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Phys. Rev. Lett. **127**, 051101 — Published 30 July 2021

DOI: 10.1103/PhysRevLett.127.051101

A deep search for decaying dark matter with XMM-Newton blank-sky observations

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Sterile neutrinos with masses in the keV range are well-motivated extensions to the Standard Model that could explain the observed neutrino masses while also making up the dark matter (DM) of the Universe. If sterile neutrinos are DM then they may slowly decay into active neutrinos and photons, giving rise to the possibility of their detection through narrow spectral features in astrophysical X-ray data sets. In this work, we perform the most sensitive search to date for this and other decaying DM scenarios across the mass range from 5 to 16 keV using archival *XMM-Newton* data. We reduce 547 Ms of data from both the MOS and PN instruments using observations taken across the full sky and then use this data to search for evidence of DM decay in the ambient halo of the Milky Way. We determine the instrumental and astrophysical baselines with data taken far away from the Galactic Center, and use Gaussian Process modeling to capture additional continuum background contributions. No evidence is found for unassociated X-ray lines, leading us to produce the strongest constraints to date on decaying DM in this mass range.

Sterile neutrino dark matter (DM) is a well-motivated DM candidate that may give rise to observable nearly monochromatic X-ray signatures [1–3]. In this scenario the DM has a mass in the keV range and may decay into an active neutrino and an X-ray, with energy set by half the rest mass of the sterile neutrino [4]. Sterile neutrino DM is motivated in part by the seesaw mechanism for explaining the active neutrino masses [5, 6]. In this work we present one of the most sensitive searches for sterile neutrino DM, along with other DM candidates that may decay to monochromatic X-rays, over the mass range $m_{\chi} \in [5, 16]$ keV. We do so by searching for DM decay from the ambient halo of the Milky Way using all archival data from the XMM-Newton telescope collected from its launch until September 5, 2018.

This work builds heavily off the method developed in Dessert et al. [7], which used XMM-Newton blank-sky observations (BSOs) to strongly disfavor the decaying DM explanation of the previously-observed 3.5 keV unidentified X-ray line (UXL). This UXL was found in nearby galaxies and clusters [8–12]. However the analysis performed in Dessert et al. [7] was able to robustly rule out the DM decay rate required to explain the previous 3.5 keV UXL signals [13]. (For additional non-observations, see Refs. [14-20].) We extend the search in Dessert *et al.* [7] to the broader mass range $m_{\chi} \in [5, 16]$, and in doing so implement the following notable differences: (i) we use a data-driven approach to construct stacked, backgroundsubtracted data sets in rings around the Galactic Center (GC), while Ref. [7] performed a joint-likelihood analysis at the level of individual exposures, and (ii) we use

Gaussian Process (GP) modeling to describe continuum residuals, instead of parametric modeling as used in [7].

As demonstrated in Dessert *et al.* [7], BSO searches for DM decaying in the Milky Way halo can be both more sensitive and more robust than extra-galactic searches, because (i) the expected DM flux, even at angles $\sim 45^{\circ}$ away from the GC, rivals the expected flux from the most promising extra-galactic objects, such as M31 and the Perseus cluster; (ii) promising extra-galactic targets have continuum and line-like X-ray features that are confounding backgrounds for DM searches (dwarf galaxies being an exception [18, 21]), while BSOs instead focus on the lowest-background regions of the sky; (iii) extra-galactic targets require pointed observations, while in principle any observation collected by *XMM-Newton* is sensitive to DM decay in the Milky Way, opening up considerably more exposure time.

The limits presented in this work represent the strongest found using the XMM-Newton instrument over the energy range $\sim 2.5-8$ keV. At higher energies our limits are superseded with those found using the NuSTAR satellite [22–26]. Ref. [24] performed a search similar in spirit to that in this work (though with NuSTAR) in that they looked for DM decay from the Milky Way halo near the GC ($\sim 10^{\circ}$ away in their case), while Ref. [26] searched for DM decay from M31 with NuSTAR.

Our results put in tension efforts to explain the abundance of DM with sterile neutrinos. For example, in the Neutrino Minimal Standard Model (ν MSM) [27–29], which may simultaneously explain the observed neutrino masses, DM density, and baryon asymmetry, the Standard Model is supplemented by three heavier sterile neutrino states, the lightest of which is the DM candidate. The DM abundance is generated through the mixing of sterile and active neutrinos [1], which can further be resonantly enhanced by a finite lepton chemical poten-

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tial [2, 29-35], though other production mechanisms are also possible [3, 36, 37]. DM models such as axion-likeparticle DM [38] and moduli DM [39] predict similar UXL signatures from DM decay.

Data reduction and processing. We process and analyze all publicly-available data collected before 5 September 2018 by the metal oxide semiconductor (MOS) and positive-negative (PN) cameras on board XMM-Newton. We subject each exposure to a set of quality cuts, which are described shortly. Those exposures satisfying the quality cuts are included in our angularly-binned data products. In particular, we divide the sky into 30 concentric annuli centered around the GC, each with a width of 6° in angular radius from the GC, $r_{\rm GC}$, where $\cos(r_{\rm GC}) = \cos(l)\cos(b)$ in terms of the Galactic longitude, l, and latitude, b. We label these from 1 to 30, starting from the innermost ring. We further mask the Galactic Plane such that we only include the region $|b| \geq 2^{\circ}$. In each ring we then produce stacked spectra where, in each energy bin, we sum over the counts from each exposure whose central position lies within that annulus. We produce separate data sets for the MOS and PN cameras, which have 2400 and 4096 energy channels, respectively. In addition to stacking the counts in each ring and energy channel, we also construct the appropriately weighted detector response matrices in every ring for forward modeling an incident astrophysical flux. The full-sky maps and associated modeling data are provided as Supplementary Data [40] in both the annuli and in finer-resolution HEALPix binning [41]. We analyze the MOS data from 2.5 to 8 keV and the PN data from 2.5to 7 keV, in order to exclude intervals containing large instrumental features.

Data analysis. Having constructed our data in all 30 rings, we divide the full sky into two regions of interest (ROI): a signal ROI, consisting of annuli 1 through 8 $(0^{\circ} \leq r_{\rm GC} \leq 48^{\circ}$ with $|b| \geq 2^{\circ}$), inclusive, and the background ROI, consisting of annuli 20 through 30 $(114^{\circ} \leq r_{\rm GC} \leq 180^{\circ} \text{ with } |b| \geq 2^{\circ})$. The regions are illustrated in Fig. 1. The MOS (PN) exposure time in the signal ROI is 25.27 Ms (5.56 Ms), whereas in the background ROI it is 62.51 Ms (17.54 Ms). The signal flux is proportional to the *D*-factor, which is defined by the line-of-sight integral of the Galactic DM density $\rho_{\rm DM}, D \equiv \int ds \, \rho_{\rm DM}$. In Fig. 1 we show the appropriately weighted D-factor in each annuli. The motivation for the two ROIs is that the signal should dominate in the inner regions of the Galaxy and become progressively weaker further away from the GC. The background ROI is chosen to be large enough to have significantly more exposure time than the signal ROI, so that using the backgroundsubtracted data does not significantly broaden the statistical uncertainties. We stack the data over the full background ROI, which has D-factor D_{bkg} , and use this as an estimate of the instrumental and astrophysical baseline fluxes by subtracting this data from the data in each ring of the signal ROI. This subtraction mostly removes large instrumental lines, as illustrated in Supplementary



expected DM signal flux. Values are given in all 30 annuli, which are 6° wide in angular distance from the GC (with $|b| > 2^{\circ}$), and we define a signal and background ROI as shown. In each ring, we compute the *D*-factor of all MOS or PN exposures, weighted according to the observation time and field of view. The horizontal line indicates $D_{\rm bkg}$, the mean *D*-factor in the background ROI.

Material (SM) Fig. S1.

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We analyze the background-subtracted data in each annulus for evidence of a UXL. The data is modeled as a combination of narrow spectral features at the locations of known astrophysical and instrumental lines, and a continuum flux which we account for using GP modeling. Note that the instrumental lines need not be completely removed by the data-subtraction procedure, leaving a residual flux or flux deficit that must be modeled. Astrophysical emission lines from the Milky Way plasma should be brighter in the signal ROI, and so are also expected to appear in the background-subtracted data. For both astrophysical and instrumental lines, the lines are modeled using the forward modeling matrices for MOS and PN. We allow the instrumental lines to have either positive or negative normalizations, while the astrophysical lines are restricted to have positive normalizations. To decide which lines to include in our residual background model we start with an initial list of known instrumental and astrophysical lines. The instrumental lines are determined from an analysis of the background ROI data, while the astrophysical lines are those expected to be produced by the Milky Way. In each ring, and for MOS and PN independently, we then determine the significance of each emission line, keeping those above $\sim 2\sigma$. As a result, every ring has a different set of lines included in the analysis. We note that it is conceivable that a UXL might be inadvertently removed by an overly-subtracted instrumental line at the same energy; however, it would be highly unlikely for such a conspiracy to occur in every ring, given the varying D-factor. The effects of sub-threshold instrumental lines are mitigated through a *spurious-signal* nuisance parameter [42], as discussed in the SM.

The unprecedented data volume incorporated into this analysis necessitates a flexible approach to modeling the residual continuum emission, which is accomplished with GP modeling, in order to minimize background mismodeling. As opposed to parametric modeling, where the model is specified by a specific functional form and associated list of model parameters, GP modeling is non-parametric: the model expectations for the data at two different energies, E and E', are assumed to be normally distributed with nontrivial covariance. Taking the model expectation to have zero mean, the GP model is then fully specified by the covariance kernel, K(E, E'). We model the mean-subtracted data using the non-stationary kernel $K(E, E') = A_{\rm GP} \exp \left[-(E - E')^2/(2EE'\sigma_E^2)\right], \text{ imple-}$ mented in george [43], where σ_E is the correlation-length hyperparameter and $A_{\rm GP}$ is the amplitude hyperparameter. We fix σ_E such that it is larger than the energy resolution of the detector, which is $\delta E/E \sim 0.03$ across most energies for MOS and PN, while ensuring σ_E is kept small enough to have the flexibility to model real variations in the data. The goal is to balance two competing effects. If σ_E approaches the lower limit imposed by the energy resolution of the detector, then the GP model would have the flexibility to account for line-like features, which would reduce our sensitivity when searching for such features over the continuum background. On the other hand, if σ_E is too large then the GP continuum model may not accurately model real small-scale variations in the data. In our fiducial analysis we fix $\sigma_E = 0.3$, though in the SM we show that our results are robust to variations not only in this choice, but also to modifications to the form of the kernel itself. In contrast, the hyperparameter $A_{\rm GP}$ is treated as a nuisance parameter that is profiled over when searching for UXLs.

We then follow the statistical approach developed in Frate et al. [44], which used GP modeling to perform an improved search for narrow resonances over a continuum background in the context of the Large Hadron Collider. In particular, we construct a likelihood ratio Λ between the model with and without the signal component, where the signal is the UXL line at fixed energy $E_{\rm sig}$. The null model is as above, the combination of a GP model with a single nuisance parameter $A_{\rm GP}$, and a set of background lines, whose amplitudes are treated as nuisance parameters. We use the marginal likelihood from the GP fit in the construction of the likelihood ratio [44]. Note that as the number of counts in all energy bins is large ($\gg 100$), we are justified in assuming normallydistributed errors in the context of the GP modeling. We then profile over all nuisance parameters. Finally, the discovery significance is quantified by the test statistic (TS) $t = -2 \ln \Lambda$. We verify explicitly in the SM that under the null hypothesis t follows a χ^2 -distribution. The 95% one-sided upper limits are constructed from the profile



Figure 2. The background-subtracted MOS data for the innermost annulus, downbinned by a factor of four for presentation purposes. The indiciated best fit null and signal models, for a 3.5 keV UXL, are constructed using the GP modeling described in the text.

likelihood, as a function of the signal amplitude.

We implement this procedure and scan for a UXL from 2.5 to 8 keV in 5 eV intervals. At each test point we construct profile likelihoods for signal flux independently for each ring using the background-subtracted MOS and PN data. We then combine the likelihoods between rings – and eventually cameras – in a joint likelihood in the context of the DM model, as discussed shortly. As an example, Fig. 2 illustrates the signal and null model fits to the innermost MOS background-subtracted signal-annulus data for a putative UXL at 3.5 keV (indicated by the vertical dashed line). Note that while the fit is performed over the full energy range (2.5-8 keV) for clarity we show the data zoomed in to the range 3 to 4 keV. In this case the data have a deficit, which manifests itself as a signal with a negative amplitude.

DM interpretation. We combine together the profile likelihoods from the individual annuli to test the decaying DM model. In the context of sterile neutrino DM with mass m_{χ} and mixing angle θ , the DM decay in the Galactic halo produces an X-ray flux at energy $m_{\chi}/2$ that scales as $\Phi \propto m_{\chi}^4 D \sin^2(2\theta)$ [45]. Note that the D-factors, appropriately averaged over observations in the individual annuli, are illustrated in Fig. 1. Thus, at fixed DM mass m_{χ} we may construct profile likelihoods as functions of $\sin^2(2\theta)$ to appropriately combine the profile likelihoods as functions of flux in the individual annuli. We subtract off $D_{\rm bkg}$ from the D-factors in each signal ring since any UXL would also appear in the background ROI and thus be included in the background subtraction.

The *D*-factors may be computed from the DM density profile of the Milky Way. Modern hydrodynamic cosmological simulations indicate that the DM density profile in Milky Way mass halos generally have a high degree of spherical symmetry (for a review, see Ref. [46]). Further, the presence of baryons contracts the inner ~10 kpc of the profile away from the canonical Navarro, Frenk, and White (NFW) DM distribution [47, 48], so that there is an enhancement of the DM density at smaller radii versus the NFW expectation [49–54], though cores could develop on top of this contraction at radii $\leq 2 \text{ kpc}$ [55–58]. For example, in Milky Way analogue halos within the Fire-2 simulations the DM-only and hydrodynamic simulations produce DM density profiles that agree within $\sim 25\%$ at 10 kpc, but with baryons the density profiles are typically around twice as large as the NFW DM-only expectation at distances $\sim 1 \text{ kpc}$ away from the GC [53]. To be conservative we assume the canonical NFW density profile for all radii, though in the SM we discuss how our results change for alternate density profiles.

The NFW profile is specified by a characteristic density ρ_0 and a scale radius r_s : $\rho_{\rm DM}(r) = \rho_0/(r/r_s)/(1+r/r_s)^2$. We use the recent results from Cautum et al. [59], who combined *Gaia* DR2 Galactic rotation curve data [60] with total mass estimates for the Galaxy from satellite observations [61, 62]. These data imply, in the context of the NFW model, a virial halo mass $M_{200}^{\rm DM} = 0.82^{+0.09}_{-0.18} \times$ $10^{12} M_{\odot}$ and a concentration $c = r_{200}/r_s = 13.31^{+3.60}_{-2.68}$ with a non-trivial covariance between M_{200}^{DM} and c [59] such that lower concentrations prefer higher halo masses. Within the 2D 68% containment region for $M_{200}^{\rm DM}$ and cquoted in Ref. [59], the lowest DM density at $r \approx 0.5$ kpc, and thus the most conservative profile for the present analysis, is obtained for $\rho_0 = 6.6 \times 10^6 \ M_\odot/{\rm kpc}^3$ and $r_s = 19.1$ kpc. We adopt these values for our fiducial analysis. With our choice of NFW DM parameters the local DM density, at the solar radius, is $\sim 0.29 \text{ GeV/cm}^3$ (cf. 0.4 GeV/cm^3 used in Dessert et al. [7]), which is consistent with local measurements of the DM density using the vertical motion of tracer stars perpendicular to the Galactic plane, see, e.g., Refs. [63, 64].

We search for evidence of decaying DM in 10 eV intervals in mass between 5-16 keV, masking 0.1 keV windows around the locations of known lines, as indicated in Fig. 3. We construct the joint likelihoods for the MOS and PN data sets. We test and account for additional background mismodeling in the MOS and PN analyses by looking at the distribution of best-fit mixing angles in the energy side-bands, using a technique similar to the "spurious signal" used by ATLAS in the search for the Higgs boson [42]. This procedure is described in the SM and only has a small effect at low masses. We then combine, at a given mass, the resulting MOS and PN profile likelihoods to obtain the final profile likelihood used to construct the limit and discovery significance shown in Fig. 3. In that figure we show the one-sided 95% upper limit on $\sin^2(2\theta)$ in the upper panel, along with the 1 and 2σ expectations for the power-constrained upper limit [65] under the null hypothesis (shaded green and gold, respectively).

We find no evidence for decaying DM signals above our pre-determined significance threshold of 5σ global significance (corresponding to $\sim 6\sigma$ local significance), as shown in the bottom panel. In that figure we compare our upper limit to previous limits in the literature, adjusted to our fiducial DM model for the Milky Way where appropriate. In the context of the ν MSM it is impossible to explain



 $m_{\chi} \, [\text{keV}]$

 $\sin^2(2\theta)$

Figure 3. (Upper) The power-constrained 95% upper limit on the DM lifetime from this work, presented in the context of the sterile-neutrino mixing angle $\sin^2(2\theta)$, as a function of the DM mass m_{χ} . The dark grey regions correspond to theoretical bounds for DM underproduction in the ν MSM or bounds from previous X-ray searches (1)–(5); see text for details. (Lower) The associated sign-weighted significance for the UXL. Vertical grey regions denote background lines and are at least partially masked. Green and gold regions indicate $1/2\sigma$ expectations under the null hypothesis. These results are shown in the context of more general DM models as constraints on the DM lifetime in SM Fig. S6.

all of the observed DM in the region marked "DM under production" because of the big bang nucleosynthesis bound on the lepton chemical potential [30-32]. Note that the ν MSM also predicts that the DM becomes increasingly warm for decreasing m_{χ} , which leads to tension with Milky Way satellite galaxy counts for low m_{χ} : data from the Dark Energy Survey and other Galactic satellite surveys [66] constrains m_{χ} greater than ~15–20 keV in the ν MSM [67] (which can be strengthened further when combined with strong lensing measurements [68]), though we note that our results apply to more general DM production mechanisms that do not predict modifications to small-scale structure. In Fig. 3 we also show previous X-ray limits from (1) Dessert *et al.* [7], (2) a Chandra search for DM decay in the Milky Way [69], (3) a *Chandra* search for DM decay in M31 [14], and (4) combined *NuSTAR* searches for DM decay: in the Milky Way [22-24], the Bullet Cluster [25], and M31 [26]. Note that the results from Milky Way searches have been adjusted to use the same DM density profile as in our fiducial analysis.

Discussion. We find no significant evidence for decaying DM, which leads us to set some of the strongest constraints to-date on the DM lifetime. We confirm the results of Dessert *et al.* [7] for the non-observation of a DM decay line near 3.5 keV using a more robust and flexible analysis strategy, leaving little room for a decaying DM explanation of the previously-observed 3.5 keV anomalies [8–12]. (See the SM for further discussion.)

Given the data volume incorporated into this analysis it is unlikely that further analyses of XMM-Newton data, or Chandra data, could produce qualitatively stronger results on the DM lifetime in the mass range considered here. However, the approach taken in this work may lead to a powerful advancement in discovery power with future data sets from surveys such as those by the upcoming Athena [70] and XRISM [71] telescopes. A combination of the data collected by those missions and the analysis framework introduced in this work may lead to the discovery of decaying DM in the few-keV mass range at lifetimes beyond those probed in this work.

ACKNOWLEDGMENTS

We thank Kerstin Perez and Christoph Weniger for useful conversations. This work was supported in part by the DOE Early Career Grant DESC0019225. This research used resources of the National Energy Research Scientific Computing Center (NERSC) and the Lawrencium computational cluster provided by the IT Division at the Lawrence Berkeley National Laboratory, supported by the Director, Office of Science, and Office of Basic Energy Sciences, of the U.S. Department of Energy under Contract No. DE-AC02-05CH11231. NLR is supported by the Miller Institute for Basic Research in Science at the University of California, Berkeley. KC is partially supported by NSF grant PHY-1505463m, NSF awards ACI-1450310, OAC-1836650, and OAC-1841471, and the Moore-Sloan Data Science Environment at NYU.

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