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The Orientational Distribution of Free O-H Groups of

Interfacial Water Is Exponential

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1 **Abstract**

2 The orientational distribution of free O-H (O-D) groups at the H₂O (D₂O)-air interface is
3 investigated using combined molecular dynamics (MD) simulations and sum-frequency
4 generation (SFG) experiments. The average angle of the free O-H groups, relative to the
5 surface normal, is found to be $\sim 63^\circ$, substantially larger than previous estimates of 30 - 40°.
6 This discrepancy can be traced to erroneously assumed Gaussian/stepwise orientational
7 distributions of free O-H groups. Instead, MD simulation and SFG measurement reveal a
8 broad and exponentially decaying orientational distribution. The broad orientational
9 distribution indicates the presence of the free O-H group pointing *down* to the bulk. We
10 ascribe the origin of such free O-H groups to the presence of capillary waves on the water
11 surface.

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1 Main Text

2 At the interface of water with hydrophobic media, the hydrogen-bond (H-bond) network of
3 water is interrupted, making the O-H groups of the topmost interfacial water molecules
4 dangling (free) from the H-bond network. These free O-H groups are important for
5 determining the energetics of the water surface and are thereby critical for explaining the
6 exceptionally high surface tension of water. Furthermore, free O-H groups provide a unique
7 platform for hydrophobic hydration assembly [1–3], on-water catalysis [4], and growth of
8 aerosol particles [5,6]. As such, there have been many efforts to quantify the number and
9 orientation of free O-H groups at different aqueous interfaces.

10 The free O-H (O-D) groups of interfacial water can be studied experimentally by a
11 sharp peak at ~ 3700 (~ 2740) cm^{-1} in the surface-specific vibrational sum-frequency
12 generation (SFG) spectrum [7–12]. The frequency of the free O-H signal has been examined
13 to determine the interaction strength of the topmost water layer and the hydrophobic
14 medium [13–17]. Moreover, the free O-H SFG response measured with different polarization
15 combinations provides information about their orientation. From the *ssp*-SFG (shorthand for
16 *s*, *s*, and *p* polarized sum-frequency output, visible input, and infrared input, respectively)
17 and *ppp*-SFG signals of the free O-H stretch, the averaged angle of the free O-H group at the
18 water-air interface has previously been estimated to $30\text{--}40^\circ$ [17–19]. The orientational
19 distribution of the free O-H group has been concluded to broaden with increasing
20 temperature induced by disordering of the topmost water layer [20,21]. However, to
21 connect the *ppp*/*ssp*-SFG peak amplitudes of the free O-H stretch (A_{ppp} and A_{ssp} ,
22 respectively) with the averaged angle of the free O-H group, one needs to assume a
23 functional form for the orientational distribution of the free O-H groups. So far, the
24 distribution has been assumed to be stepwise shaped [18,20,21] or Gaussian shaped [17,19].

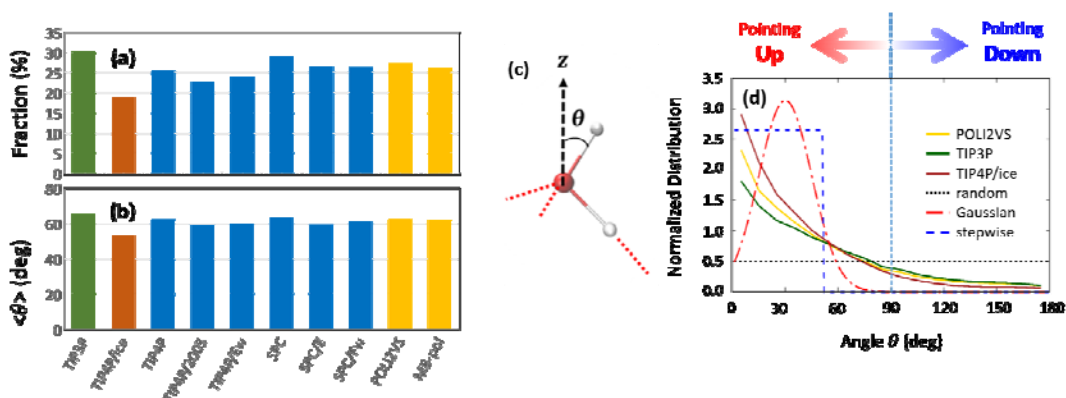
1 Recently, we have developed a geometrical definition of the free O-H group [22] and,
2 based on this definition, we computed the orientation of the free O-H group from molecular
3 dynamics (MD) simulations with the POLI2VS model [23]. Surprisingly, these simulations
4 predict an average angle formed by the free O-H group and the surface normal to be
5 $\sim 63^\circ$ [22], much larger than the previously estimated values of $30\text{--}40^\circ$ [17–19]. This
6 discrepancy casts doubts on either the quality of the water force field model or the
7 assumption of the Gaussian/stepwise shaped orientational distribution of the free O-H
8 groups.

9 Here, by combining simulation and experiment, we unveil the orientational
10 distribution of the free O-H group at the water-air interface. We compare the results of MD
11 simulations with various force field models, which commonly predict an average angle of
12 $\sim 63 \pm 3^\circ$. Furthermore, these results suggest that the orientational distribution of the free O-
13 H group cannot be accurately represented by a narrow Gaussian or stepwise shaped
14 distribution. Rather, the distribution is exponentially shaped. The combination of a large
15 average orientation angle and an exponentially shaped distribution fully accounts for the
16 experimental data. We discuss the sensitivity of the free O-H SFG feature to the average
17 angle and present a physical argument for the existence of a broad orientational distribution.

18 We performed MD simulations of the water/air interface to characterize the
19 structure of the free O-H group for various force field models. To define the free O-H group,
20 we used the free O-H group definition developed recently in Ref. [22]. According to this
21 definition, an O-H group of water is free of hydrogen bonds when the intermolecular O...O
22 distance is larger than 3.5 \AA , and the H-O...O angle is larger than 50° . We used fixed-charge
23 force field models (TIP3P, [24] TIP4P, [24] TIP4/2005, [25] TIP4P/Ew [26], TIP4P/ice [27],

1 SPC [28], SPC/E [29], and SPC/Fw [30]), a polarizable model (POLI2VS [23]), and a many-body
 2 model (MB-pol [31–33]). Simulation details can be found in Supplementary Materials.

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5 Figure 1. (a) Fractions of the water molecules with free O-H groups, and (b) average angles
 6 , computed from the MD simulations with various force field models. (c) Definition of the
 7 angle formed by the free O-H group and the macroscopic surface normal. (d) Normalized
 8 probability distributions of obtained from the POLI2VS, TIP3P and TIP4P/ice MD
 9 simulations as well as the stepwise function with [18], and the Gaussian function
 10 with and [19].

11

12 Figure 1(a) shows the simulated fraction of the interfacial water molecules with free
 13 O-H groups. The procedure for calculating the fraction of the free O-H groups is detailed in
 14 Supplementary Materials. The TIP4P/ice model provides the lowest fraction (~20 %), while
 15 the TIP3P model provides the highest fraction (~30 %). Since the TIP4P/ice model is
 16 parameterized to reproduce the properties of ice [27], it tends to overestimate the strengths
 17 of the H-bonds of water, decreasing the fraction of free O-H groups at the interface. In

1 contrast, the TIP3P model is known to predict extremely short H-bond lifetime [30],
 2 indicating that the strength of the H-bonds in the TIP3P model is weaker than in the other
 3 water force field models. Thus, the TIP3P model predicts the highest fraction of the free O-H
 4 group. The fractions of the free O-H group for various force field models are $25 \pm 5 \%$, which
 5 is consistent with experimental data [34].

6 Figure 1(b) displays the average angle $\langle \theta \rangle$ formed by the free O-H groups and the
 7 surface normal (see Figure 1(c)), where the thermal average of quantity A is calculated as
 8 $\langle A \rangle = \int_0^\pi Af(\theta)\sin\theta d\theta$ and $f(\theta)$ is the orientational distribution of the free O-H groups.
 9 Again, the TIP4P/ice and TIP3P models provide the lower and upper limits, respectively,
 10 among all models considered in this study. $\langle \theta \rangle$ of the free O-H groups is $59 - 66^\circ$. This is,
 11 however, in stark contrast with the previously reported values of 33.5° in Ref. [18] and 36.6°
 12 in Ref. [19].

13 These values of 33.5° and 36.6° were obtained from a comparison of *ssp*- and *ppp*-
 14 SFG intensity measurements in the following way. From the measured SFG intensity in *ssp*
 15 and *ppp* polarizations (proportional to $|\chi_{ssp}^{(2),\text{eff}}|^2$ and $|\chi_{ppp}^{(2),\text{eff}}|^2$, respectively), $\chi_{xxz}^{(2)} = \chi_{yyz}^{(2)}$
 16 and $\chi_{zzz}^{(2)}$ are obtained via:

$$17 \quad \chi_{ssp}^{(2),\text{eff}} = L_{yy}(\omega)L_{yy}(\omega_1)L_{zz}(\omega_2) \sin\beta_2 \chi_{yyz}^{(2)} \quad (1)$$

$$18 \quad \chi_{ppp}^{(2),\text{eff}} \approx -L_{xx}(\omega)L_{xx}(\omega_1)L_{zz}(\omega_2)\cos\beta\cos\beta_1\sin\beta_2\chi_{xxz}^{(2)} \\ 19 \quad +L_{zz}(\omega)L_{zz}(\omega_1)L_{zz}(\omega_2)\sin\beta\sin\beta_1\sin\beta_2\chi_{zzz}^{(2)} \quad (2)$$

20 where L_{ii} ($i = x, y, z$) is the Fresnel Factor and β_i is the incidence/reflection angle of the
 21 light of frequency ω_i with respect to the surface normal. Here, the x-y plane is defined

1 parallel to the surface and the z-axis forms the macroscopic surface normal. The amplitudes
 2 of the free O-H peak A_{xxz} and A_{zzz} in the $\chi_{xxz}^{(2)}$ and $\chi_{zzz}^{(2)}$ spectra, respectively, are related to
 3 $\langle \cos^3 \theta \rangle / \langle \cos \theta \rangle$ via:

$$\frac{A_{xxz}}{A_{zzz}} \propto \frac{(1+r)\langle \cos \theta \rangle - (1-r)\langle \cos^3 \theta \rangle}{2r\langle \cos \theta \rangle + 2(1-r)\langle \cos^3 \theta \rangle} \quad (3)$$

4 in the slow motion limit [18], and is linked to $\langle \cos^2 \theta \rangle$ via:

$$\frac{A_{xxz}}{A_{zzz}} \propto \frac{(1+r) - (1-r)\langle \cos^2 \theta \rangle}{2r + 2(1-r)\langle \cos^2 \theta \rangle} \quad (4)$$

5 in the fast motion limit [19]. For the slow (fast) motion limit, the decay of the orientational
 6 memory of the free O-H group is much slower (faster) than vibrational relaxation. r is given
 7 by the ratio of the transition polarizability $\dot{\alpha}_{\zeta\zeta} / \dot{\alpha}_{\xi\xi}$, where ξ and ζ denote the directions
 8 parallel to and perpendicular to the O-H bond, respectively (see Supplementary Materials).
 9 To obtain the average angle $\langle \theta \rangle$ from $\langle \cos^3 \theta \rangle / \langle \cos \theta \rangle$ or $\langle \cos^2 \theta \rangle$, one needs to assume an
 10 orientational distribution. Previously, a stepwise function [18,20,21]:

$$f(\theta) = \begin{cases} N_S & \text{for } 0 \leq \theta \leq \theta_S \\ 0 & \text{for } \theta_S < \theta < \pi \end{cases}, \quad (5)$$

12 and Gaussian function [17,19]:

$$f(\theta) = \frac{N_G}{\sqrt{2\pi\sigma^2}} e^{-(\theta-\theta_G)^2/2\sigma^2}, \quad (6)$$

14 have been used, where N_S and N_G are determined from the normalization condition;

$$\int_0^\pi f(\theta) \sin \theta d\theta = 1. \quad (7)$$

16 Since the discrepancy between $\langle \theta \rangle = 63^\circ$ in the simulation [22] and $\sim 35^\circ$ deduced
 17 from the experiments [18,19] may arise from the improperly assumed orientational

1 distributions, we calculated the angular distributions from the MD simulations. These are
 2 displayed in Figure 1(d). The shapes of the computed distributions are very similar for
 3 different force field models, but differ significantly from the narrow Gaussian/stepwise
 4 shaped distributions. We find that the simulated distributions can be described well by an
 5 exponential curve:

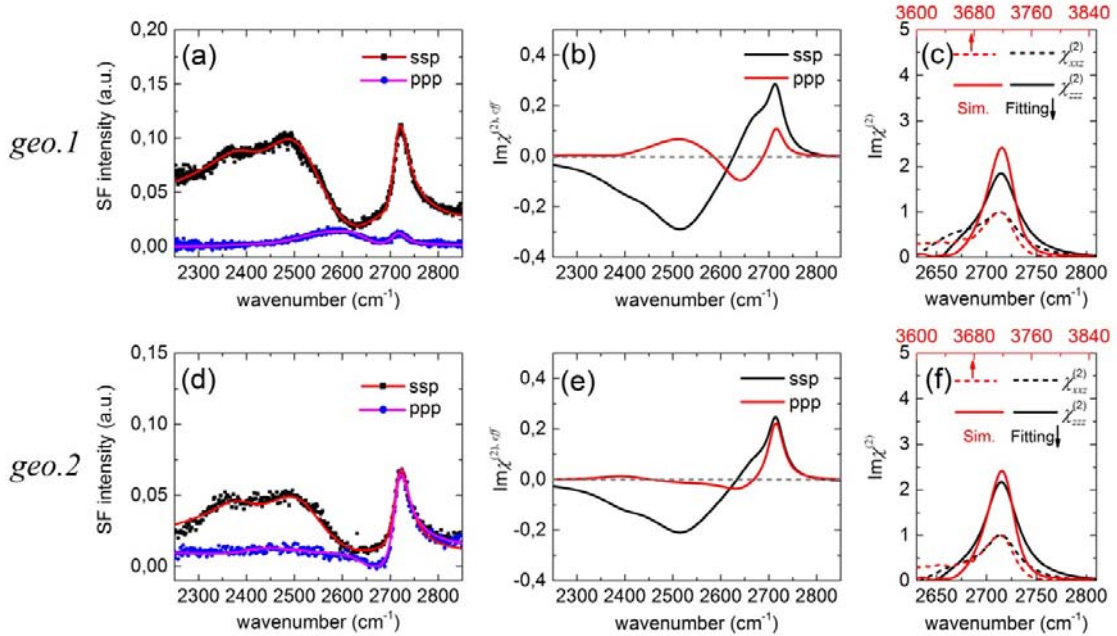
$$6 \quad f(\theta) = N_E e^{-\theta/\theta_E}, \quad (8)$$

7 where N_E is the normalization parameter satisfying Eq. (7). For the POLI2VS model, $\theta_E =$
 8 53.3° . This illustrates that the Gaussian/stepwise shaped distributions are not appropriate
 9 for describing the free O-H group orientation at the water-air interface.

10 To examine whether this broad, exponentially decaying distribution of free O-H
 11 angles is consistent with the experimental data, we carried out SFG measurements with *ssp*-
 12 and *ppp*-polarization combinations at the D₂O-air interface. Since the *ppp*-SFG signal is
 13 sensitive to the experimental setup geometry, we used two experimental geometries to
 14 verify that the ratio of the amplitude for the free O-D group (A_{xxz}/A_{zzz}) is robust. In the
 15 experimental geometry 1, the incident angles of IR and visible are 62° and 47° , respectively,
 16 while in geometry 2, the incident angles of IR and visible are 40° and 56° , respectively. The
 17 SFG intensity data are plotted in Figure 2(a) and (d), respectively. By fitting the intensity
 18 spectra (parameters are listed in Supplementary Materials), we obtained $\text{Im}(\chi_{ssp}^{(2),\text{eff}})$ and
 19 $\text{Im}(\chi_{ppp}^{(2),\text{eff}})$. These are plotted in Figure 2(b) and (e) for geometry 1 and 2, respectively.
 20 Furthermore, we obtained $\text{Im}(\chi_{xxz}^{(2)})$ and $\text{Im}(\chi_{zzz}^{(2)})$ from $\text{Im}(\chi_{ssp}^{(2),\text{eff}})$ and $\text{Im}(\chi_{ppp}^{(2),\text{eff}})$ via
 21 Eqs. (1) and (2), where the calculation of Fresnel factors is detailed in Supplementary
 22 Materials. These are displayed in Figure 2(c) and (f) for geometry 1 and 2, respectively.

1 $\text{Im}(\chi_{xxz}^{(2)})$ and $\text{Im}(\chi_{zzz}^{(2)})$ spectra show that the two data sets of the different incident angles
 2 are in good agreement. From these spectra, we obtain a ratio of A_{xxz}/A_{zzz} of 0.43 ± 0.02
 3 (geometry 1: 0.45, geometry 2: 0.42, see Sec. III. C of Supplementary Materials).
 4 Furthermore, as is seen in Figure 2(c) and (f), the simulated $\text{Im}(\chi_{xxz}^{(2)})$ and $\text{Im}(\chi_{zzz}^{(2)})$ data
 5 with the POLI2VS model [23] are also in good agreement with the experimental data.

6



7

8 Figure 2. (a) *ssp*- and *ppp*-SFG intensity spectra of D₂O at the D₂O-air interface, (b) imaginary
 9 part of the effective spectra containing the Fresnel factors; $\text{Im}(\chi_{ssp}^{(2),\text{eff}})$ and $\text{Im}(\chi_{ppp}^{(2),\text{eff}})$
 10 obtained from the fit of the intensity spectra, (c) $\text{Im}(\chi_{xxz}^{(2)})$ and $\text{Im}(\chi_{zzz}^{(2)})$ constructed from
 11 $\text{Im}(\chi_{ssp}^{(2),\text{eff}})$ and $\text{Im}(\chi_{ppp}^{(2),\text{eff}})$ for experimental geometry 1, and (d) *ssp*- and *ppp*-SFG
 12 intensity spectra (e) $\text{Im}(\chi_{ssp}^{(2),\text{eff}})$ and $\text{Im}(\chi_{ppp}^{(2),\text{eff}})$, (f) $\text{Im}(\chi_{xxz}^{(2)})$ and $\text{Im}(\chi_{zzz}^{(2)})$ for
 13 experimental geometry 2. In (a) and (d), the fit data is also shown. In (c) and (f), simulated

1 data for H₂O with the POLI2VS model is also shown. and in (c) and (f)

2 were normalized, based on the free O-D peak amplitude in .

3

4 The experimentally obtained $\langle \theta \rangle = 0.43 \pm 0.02$ can now be compared with the

5 simulation data. To do so, we calculated the variation of A_{XXZ}/A_{ZZZ} as a function of $\langle \theta \rangle$ for

6 the exponential shaped distribution (Eq. (8)), by using Eqs. (3) and (4) with $r = 0.15$. Although

7 this r was set to 0.32 in Ref. [35], we used $r = 0.15$ obtained from *ab initio* calculation of

8 water trimer at the B3LYP/aug-cc-pVTZ level of theory (see Supplementary Materials). The

9 data is shown in Figure 3(a). $\langle \theta \rangle = 63 \pm 3^\circ$ provides the A_{XXZ}/A_{ZZZ} value of 0.42 ± 0.01 for the

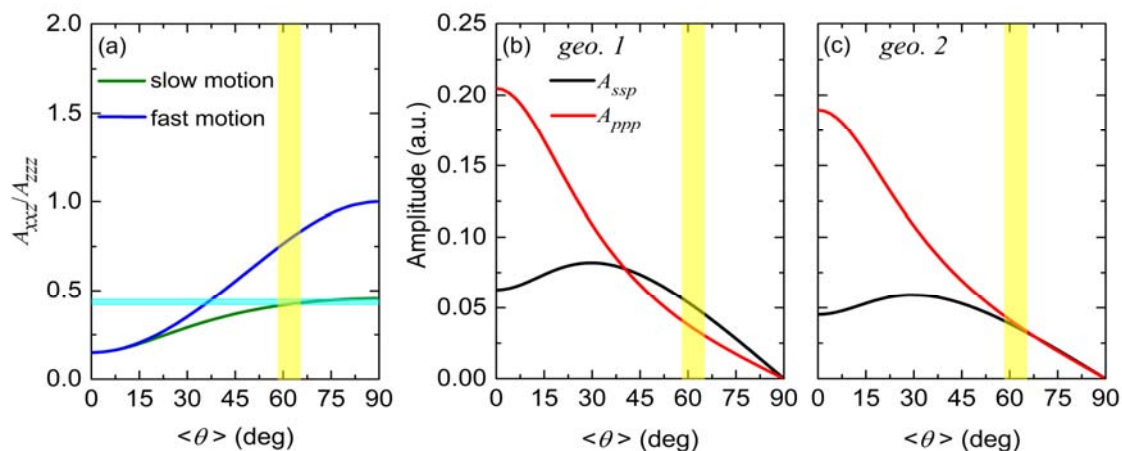
10 slow motion limit and 0.80 ± 0.04 for the fast motion limit. The value of 0.42 for the slow

11 motion limit together with the exponential shaped distribution is consistent with the

12 experimental result of 0.43 ± 0.02 , manifesting that a broad exponentially shaped

13 distribution and the expression of the slow motion limit (Eq. (8)) can indeed account for the

14 experimental data.



15

1 Figure 3. (a) Variations of A_{xxz}/A_{zzz} as a function of average angle $\langle\theta\rangle$ computed based on
2 the exponential distribution represented by Eq. (8). The sky blue zone represents the
3 experiment tolerance and the yellow zone represents the simulation tolerance. A_{ssp} and
4 A_{ppp} vs. $\langle\theta\rangle$ based on the slow motion approximation and exponential shaped orientational
5 distribution for (b) experimental setup geometry 1 and (c) geometry 2.

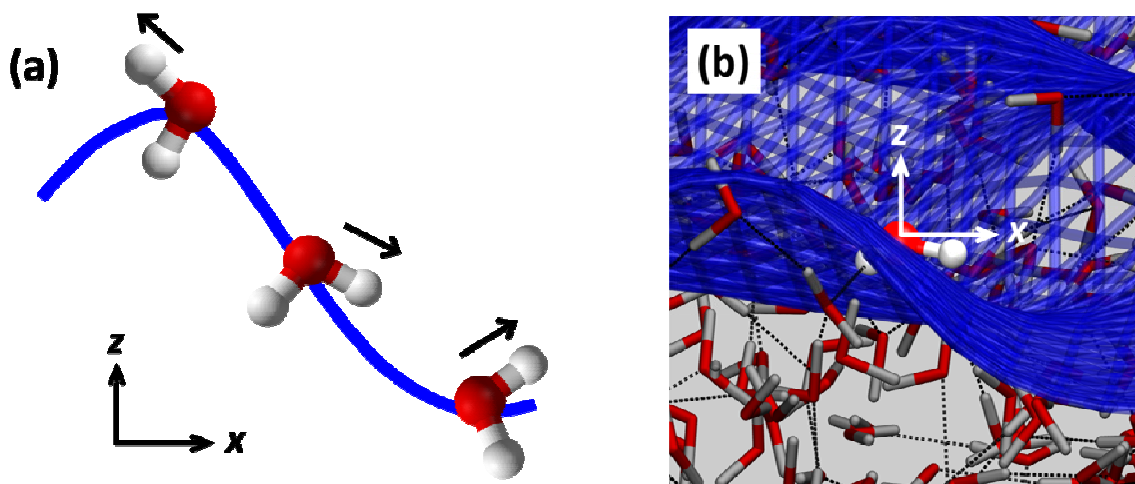
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7 The broad exponentially shaped distribution has two important consequences. One is
8 that both the *ssp*- and *ppp*-SFG amplitudes of the free O-H (O-D) stretch (A_{ssp} and A_{ppp} ,
9 respectively) are very sensitive to the average angle of the free O-H group around 63° as is
10 seen in Figure 3(b) and (c). This observation contrasts the previous conclusion that *ssp*-
11 signals would be insensitive to the angle of the free O-H group [18,20,21]. Our finding
12 indicates that the variation of A_{ssp} cannot be solely attributed to the variation of the
13 number of free O-H (O-D) stretch chromophores when the average angle is larger than 50° .
14 This can resolve the apparent contradiction on the surface activity of small osmolyte
15 molecules at the water-air interface: the absence of the osmolyte in the topmost water layer
16 has been concluded from the insensitivity of the free O-H stretch *ssp*-SFG peak to the
17 addition of osmolyte [36,37], while MD simulations have suggested that osmolyte molecules
18 should be located at the topmost water layer [38,39]. Since the average angle of the free O-
19 H group changes due to the addition of osmolyte, the reduction of the free O-H stretch
20 chromophores is compensated by the decrease in $\langle\theta\rangle$ (increase in $\langle\cos\theta\rangle$), yielding no overall
21 change in the SFG peak amplitude [40]. Hence, the SFG intensity remains constant due to a
22 cancellation of counteracting effects. The appearance of TMAO at the topmost water layer
23 demonstrates that the methyl group of TMAO is very hydrophobic, which is critical to

1 account for the counteracting effects of TMAO and urea on the protein folding. [41,42]
2 Furthermore, this work suggests that the orientational distribution should be carefully
3 examined to obtain the average angles not only for the O-H stretch mode of water but also
4 for the C=O, N-H and C-H stretch mode. These modes have been frequently used for probing
5 proteins [43,44], a small molecule [45], and lipids [46,47] at the water-air interface as well as
6 the ionic liquid-air interface [48–51].

7 The other consequence is that for the broad exponential distribution with $\theta_E = 53.3^\circ$
8 in Eq. (8), ~20 % of the free O-H groups at the water-air interface point *down* to the bulk,
9 unlike the narrow Gaussian/stepwise shaped distributions. In fact, a free O-H group pointing
10 *down* has not been recognized [52]. The mechanism of the presence of free O-H group
11 pointing down can be understood by considering capillary waves causing surface roughness.
12 On the top and bottom of the capillary wave, *i.e.*, the location where the gradient of the
13 capillary wave is small, a free O-H group rarely points *down* to the bulk. In contrast, on the
14 slope of the capillary wave, *i.e.*, the location where the gradient is very large, a free O-H
15 group often points *down* to the bulk. This is schematically shown in Figure 4(a). A water
16 molecule with a free O-H group pointing *down* to the bulk can be often found on the slope of
17 the capillary wave, as apparent from the simulation snapshot shown in Figure 4(b). When we
18 reduce the effects of the surface roughness on the angular distribution by using the
19 instantaneous liquid interface [53], the free O-H group orientation distribution becomes
20 narrower (see Figure S5). This clearly demonstrates that interfacial molecular orientation is
21 assisted by capillary waves.

22



1

2 Figure 4 (a) Schematic of the interfacial water molecules. The blue line represents the
 3 capillary wave, and the black arrows denote the orientation of the free O-H group. (b)
 4 Snapshot of the simulated water-air interface. A water molecule with the free O-H group is
 5 highlighted with spheres for atoms. The black broken lines represent the hydrogen bonds,
 6 and the blue lines represent the positions of the capillary wave.

7

8 In conclusion, by combining SFG experiment and MD simulations, we examined the
 9 orientational distribution of the free O-H group at the water-air interface. The different force
 10 field models provide the average angle of the free O-H group of $\sim 63^\circ$, substantially larger
 11 than previous estimates of $30\text{-}40^\circ$ [17–19]. The underestimation of the angle for the
 12 previous studies arises from the assumed shape of the orientational distributions; the actual
 13 distribution of the free O-H orientation is much broader and exponentially shaped. This leads
 14 to a high sensitivity of the SFG amplitude of the free O-H stretch mode at the *ssp*
 15 polarization combination to the angle of the free O-H group, opposed to the commonly
 16 claimed insensitivity of the *ssp*-SFG signal to the angle. Furthermore, a broad distribution

1 indicates that ~20 % of the free O-H groups point down to the bulk. We attribute this to the
2 free O-H groups on the slope of a capillary wave.

3

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10

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