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RFOT theory for glassy dynamics in a single condensed polymer

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The number of compact structures of a single condensed polymer (SCP), with similar free energies, grows exponentially with the degree of polymerization. In analogy with structural glasses (SGs), we expect that at low temperatures chain relaxation should occur by activated transitions between the compact metastable states. By evolving the states of the SCP, linearly coupled to a reference state, we show that, below a dynamical transition temperature (T_d) , the SCP is trapped in a metastable state leading to slow dynamics. At a lower temperature, $T_K \neq 0$, the configurational entropy vanishes, resulting in a thermodynamic random first order ideal glass transition. The relaxation time obeys the Vogel-Fulcher-Tamman law, diverging at $T = T_0 \approx T_K$. These findings, accord well with the random first order transition theory, establishing that SCP and SG exhibit similar universal characteristics.

Experiments suggest that glass-like behavior should be expected in chromosome dynamics [1–3], collapse kinetics of polymers [4], and intrinsically disordered proteins [5]. Dynamics [6–8], and phase behavior [9–11] of single polymers exhibit glassy behavior upon cooling or compression [12–14]. However, it is unknown whether the glass transition in a single polymer is governed by the same physical principles that describe their macroscopic counterparts.

Single condensed polymer (SCP) should exhibit glass like behavior because their phase space structure satisfies all the requirements for observing slow dynamics. At temperature (T) below the coil-to-globule temperature T_{θ} the number of compact structures or states, with similar free energies, scales exponentially with N [15]. At low T transition between compact structures can only occur by activated transitions. Because the physical picture for a SCP is the same as in the structural glass transition (SGT), the dynamics of the SCP should be described by theories developed for bulk glassy systems. We anticipate that the SCP dynamics, over a wide range of temperatures, can be understood within the framework of the Random First Order Transition (RFOT) theory. That this is so is the main conclusion of this work.

Let us describe the salient aspects of the energy landscape of the SCP and liquids that undergo the SGT. The SGT dynamics is well-described by the RFOT theory [16], based on spin glass models [17–19]. An ingredient in the RFOT theory for the SGT is the emergence of an exponentially large number of metastable states [20] below the dynamic transition temperature, T_d [17, 18]. The free energy barrier, ΔF^{\ddagger} , between the metastable states is related to the configurational entropy, $S_{\rm conf}$ as $\Delta F^{\ddagger} \sim S_{\rm conf}^{-1}$ [16]. Since S_{conf} decreases as T decreases, ΔF^{\ddagger} increases, resulting in a significant increase in the structural relaxation time. RFOT theory predicts that S_{conf} vanishes at an ideal glass transition temperature, $T_K < T_d$, at which a thermodynamic random first-order transition, without latent heat, occurs from a super cooled liquid to an ideal glass.

To affirm the predictions of the RFOT theory in the SCP, we use the Franz-Parisi (FP) method [21, 22], which involves coupling two copies of the system through a field with strength ϵ . FP showed [23–27] that an order parameter, measuring the structural similarity between the states, exhibited first order transition at non-zero ϵ only when a large number of metastable states emerge.

We used a bead-spring model [28] for a polymer with N = 128 weakly attractive Lennard-Jones (LJ) particles linearly connected by a harmonic potential (section I of the Supplementary Information (SI) [29]). Parameters of the potentials are chosen to suppress crystallization (section II of the SI). The model in which solvent effects are implicitly taken into account by varying the strength of the interaction between the monomers captures the universal dynamic and static properties of polymeric systems [30]. We adopted this well-tested approach to investigate universal aspect of glass formation in a single condensed polymer. Surprisingly, minimal models quantitatively reproduce the scattering profiles of disordered proteins [31]. These observations justify the polymer model used here.

Following FP (Figure 1), we created two replicas of the SCP at the same T. Replica 1 in Figure 1 is a fixed reference conformation $(\{\vec{r}_0\})$, chosen from an equilibrium ensemble, and serves as a quenched random field. The conformation of $\{\vec{r}\}$ in Replica 2 (Figure 1) is evolved using Monte Carlo simulation by coupling it to Replica 1 (Figure 1). The energy $E_{\epsilon}(\{\vec{r}\}|\{\vec{r}_0\})$ of the coupled replicas is,

$$E_{\epsilon}(\{\vec{r}\}|\{\vec{r}_0\}) = E(\{\vec{r}\}) - N\epsilon \hat{Q}_{\text{stat}}(\{\vec{r}\},\{\vec{r}_0\}), \quad (1)$$

where $E(\{\vec{r}\})$ is the potential energy of the SCP in Replica 2, ϵ is the strength of the external field, and $\hat{Q}_{\text{stat}}(\{\vec{r}\},\{\vec{r}_0\})$ measures the static structural similarity between $\{\vec{r}\}$ and $\{\vec{r}_0\}$.

We used contact maps, two dimensional representations of a given structure of the SCP, to calculate \hat{Q}_{stat} . Two non-covalently linked monomers are in contact if the distance between them is less than $R_{\text{c}} = 1.4\sigma$, the first



FIG. 1. Implementation of the Franz-Parisi (FP) method. The equilibrium conformation in Replica 1 is one of the exponentially large number of metastable sates, which is coupled to Replica 2. The panels next to the snapshot are the contact maps in the metastable states. The overlap between replicas 1 and 2 measures the static structural similarity (blue and red for example).

minimum in the radial distribution function (section II in the SI). Panels next to the snapshots in Figure 1 are examples of the contact maps.

The static overlap function \hat{Q}_{stat} is calculated using,

$$\hat{Q}_{stat}(\{\vec{r}\},\{\vec{r}_0\}) = \frac{\sum_{(i,j)'} q_{ij}(\{\vec{r}\}) q_{ij}(\{\vec{r}_0\})}{\sum_{(i,j)'} q_{ij}(\{\vec{r}_0\})}, \quad (2)$$

where $q_{ij}(\{\vec{r}\})$ is the contact function. It is unity if i and j monomers are in contact and zero otherwise, and (i, j)' is the sum over all non-bonded pairs of monomers. The average of the static order parameter $\langle Q_{\text{stat}} \rangle$ was obtained by taking a Boltzmann-weighted average over \vec{r} and $\vec{r_0}$; we first performed a *thermal* average of Q_{stat} with $\{\vec{r}_0\}$ fixed, and then a *disorder* average over various $\{\vec{r}_0\}$ was calculated to account for the fluctuations caused by differences in $\{\vec{r}_0\}$ (details are in section I of its SI and the relation to the Random Field Ising Model is given in section V). If $\{\vec{r}\}$ and $\{\vec{r}_0\}$ are identical, $Q_{\text{stat}}(\{\vec{r}\},\{\vec{r}\})=1$, resulting in $\langle \hat{Q}_{\text{stat}} \rangle = 1$. If the replicas are totally uncorrelated, $\langle \hat{Q}_{\text{stat}} \rangle$ is the average contact probability $\langle q_{ij} \rangle$, which is $\simeq 0.13$ for the parameters used in the simulations. From Eqs (1) and (2), it follows that Q_{stat} varies as a function of ϵ . When the external field strength, ϵ , is sufficiently large, $\{\vec{r}\}$ is biased to $\{\vec{r}_0\}$, such that $\langle \hat{Q}_{\text{stat}} \rangle \simeq 1$. If ϵ decreases to 0, $\{\vec{r}\}$ is independent of $\{\vec{r}_0\}$, resulting in $\langle \hat{Q}_{\text{stat}} \rangle \simeq \langle q_{ij} \rangle$.

The changes in $\langle \hat{Q}_{\text{stat}} \rangle$ with $\epsilon \neq 0$ should have the characteristics of first order transition only if metastable states are probed. Since all the metastable states are generated at the same thermodynamic condition (the same T and N), they are equivalent. The individual free energy of metastable α is F_{α} . The canonical free energy F_{tot} is less than the component averaged free energy, $\sum_{\alpha} P_{\alpha} F_{\alpha}$, where P_{α} is the probability of being in the

state α [16, 32, 33]. The difference between the two is $TS_{\rm conf}$, the entropic gain arising from an exploration of all possible states, $F_{\rm tot} = F_{\alpha} - TS_{\rm conf}$. Thus, if ϵ is strong enough to compensate for the entropic penalty, the SCP in Replica 2 would be trapped in a single metastable state, such that $\langle \hat{Q}_{\rm stat} \rangle = Q_{\rm glass} \simeq 1$. Otherwise, it could explore all possible metastable states over time, resulting in $\langle \hat{Q}_{\rm stat} \rangle$ equalling $Q_{\rm liquid} = \langle q_{ij} \rangle$. Thus, at the critical value of $\epsilon = \epsilon_c$ where $N\epsilon_c(Q_{\rm glass} - Q_{\rm liquid}) \simeq TS_{\rm conf}$, $\langle \hat{Q}_{\rm stat} \rangle$ should change discontinuously between $Q_{\rm glass}$ and $Q_{\rm liquid}$, which would be a signature of a first order transition. By showing $\hat{Q}_{\rm stat}$ exhibits the first-order-like transition at $\epsilon \neq 0$, we can confirm the existence of metastable states in the SCP.

In the upper panel of Figure 2 (A), $\langle \hat{Q}_{\text{stat}} \rangle$ is plotted as a function of ϵ for various T (the open symbols). As expected, $\langle \hat{Q}_{\text{stat}} \rangle$ decreases from $\simeq 1$ to $\langle q_{ij} \rangle \simeq 0.13$ as ϵ decreases to 0. The static susceptibility, $\chi_{\text{stat}}(\epsilon) = N(\langle \hat{Q}_{\text{stat}}^2 \rangle - \langle \hat{Q}_{\text{stat}} \rangle^2)$, has a peak at the value of ϵ where $\langle \hat{Q}_{\text{stat}} \rangle$ changes drastically (the dashed vertical lines in Figure 2 (A)). The width of the peaks decreases and the amplitudes increase as T decreases, reflecting a sharp change in $\langle \hat{Q}_{\text{stat}} \rangle$ at T. Such sharp changes in $\langle \hat{Q}_{\text{stat}} \rangle$ and $\chi_{\text{stat}}(\epsilon)$ provide evidence for the first-order like phase transition in the presence of the coupling field. We establish in section III of the SI that the first order nature of the transition is more pronounced as N increases.

Next, we confirm that the abrupt change in $\langle \hat{Q}_{\text{stat}} \rangle$ reflects a regular first order transition. In the first order transition, the minimum in the free energy F_Q as a function of \hat{Q}_{stat} changes discontinuously at the phase transition point. The free energy, F_Q , is calculated from the distribution $P(Q_{\text{stat}} | \{ \vec{r}_0 \}) = \langle \delta(Q_{\text{stat}} - \hat{Q}_{\text{stat}}(\{ \vec{r} \}, \{ \vec{r}_0 \})) \rangle_T$, i.e., $-\frac{NF_Q}{k_BT} = \langle \ln P(Q_{\text{stat}} | \{ \vec{r}_0 \}) \rangle_D$



FIG. 2. Phase behavior of \hat{Q}_{stat} . (A) Average $\langle \hat{Q}_{\text{stat}} \rangle$ (the upper panel) and susceptibility $\chi_{\text{stat}}(\epsilon)$ (the lower panel) of the order parameters with respect to ϵ for various T. The vertical dashed lines are the positions of ϵ where $\chi_{\text{stat}}(\epsilon)$ has the maximum value. The solid lines represent the position of Q_{stat} where F_Q in (B) has the minimum value. (B) F_Q for various ϵ at T=0.35. F_Q in the graph is shifted by its minimum value. (C) $T - \epsilon$ phase diagram of \hat{Q}_{stat} . The open and filled symbols represent T of ϵ_c for $T < T_d$ and $T \ge T_d$, respectively. The solid line is a linear fit for $T < T_d$. The black dashed line denotes the position of T_{θ} . The open green star denotes T_0 . The inset is the same phase diagram at $0 \le \epsilon \le 0.9$. (D) F_{ϵ} as a function of ϵ for various T. F_{ϵ} is shifted by $F_{\epsilon=0}$. The slopes of the dashed and dashed-dotted lines are -0.13 and -0.93, respectively. (E) TS_{conf}/N as a function of T. The solid lines are linear fits. The yellow and green open symbols represent T_0 and y intercept of the solid line in (C), respectively. In the inset, we magnify the graph near the x intercepts of the linear fits.

[34], where $\langle \cdots \rangle_T$ and $\langle \cdots \rangle_D$ are the thermal and disorder averages of the property, respectively (see the definitions of $P(Q_{\text{stat}}|\{\vec{r}_0\}), \langle \cdots \rangle_T$, and $\langle \cdots \rangle_D$ in Section I of the SI). In Figure 2 (B), F_Q at T = 0.35 is plotted as a function of Q_{stat} for various ϵ . When $\epsilon = 1$, F_Q has minimum at $Q_{\text{stat}} \simeq 0.93$, indicating that $\{\vec{r}\}$ is pinned around the state associated with $\{\vec{r}_0\}$. As ϵ decreases to 0, the minimum shifts to $Q_{\text{stat}} = 0.13$. At $\epsilon=0.574,$ where $\chi_{\rm stat}$ has a maximum, F_Q has two minima at $Q_{\rm stat} \approx 0.34$ and $Q_{\rm stat} \approx 0.84$. The two different states coexist at $\epsilon = 0.574$, which leads to a discontinuous change in the order parameter. In Figure 2 (A), we plotted the minimum position of F_Q as a function of ϵ for various T (the solid lines). The minimum position changes discontinuously at ϵ where the fluctuation in \hat{Q}_{stat} is maximized, revealing the first order nature of the transition.

We arrive the same conclusion from the Ehrenfest classification, according to which $dF_{\epsilon}/d\epsilon$ should be discontinuous at the transition point. Here, F_{ϵ} is the free energy as a function of ϵ , which is calculated from F_Q using the Legendre transformation, $F_{\epsilon} = F_Q - \epsilon \langle \hat{Q}_{\text{stat}} \rangle$, where ϵ is equal to $\epsilon = \partial F_Q / \partial Q_{\text{stat}}$ [35]. Figure 2 (D), displaying F_{ϵ} as a function of ϵ at various T, shows a discontinuous change in the slopes $(= dF_{\epsilon}/d\epsilon)$ between -0.13 and -0.93 (the dashed and dashed-dotted lines, respectively), a signature of the first order transition. Since $\langle \hat{Q}_{\text{stat}} \rangle = -\frac{dF_e}{d\epsilon}$, we define the effective order parameters in the two states

as $Q_{\text{liquid}} = 0.13$ and $Q_{\text{glass}} = 0.93$. Thus, the discontinuous change in the slope in Figure 2 (D) corresponds to the discontinuous change in $\langle \hat{Q}_{\text{stat}} \rangle$ between Q_{liquid} and Q_{glass} . Figures 2 (B) and (D) confirm that the first order transition in \hat{Q}_{stat} occurs with a change in ϵ , thus verifying the existence of the metastable states in the SCP.

RFOT theory predicts that the metastable states, separated by barriers, should cease to exist above the dynamical transition temperature T_d , which implies that the first-order transition nature of \hat{Q}_{stat} should disappear at $T > T_d$. We found that $\langle \hat{Q}_{\text{stat}} \rangle$ changes continuously with ϵ when $T \ge 1.8$ (see section IV of the SI). The coilto-globule temperature is $T_{\theta} = 2.3 > T_d$ (section II in the SI). Thus, the equilibrium collapse occurs before the dynamical transition, implying that the dynamics of the SCP in the temperature $T_d \le T \le T_{\theta}$ can be described by the standard polymer theory. Only below T_d the dynamics is determined by activated transitions between equivalent compact structures.

The phase behavior in Figure 2 (A) is summarized in Figure 2 (C). The phase boundaries (ϵ_c, T_c) are associated with the peak in χ_{stat} at a given T (the open symbols in the graph). At $T < T_d$ (the open symbols), $\langle \hat{Q}_{\text{stat}} \rangle$ changes discontinuously, and when $T > T_d$ (the filled triangles), it changes continuously. Above T_{θ} (the black dashed line), ϵ_c is less dependent on T. Because T_c changes linearly with ϵ_c (when $T < T_d$), we extrapolate the phase boundary to $\epsilon = 0$ (the blue solid line). The y intercept in the linear fit is T_c at $\epsilon = 0$, which has a positive value at $\epsilon = 0$ ($T_c(\epsilon = 0) = 0.14$). This signals that a thermodynamic transition would occur at the non-zero temperature when $\epsilon = 0$, implying that the free energy of individual metastable states F_{α} is equal to the total free energy F_{tot} at a finite temperature even without external fields, which is only possible if $S_{\text{conf}} = 0$ at $T_c(\epsilon = 0)$.

We investigated if $S_{\rm conf}$ vanishes at $T_c(\epsilon = 0)$. Using two methods [26, 34], $S_{\rm conf}$ at $\epsilon = 0$ is estimated as a function of T. Since the first order transition occurs when the entropic gain $(TS_{\rm conf})$ is compensated by the energetic contribution of the fields $(N\epsilon_c(Q_{\rm glass}-Q_{\rm liquid}))$, we estimated the configurational entropy using (Method 1) [26], $TS_{\rm conf}/N = \epsilon_c[Q_{\rm glass} - Q_{\rm liquid}]$. A more natural way is to calculate $TS_{\rm conf}$ as the difference between F_{α} and $F_{\rm tot}$, corresponding to $F_{Q_{\rm glass}}$ and $F_{Q_{\rm liquid}}$ at $\epsilon = 0$, respectively. Thus, $S_{\rm conf}$ can also be calculated using (Method 2),

$$TS_{\rm conf}/N = F_{Q_{\rm glass}}(\epsilon = 0) - F_{Q_{\rm liquid}}(\epsilon = 0).$$
(3)

Figure 2 (E) shows $TS_{\rm conf}/N$ (the open symbols) as a function of T using Methods 1 and 2. Linear extrapolation of $TS_{\rm conf}/N$ (the linear lines) shows that $S_{\rm conf}$ vanishes at a similar non-zero value of T, regardless of the method used. It should be emphasized that the numerical value of the temperature is consistent with $T_c(\epsilon = 0)$ (the open yellow star). This confirms that it is because the configurational entropy vanishes that the thermodynamic transition occurs at $T_c(\epsilon = 0)$.

What is the nature of the thermodynamic transition at $T_c(\epsilon = 0)$? It corresponds to an ideal glass transition of the SCP. Most importantly, we note from Eq (3), TS_{conf} is the energy difference between the two states, which accounts for the latent heat at the transition. Therefore, Figure 2 (E) shows that as T approaches $T_c(\epsilon = 0)$, the latent heat decreases and vanishes at $T_c(\epsilon = 0)$. This implies that at $T_c(\epsilon = 0)$, the SCP exhibits a random first order transition from liquid to an ideal glass without releasing latent heat but with a discontinuity in \hat{Q}_{stat} . Hence, Figures 2 (C) and (E) show that the ideal glass transition in the SCP at $T_K = T_c(\epsilon = 0) \neq 0$ is truly the analogue of T_K in bulk glasses.

There ought to be consistency between thermodynamic random first order transition and dynamics [36]. To investigate the dynamics of the SCP, we performed dynamic MC simulations (details in the SI). The time dependent overlap function $Q_{\rm dyn}(t)$ is,

$$Q_{\rm dyn}(t) = \frac{\sum_{(i,j)'} q_{ij}(t) q_{ij}(0)}{\sum_{(i,j)'} q_{ij}(0)},\tag{4}$$

where $q_{ij}(t)$ is the contact function of a single polymer at time t; $Q_{dyn}(t)$ quantifies how rapidly the contact map loses memory of the initial pattern. By definition $Q_{dyn}(t = 0) = 1$. As $t \to \infty$, the SCP loses memory of the structural correlation, and thus the pattern



FIG. 3. Glassy dynamics in the SCP. (A) Time average of time dependent overlap function $\langle Q_{\rm dyn}(t) \rangle_t$ and (B) susceptibility $\chi_{\rm dyn}(t)$ for various T. (C) Dependence of τ_{α} on T (the open symbols). The dashed line is the VFT fit. (D) Relation between τ_{α} and $S_{\rm conf}$.

of the contact map also becomes independent of the initial state. Consequently, $Q_{dyn}(t)$ decays to $\langle q_{ij} \rangle$.

Figure 3 (A) shows the time average of $Q_{dyn}(t)$ $(\langle Q_{dyn}(t) \rangle_t)$ as a function of t at different T. As T decreases from 1.1 to 0.35, $\langle Q_{dyn}(t) \rangle_t$ decays more slowly with the decay time constant increasing by a few orders of magnitude. Figure 3 (B) shows that heights and timescales of the peak in the dynamic susceptibility, $\chi_{dyn}(t) = N[\langle Q_{dyn}(t)^2 \rangle_t - \langle Q_{dyn}(t) \rangle_t^2]$, increase as T decreases, implying that the structural relaxation becomes heterogeneous as T decreases [37–39]. Figures 3 (A) and (B) reveal that the sluggish structural relaxation in the SCP is accompanied by enhanced dynamic heterogeneity, an important dynamic property of glassy liquids [40, 41].

The structural relaxation time τ_{α} (Figure 3 (C)), calculated using $\langle Q_{\rm dyn}(t=\tau_{\alpha})\rangle_t = 0.3$, increases by more than two orders of magnitude when T decreases from 1.1 to 0.35 (the open symbols). The dramatic increase in τ_{α} in the SCP, metallic [42], colloidal systems [43], and molecular glasses [44], is described well by the Vogel-Fulcher-Tamman (VFT) equation, $\tau_{\alpha} = \tau_0 \exp[\frac{D_0 T_0}{T - T_0}]$, where τ_0 , D_0 and T_0 are fitting parameters. We fit τ_{α} as a function of T to the VFT equation (the dashed line in Figure 3 (C)), yielding $T_0 = 0.1$, (the green open symbols in Figure 2 (C) and (E)). The value of T_0 is close to T_K .

The divergence of τ_{α} is associated with a decrease in $S_{\rm conf}$ (ln $\tau_{\alpha} \sim 1/TS_{\rm conf}$ [16]). Figure 3 (D), showing ln τ_{α} as a function of $[TS_{\rm conf}/N]^{-1}$, obtained using Methods 1 and 2, shows that the increase in τ_{α} is closely related to the decrease in $s_{\rm conf}$. Thus, the dynamics and statics of the SCP are consistent with RFOT predictions.

Our findings show that the RFOT theory holds for a di-

verse systems exhibiting changes from diffusive motion to activated transitions as a control parameter is changed. The requirement is the emergence of multiple metastable states, separated by free energy barriers, below a characteristic dynamical transition temperature. This feature is shared by the SCP and myriad glass forming systems, thus explaining the validity of the RFOT theory for condensed polymers.

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