

This is the accepted manuscript made available via CHORUS. The article has been published as:

# Odd Viscosity in Active Matter: Microscopic Origin and 3D Effects

Tomer Markovich and Tom C. Lubensky

Phys. Rev. Lett. **127**, 048001 — Published 21 July 2021

DOI: [10.1103/PhysRevLett.127.048001](https://doi.org/10.1103/PhysRevLett.127.048001)

# Odd viscosity in active matter: microscopic origin and 3D effects

Tomer Markovich<sup>1\*</sup> and Tom C. Lubensky<sup>2</sup>

<sup>1</sup>*Center for Theoretical Biological Physics, Rice University, Houston, TX 77005, USA*

<sup>2</sup>*Department of Physics and Astronomy, University of Pennsylvania, Philadelphia, Pennsylvania 19104, USA*

(Dated: June 2, 2021)

In common fluids, viscosity is associated with dissipation. However, when time-reversal-symmetry is broken a new type of nondissipative ‘viscosity’ emerges. Recent theories and experiments on classical 2D systems with active spinning particles have heightened interest in odd viscosity, but a microscopic theory for it in active materials is still absent. Here we present such first-principles microscopic Hamiltonian theory, valid for both 2D and 3D, showing that odd viscosity is present in any system, even at zero temperature, with globally or locally aligned spinning components. Our work substantially extends the applicability of odd viscosity into 3D fluids, and specifically to internally driven active materials, such as living matter (*e.g.*, actomyosin gels). We find intriguing 3D effects of odd viscosity such as propagation of anisotropic bulk shear waves and breakdown of Bernoulli’s principle.

Active materials are composed of many components that convert energy from their environment into directed mechanical motion. Time reversal symmetry (TRS) is thus locally broken leading to novel phenomena such as motility-induced phase separation [1], giant density fluctuations [2, 3], and entropy production in the (nonequilibrium) steady state [4, 5]. Examples of active matter are abundant and range from living matter such as bacteria [6, 7], cells [8, 9], actomyosin networks [3, 10, 11], and bird flocks [12] to driven Janus particles [13, 14], colloidal rollers [15, 16], and macroscale driven chiral rods [17].

One of the striking phenomena arising from broken TRS is the possible appearance of a so-called *odd* or Hall viscosity. In general the viscosity,  $\eta_{ijkl}$ , relates stress,  $\sigma_{ij}$ , to deformation rate,  $\nabla_i v_k$ . When TRS holds, Onsager reciprocal relations (ORR) [18–20] for equilibrium fluids require that the standard dissipative viscosity tensor  $\eta_{ijkl}^e$  be even under time reversal (TR) and under the interchange  $ij \leftrightarrow kl$ . However, when TRS is broken, ORR predict an additional ‘odd viscosity’ (OV) that is odd under both TR and interchange of  $ij$  and  $kl$ :  $\eta_{ijkl}^o = -\eta_{klji}^o$ . This *odd viscosity* is nondissipative and does not produce entropy or heat. Hence, it should be present even in a purely nondissipative Hamiltonian system [21].

Odd viscosity, often called gyro viscosity, has been studied for some time in gases [22] and plasmas in a magnetic field [23, 24], and in superfluid He<sup>3</sup> [25]. It was first discussed by Avron and co-workers in the context of quantum-Hall fluids [26] and hypothetical 2D odd fluids [27] in which OV is compatible with rotational isotropy. Subsequently, the effects of OV in quantum-Hall fluids were thoroughly investigated [28–32]. Recent research has paid much less attention to 3D systems.

The fact that TRS is inherently broken in active matter inspired recent investigations of OV in classical active materials [17, 33–37], all of which focused on 2D fluids. Most of these studied the phenomenology of OV, though Ref. [33] derives the OV from an assumed hydrodynamic action and Ref. [38] presents a semi-

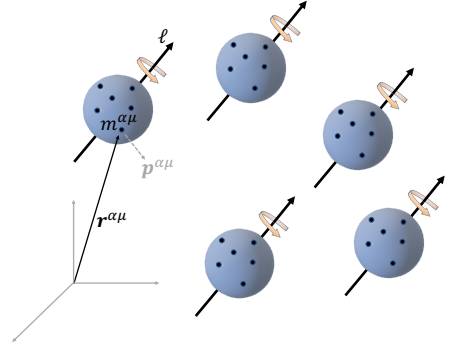


Figure 1. Schematic of our model system. Each molecule with CM at  $\mathbf{r}^\alpha$  (large blue spheres) is composed of many point-like particles (small black spheres) located at  $\mathbf{r}^{\alpha\mu}$  with mass  $m^{\alpha\mu}$  and momentum  $\mathbf{p}^{\alpha\mu}$ . Each molecule has the same angular momentum  $\ell^\alpha$ , which could be a consequence of *e.g.* an external field. The angular momentum breaks rotational symmetry.

microscopic theory for 2D active chiral fluids in which OV is found numerically using Langevin dynamics simulations. Odd viscosity has also been experimentally confirmed in 2D [39], verifying the existence of unidirectional edge-states [35, 40].

In this paper we present a first-principles microscopic Hamiltonian theory for odd viscosity in both 2D and 3D using the Poisson-Bracket (PB) approach [41–43]. Our theory, valid even at zero temperature, suggests that any system with globally or locally aligned spinning components has global (or local) OV arising from kinetic energy alone (other contributions are possible). We discuss some consequences of OV in 3D fluids, including the breakdown of Bernoulli’s principle and the existence of anisotropically propagating bulk transverse velocity waves. We further show that OV should be present in many active matter systems, including 3D ones. Specifically, we expect to find signatures of OV in swimming bacteria and actomyosin networks.

We view a fluid as a large collection of particles (rigid

molecules), with center-of-mass (CM) position  $\mathbf{r}^\alpha$ , each composed of multiple sub-particles (atoms) with mass  $m^{\alpha\mu}$  and momentum  $\mathbf{p}^{\alpha\mu}$  located at  $\mathbf{r}^{\alpha\mu}$ , Fig. 1. The total momentum density is  $\hat{\mathbf{g}}(\mathbf{r}) = \sum_{\alpha\mu} \mathbf{p}^{\alpha\mu} \delta(\mathbf{r} - \mathbf{r}^{\alpha\mu})$ , which, when coarse-grained, becomes [44, 45]

$$\mathbf{g}(\mathbf{r}) = \mathbf{g}^c + \frac{1}{2} \nabla \times \boldsymbol{\ell}, \quad (1)$$

where  $\mathbf{g}^c = \rho \mathbf{v}^c$  is the CM momentum density,  $\rho$  the mass density,  $\mathbf{v}^c$  the CM velocity, and  $\boldsymbol{\ell}(\mathbf{r}) = \underline{\mathbf{I}} \cdot \boldsymbol{\Omega}$  is the angular-momentum density of spinning particles, where  $\underline{\mathbf{I}}$  is the moment-of-inertia density and  $\boldsymbol{\Omega}$  is the rotation-rate vector. Because by definition  $\boldsymbol{\ell} = 0$  for point-like particles, we necessarily consider complex particles. Although  $\boldsymbol{\ell}$  affects local momentum both in the bulk and on the surface, it does not contribute to the total momentum because  $\int d\mathbf{r} \nabla \times \boldsymbol{\ell} = 0$ . It does, however, contribute to the total angular momentum,  $\mathbf{L} = \int d\mathbf{r} (\mathbf{r} \times \mathbf{g}^c + \boldsymbol{\ell})$ . Like a magnetic field,  $\boldsymbol{\ell}$  is a pseudovector that is even under parity (P) and odd under TR.

Balance of angular momentum implies that the stress tensor  $\boldsymbol{\sigma}$  associated with  $\mathbf{g}$  can always be symmetrized [45]. Then, the viscosity  $\eta_{ijkl}$  is invariant under the exchanges  $i \leftrightarrow j$  and  $k \leftrightarrow l$ . On the other hand,  $\mathbf{g}^c$  does not count all momentum, and its stress tensor  $\boldsymbol{\sigma}^c$  can have antisymmetric contributions. As shown below, a ‘proper’ OV (obeying ORR) appears in  $\boldsymbol{\sigma}$ , while  $\boldsymbol{\sigma}^c$  contains only part of it.

In writing (1) we assume the system is structurally isotropic. However, the presence of  $\boldsymbol{\ell}$  breaks rotational invariance. The essential features of OV are seen for a purely kinetic Hamiltonian, which is written within our model after coarse graining [44] (full coarse-graining details will be shown elsewhere [46]) as [47].

$$H = \int d\mathbf{r} \frac{\mathbf{g}^2}{2\rho} = \int d\mathbf{r} \left[ \frac{(\mathbf{g}^c)^2}{2\rho} + \boldsymbol{\ell} \cdot \boldsymbol{\omega}^c \right], \quad (2)$$

where  $\boldsymbol{\omega}^c = \frac{1}{2} \nabla \times \mathbf{v}^c$  is half the fluid vorticity and  $\mathbf{g} = \rho \mathbf{v}$  with  $\mathbf{v}$  the fluid velocity. Note that in the second equality we dropped a non-hydrodynamic term  $\sim (\nabla \times \boldsymbol{\ell})^2$ . Although this Hamiltonian is standard in terms of  $\mathbf{g}$ , it is peculiar in terms of  $\mathbf{g}^c$ , where the second term couples angular momentum density and vorticity. This term was added *ad-hoc* (with opposite sign) in [33, 48] to a hydrodynamic action and was crucial in deriving the OV.

As detailed in [44], using the PBs [49]

$$\begin{aligned} \{g_i^c(\mathbf{r}), \rho(\mathbf{r}')\} &= \rho(\mathbf{r}) \nabla_i \delta(\mathbf{r}' - \mathbf{r}), \\ \{g_i^c(\mathbf{r}), g_j^c(\mathbf{r}')\} &= g_i^c(\mathbf{r}') \nabla_j \delta(\mathbf{r} - \mathbf{r}') - \nabla'_i [g_j^c(\mathbf{r}') \delta(\mathbf{r} - \mathbf{r}')], \\ \{\ell_i(\mathbf{r}), \ell_j(\mathbf{r}')\} &= -\varepsilon_{ijk} \ell_k(\mathbf{r}) \delta(\mathbf{r} - \mathbf{r}'), \\ \{\ell_i(\mathbf{r}), g_j^c(\mathbf{r}')\} &= \ell_i(\mathbf{r}') \nabla_j \delta(\mathbf{r} - \mathbf{r}'), \end{aligned} \quad (3)$$

give the nondissipative part of the total momentum dynamics, which satisfies  $\dot{g}_i + \nabla_j (v_j g_i) = \nabla_j \sigma_{ij}$ . Including

dissipation, the complete stress tensor reads

$$\sigma_{ij} = -P \delta_{ij} + (\eta_{ijkl}^e + \eta_{ijkl}^o) \nabla_l v_k, \quad (4)$$

with  $P$  the hydrostatic (thermodynamic) pressure. When anisotropic dissipative terms associated with  $\boldsymbol{\ell}$  are ignored,  $\eta_{ijkl}^e = \lambda \delta_{ij} \delta_{kl} + \eta (\delta_{ik} \delta_{jl} + \delta_{jk} \delta_{il})$ , where  $\lambda$  and  $\eta$  are constants [44]. The odd viscosity,

$$\eta_{ijkl}^o = -\frac{1}{4} \ell_n (\varepsilon_{jln} \delta_{ik} + \varepsilon_{iln} \delta_{jk} + \varepsilon_{ikn} \delta_{jl} + \varepsilon_{jkn} \delta_{il}), \quad (5)$$

naturally emerges from Eqs. (2)-(3), revealing its nondissipative nature.  $\boldsymbol{\ell}$  can be a function of space and time to create ‘localized’ OV [50]. When  $\boldsymbol{\ell}$  is constant we get Onsager’s OV, where  $\boldsymbol{\ell}$  plays the role of magnetic fields in plasmas [23, 24]. The 2D OV [27, 33] follows from Eq. (5) by writing  $\boldsymbol{\ell} = \ell \hat{z}$  (for a fluid in the  $xy$  plane), thereby converting the Levi-Civita tensor to  $\varepsilon_{ij} = \varepsilon_{ijz}$ . Remarkably, unlike magnetic field in plasmas, this result is purely mechanical and does not require thermodynamic equilibrium or statistical mechanics.

We continue with some phenomenological consequences of OV in 3D. We focus, for simplicity, on the case of constant (in space and time)  $\boldsymbol{\ell}$ , which may originate in external driving as described in [44] and Refs. [17, 33, 51]), and experimentally realized in 2D ‘fluid’ metamaterials [17, 39]. The ‘odd’ Navier-Stokes equation (NSE), Eq. (4), then reads

$$\begin{aligned} \dot{g}_i + \nabla_j (v_j g_i) &= -\nabla_i \tilde{P} + \eta \nabla^2 v_i + \frac{1}{2} \boldsymbol{\ell} \cdot \nabla \omega_i \\ &\quad - \frac{1}{2} \varepsilon_{ikn} \ell_n \nabla_k \nabla \cdot \mathbf{v}, \end{aligned} \quad (6)$$

with  $\tilde{P} \equiv P - (\lambda + \eta) \nabla \cdot \mathbf{v} + \boldsymbol{\ell} \cdot \boldsymbol{\omega}$  being the mechanical pressure (diagonal part of the stress) and  $\boldsymbol{\omega} = \frac{1}{2} \nabla \times \mathbf{v}$ . To investigate the mode structure we linearize Eq. (6) and the continuity equation,  $\dot{\rho} + \nabla \cdot (\rho \mathbf{v}) = 0$ , and use Fourier-Transform  $[\mathbf{v}, \delta \rho] = \int \frac{d\mathbf{k}}{(2\pi)^3} [\tilde{\mathbf{v}}, \delta \tilde{\rho}] e^{i(\mathbf{k} \cdot \mathbf{r} - st)}$  to obtain:

$$\begin{pmatrix} s + i\nu k^2 & -i\tilde{\ell} k k_{\parallel} & 2i\tilde{\ell} k k_{\perp} & 0 \\ i\tilde{\ell} k k_{\parallel} & s + i\nu k^2 & 0 & 0 \\ -2i\tilde{\ell} k k_{\perp} & 0 & s + i\nu_L k^2 & -kc \\ 0 & 0 & -kc & s \end{pmatrix} \begin{pmatrix} \tilde{v}_1 \\ \tilde{v}_2 \\ \tilde{v}_L \\ \tilde{h} \end{pmatrix} = 0. \quad (7)$$

Here  $\nu \equiv \eta/\rho_0$ ,  $\nu_L \equiv 2\nu + \lambda/\rho_0$ ,  $\tilde{\ell} \equiv \ell/(4\rho_0)$ ,  $\boldsymbol{\ell} = \ell \hat{z}$ , and  $h = \delta\rho/(c\rho_0)$  where  $\rho = \rho_0 + \delta\rho$  and  $c$  is the sound speed ( $c^2 = \partial P/\partial\rho$ ). We further define  $v_{1,2} \equiv \mathbf{v} \cdot \hat{\mathbf{e}}_{1,2}$  and  $v_L \equiv \mathbf{v} \cdot \hat{\mathbf{k}}$ , where  $\hat{\mathbf{k}} = (k_x, k_y, k_z)/k$ ,  $\hat{\mathbf{e}}_1 = (-k_y, k_x, 0)/k_{\perp}$ , and  $\hat{\mathbf{e}}_2 = (-k_x k_z, -k_y k_z, k_{\perp}^2)/(k k_{\perp})$  are a set of orthonormal vectors with  $k = \sqrt{\mathbf{k}^2}$ ,  $k_{\perp} = \sqrt{k_x^2 + k_y^2}$ , and  $k_{\parallel} = \mathbf{k} \cdot \boldsymbol{\ell}/\ell$ .

When dissipation ( $\nu$ ,  $\nu_L$ ) is negligible, the matrix in Eq. (7) is Hermitian, with real eigenvalues, and corresponding orthogonal eigenvectors. Hence, there are no instabilities and novel ‘odd’ mechanical waves always propagate. The full solution for the inviscid case can be found in [44] (Eq. (81)). Figure 2 presents a polar plot of the

‘odd’ excitation frequencies  $s_{\pm}$  for various  $\tilde{\ell}$ . We find that  $s_{-}$  ( $s_{+}$ ) reaches its maximal value for  $\alpha = 0$  ( $\alpha = 45^{\circ}$ ), where  $\ell \cdot \mathbf{k} = \cos \alpha$ . These modes are always admixture of transverse (T) and longitudinal (L) modes, except when  $\alpha = 0$ , in which case,  $s_{+}$  ( $s_{-}$ ) is the L mode for  $k\tilde{\ell} < c$  ( $k\tilde{\ell} > c$ ).

The upper left (lower right)  $2 \times 2$  submatrix of Eq. (7) deals with transverse (longitudinal) variables only, where  $2\tilde{\ell}kk_{\perp}$  couples the two. The dimensionless measure of this quantity is  $g = 2\tilde{\ell}k_{\perp}/c$ , which becomes arbitrarily small as  $k_{\perp}$  becomes less than  $c/(2\tilde{\ell})$ . Thus, in the hydrodynamic limit, T and L modes decouple. The frequencies with lowest-order coupling corrections are

$$s_T = (\pm \tilde{\ell}kk_{\parallel} - i\nu k^2)(1 - 2\tilde{\ell}^2 k_{\perp}^2/c^2) \quad (8)$$

$$s_L = \pm ck \left( 1 + 2\frac{\tilde{\ell}^2 k_{\perp}^2}{c^2} - \frac{1}{8}\frac{\nu_L k^2}{c^2} \right) - \frac{1}{2}i\nu_L k^2, \quad (9)$$

and the associated eigenvectors  $[\vec{v} = (v_1, v_2, v_L, h)]$  to lowest order in  $g$  and  $g_{\nu} = k\nu_L/c$  are:  $\vec{v}_L = (\mp ig, 0, 1, \pm 1 + ig_{\nu}/2)$  and  $\vec{v}_T = (1, \mp i, 0, -ig)$ . As expected [27], the transverse polarizations are purely circular in the  $g \rightarrow 0$  limit. When dissipative viscosities are nonzero, the transverse-mode frequency becomes purely diffusive for  $k_{\parallel} = 0$ . A detailed analysis of the general case with arbitrary  $\mathbf{k}$  and the associated phase diagram showing regions with diffusing and propagating modes is deferred to [44].

It is instructive to see how breaking TRS and parity by  $\ell$  affects normal modes and field couplings. Define the signature of a field to be (TR, P).  $v_1$  and  $v_L$  have signature  $(-, +)$ ,  $v_2$   $(-, -)$ , and  $\tilde{\ell}$   $(-, +)$ . Thus,  $\tilde{\ell}kk_{\parallel}$  with signature  $(-, -)$  couples  $\dot{v}_1$  to  $v_2$ , creating a propagating transverse mode, and  $\tilde{\ell}kk_{\perp}$  with signature  $(-, +)$  couples  $\dot{v}_1$  to  $v_L$ , thus mixing longitudinal and transverse components. The transverse  $v_1 - v_2$  block in Eq. (7) is similar to the equation for magnons in ferromagnets [52] with magnetization  $\mathbf{M} = (M_x, M_y, M_z)$  with signature  $(-, +)$ .  $M_x$  and  $M_y$  play the role of  $v_1$  and  $v_2$ , and  $M_z$  the role of  $\tilde{\ell}$ . The magnon has an isotropic, rather than an anisotropic, dispersion relation  $\omega \propto k^2$ , reflecting the fact that in the absence of spin-orbit couplings  $\mathbf{M}$  rotates under a different group than spatial points.

An important and useful simplification of the odd NSE (Eq. (6)) is its incompressible limit,  $\nabla \cdot \mathbf{v} = 0$ :

$$\dot{g}_i + \nabla_j (v_j g_i) = -\nabla_i \tilde{P} + \eta \nabla^2 v_i + \frac{1}{2} \ell \cdot \nabla \omega_i, \quad (10)$$

where now  $\tilde{P} \equiv P + \ell \cdot \omega$ . Notice that in 2D the last term vanishes, and because  $\tilde{P}$  is not a state variable but rather is obtained from the incompressibility constraint, the (bulk) flow is not affected by OV [34]. However, this is not the case in 3D, thus one should expect very different phenomenology from that of 2D, even for incompressible fluid.

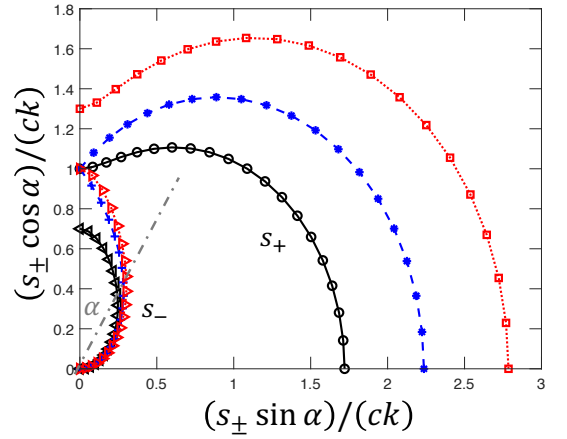


Figure 2. A polar plot of the two normalized ‘odd’ excitations as function of the angle ( $\alpha$ ) between  $\ell$  and  $\mathbf{k}$ . Black lines (reverse triangle for  $s_{-}$  and circles for  $s_{+}$ ) are for  $k\tilde{\ell}/c = 0.7$ , blue lines (plus for  $s_{-}$  and asterisk for  $s_{+}$ ) are for  $k\tilde{\ell}/c = 1$ , and the red lines (triangles for  $s_{-}$  and squares for  $s_{+}$ ) are for  $k\tilde{\ell}/c = 1.3$ . These anisotropic excitations are similar to those found in columnar liquid crystals [53] and nematic elastomers [54].

In order to understand the incompressible limit it is useful to examine the longitudinal equation. The latter is obtained by taking the divergence Eq. (6) and using the continuity equation:

$$\left[ \partial_t^2 - \frac{\lambda + 2\eta}{\rho_0} \nabla^2 \partial_t \right] \delta\rho - \nabla^2 (P + \ell \cdot \omega) = 0. \quad (11)$$

In normal fluids ( $\ell = 0$ ), incompressibility is attained by setting  $\delta\rho = 0$ , implying that  $\nabla^2 P = 0$ . This constraint is not affected by transverse diffusion modes or by local vorticity. In the present case, the constraint  $\delta\rho = 0$  implies that  $\nabla^2 (P + \ell \cdot \omega) = 0$ . But in the presence of a transverse wave, in which  $\ell \cdot \omega$  is nonzero,  $P$  must undergo a compensating change, which normally means that  $\delta\rho$  must do so as well. The resolution requires consideration of the limit  $c \rightarrow \infty$ . The eigenvectors  $\vec{v}_T$  and  $\vec{v}_L$  following Eq. (9) reveal that  $\delta\rho = -\ell \cdot \omega/c^2$ , which satisfies Eq. (11) for  $c \rightarrow \infty$  [44]. Because  $\delta\rho$  is formally zero, but  $\nabla^2 P$  is not, we could say that a transverse wave produces a (thermodynamic) pressure wave, but not a density wave. Note that although  $P$  oscillates, the experimentally accessible  $\tilde{P}$  does not. This shows the crucial difference between these two definitions of pressure.

We continue by examining the validity of Bernoulli’s principle in odd fluids. Consider an incompressible, inviscid ( $\eta = 0$ ) odd fluid. In steady-state, multiplying Eq. (10) by  $\mathbf{v}$  gives

$$(\mathbf{v} \cdot \nabla) \left( \frac{\tilde{P}}{\rho} + \frac{1}{2} v^2 \right) = \frac{1}{2\rho} v_i (\ell \cdot \nabla) \omega_i. \quad (12)$$

As in 2D, the mechanical pressure  $\tilde{P}$  takes the place of the thermodynamic pressure  $P$ . In 2D, the right-hand-side

(RHS) of Eq. (12) vanishes and Bernoulli's principle, in which  $\tilde{P} + \frac{1}{2}v^2$  is constant along a streamline, is recovered. Furthermore, in 2D the vorticity is conserved along a streamline [55], and thus Bernoulli's principle for  $P$  is also restored [27]. In 3D the RHS does not generally vanish, therefore, Bernoulli's principle is not valid for 'odd' fluids in 3D. Similarly, we observe the breakdown of Kelvin's circulation theory in 3D odd fluids [44], and expect to find significant effects on lift and the Magnus effect.

So far we have not discussed the origin of a non-vanishing angular momentum, which requires discussing the dynamics of  $\ell$ . The PB approach along with introduction of a torque density  $\tau(\mathbf{r}, t)$  [56], provides the dynamics' nondissipative part [44]. Adding a dissipative term  $-\Gamma_{ij}(\Omega_j - \omega_j^c)$  [19, 49] that provides preference for a dissipation-free steady-state in which  $\Omega = \omega^c$  completes the equation:

$$\dot{\ell}_i(\mathbf{r}) + \nabla_j(\ell_i v_j) = \varepsilon_{ijk} \omega_j \ell_k - \Gamma(\Omega_i - \omega_i) + \tau_i, \quad (13)$$

where a non-hydrodynamic term  $\sim \nabla^2 \ell$  was neglected, and we set for simplicity  $\Gamma_{ij} = \Gamma \delta_{ij}$ . The 2D version of Eq. (13), in which the first term of the RHS vanishes, was first suggested in [17] and was used extensively since [19, 20, 33, 51]. Both TRS and parity are broken by  $\ell$ , and the presence of  $\tau$  adds an extra term  $\frac{1}{2}\varepsilon_{ijk}\tau_k$  to  $\sigma_{ij}$  [57], giving  $\ell$  a non-random value,  $\ell \simeq \frac{\mathbf{I} \cdot \boldsymbol{\tau}}{\Gamma}$  in the hydrodynamic limit (see [44] Eq. (42)) leading to the appearance of OV, Eq. (5). When  $\tau = 0$ ,  $\Omega$  relaxes in microscopic times to a function of  $\omega$ , which rapidly vanishes as the fluid approaches equilibrium.

Equation (4) gives the dynamics of  $\mathbf{g}$ , for which a symmetric stress tensor can always be found (up to  $\frac{1}{2}\varepsilon_{ijk}\tau_k$  that appears in the presence of body torques). The dynamics of  $\mathbf{g}^c$  assumes a similar form [44],  $\dot{g}_i^c + \nabla_j(v_j^c g_i^c) = \nabla_j \sigma_{ij}^c$ , but with

$$\begin{aligned} \sigma_{ij}^c = & -P\delta_{ij} + \left[ \eta_{ijkl}^c - \frac{1}{2}\ell_n \varepsilon_{jkn} \delta_{il} \right] \nabla_l v_k^c \\ & - \frac{1}{2}v_i^c (\nabla \times \ell)_j + \frac{\Gamma}{2} \varepsilon_{ijk} (\Omega_k - \omega_k^c), \end{aligned} \quad (14)$$

that has an antisymmetric dissipative part to ensure conservation of angular momentum when  $\tau = 0$ , and both symmetric and antisymmetric nondissipative parts, but only one part of Onsager's OV (Eq. (5)). This contrasts with current literature [33, 38, 40] in which the CM stress tensor  $\sigma^c$  is assumed to include both the 'proper' OV and the antisymmetric dissipative term [58].

In [44] we show that after integrating out  $\Omega$ , the CM stress tensor has the proper Onsager OV, terms proportional to  $\nabla \times \ell$ , and an additional nondissipative antisymmetric viscosity contribution  $\frac{1}{2}\ell_k \varepsilon_{ijk} \nabla \cdot \mathbf{v}$ . The latter violates ORR and implies that  $\dot{\ell} \neq 0$  (see [44]). The corollary is that even after relaxation of  $\Omega$ , the CM description cannot properly describe a system with  $\ell \neq 0$ ,

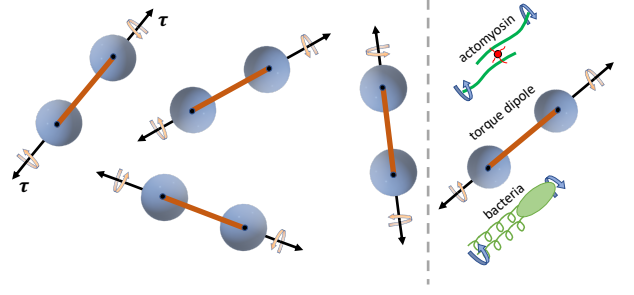


Figure 3. Illustration of a fluid of torque dipoles that shows inhomogeneous OV. On the right - torque dipole as a model for torque exerted by bacteria (where its head and flagellar are rotating in opposite directions) and for a myosin twisting two actin filaments [19].

because it does not conform with the balance of angular momentum (an exception is a fluid of constant density).

Although we explore in detail the constant  $\ell$  case, our framework does not restrict  $\ell$  to be constant in space or time. In fact, in internally driven active materials (such as all natural active materials) the total active torque must vanish [19], implying that  $\tau$  is a divergence of some quantity. For example, in an isotropic active gel (e.g. actomyosin gel)  $\tau = \tilde{\tau} \nabla \rho$  where  $\tilde{\tau}$  is a pseudoscalar (see derivation in [44] Sec. V). Therefore, we expect to have numerous realizations of 3D OV ranging from swimming bacteria [59] and actomyosin networks [19] to swimming microrobots (see Fig. 3).

We have presented a microscopic Hamiltonian theory for the appearance of *odd viscosity* in active fluids. Being a Hamiltonian theory, no dissipation is required to obtain the OV terms. Our central result is an equation of motion for the total momentum density of non-interacting spinning particles that is valid for arbitrary local values of the angular momentum density  $\ell$ . This equation, which is the analogue of Bloch equations for magnetization, yields the OV predicted by Onsager. Interactions among particles, which we do not consider, modify the OV value but not its form.

Our work considerably extends the applicability of OV into 3D systems and specifically shows its relevance in biological realizations and systems in which torques are generated internally. Examples for such biological realizations range from bacterial suspensions to actomyosin networks, and may even be present in active biopolymer networks without motors [60] where filaments chirality couples force and twist. It is our hope this work will promote a variety of studies on odd viscosity in 3D systems, from ferromagnetics to active gels. For instance, it would be interesting to investigate the appearance of odd viscosity in the presence of nematic or polar order.

*Acknowledgments:* TM thanks Fred MacKintosh for fruitful discussion. TM acknowledges funding from the National Science Foundation Center for Theoretical Bio-

logical Physics (Grant PHY-2019745) and TCL acknowledges funding from the National Science Foundation Materials Research Science and Engineering Center (MRSEC) at University of Pennsylvania (grant no. DMR-1720530). TCL's work on this research was supported in part by the Isaac Newton Institute for Mathematical Sciences during the program "Soft Matter Materials - Mathematical Design Innovations" and by the International Centre for Theoretical Sciences (ICTS) during the program - "Bangalore School on Statistical Physics - X (Code: ICTS/bssp2019/06)."

---

\* tm36@rice.edu

- [1] M. E. Cates and J. Tailleur, *Annu. Rev. Condens. Matter Phys.* **6**, 219 (2015).
- [2] J. Toner, Y. Tu, and S. Ramaswamy, *Annals of Physics* **318**, 170 (2005), special Issue.
- [3] M. C. Marchetti, J. F. Joanny, S. Ramaswamy, T. B. Liverpool, J. Prost, M. Rao, and R. A. Simha, *Rev. Mod. Phys.* **85**, 1143 (2013).
- [4] T. Markovich, Étienne Fodor, E. Tjhung, and M. E. Cates, (2020), arXiv:2008.06735.
- [5] C. Nardini, E. Fodor, E. Tjhung, F. van Wijland, J. Tailleur, and M. E. Cates, *Phys. Rev. X* **7**, 021007 (2017).
- [6] A. Be'er and G. Ariel, *Mov. Ecol.* **7**, 9 (2019).
- [7] A. Sokolov and I. S. Aranson, *Phys. Rev. Lett.* **109**, 248109 (2012).
- [8] W. Xi, T. B. Saw, D. Delacour, C. T. Lim, and B. Ladoux, *Nat. Rev. Mater.* **4**, 23 (2019).
- [9] F. C. MacKintosh and C. F. Schmidt, *Current Opinion in Cell Biology* **22**, 29 (2010), cell structure and dynamics.
- [10] J. Prost, F. Jülicher, and J. F. Joanny, *Nat. Phys.* **11**, 111 (2015).
- [11] T. Markovich, E. Tjhung, and M. E. Cates, *Phys. Rev. Lett.* **122**, 088004 (2019).
- [12] A. Cavagna and I. Giardina, *Ann. Rev. Cond. Matter Phys.* **8**, 183 (2014).
- [13] K. Villa and M. Pumera, *Chem. Soc. Rev.* **48**, 4966 (2019).
- [14] I. Buttinoni, J. Bialké, K. Kümmel, J. Löwen, C. Bechinger, and T. Spec, *Phys. Rev. Lett.* **110**, 238301 (2013).
- [15] A. Bricard, J. B. Caussin, D. Das, C. Savoie, V. Chikkadi, K. Shitara, O. Chepizhko, F. Peruani, D. Saintillan, and D. Bartolo, *Nat. Comm.* **6**, 7470 (2015).
- [16] A. Bricard, J. B. Caussin, N. Desreumaux, O. Dauchot, and D. Bartolo, *Nature* **503**, 95 (2013).
- [17] J. C. Tsai, F. Ye, J. Rodriguez, J. P. Gollub, and T. C. Lubensky, *Phys. Rev. Lett.* **94**, 214301 (2005).
- [18] L. Onsager, *Phys. Rev.* **38**, 2265 (1931).
- [19] T. Markovich, E. Tjhung, and M. E. Cates, *New J. Phys.* **21**, 112001 (2019).
- [20] S. R. De Groot and P. Mazur, *Non-equilibrium thermodynamics* (Courier Corporation, 2013).
- [21] G. M. Monteiro, A. G. Abanov, and S. Ganeshan, (2021), arXiv:2105.01655 [physics.flu-dyn].
- [22] Y. Kagan and L. Maksimov, *Sov. Phys. JETP* **24** (1967), [*J. Expt. Theoret. Phys. (U.S.S.R.)* **51** 1893, (1966)].
- [23] S. Braginskii, *Sov. Phys. JETP* **6**, 358 (1958), [*J. Expt. Theo. Phys.* **33**, 459 (1957)].
- [24] L. D. Landau, E. M. Lifshitz, and L. P. Pitaevskij, *Course of Theoretical Physics: Physical Kinetics, Vol. 10* (Pergamon Press, Oxford, 1981).
- [25] D. Vollhardt and P. Wolfe, *The Superfluid Phases of Helium 3* (Courier, Mineola, 2013).
- [26] J. E. Avron, R. Seiler, and P. G. Zograf, *Phys. Rev. Lett.* **75**, 697 (1995).
- [27] J. E. Avron, *J. Stat. Phys.* **92**, 543 (1998).
- [28] C. Hoyos, *Int. J. Mod. Phys. B* **28**, 1430007 (2014).
- [29] N. Read, *Phys. Rev. B* **79**, 045308 (2009).
- [30] N. Read and E. H. Rezayi, *Phys. Rev. B* **84**, 085316 (2011).
- [31] B. Bradlyn, M. Goldstein, and N. Read, *Phys. Rev. B* **86**, 245309 (2012).
- [32] L. V. Delacrétaz and A. Gromov, *Phys. Rev. Lett.* **119**, 226602 (2017).
- [33] D. Banerjee, A. Souslov, A. G. Abanov, and V. Vitelli, *Nat. Comm.* **8**, 1573 (2017).
- [34] S. Ganeshan and A. G. Abanov, *Phys. Rev. Fluids* **2**, 094101 (2017).
- [35] A. G. Abanov, T. Can, and S. Ganeshan, *SciPost Phys.* **5**, 10 (2018).
- [36] M. F. Lapa and T. L. Hughes, *Phys. Rev. E* **89**, 043019 (2014).
- [37] A. Lucas and P. Surówka, *Phys. Rev. E* **90**, 063005 (2014).
- [38] M. Han, M. Fruchart, C. Scheibner, S. Vaikuntanathan, W. Irvine, J. de Pablo, and V. Vitelli, "Statistical mechanics of a chiral active fluid," (2020), arXiv:2002.07679.
- [39] V. Soni, E. S. Bililign, S. Magkiriadou, S. Sacanna, D. Bartolo, M. J. Shelley, and W. T. M. Irvine, *Nat. Phys.* **15**, 1188 (2019).
- [40] A. Souslov, K. Dasbiswas, M. Fruchart, S. Vaikuntanathan, and V. Vitelli, *Phys. Rev. Lett.* **122**, 128001 (2019).
- [41] P. C. Hohenberg and B. I. Halperin, *Rev. Mod. Phys.* **49**, 435 (1977).
- [42] H. Stark and T. C. Lubensky, *Phys. Rev. E* **67**, 061709 (2003).
- [43] P. M. Chaikin and L. C. Lubensky, *Principles of condensed matter physics* (Cambridge University Press, 1995).
- [44] Supplementary material.
- [45] P. C. Martin, O. Parodi, and P. S. Pershan, *Phys. Rev. A* **6**, 2401 (1972).
- [46] T. Markovich and T. C. Lubensky, to be published (2021).
- [47] The complete coarse-grained Hamiltonian includes a term  $F[\rho]$ , which give rise to an isotropic pressure but do not affect the OV (see Sec. II.A.3 in [44] and [42, 43]).
- [48] M. Lingam, *Phys. Lett. A* **379**, 1425 (2015).
- [49] H. Stark and T. C. Lubensky, *Phys. Rev. E* **72**, 051714 (2005).
- [50] Symmetry allows for additional 'odd' terms of order  $\mathcal{O}(|\ell|^3)$ , see [44] Eq.(47).
- [51] B. C. van Zuiden, J. Paulose, W. T. M. Irvine, D. Bartolo, and V. Vitelli, *Proc. Natl Acad. Sci. USA* **113**, 12919 (2016).
- [52] C. Kittel, *Introduction to solid State Physics, 8th Edition* (John Wiley and Sons, Hoboken NJ, 2005).
- [53] P. G. de Gennes, in *Liquid Crystals of One and Two-*

- Dimensional Order*, edited by W. Helfrich and G. Heppke (Springer, New York, 1980) p. 231.
- [54] O. Stenull and T. C. Lubensky, Phys. Rev. E **69**, 051801 (2004).
  - [55] D. J. Acheson, *Elementary Fluid Dynamics* (Clarendon Press, 1990).
  - [56]  $\boldsymbol{\tau}$  can include a rotational friction term  $-\Gamma^\Omega \boldsymbol{\Omega}$ , see [44] Sec. IV.A.
  - [57] If  $\boldsymbol{\tau}$  is constant in space, this term does not affect the excitation spectrum of Eqs. (8)-(9).
  - [58] Other contributions to the OV (*e.g.* arising from interactions) may produce additional ‘proper’ OV in  $\boldsymbol{\sigma}^c$ , but it cannot cancel the non-interacting contribution discussed here.
  - [59] E. Tjhung, M. E. Cates, and D. Marenduzzo, Proc. Natl Acad. Sci. USA **114**, 4631 (2017).
  - [60] S. Chen, T. Markovich, and F. C. MacKintosh, Phys. Rev. Lett. **125**, 208101 (2020).