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# Mean-field predictions of scaling prefactors match low-dimensional jammed packings

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No known analytic framework precisely explains all the phenomena observed in jamming. The replica theory for glass and jamming is a mean field theory which attempts to do so by working in the limit of infinite dimensions, such that correlations between neighbors are negligible. As such, results from this mean field theory are not guaranteed to be observed in finite dimensions. However, many results in mean field for jamming have been shown to be exact or nearly exact in low dimensions. This suggests that the infinite dimensional limit is not necessary to obtain these results. In this paper, we perform precision measurements of jamming scaling relationships between pressure, excess packing fraction, and number of excess contacts from dimensions 2-10 in order to extract the prefactors to these scalings. While these prefactors should be highly sensitive to finite dimensional corrections, we find the mean field predictions for these prefactors to be exact in low dimensions. Thus the mean field approximation is not necessary for deriving these prefactors. We present an exact, first principles derivation for one, leaving the other as an open question. Our results suggest that mean field theories of critical phenomena may compute more for  $d \geq d_u$  than has been previously appreciated.

*Introduction* – Granular materials exhibit universal properties regardless of the material properties of the individual grains [1–3]. The jamming transition is a critical point near which properties such as pressure, packing fraction, or number of excess contacts, among others, scale as power laws. Scaling theory summarizes and condenses these power law relationships, but no first principles theory of jammed systems at finite dimensions exists. The replica mean field theory of glasses and jamming has been shown to be exact in the infinite dimensional limit [4, 5]. To do so it relies on the assumption that there are no correlations between neighbors, fundamentally at odds with low dimensional systems. As such, mean field predictions should not be expected to hold in low dimensional jamming, and some results, most notably the packing fraction at jamming, deviate from the mean field predictions [2, 6]. However, despite the fact that low dimensional systems have highly correlated neighbors the scaling relations are precisely the same as those found in infinite dimensions [7–9]. Many other results predicted by the mean field have also been observed in low dimensional jamming, suggesting that they may be provable without the mean field approximation [2, 3, 10–13].

Here, we move one step further in the comparison between low dimensional jamming and mean field jamming by probing not only scaling relations but also prefactors between a handful of properties: pressure  $P$ , excess contacts  $\delta z$ , and excess packing fraction above jamming  $\Delta\varphi$ . We demonstrate the continued success of the mean field in describing low dimensional systems by quantitatively verifying the mean field predictions for these prefactors. Thus, the mean field approximation is overzealous: one need not have vanishing correlations in order to obtain these results. In this spirit we provide a first principles proof of the relation between pressure and excess packing

fraction free of the mean field assumptions. These results call out for proofs for all of the other universal relations of the jamming transition.

*Background* – Granular materials undergo a jamming transition at a critical packing fraction  $\varphi_j$ . The number of force bearing contacts between grains jumps abruptly from zero to the minimum number sufficient to support global rigidity and thus global pressure,  $Z_c$ . In a packing of  $N$  frictionless, spherical particles in  $d$  dimensions,  $Z_c = Nd + 1 - d$  [1, 14].

We limit our study to spherical particles interacting through a harmonic contact potential given by

$$U_{ij} = \varepsilon \left( 1 - \frac{|\mathbf{r}_{ij}|}{\sigma_{ij}} \right)^2 \Theta \left( 1 - \frac{|\mathbf{r}_{ij}|}{\sigma_{ij}} \right), \quad (1)$$

where  $\varepsilon$  is the energy scale,  $\mathbf{r}_{ij}$  is the contact vector between particles  $i$  and  $j$ ,  $\sigma_{ij}$  is the sum of the radii of particles  $i$  and  $j$  and  $\Theta$  is the Heaviside step function. Thus, the total energy  $U = \frac{1}{2} \sum_{ij} U_{ij}$ . From this potential, the forces between particles can be calculated as:

$$\mathbf{f}_{ij} = \frac{2\varepsilon}{\sigma_{ij}} \left( 1 - \frac{|\mathbf{r}_{ij}|}{\sigma_{ij}} \right) \Theta \left( 1 - \frac{|\mathbf{r}_{ij}|}{\sigma_{ij}} \right) \hat{\mathbf{r}}_{ij}. \quad (2)$$

We compute a unit and dimension independent pressure using the microscopic formula [7, 15]

$$P \equiv -\frac{\bar{V}_p}{\varepsilon} \frac{\partial U}{\partial V} = \frac{\bar{V}_p}{\varepsilon V d} \sum_{i,j} \mathbf{f}_{ij} \cdot \mathbf{r}_{ij}, \quad (3)$$

where  $V$  is the volume of the system and  $\bar{V}_p$  is the average particle volume.

For soft spheres the packing fraction  $\varphi$  can be increased, leading to new contacts and an increased pressure. We thus consider three natural quantities that measure distance from jamming:



- excess packing fraction,  $\Delta\varphi = \varphi - \varphi_j$
- excess contacts per particle,  $\delta z = (Z - Z_c)/N$  where  $Z$  is the number of contacts
- pressure  $P$

The relationships between these quantities are predicted by mean field theory as [5]:

$$P = C_{p\varphi} \Delta\varphi \quad (4)$$

$$\delta z = C_{zp} P^{1/2} \quad (5)$$

with prefactors  $C_{p\varphi}$  and  $C_{zp}$  which are functions only of spatial dimension [7]. These and other scaling relationships have been previously explained by approximate theories [16–19] and computationally confirmed in low dimensional jamming [1, 7, 8, 14]. They are summarized concisely by the scaling theory of the jamming transition [9]. The scaling exponents in  $d \geq 2$  match those in mean field, suggesting that the transition behaves like a critical point with upper critical dimension  $d_u = 2$ . Moreover, mean field theory predictions of these prefactors can be derived as [5, 20]:

$$C_{p\varphi} = \frac{1}{d} \hat{C}_{p\varphi} \quad (6)$$

$$C_{zp} = \frac{d}{\sqrt{2d}} \hat{C}_{zp} \quad (7)$$

where  $\hat{C}_{p\varphi}$  and  $\hat{C}_{zp}$  are finite constants in the  $d \rightarrow \infty$  limit, which have not yet been explicitly calculated. Note that these relations are presented in a particular choice of units in the literature. We include details of the conversion to our dimensionless units in the supplement. *A priori*, it is not expected that these predictions will apply in low dimensions, in which the mean field assumption is not warranted. Even above upper critical dimensions, mean field theories are not generally expected to correctly compute prefactors, or even the purportedly universal amplitude ratios. Beyond scaling exponents, to our knowledge, the critical cluster shape in percolation and related phenomena [21, 22] and the Binder cumulant in the Ising model [23–25] are the only quantities which are known to be equal to their mean field values above the upper critical dimension. Even though these prefactors for jamming scaling relationships have been measured and reported [7, 26], because they are not expected to be equal to their mean field values they have not received substantial theoretical attention. An approximate calculation of the related prefactor between the shear modulus and number of excess contacts has been performed in three dimensions [18].

*Computational Methods* – We use pyCudaPacking [2], a GPU-based simulation engine, to generate energy minimized soft (or penetrable) sphere packings. We do so for number of particles  $N = 8192 - 32768$  and dimension

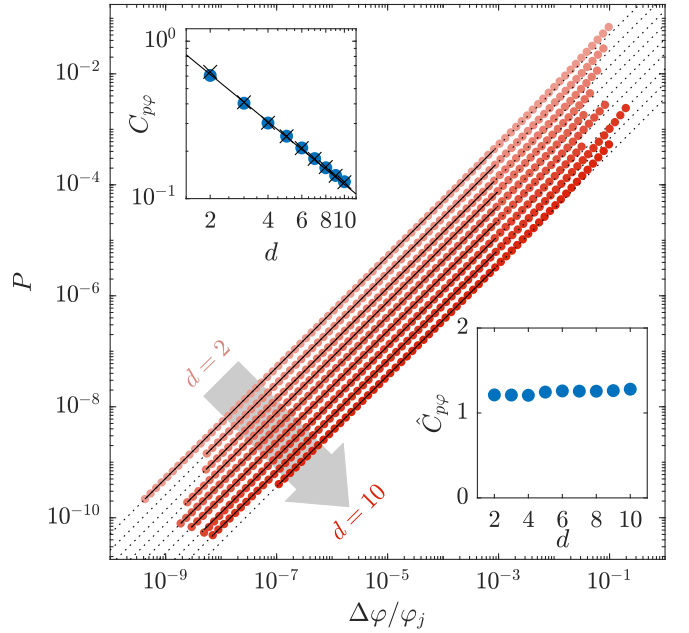


Figure 1. Measured pressure scales linearly with scaled excess packing fraction for systems from  $d = 2$  to  $d = 10$ . Measured values for  $\varphi_j$  in our protocol are included in the supplemental material. Black lines show fits for  $C_{p\varphi}$  using eqn 4. We exclude from the fit data with  $\Delta\varphi/\varphi_j > 10^{-3}$ , to avoid the effect of larger overlaps causing deviations from this power law. Dotted lines show the extension of fits beyond fitted range. Upper inset shows the measured values of  $C_{p\varphi}$  (blue circles) to scale in agreement with the mean field prediction eqn 6, shown as a fit to a black line with  $\hat{C}_{p\varphi} \approx 1.23$ . Moreover, they are in precise agreement with predicted values from eqn 14 (black x's). Lower inset shows measured values of  $\hat{C}_{p\varphi}$  calculated from the measured values of  $C_{p\varphi}$  and eqn 6. While each prefactor is measured from a single system, the prefactors for a second, identically constructed dataset were calculated to be well within the bounds of the marker size.

$d = 2 - 10$ . Our results suggest that  $N = 8192$  is large enough to avoid finite size effects in  $d < 9$ , which we have verified in  $d = 8$  by comparing our packing at  $N = 8192$  with one at  $N = 16384$ , finding no deviation. For  $d = 9$  and  $d = 10$  we use system sizes of 16384 and 32768 respectively. The particles are monodisperse, except in 2D in which we use equal numbers of bidisperse particles with a size ratio of 1:1.4 to prevent crystallization.

The packings are subject to periodic boundary conditions. We minimize the packings using the FIRE minimization algorithm [27] using quad precision floating point numbers in order to achieve resolution on the contact network near the jamming point.

Using the same methods as described in ref. [28], we start with randomly distributed initial positions, and apply a search algorithm to create systems approximately logarithmically spaced in  $\Delta\varphi$ . At each step we use the known power law relationship between energy and  $\Delta\varphi$  to calculate an estimate of  $\varphi_j$ . We use this estimate to



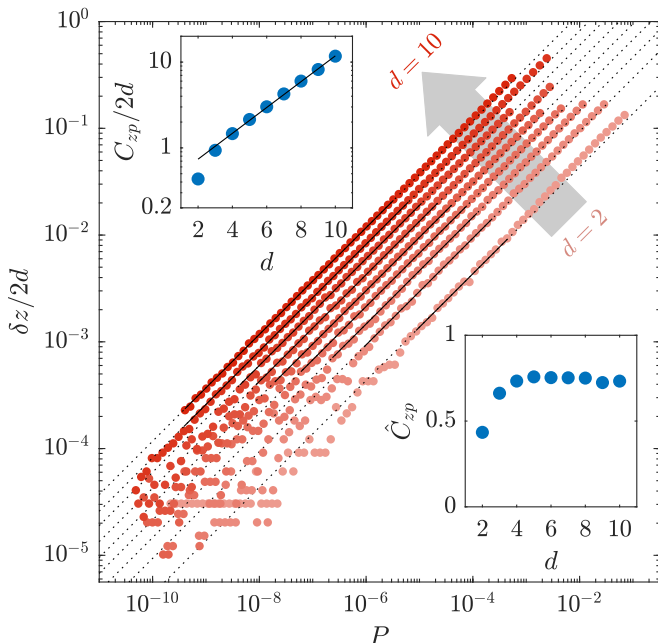


Figure 2. Measured excess contacts scales with the square root of pressure for systems from  $d = 2$  to  $d = 10$ . Black lines show fits for  $C_{zp}$  using eqn 5. For our fits, we ignore high pressure data as in figure 1, and additionally exclude data with less than 40 excess contacts to avoid fitting to small number fluctuations. Dotted lines show the extension of our fits beyond fitted range. Lower inset shows the measured values of  $C_{zp}$  (blue circles), which scale in agreement with the mean field prediction eqn 7, shown as a fit to a black line and with  $\hat{C}_{zp} \approx 0.74$ . Upper inset shows measured values of  $\hat{C}_{zp}$  calculated from the measured values of  $C_{zp}$  and eqn 7. While each prefactor is measured from a single system, the prefactors for a second, identically constructed dataset were calculated to be well within the bounds of the marker size.

approximate  $\Delta\varphi$  and determine the next value of  $\varphi$  in an effort to logarithmically space  $\Delta\varphi$  values. We then adjust the packing fraction to this value of  $\varphi$  by uniformly scaling particle radii and minimizing the system. We continue this process until the system is nearly critically jammed, i.e. has exactly one state of self stress. We then use the known power law relationship between pressure and  $\Delta\varphi$  to fit the dataset and precisely calculate  $\varphi_j$  (with error less than the smallest value of  $\Delta\varphi$ ) from which we calculate  $\Delta\varphi$  at each value of  $\varphi$ .

**Results** – Figure 1 shows the measured linear scaling of pressure with packing fraction separately for each dimension. We fit the data to eqn 4 to find  $C_{p\varphi}$ , considering only data close to jamming to avoid fitting to high pressure deviations from the scaling power law. The measured values of  $C_{p\varphi}$  are shown in the inset to confirm the  $\frac{1}{d}$  dimensional scaling predicted by mean field theory in eqn 6. A fit to this scaling provides a value of  $\hat{C}_{p\varphi}$  of 1.23.

Figure 2 shows the measured square root scaling of

excess contacts with pressure separately for each dimension. We fit the data to eqn 5 to find  $C_{zp}$ , the values of which are shown in the inset. Beginning around 3 dimensions, the values of  $C_{zp}$  confirm the dimensional scaling predicted by mean field theory in eqn 7, and a fit to this scaling provides a value of  $\hat{C}_{zp}$  of 0.74.

The values of both  $C_{p\varphi}$  and  $C_{zp}$  are roughly consistent with values measured in previous studies [7, 26]. It has been recently suggested that the prestress, i.e. the normalized ratio of the first and second derivatives of the potential as defined in ref [29], is a better candidate to de-dimensionalize the relationship between pressure and excess contacts. However, we find a substantially better collapse of our expected form of pressure than with prestress. For more details on prestress, see the attached supplement.

**Discussion** – The close agreement of our data with the mean field predictions in low dimensions suggests that the mean field assumption is not essential to derive these scaling and prefactor relations. In the spirit of discovering proofs for these relations free of the mean field assumption, we expand on an earlier calculation of the bulk modulus scaling [17] to show that such a calculation can also explain the scaling of  $C_{p\varphi}$  with spatial dimension and the precise value of  $\hat{C}_{p\varphi}$ .

From taking a derivative of equation 4, we see immediately that  $C_{p\varphi}$  may be expressed in terms of the bulk modulus,  $K \equiv V \frac{d^2 U}{dV^2}$ , at jamming:

$$C_{p\varphi} = \frac{\bar{V}_p V}{\varphi \varepsilon} \frac{\partial^2 U}{\partial V^2} = \frac{V}{N \varepsilon} K. \quad (8)$$

We note that this approximation slightly overestimates  $C_{p\varphi}$ : the apparently linear average stress-strain curves of jammed packings are actually the average of many piecewise linear curves with discontinuous drops in stress, thus the average slope is slightly less than the instantaneous slope [30].

At the unjamming point, the linear response of the system is that of a network of unstretched springs. Thus, at lowest order in pressure the bulk modulus is that of an unstressed spring network, which may be calculated in terms of the “states of self stress”, vectors of possible spring tensions,  $\mathbf{s} \in \mathbb{R}^Z$ , which do not produce any net force on a particle [17, 31, 32]. In general we will use roman-text, unbolded letters to refer to vectors in this space  $\mathbb{R}^Z$ . Here we explain how to carry out this calculation for a monodisperse system in the unjamming limit; a correction for polydispersity is handled in the supplement.

We begin by defining the set of “affine bond extensions”, a vector  $\mathbf{E} \in \mathbb{R}^Z$  giving the amount by which each bond vector would increase under a unit volumetric expansion of the system. In linear elasticity, this simply induces an expansion of each length by  $1/d$ , so:



$$E_\ell = \frac{1}{d} r_\ell, \quad (9)$$

where we emphasize that  $\ell$  indexes the contacts in the system rather than the particles;  $r_\ell$  is the distance between a particular pair of particles.

In the case that all springs have the same spring constant  $k$  (e.g. monodisperse packings), the bulk modulus may be written as the projection of these affine moduli onto the states of self stress [17, 31, 32]. At jamming, there is only one state of self stress, and so the bulk modulus may be computed exactly using the projection onto only this one state of self stress [17].

$$K = \frac{k}{V} \left( \sum_{\ell=1}^Z s_{1,\ell} E_\ell \right)^2 \quad (10)$$

In the near jamming limit, this one special state of self stress exists all the way down to the jamming point and can be expressed in terms of the vector of physical force magnitudes,  $\mathbf{f}$ . For the packing to be in equilibrium, this set of contact forces must produce no net force on every particle, and thus by definition the vector  $\mathbf{f}$  is always a state of self stress. The projection defined above requires states of self stress to be normalized, and so the state of self stress may be expressed as:

$$s_{1,\ell} = \frac{1}{\sqrt{\mathbf{f} \cdot \mathbf{f}}} f_\ell = \frac{1}{\sqrt{Z \langle f^2 \rangle}} f_\ell. \quad (11)$$

Furthermore at lowest order in  $P$  we have  $r = \sigma$ , and we assume  $Z \approx dN$ . Thus, equation 10 reduces to

$$K = \frac{2Nk\sigma^2 \langle f \rangle^2}{dV \langle f^2 \rangle} = \frac{2N\varepsilon \langle f \rangle^2}{dV \langle f^2 \rangle} \quad (12)$$

and thus via equation 8

$$C_{p\varphi} = \frac{2 \langle f \rangle^2}{d \langle f^2 \rangle}, \quad (13)$$

for monodisperse spheres. The full calculation in the supplement shows that in the polydisperse case this becomes

$$C_{p\varphi} = \frac{2 \langle \sigma f \rangle^2}{d \langle \sigma^2 f^2 \rangle}. \quad (14)$$

We find that the distribution of contact forces does not depend strongly on dimension, which we demonstrate and discuss in the supplementary material, including references [28, 33]. We thus predict the scaling of  $C_{p\varphi}$  to agree with the asymptotic mean-field scaling. Because this proof does not invoke the mean field assumption, we expect this scaling to be correct in all dimensions.

Moreover, we are able to calculate each value of  $C_{p\varphi}$  by measuring the ratio of force distribution moments. These values are calculated as in equation 14, and are shown in figure 1 to precisely predict the values of  $C_{p\varphi}$ .

*Conclusion* – The mean field theory of jamming predicts both the scaling exponents and the dimensional scaling of their prefactors. While the exponents have been previously verified, we have demonstrated that even some prefactors are well predicted in low dimensions by mean field theory. Although these prefactors should be considered especially sensitive to finite dimensional corrections, we find the mean field prediction to be exact in low dimensions. Is this a generic phenomenon, or are the quantities we have chosen to study in this work somehow specially unaffected by finite-dimensional correlations? Experience with critical phenomena suggests that although certain ratios of these prefactors (i.e. amplitude ratios) may be universal, the prefactors themselves should be both non-universal and challenging to compute, which has led to them being neglected. Our results demonstrate however that these prefactors may be computed exactly. These results call out for other theories of jamming and the glass transition which reproduce the mean-field results without such assumptions, or perhaps for a deeper understanding of why certain mean-field computations may be exact in finite dimensions. Additionally, our results suggest that in traditional critical phenomena mean field theory may compute more for  $d \geq d_u$  than has been previously appreciated.

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