
119 We note that this mechanism of SRLS is distinct from the conventional ones not only
120 qualitatively – in its origin – but also quantitatively. The collective nature of the plasma
121 mode enables a large refractive index change more than 1 [27], which far exceeds the
122 known cases and thus leads to unprecedentedly strong SRLS. It is interesting that metallic
123 response of solids with the plasma mode significantly enhances the coherent light-matter
124 interaction. We will discuss a possible application of such a large refractive index change
125 later. In the phenomenological model presented above, the separation between the peak
126 and the dip decreases for increasing Δt as shown in Fig. 3(b), consistent with the
127 experimental result in Fig. 2(a). Such a narrowing is explained by the detection scheme
128 in our experiment [27].

129

130 We next consider the quantum mechanical aspect of this phenomenon and discuss its
131 connection to the Floquet states. In Fig. 3(c), we plot the transient optical conductivity
132 calculated by an effective two-band model for the low-energy band structure [27]. One
133 can clearly recognize a dispersive lineshape. Knowledge of two-level systems helps us to
134 interpret this result using a level diagram. In two-level systems, the well-known ac Stark
135 effect is accompanied by a dispersive structure at the pump frequency, also called SRLS
136 [13,27]. It originates from transitions between dressed states in resonance with the driving
137 field. Extending this understanding to the continuous bands, SRLS in Cd_3As_2 is attributed
138 to transitions between the Floquet subbands resonant to the pump frequency, as
139 schematically shown in Fig. 3(f). Relatively small scattering rates in Cd_3As_2 justify such
140 a Floquet state picture. A closer look at its origin, however, reveals the difference of light-
141 matter interaction responsible for SRLS in Cd_3As_2 and in two-level systems. In the latter,
142 a usual coupling between electric dipole moments and the electric field, also called
143 paramagnetic coupling, induces relatively weak SRLS, with a sign depending on detuning

144 [13]. As a result, SRLS in two-level systems tends to be cancelled out when integrated
 145 over continuous bands, leaving a spectral hole stemming from the ac Stark effect and
 146 Pauli blocking [39]. This consequence can be seen in the blue curve in Fig. 3(d), which
 147 plots the contribution from the paramagnetic coupling only. The dispersive structure in
 148 the total optical conductivity arises from a second-order or diamagnetic coupling with the
 149 electric field, which yields the red curve in Fig. 3(d) showing good agreement with the
 150 experimental result. This coupling causes a light-induced shift of the screened plasma
 151 frequency, so that the microscopic theory also supports the phenomenological picture
 152 presented above. In Supplemental Material, we derive the macroscopic model by
 153 analyzing the diamagnetic current in the microscopic model [27]. The derivation tells us
 154 that an intermediate frequency between intraband and interband transitions is preferable,
 155 because SRLS in this case requires combination of injection and acceleration of
 156 photocarriers. These findings renew the prospect of Floquet engineering for optical
 157 properties of matter, because importance of the diamagnetic coupling has not been
 158 recognized so far. Since the above discussion does not rely on details of the band structure,
 159 SRLS is expected to occur in general semimetals and narrow-gap semiconductors with
 160 low-energy interband transitions.

161

162 Finally, from a perspective of Floquet engineering of optical functionality, we discuss the
 163 possibility of slow light generation in Cd₃As₂. Consistent with a general property of SRLS
 164 [13], the dispersive structure in transient optical conductivity can be narrowed by
 165 reducing the pump bandwidth, as shown in Fig. 4(a). Such a narrow structure in
 166 absorption is necessarily accompanied with a rapid variation in the refractive index n
 167 with frequency f , so that the group refractive index $n_g = n + f(dn/df)$ may become
 168 large. The resultant slowing down of an optical wave packet is known as slow light
 169 generation [13,44-50]. In the present experiment, we directly evaluate the broadband
 170 refractive index as a complex quantity. The top panel in Fig. 4(b) shows that a narrow dip
 171 in the refractive index develops at, e.g., $\Delta t = -0.48$ ps, leading to a group refractive
 172 index as large as 40 at 30 THz (bottom panel in Fig. 4(b)). This corresponds to 40 times
 173 deceleration of a wave packet, free from dissipation because of the negative extinction
 174 coefficient κ (middle panel in Fig. 4(b)). An even more interesting situation occurs when
 175 a metallic screening ($\epsilon_1 < 0$) by photoexcited carriers coexists with an optical gain ($\epsilon_2 <$
 176 0), where ϵ_1 and ϵ_2 stand for the real and imaginary parts of the dielectric constant,
 177 respectively. The refractive index $n = \left[\left(\sqrt{\epsilon_1^2 + \epsilon_2^2} + \epsilon_1 \right) / 2 \right]^{1/2}$ then vanishes at the
 178 boundary between absorption and gain ($\epsilon_2 = 0$), which may further enhance the rapid

179 spectral variation in n (top panel in Fig. 4(c)). The group index correspondingly exceeds
 180 300 at $\Delta t = -0.24$ ps (bottom panel in Fig. 4(d)), where a metallic screening ($\epsilon_1 < 0$)
 181 develops with the help of the SRLS itself. Remarkably, the extinction coefficient $\kappa =$
 182 $(\text{sgn } \epsilon_2) \left[\left(\sqrt{\epsilon_1^2 + \epsilon_2^2} - \epsilon_1 \right) / 2 \right]^{1/2}$ remains negative in this gain region (middle panel in
 183 Fig. 4(c)), so that a probe wave does not decay in spite of the metallic character in ϵ_1 . An
 184 electromagnetic pulse therefore might be slowed down more than 300 times without loss
 185 under the present experimental condition.

186

187 Most previous studies of slow light generation used electromagnetically induced
 188 transparency [44-46,49] and photonic-band engineering [50] as the origin of a refractive
 189 index change Δn , which typically amounts to ~ 0.01 and ~ 0.1 , respectively. In our case,
 190 by contrast, $\Delta n > 1$ is so large that n even vanishes. A relatively large bandwidth
 191 $\Delta f \sim 0.5$ THz of the dispersion limits the achievable group refractive index here. This
 192 is not necessarily a disadvantage, because a broader dispersion allows a shorter pulse to
 193 be slowed down. In fact, photonic-band engineering emerged as a way to generate slow
 194 light with a broad bandwidth (\sim THz) [50], compared to a much narrower one (\sim kHz)
 195 achieved by electromagnetically induced transparency. Our experimental results show
 196 that lossless and broadband slow light generation is possible by simply shedding infrared
 197 light to a semimetal at room temperature. To avoid complication by transient effects, such
 198 as the temporal change from Fig. 4(b) to (c), continuous-wave or nanosecond CO₂ lasers
 199 promise better choice as the pump light source, though optical heating should be
 200 suppressed by efficient cooling. We expect SRLS to be robust against excitation-induced
 201 dephasing and scattering even for such a long-lasting driving, because its coherence time
 202 is determined by the relatively long carrier lifetime $T_1 = 8$ ps. The available bandwidth
 203 then becomes $\Delta f \sim 1/T_1 = 0.13$ THz, still keeping a relatively large value. We leave
 204 the implementation of slow light generation with this mechanism as a topic of future
 205 studies.

206

207 In summary, we performed ultrafast pump-probe spectroscopy on a Cd₃As₂ thin film in
 208 the multiterahertz frequency region, to find SRLS to dominate the transient absorption
 209 spectrum in the pump-probe overlap. Macroscopically, it originates from a transient
 210 grating with a blueshifted plasma frequency in the interfering pump and probe fields. The
 211 characteristic dispersive lineshape can be further traced back to microscopic optical
 212 transitions between the light-dressed electronic bands, the Floquet subbands, assisted by
 213 a diamagnetic coupling with the optical field. The concomitant sharp dispersion in the

214 transient refractive index may be applicable to semimetal-based, lossless, broadband slow
215 light generation at room temperature. These findings reveal a general aspect of light-
216 matter interaction and lay the foundation of Floquet engineering for optical response of
217 continuous energy bands. The application of circularly polarized driving fields promises
218 an interesting future direction because of its ability to manipulate band topology and
219 magnetic symmetry [8-10,51,52].

220

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230

231 R.M. conceived this project. M.G. and S.S. fabricated the sample. N.K. and T.M.
232 evaluated the linear response function. Y.M. and N.K. developed the pump-probe
233 spectroscopy system with the help of J.Y., Y.K., and R.M. N.K. performed the experiment
234 and analyzed the data. Y.M. conducted the phenomenological analysis. Y.M. and T.N.I.
235 performed the microscopic calculations. All the authors discussed the results. Y.M. wrote
236 the manuscript with the substantial help of N.K., T.N.I., and R.M., with the feedbacks
237 from all the other coauthors.

238

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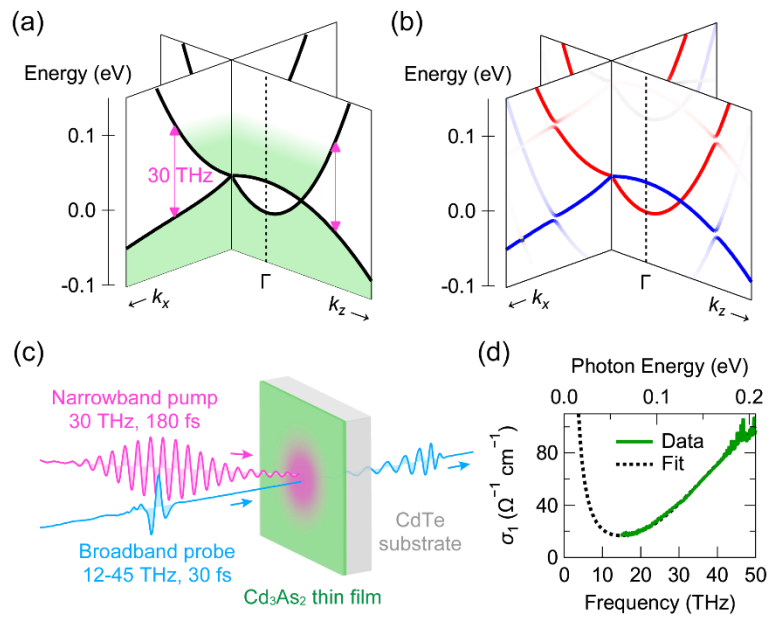
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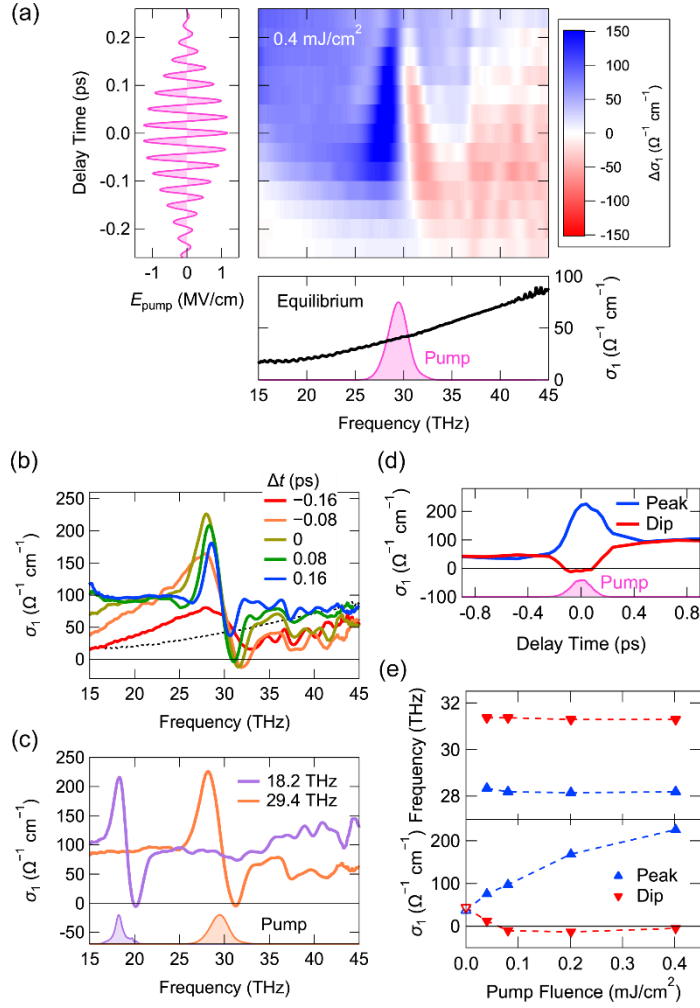
394 **Figures and figure captions**



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396 FIG. 1. (a) Band structure of Cd₃As₂ around the Γ point [26]. (b) Schematic picture of the
 397 Floquet state formation by a periodic optical field. (c) Setup of the pump-probe
 398 experiment. (d) Optical conductivity of the sample. The model fitting (dotted line) takes
 399 into account the lower-frequency data outside the panel [25].

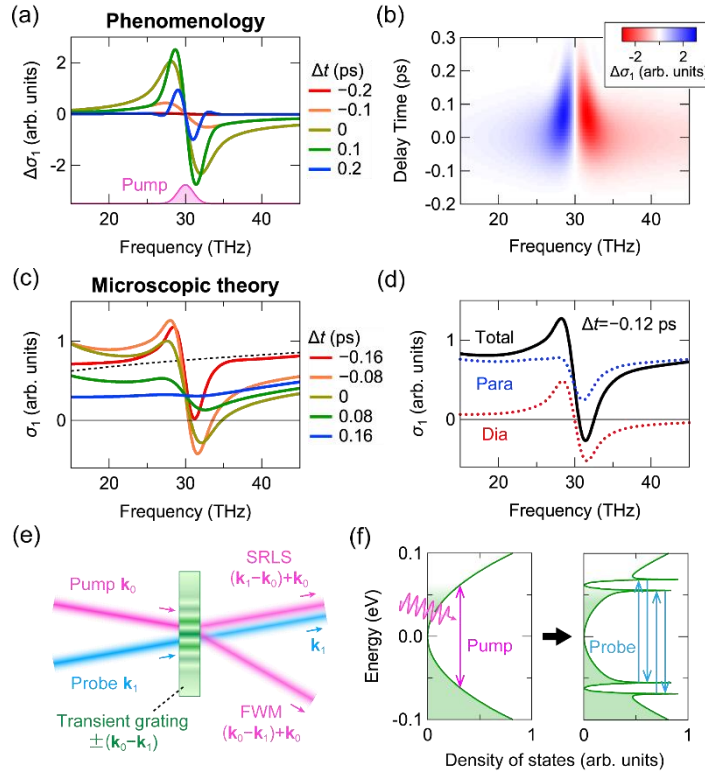
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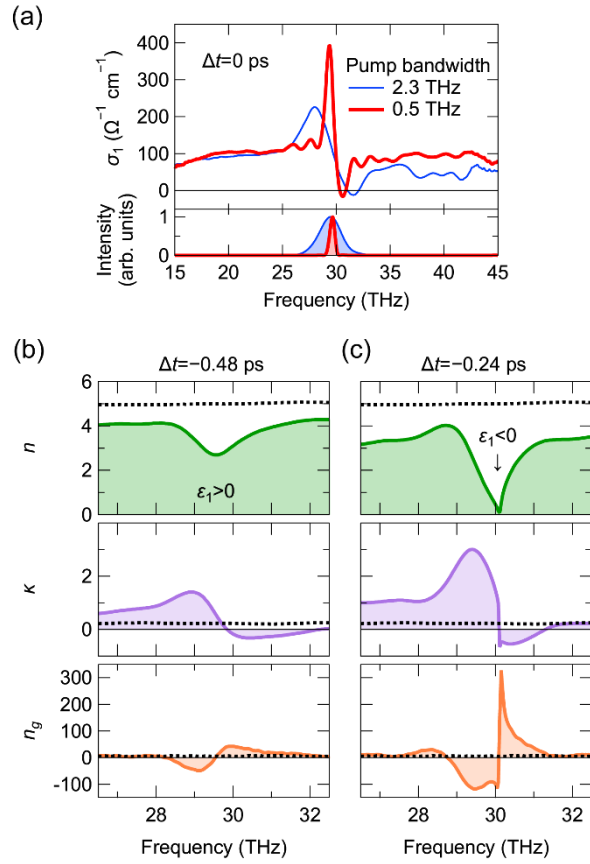
402 FIG. 2. (a) Change of the optical conductivity as a function of frequency (horizontal axis)
 403 and pump-probe delay time Δt (vertical axis). Waveform of the pump pulse is shown on
 404 the left. The equilibrium optical conductivity is plotted on the bottom along with the pump
 405 power spectrum. Pump and probe pulses are collinearly polarized. (b) Transient optical
 406 conductivity at several delay times. The equilibrium spectrum is shown as a dotted line.
 407 (c), Optical conductivity at $\Delta t = 0.04$ ps for different pump frequencies, i.e., 29.4 THz
 408 (the same as in (a), (b)) and 18.2 THz (with a fluence of 0.25 mJ/cm^2 , a peak electric field
 409 of 0.9 MV/cm). (d) Delay time dependence of the peak and dip values extracted from (a).
 410 Temporal profile of the pump intensity is shown as the shaded curve. (e) Top: Positions
 411 of the peak and the dip in optical conductivity at $\Delta t = 0.04$ ps, as a function of pump
 412 fluence. Bottom: A similar plot for the conductivity values at the peak and the dip.
 413 Equilibrium values at 28.2 and 31.3 THz are added as open triangles.

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416 FIG. 3. (a) Change of the optical conductivity $\Delta\sigma_1$ calculated by a phenomenological
 417 model. Theoretical details are given in Method. (b) Two-dimensional plot of $\Delta\sigma_1$ as a
 418 function of frequency (horizontal axis) and pump-probe delay time (vertical axis). (c)
 419 Transient optical conductivity calculated by a microscopic model. Theoretical details are
 420 given in Method. (d) Contributions from the paramagnetic (blue) and diamagnetic (red)
 421 currents in the total optical conductivity (black) at $\Delta t = -0.12$ ps. (e) Geometric picture
 422 of stimulated Rayleigh scattering (SRLS) and four-wave mixing (FWM). \mathbf{k}_0 and \mathbf{k}_1
 423 denote wavevectors of the pump and the probe, respectively. (f) SRLS induced by Floquet
 424 states in continuous bands. Ordinary ac Stark effect corresponds to transitions between
 425 the topmost and bottom peaks, and between the intermediate peaks, in the density of states.
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 428 FIG. 4. (a) Transient optical conductivity for broader (thin) and narrower (thick) pump
 429 pulses. Pump power spectra are plotted on the bottom with their FWHM indicated in the
 430 figure. The broader pump is the same as in Fig. 2(a), while the narrower one has a pulse
 431 width of 0.88 ps, a fluence of 1.7 mJ/cm², and a peak electric field of 1.2 MV/cm. (b)
 432 Refractive index n (top), extinction coefficient κ (middle), and group refractive index
 433 n_g (bottom) measured at $\Delta t = -0.48$ ps for the narrower pump in (a). Equilibrium
 434 spectra are shown as dotted lines. (c) The same data set for $\Delta t = -0.24$ ps.
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