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Symmetry breaking bias and the dynamics of a quantum phase transition

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The Kibble-Zurek mechanism predicts formation of topological defects and other excitations that quantify how much a quantum system driven across a quantum critical point fails to be adiabatic. We point out that, thanks to the divergent linear susceptibility at the critical point, even a tiny symmetry breaking bias can restore the adiabaticity. The minimal required bias scales like $\tau_Q^{-\beta\delta/(1+z\nu)}$, where β, δ, z, ν are the critical exponents and τ_Q is a quench time. We test this prediction by DMRG simulations of the quantum Ising chain. It is directly applicable to the recent emulation of quantum phase transition dynamics in the Ising chain with ultracold Rydberg atoms.

In this paper we investigate the interplay of the Kibble-Zurek (KZ) theory of the dynamics of symmetry-breaking quantum phase transitions [1–3] with the extreme sensitivity of a quantum critical system to a perturbation by a symmetry breaking bias field.

There are two complementary motivations of our study. The first one is more fundamental (but more distant). It stems from the discussion textbooks usually offer to justify symmetry breaking phase transition – see e.g. Ref. 4. As is noted there, when the relevant thermodynamic potential is symmetric, there is no reason for the system to settle in a particular broken symmetry post-transition state. This leads to a conceptual difficulty – why does the symmetry break? In textbooks it is usually addressed by introducing an external bias that favors a particular post-transition state (e.g., a magnetic field that biases spins during a ferromagnetic phase transition). That field is eventually allowed to vanish, and if the thermodynamic limit is attained before the bias disappears, one ends up with a broken symmetry phase - same symmetry broken in the same way throughout the whole infinite volume. It is however important to attain the thermodynamic limit (infinite system size) prior to turning off the bias. Similar considerations apply to quantum phase transitions. For instance, in the quantum Ising model in transverse field the ground state is degenerate, spanned by the symmetric superposition of the two obvious broken symmetry ferromagnetic states.

In contrast to that "textbook" motivation (which deals with a sequence of equilibria) one is often (e.g., in the quantum information processing context) interested in reaching states that are similarly ordered, but attaining it reasonably quickly. Thus, the timescale is of the essence. One can expect that rapid transitions will introduce disorder – excitations and defects. This is indeed the conclusion of KZM for quantum phase transitions. A second motivation for our study is therefore to consider whether an external bias – longitudinal field in the transverse field quantum Ising model – may effectively suppress formation of such defects, and hence, be used to evade spurious excitations. This is very much in the spirit of the "shortcuts to adiabaticity" [5]. It is also of



FIG. 1. In (a), phase diagram for the ferromagnetic Ising model in Eq. (4). Transverse field g_{\perp} is slowly driven from a para- to a ferromagnetic phase (dashed green line) close to the continious Ising critical point (red dot). Red dashed line indicates first order transition between differently oriented ferromagnetic states. In (b), bias g_{\parallel} opens up a gap near the critical point. Excitation energy at zero momentum is calculated using uniform matrix product states ansatz directly in the thermodynamic limit [25, 26]. In the inset we show the scaling of minimal gap as a function of the bias. For the presented range we fit $\Delta_{\parallel} \propto g_{\parallel}^{0.545}$, where the exponent is close to the expected $z\nu_{\parallel} = 8/15 \approx 0.5333$ – and leaning towards it for smaller g_{\parallel} .

interest because – in the experiments that test dynamics of quantum phase transitions (see e.g., Ref. 6) one may be concerned whether the symmetry breaking was truly spontaneous or whether it was in fact "suggested" by external perturbations.

The classical KZ mechanism (KZM) was recognized as a general theory of the dynamics of phase transitions and verified by numerical simulations [7] and laboratory experiments in various condensed matter systems [8]. More recently, it was generalized to quantum phase transitions [9–13]. Theoretical advances [14] and experimental tests [6, 15, 16] followed. The recent experiment, with Rydberg atoms [6], is an accurate quantum simulation of the exact solution in the quantum Ising chain [11].

In quantum KZM a system initially prepared in its ground state is smoothly ramped across a critical point to the other side of the phase transition. A distance from the critical point – measured by a dimensionless parameter ϵ – can be linearized close to the criticality as

$$\epsilon(t) = \frac{t}{\tau_Q}.$$
 (1)

Here τ_Q is the quench time. Initially, far from the transition, the evolution is adiabatic and the system follows its adiabatic ground state. The adiabaticity fails at $-\hat{t}$ when the rate of the transition, $\dot{\epsilon}/\epsilon = 1/|t|$, becomes faster than the gap between the ground state and the first relevant excited state. The gap closes like $\Delta \propto |\epsilon|^{z\nu}$, where z and ν are the dynamical and correlation length exponents, respectively. From the equation $1/|t| \propto |t/\tau_Q|^{z\nu}$ we obtain $\hat{t} \propto \tau_Q^{z\nu/(1+z\nu)}$ and a corresponding $\hat{\epsilon} = \hat{t}/\tau_Q \propto \tau_Q^{1/(1+z\nu)}$. In first impulse approximation, the ground state at $-\hat{\epsilon}$, with a correlation length

$$\hat{\xi} \propto \tau_Q^{\nu/(1+z\nu)},$$
 (2)

remains the state of the system until $+\hat{t}$, when the evolution becomes adiabatic again. In this way $\hat{\xi}$ becomes imprinted on the initial state for the final adiabatic stage of the evolution. KZM can be characterized by a length- and timescale, $\hat{\xi}$ and $\hat{t} \propto \hat{\xi}^z$, both diverging when $\tau_Q \to \infty$.

Due to the diverging linear susceptibility at the critical point, even a tiny symmetry breaking bias g_{\parallel} can derail the KZM. It induces a non-zero magnetization $M_{\parallel} \equiv \langle \sigma^z \rangle \propto g_{\parallel}^{1/\delta}$ that in turn implies a non-zero gap $\Delta_{\parallel} \propto g_{\parallel}^{z\nu/\beta\delta}$ and a finite correlation length $\xi_{\parallel} \propto g_{\parallel}^{-\nu/\beta\delta}$. Here δ and β are critical exponents, where we follow the standard convention, see e.g. Ref. 17. In the Ising universality class $\nu/\beta\delta = \nu_{\parallel} = 8/15$ is the famous Zamolod-chikov's correlation length exponent [18]. At odds with the KZM assumptions, neither the gap closes nor the correlation length diverges at the critical point. Therefore, we expect that when the bias is strong enough, i.e., for $\xi_{\parallel} \ll \hat{\xi}$ or, equivalently,

$$\tau_Q \gg g_{\parallel}^{-(1+z\nu)/\beta\delta}.$$
(3)

then the ramp (1) becomes adiabatic. In the opposite regime, for small τ_Q , KZM should work as usual because $-\hat{\epsilon}$, when the state freezes out, is too large for the tiny bias to have anything more than a perturbative effect.

In the following we test this prediction with DMRG simulations in the transverse field quantum Ising chain. The chain is not only the standard theoretical workhorse – exactly solvable without the bias [11] – but also the subject of a recent experiment [6] testing KZM in a chain

of 51 Rydberg atoms emulating 51 spins. In this setup the bias is a difference between a contribution from the Rydberg blockade and one due to laser detuning. A fine-tuning is necessary to nullify the net bias. One of the aims of this work is to estimate how accurate the fine-tuning has to be for the bias to become negligible. Already the condition (3) provides a scaling relation between the minimal adiabatic quench time and the bias. With the Ising exponents ($z = \nu = 1, \beta = 1/8, \delta = 15$) it reads $\tau_Q \gg g_{\parallel}^{-16/15}$. Our simulations substantiate the relation with a numerical prefactor that makes it directly applicable to the experimental set-up [6].

The bias may be an obstacle for testing KZM but for adiabatic quantum state preparation it is the KZM itself that is an obstacle preventing adiabatic passage across quantum criticality. The importance of this roadblock was recognized by leading experimental groups, see e.g. Ref. 19, and multiple strategies – under an umbrella name "shortcut to adiabaticity" – were devised in order to bypass it, see a recent review in Ref. 5. Their design often requires exact solution of the model that is either not possible or, if possible, the optimal strategy is not easy to implement [20]. In contrast, in evolution across a symmetry-breaking phase transition, the symmetry breaking bias is a robust shortcut to adiabaticity.

Excitations.— We consider the quantum Ising chain in both transverse and longitudinal magnetic fields:

$$H = -\sum_{n} \left[\sigma_n^x \sigma_{n+1}^x + g_\perp \sigma_n^z + g_\parallel \sigma_n^x \right], \qquad (4)$$

It has an isolated critical point at $(g_{\perp}, g_{\parallel}) = (1, 0)$ between the paramagnetic and ferromagnetic phases, see Fig. 1a. We ramp the transverse field as

$$g_{\perp}(t) \simeq 1 - \frac{t}{\tau_Q},\tag{5}$$

from $+\infty$ to 0, i.e., from an initial ground state deep in the paramagnetic phase, across the critical $g_{\perp} = 1$, to the ferromagnetic phase [21]. Here we apply also a small bias:

$$g_{\parallel}(t) = \text{const.} \tag{6}$$

The question is how strong g_{\parallel} has to be in order to bypass the critical point $(g_{\perp}, g_{\parallel}) = (1, 0)$ adiabatically.

The case of $g_{\parallel} = 0$ is exactly solvable [11]. For slow enough quenches final density of kinks

$$n_{ex}(g_{\perp}=0) = \frac{1}{2\pi\sqrt{2\tau_Q}} \propto \hat{\xi}^{-1}.$$
 (7)

Moreover, during the KZ stage of the evolution, between $\pm \hat{t}$, the density of quasiparticle excitations satisfies a KZ scaling hypothesis [22, 23]

$$n_{ex}(t) = \hat{\xi}^{-1} F_{n_{ex}}\left(t/\hat{\xi}^z\right),\tag{8}$$



FIG. 2. Scaled density of excitation energy $\hat{\xi}^{d+z}w(0) = \tau_Q w(0)$ in function of $\hat{\xi}/\xi_{\parallel} = \sqrt{\tau_Q} g_{\parallel}^{8/15}$ for different longitudinal biases g_{\parallel} , see Eq. (9). Comparison of the two length scales $\sqrt{\tau_Q} g_{\parallel}^{8/15} \approx 1$ marks a crossover from the KZ regime with $w(0) \propto \tau_Q^{-1}$ to an adiabatic regime with $w(0) \propto \tau_Q^{-2}$. Dashed lines provide the guidance for an eye for the expected scaling (slope) on the rescaled plot.

where $F_{n_{ex}}$ is a non-universal scaling function. In other words, when a scaled density $\hat{\xi} \cdot n_{ex}(t)$ is plotted as a function of scaled time $s = t/\hat{\xi}^z$ for different τ_Q , the plots collapse to the common scaling function $F_{n_{ex}}(s)$.

With the bias the model is not exactly solvable and the quasiparticles become ill-defined. We must instead rely on density of excitation energy w [12]. During the KZ stage, we expect it to satisfy a scaling hypothesis [23]:

$$w(t) = \hat{\xi}^{-d-z} F_w\left(t/\hat{\xi}^z, \hat{\xi}/\xi_{\parallel}\right).$$
(9)

We test it by numerical simulations with uniform matrix product states employing the time-dependent variational principle [24, 25]. Its inverse-free formulations in the thermodynamic limit allows us to investigate long evolution times. Figure 2 shows a scaled energy density at the critical point, $\hat{\xi}^{d+z}w(0) = \tau_Q w(0)$, in function of $\hat{\xi}/\xi_{\parallel} = \sqrt{\tau_Q} g_{\parallel}^{8/15}$. In accordance with the hypothesis (9), the plots for different biases collapse to a common scaling function. Furthermore, near $\sqrt{\tau_Q} g_{\parallel}^{8/15} \sim 1$ we can clearly see a crossover from the KZ regime, where $w \propto \hat{\xi}^{-d-z} = \tau_Q^{-1}$, to the adiabatic regime where the decay with τ_Q is faster: $w(0) \propto \tau_Q^{-2} g_{\parallel}^{-16/15}$.

In order to explain the adiabatic power law, note first that the gap near the critical $g_{\perp} = 1$ scales like $\Delta_{\parallel} \propto g_{\parallel}^{z\nu/\beta\delta}$, see Fig. 1b. This is the "closest approach" gap at the center of the Landau-Zener anti-crossing. The whole anti-crossing takes place between $\pm \epsilon_{\parallel}$. Here ϵ_{\parallel} is a half-width of the anti-crossing where $\epsilon_{\parallel}^{-\nu} \propto \xi_{\parallel}$. Therefore, the whole anti-crossing takes time $\tau_Q^{\parallel} \propto \tau_Q \epsilon_{\parallel}$ and the excitation probability at the anti-crossing center is $p \propto \left(\Delta_{\parallel} \tau_Q^{\parallel}\right)^{-2}$. Consequently, the excitation energy



FIG. 3. In (a), the final density of kinks at the end of the ramp, $g_{\perp} = 0$, in function of τ_Q . Different colors correspond to different longitudinal biases g_{\parallel} . In (b), the scaled final density of kinks $\hat{\xi} \cdot n_{ex}(g_{\perp} = 0)$ in function of the ratio $\hat{\xi}/\xi_{\parallel}$. There is a clear crossover from the KZ scaling, $n_{ex}(g_{\perp} = 0) \sim \hat{\xi}^{-1} = \tau_Q^{-1/2}$, to an exponential decay in the adiabatic regime.

is $p\Delta_{\parallel} \propto \Delta_{\parallel}^{-1} \tau_Q^{\parallel^{-2}}$. The energy multiplied by density of available excitations, ξ_{\parallel}^{-1} , becomes the excitation energy density $w(0) \propto \xi_{\parallel}^{-1} \Delta_{\parallel}^{-1} \tau_Q^{\parallel^{-2}} = \tau_Q^{-2} g_{\parallel}^{(\nu-z\nu-2)/\beta\delta} = \tau_Q^{-2} g_{\parallel}^{-16/15}$.

The energy scaling hypothesis (9) demonstrates the crossover from the KZ to the adiabatic regime, but it is not applicable when a constant $g_{\perp} = 0$ is considered instead of a constant $t/\hat{\xi}^z$, see Ref. 23. At the final $g_{\perp} = 0$, for a weak bias the energy density becomes approximately equal to the density of kinks in the final ferromagnetic state, and in the experiments it is the kinks, rather than the energy, that are directly counted [6]. Therefore, in Fig. 3a we show the final density of kinks as a function of the quench time τ_O for different bias fields g_{\parallel} . For any given bias we find that when τ_Q is small enough, then the kink density scales as $\hat{\xi}^{-1} \propto \tau_Q^{-1/2}$ like in the unbiased quantum Ising chain (7). For longer τ_Q the dependence crosses over to an exponential decay and the crossover value of τ_Q decreases with the bias. These observations are corroborated by Fig. 3b showing the scaled final density of kinks, $\xi \cdot n_{ex}(q_{\perp}=0)$, in function of $\hat{\xi}/\xi_{\parallel}$. The plots for different τ_Q approximately collapse. The collapse improves for weaker biases when



FIG. 4. In (a), we show scaled longitudinal magnetization $g_{\parallel}^{-1/\delta}M_{\parallel}(0)$ in function of $\hat{\xi}/\xi_{\parallel}$ for different biases, see Eq. (11). The plots collapse to a common scaling function $F_M\left(0,\hat{\xi}/\xi_{\parallel}\right)$, in agreement with the dynamical scaling hypothesis. In the KZ regime, for relatively slow τ_Q , the scaling function is a power law with an exponent close to 1.75 (dashed line). In (b), we show magnetisation at the end of the quench $M_{\parallel}(g_{\perp}=0)$.

the kink density can be identified with the excitation energy more closely. In this limit the exponential decay in the adiabatic regime can be fitted [21] with

$$n_{ex}(g_{\perp}=0) \approx A \tau_Q^{-1/2} e^{-a \tau_Q g_{\parallel}^{16/15}},$$
 (10)

where $A \approx 0.34, a \approx 6.89$. The crossover to the adiabatic regime happens for $\tau_Q \approx a^{-1}g_{\parallel}^{-16/15} \approx 0.145g_{\parallel}^{-16/15}$. Longitudinal magnetization.— A new feature intro-

Longitudinal magnetization.— A new feature introduced by the bias is a non-zero longitudinal magnetization. In the ferromagnetic phase, $g_{\perp} < 1$, an infinitesimal bias is enough to induce a spontaneous magnetization removing degeneracy between two ferromagnetic groundstates, but the magnetization is strong already at the critical $g_{\perp} = 1$, where $M_{\parallel} \propto g_{\parallel}^{1/\delta}$. This power law motivates a dynamical scaling hypothesis,

$$M_{\parallel}(t) = g_{\parallel}^{1/\delta} F_M\left(t/\hat{\xi}^z, \hat{\xi}/\xi_{\parallel}\right), \qquad (11)$$

during the diabatic stage between $\pm \hat{t}$. In Fig. 4a we show scaled $g_{\parallel}^{-1/\delta}M_{\parallel}(0)$ in function of $\hat{\xi}/\xi_{\parallel}$ for different biases



FIG. 5. Here M_{\parallel} and n_{ex} are, respectively, the final magnetization and final density of kinks at $g_{\perp} = 0$. $(1 - M_{\parallel})$ is twice the density of spins pointing down, n_{\downarrow} . The plot shows the ratio $(1 - M_{\parallel})/n_{ex} = 2n_{\downarrow}/n_{ex}$ in function of τ_Q for different biases g_{\parallel} . Here, we only show points where $n_{ex} > 10^{-5}$, similarly as in Fig. 3a.

and find that the plots indeed collapse to a common scaling function $F_M\left(0,\hat{\xi}/\xi_{\parallel}\right)$. As for the excitation energy, we can clearly distinguish two regimes. In the adiabatic one for relatively slow transitions, the magnetization follows its static value $\propto g_{\parallel}^{1/\delta}$ independent of τ_Q . In the KZ regime for relatively fast transitions, the collapsed scaling function is a power law that follows from KZM.

In KZM the state freezes out at $-\hat{\epsilon} \propto -\tau_Q^{-1/(1+z\nu)}$, where the linear susceptibility is $\chi \propto \hat{\epsilon}^{-\gamma}$ with γ being the susceptibility exponent. Its magnetization freezes as

$$M_{\parallel} \propto g_{\parallel} \chi \propto g_{\parallel} \tau_Q^{\gamma/(1+z\nu)} = g_{\parallel} \tau_Q^{7/8}.$$
 (12)

Here we used $\gamma = 7/4$ for the Ising model. Therefore, given the scaling relation $\gamma = \beta(\delta - 1)$, in the KZ regime of Fig. 4a we should expect a power law $M_{\parallel}g_{\parallel}^{-1/\delta} \propto (\hat{\xi}/\xi_{\parallel})^{\gamma/\nu}$ and, indeed, we can see that the exponent of this power law is close to $\gamma/\nu = 1.75$.

The final $g_{\perp} = 0$ is beyond applicability of the scaling hypothesis. Still, plots of $M_{\parallel}(g_{\perp}=0)$ in function of ξ/ξ_{\parallel} in Fig. 4b nearly collapse – note slightly faster ordering for small fields than the one provided solely by the scaling hypothesis. The plot shows a crossover from the KZ to the adiabatic regime. In the latter there is almost full polarization, $M_{\parallel}(g_{\perp}=0) \approx 1$. Almost all spins are pointing up except for a small fraction, $n_{\downarrow} = [1 - M_{\parallel}(g_{\perp} = 0)]/2$, pointing down. The down-spins appear in clusters of adjacent sites. Each cluster is limited by an anti-kink and a kink on its left and right end, respectively. Figure 5 shows that in the adiabatic limit n_{\downarrow} tends to twice the density of kinks $n_{ex}(g_{\perp} = 0)$ implying the cluster size 1, i.e., there are isolated down spins in the majority of spins pointing up. The cluster size increases with decreasing τ_Q until it reaches a maximum at the crossover to the KZ regime. In the KZ regime, where $n_{\perp} \approx 1/2$ and

 $n_{ex}(g_{\perp}=0) \propto \hat{\xi}^{-1}$, the ratio $n_{\downarrow}/n_{ex}(g_{\perp}=0) \propto \sqrt{\tau_Q}$.

Conclusion.— We demonstrated that $\tau_Q g_{\parallel}^{(1+z\nu)/\beta\delta} \sim 1$ marks a crossover between the Kibble-Zurek and adiabatic regimes for, respectively, faster and slower quenches. Depending on where one is aiming, the crossover means that either a tiny symmetry-breaking bias is enough to make a ramp across a quantum critical point adiabatic or, equivalently, it shows how accurately the bias has to be tuned to zero in order to observe the KZ mechanism unperturbed. The final longitudinal magnetization crosses over from a full polarization deep in the adiabatic regime to a power law $M_{\parallel} \propto g_{\parallel} \tau_Q^{\gamma/(1+z\nu)}$ deep in the KZ regime.

Note added. — After this work was completed an experimental paper [27] appeared where analogous effect of an external bias is observed in a classical transition in superfluid ³He.

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