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## Stability Limit of Electrified Droplets

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1	Title: The Stability Limit of Electrified Droplets
2	Authors: J. Beroz <sup>1,2*</sup> , A. J. Hart <sup>2</sup> , J. W. M. Bush <sup>3</sup>
3	Affiliations:
4	<sup>1</sup> Department of Physics, Massachusetts Institute of Technology, Cambridge, MA 02139, USA.
5 6	<sup>2</sup> Department of Mechanical Engineering, Massachusetts Institute of Technology, Cambridge, MA 02139, USA
7 8	<sup>3</sup> Department of Applied Mathematics, Massachusetts Institute of Technology, Cambridge, MA 02139, USA
9	*Correspondence to: jberoz@mit.edu
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15	Abstract: In many physical processes, including cloud electrification, electrospray and
16	demulsification, droplets and bubbles are exposed to electric fields and may either remain whole
17	or burst in response to electrical stresses. Determining the stability limit of a droplet exposed to
18	an external electric field has been a longstanding mathematical challenge, and the only analytical
19	treatment to date is an approximate calculation for the particular case of a free floating droplet.
20	Here we demonstrate, experimentally and theoretically, that the stability limit of a conducting
21	droplet or bubble exposed to an external electric field is described by a power law with broad
22	generality, that, in practice, applies to the cases in which the droplet or bubble is pinned or
23	sliding on a conducting surface, or free floating. This power law can facilitate the design of
24	devices for liquid manipulation via a simple formula that captures the parameter range of bubbles
25	and droplets that can be supported on electrified surfaces.

26	Main Text: A liquid droplet will typically deform when subject to an electric field, owing to the
27	generation of electrical stresses at its surface. For sufficiently strong electric fields, the drop may
28	become mechanically unstable and emit charged microscopic liquid jets [1,2]. A laboratory
29	curiosity a century ago [3,4], the electrical stability limit of droplets was first recognized to be of
30	meteorological importance, for example in determining the size of water droplets in
31	thunderstorms and creating preferred conduction paths for lightning strikes [5,6]. The stability
32	limit of a conductive free floating droplet in a uniform electric field was first determined by G.I.
33	Taylor by a combination of experiment and dimensional analysis (Fig. 2b(i)) [7], and later by an
34	approximate calculation wherein the deformed shape of the droplet was assumed spheroidal [1].
35	
36	Further investigations of the stability of electrified droplets and the dynamic process of jet
37	emission [1,2,8] provided the conceptual basis for several important technologies. These include
38	electrospraying, wherein a liquid confined at the orifice of a nozzle is electrified above its
39	stability limit in order to controllably produce fine liquid droplets or ionized mists [9]. This
40	technique underlies methods of high-resolution printing [10], mass spectrometry [11], ion beam
41	generation [12], air purification [13], and space propulsion [14]. Similarly, electrospinning
42	involves ejecting fine liquid filaments from electrified droplets [15] for manufacture of fibers for
43	filters [16], composite materials [17], nanogenerators [18], tissue scaffolds [19], and drug
44	delivery devices [20]. Careful application of an electric field below the droplet stability limit is
45	also used to control mixing and coalescence of emulsion droplets [21,22].
46	

47 Despite longstanding scientific and practical interest, an analytical representation for the stability
48 limit of electrified droplets has not been derived for the simplest general case, namely, that of a

conducting drop on a conducting surface exposed to a uniform external field in a dielectric
medium (Fig. 1). The particular case where the droplet's surface intersects the conducting
surface at a right angle corresponds to half of a free floating droplet in a uniform electric field. In
the absence of an analytical treatment, numerical computations have been performed [23–26]
and engineering development often involves semi-empirical formulas and trial-and-error [11–
14,16,17,19,22].

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The difficulty in modelling the droplet's stability arises from the fact that the droplet's critically 56 57 stable shape, i.e., the limiting static shape just prior to bursting through the rapid formation of a jet (Fig. S1), does not appear to be elementary, and the family of critically stable shapes found by 58 varying parameters exhibits no apparent similarity (Fig. 2a). These shapes are also quite different 59 from the spherical shape the droplet would assume in the absence of the electric field. Moreover, 60 the configuration of the electric field, the shape of the droplet, and the liquid pressure inside the 61 droplet are coupled, which requires all to be solved for simultaneously, unlike solving for the 62 shape of a droplet in a gravitational [27] or centrifugal field [28]. Mathematically, this problem 63 poses a set of coupled nonlinear partial differential equations where the solution must satisfy the 64 balance of electrostatic, surface tension, and internal liquid pressures normal to the droplet's 65 equipotential surface [24]. These pressures are all conservative, so an equivalent formulation is 66 to find the free energy minimum from an integrated form of the coupled nonlinear differential 67 equations [29]. In neither case does a known closed-form solution exist. Here we show that, 68 provided the effect of gravity is negligible, the stability limit for a conducting droplet on a 69 conducting surface follows a power law, simply derivable by the variational principle, that is in 70

excellent agreement with our experiments (Fig. 2b). The power law captures the cases for which
droplets or bubbles are either pinned or sliding on the conducting surface, or free floating.

Our experiment apparatus comprises a metal plate with a circular hole machined through the 74 center, within which resides a metal needle with its tip coincident with the plate's surface (Fig. 75 1). For each experiment, the plate and needle are electrically grounded, and a second parallel 76 plate is situated above and held at a different constant electrical potential. The dimensions and 77 separation distance between the plates are such that a uniform far field  $E_0$  is established [30]. 78 Soapy water (surface tension  $\gamma = 0.029$  N/m) is slowly dispensed through the needle by a 79 motorized syringe, which establishes and feeds a droplet confined to the outer radius R of the 80 81 needle tip by a small air gap of negligible dimension between the needle and plate. A high-speed 82 camera records the droplet as it quasi-statically increases in volume and then becomes unstable 83 (Fig. S1). The droplet behaves as a conductor because the timescale for cancellation of the electric field inside the droplet is much smaller than the timescale for filling the droplet over the 84 course of the experiments. Specifically, the timescale for filling the droplet is  $\sim 10^1$  sec, and the 85 timescale for electrical relaxation is  $\tau_E = \varepsilon_l / \sigma_l \le 1.3 \times 10^{-4}$  sec [31], where  $\varepsilon_l \approx 80\varepsilon_0$  and 86  $\sigma_1 \square 5.6 \times 10^{-6}$  S/m are the permittivity and conductivity of the soapy water, and 87  $\varepsilon_0 = 8.85 \times 10^{-12}$  F/m is the vacuum permittivity. Note that ambient air surrounds the droplets, so 88  $\mathcal{E} \approx \mathcal{E}_0$ . At these fill rates, the dynamic fluid pressures inside the droplet are negligible (see SI). 89 The video frame containing the critically stable droplet shape is image processed to calculate 90 relevant quantities, specifically the droplet's volume V, apex height H and contact angle  $\theta$  (Fig. 91 92 1).

The full range of experimental data was acquired by systematically changing  $E_0$  for two needle 94 radii R = [0.46, 0.74] mm in order to work within two experimental constraints: (1) avoiding 95 electrical breakdown of the air which limits  $E_0$ ; and (2) requiring the effect of gravity to be 96 negligible, i.e., the Bond number  $\rho g V^{2/3} / \gamma \leq 0.1$ , where the soapy water density  $\rho \approx 10^3 \text{ kg/m}^3$ , 97 and the gravitational acceleration  $g = 9.8 \text{m/s}^2$ . Practically, this meant that R could not exceed 98 ~1mm, otherwise, gravity would become significant [32]. The range of the experimental results 99 100 was ultimately limited by the electrified droplets depinning from the edge of the needle before becoming unstable at  $\theta \approx 0$  and  $\theta \approx \pi/2$ . 101 102 For each experiment, the stability limit is fully specified by  $\gamma$ ,  $\varepsilon E_0^2$  and two geometric 103

parameters that define the droplet shape; specifically we choose the droplet volume *V* and radius *R*. This set of parameters comprises two dimensionless groups which are functionally related

according to the Buckingham Pi theorem [33]:  $\frac{\varepsilon E_0^2}{\gamma/R}$ , a ratio of characteristic electrostatic and

107 capillary pressures (i.e. the electrical Bond number), and  $\frac{R^3}{V}$ , a shape parameter. The absolute

length scale of the experiment enters only through the dimensionless group  $\frac{\varepsilon E_0^2}{\gamma/R}$  through *R*;

thus, it is natural to choose R as the unit scale of the coordinate axes for displaying the family of critically stable droplet shapes (Fig. 2a).

We proceed by demonstrating that these two dimensionless groups must be proportional to one 112 another. The quasi-static droplet shapes observed during experiments all correspond to minima 113 of the free energy F, i.e., dF = 0, and the critically stable droplet shapes are the limiting case at 114 which dF = 0. Let an arbitrary variation about some particular critically stable droplet shape be 115 parameterized by the dimensionless parameter  $\xi$ , where the critically stable shape is  $\xi = \xi_0$ . 116 Only variations at constant volume are physical and the experiment is performed at constant 117 ambient temperature. Therefore there are only two nonzero terms comprising dF, specifically 118 the differential changes in surface energy  $dU_{r}(\xi)$  and electrostatic energy  $dU_{E}(\xi)$  due to the 119 variation. Hence  $dF = (U'_{\gamma}(\xi_0) + U'_{E}(\xi_0))d\xi = 0$ , or equivalently  $U'_{\gamma}(\xi_0) + U'_{E}(\xi_0) = 0$  because 120 the variation  $d\xi$  is arbitrary; the prime superscript denotes a partial derivative with respect to  $\xi$ . 121 122

The surface energy of the droplet may be written as  $U_{\gamma}(\xi) = \gamma R^2 a(\xi)$ , where  $R^2 a(\xi)$  is the 123 droplet's surface area and  $a(\xi)$  is a dimensionless shape function. The energy of the electrostatic 124 field due to the presence of the droplet may be written as  $U_E(\xi) = \varepsilon E_0^2 V v(\xi)$ , where similarly 125  $v(\xi)$  is a dimensionless shape function. The scaling intuition for  $U_{E}(\xi)$  is that the uniform far 126 field  $E_0$  sets the scale of the energy density everywhere to be  $\varepsilon E_0^2$ , and the relevant cubic length 127 128 scale is V. However, it is important to bear in mind that the electrostatic field at the surface of the droplet has a complicated relationship to  $E_0$  due to of the coupling between the droplet shape 129 and electric field configuration (see SI). More precisely,  $U_E(\xi)$  is the difference between the 130 electrostatic energy of the field surrounding the droplet and that of the spatially homogeneous 131 field  $E_0$  that would exist in the droplet's absence (i.e. for  $V \equiv 0$ ). The same expression for 132

 $U_{E}(\xi)$  is also found by considering the polarization energy of the free floating shape in the 133 uniform electric field  $E_0$  defined by the equipotential surface comprised of the critically stable 134 droplet's surface and the surface of the metal plate (see SI). An explicit expression for  $v(\xi)$ 135 generally involves an infinite series of Legendre functions found by solving an eigenvalue 136 problem constructed for the critically stable shape  $\xi = \xi_0$  [34,35]. The coefficients multiplying 137 each term in the infinite series must conspire such that V simply multiplies  $v(\xi)$ , and it is 138 assumed that  $U_E(\xi)$  includes the energy spent transferring charge to the surface of droplet and 139 metal plate so that the electrical potential remains constant. 140

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142 The variation about dF = 0 therefore becomes  $\varepsilon E_0^2 V \upsilon'(\xi_0) + \gamma R^2 a'(\xi_0) = 0$ , or equivalently

143  $\left(\frac{\varepsilon E_0^2}{\gamma/R}\right)^{-1} \frac{R^3}{V} = -\frac{\upsilon'(\xi_0)}{a'(\xi_0)}$ . Again, because the variation is arbitrary, we may infer that  $-\frac{\upsilon'(\xi_0)}{a'(\xi_0)} = c$ , 144 where *c* a positive dimensionless coefficient. Substituting and rearranging yields the power law 145 for the critically stable droplet shapes  $\frac{R^3}{V} = c \frac{\varepsilon E_0^2}{\gamma/R}$ . Fitting to experiment yields the 146 proportionality constant  $c \approx \pi/2$  (Fig. 2b) and therefore

147 
$$\frac{R^3}{V} = \frac{\pi}{2} \frac{\varepsilon E_0^2}{\gamma/R}.$$
 (1)

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The geometric parameters *V* and *R* are special choices because they are the only two geometric parameters that are constant with respect to the variation. The power law results from the substitution  $v'(\xi_0) = -ca'(\xi_0)$  above, which removes the complicated details of the critically stable droplet shapes that reside in  $a(\xi_0)$  and  $v(\xi_0)$ . For this reason, we expect Eq. 1 to remain valid for the critically stable droplet shapes with  $\theta \ge \pi/2$ , which were beyond our experimental capabilities. From a dimensional analysis point of view, *V* and *R* are not unique choices and may be exchanged for any two geometric parameters that define the droplet shape. Historically, the

156 choices have been *H* and *R* [1,7,8,23,24], which yield the dimensionless groups  $\frac{\varepsilon E_0^2}{\gamma/R}$  and  $\frac{R}{H}$ .

However, *H* is not constant with respect to the variation and therefore the above derivation cannot be repeated to arrive at an analogous power law. Essentially, the complicated details of the critically stable droplet shapes reside within *H*, which precludes a power law between these dimensionless groups (Fig. S2). This discussion illustrates the importance of our choice of the governing parameter set  $[\gamma, \varepsilon E_0^2, V, R]$ .

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Strictly speaking, our analysis applies to the case of a droplet pinned to the substrate at a fixed 163 164 contact radius R. Practically however, Eq. 1 also captures the stability limit of droplets 165 constrained by a constant contact angle  $\theta$  with a substrate. Provided there exists any miniscule 166 amount of contact angle hysteresis for the droplet on the surface, as is typically the case in 167 practice [36], the appropriate types of variations about the critically stable droplet shape are those at constant R because  $\theta$  may vary infinitesimally within the finite window of contact angles 168 provided by the hysteresis. In this case, Eq. 1 is exact. For example, a droplet subject to an 169 electric field of slowly increasing strength  $E_0$  will progress through a continuum of quasi-static 170 shapes with changing R in order to satisfy the constraint on  $\theta$ , which in this example is the 171 172 receding contact angle (Fig. 2c, [36]). This may be viewed as changing the absolute scale in accordance with R until reaching the limit of stability, at which R is constant for variations about 173

174 the critically stable droplet shape. The relationship between  $\theta$  and  $\frac{\varepsilon E_0^2}{\gamma/R}$  is given in Fig. 2d; these 175 quantities are in fact the two dimensionless groups that may be constructed from the governing 176 parameter set  $[\gamma, \varepsilon E_0^2, \theta, R]$ .

177

The critically stable droplet shape for  $\theta = \pi/2$  is a special case because the surface of the droplet 178 together with the surface of the metal plate define an equipotential surface corresponding to half 179 of a free floating droplet in the uniform field  $E_0$ . Previous experiments performed for this 180 special case used centimetric soap bubbles placed between parallel plate electrodes, and were 181 performed by slowly increasing  $E_0$  until the stability limit was reached as described in Fig. 2c; 182 the results coincide with Eq. 1 (Fig. 2b(i,ii)) [7,24]. G.I. Taylor's calculation [1], which re-183 expressed in our parameters yields  $\frac{\varepsilon E_0^2}{\gamma/R} = 0.170$  and  $\frac{R^3}{V} = 0.251$ , coincides with the square 184 185 indicated by (i) in Fig. 2b.

186

187 In summary, we find that a single power law (Eq. 1) captures the electrical stability limit for any 188 finite conductivity droplet (e.g., any aqueous or ionic solution) on timescales greater than its electrical relaxation time. The radius of the droplet may range from ~1 mm, above which 189 gravitational forces become significant, to  $\sim 100$  nm or smaller, below which charge screening 190 191 lengths and Van der Waals forces are significant [37]. The power law can aid in understanding natural and engineered systems, and provides a practical design criterion for application 192 development. For instance, the performance of industrial-scale electrospinning [38], electrostatic 193 194 filtration [13], demulsification [39], and condensation-driven thermal systems [40] often relies

- 195 on the design of surfaces that carefully manage the supply and electrostatic stability of droplet
- 196 arrays or liquid films.

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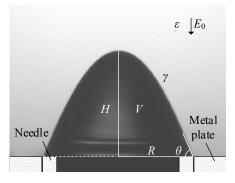


FIG. 1. Experimental setup for determining the stability limit of soap-water droplets on a conducting surface subject to a uniform electric field  $E_0$  in a dielectric medium  $\varepsilon$ . The droplet has surface tension  $\gamma$  and is pinned to the outer radius *R* of a metal needle tip coincident with the surface of a metal plate. Liquid is slowly dispensed into the droplet until it becomes unstable. The camera frame capturing the critically stable droplet shape (as shown here) is image processed to calculate its volume *V*, height *H*, and contact angle  $\theta$ .

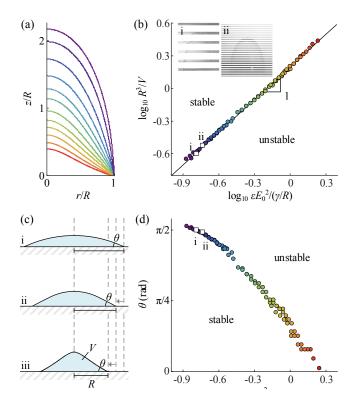




FIG. 2. Experiment results for the critically stable droplets. (a) The critically stable droplet 271 shapes constitute a continuous family where each is non-elementary and non-similar to any 272 other. (b) A power law relating the dimensionless groups characterizes the stability limit of the 273 droplets. The black line is Eq. 1. The square data points and corresponding inset pictures are for 274 critically stable soap bubbles on a metal plate exposed to a uniform field from experiments 275 performed in (i) 1925 [7] and repeated in (ii) 1990 [24]. (c) A droplet constrained to slide on a 276 surface with constant contact angle  $\theta$  progresses through the following sequence for a slowly 277 increasing electric field  $E_0$ : (i) for  $E_0 = 0$ , the droplet shape is a spherical cap; (ii) as  $E_0$ 278 increases, the droplet deforms and contracts its contact radius R; (iii) At the limit of stability, the 279 critically stable droplet parameters are again captured by Eq. 1. (d) For each critically stable 280 droplet shape, there is a unique corresponding contact angle  $\theta$ . 281