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Band Topology and Linking Structure of Nodal Line Semimetals with Z_2 Monopole Charges

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We study the band topology and the associated linking structure of topological semimetals with nodal lines carrying Z_2 monopole charges, which can be realized in three-dimensional systems invariant under the combination of inversion P and time reversal T when spin-orbit coupling is negligible. In contrast to the well-known PT -symmetric nodal lines protected only by π Berry phase in which a single nodal line can exist, the nodal lines with Z_2 monopole charges should always exist in pairs. We show that a pair of nodal lines with Z_2 monopole charges is created by a *double band inversion* (DBI) process, and that the resulting nodal lines are always *linked by another nodal line* formed between the two topmost occupied bands. It is shown that both the linking structure and the Z_2 monopole charge are the manifestation of the nontrivial band topology characterized by the *second Stiefel-Whitney class*, which can be read off from the Wilson loop spectrum. We show that the second Stiefel-Whitney class can serve as a well-defined topological invariant of a PT -invariant two-dimensional (2D) insulator in the absence of Berry phase. Based on this, we propose that pair creation and annihilation of nodal lines with Z_2 monopole charges can mediate a topological phase transition between a normal insulator and a three-dimensional Stiefel-Whitney insulator (3D SWI). Moreover, using first-principles calculations, we predict ABC-stacked graphdiyne as a nodal line semimetal (NLSM) with Z_2 monopole charges having the linking structure. Finally, we develop a formula for computing the second Stiefel-Whitney class based on parity eigenvalues at inversion invariant momenta, which is used to prove the quantized bulk magnetoelectric response of NLSMs with Z_2 monopole charges under a T -breaking perturbation.

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Introduction.— Topological semimetals [1–38] are novel states of matter whose band structure features gap-closing points or lines. Such gapless nodal points or lines are protected by either crystalline symmetry [4–17] or topological invariants [18–38]. The nodal point (Weyl point) in a Weyl semimetal [31–38] is a representative example of the latter case. Due to the quantized monopole charge, Weyl points always exist in pairs [31–34]. Moreover, pair creation and annihilation of Weyl points can mediate topological phase transitions between a normal insulator (NI) and a topological insulator in three dimensions (3D) [31–33, 37–40]. Since the origin of the monopole charge is the Berry curvature of complex electronic states, breaking either time reversal T [31–33] or inversion P [35–38] is a precondition to host a Weyl point [34].

However, recent theoretical studies have found that, in the presence of P and T symmetries, a nontrivial monopole charge can exist, carried by a nodal line (NL), when spin-orbit coupling is negligibly weak [18–23]. Here the monopole charge is a Z_2 number originating from the topology of real electronic states [18–20, 23], which is clearly distinct from the integer monopole charge of Weyl points originating from complex electronic states. In fact, recently, spinless fermions in PT -symmetric systems have received great attention due to the discovery of semimetals with NLs protected by π Berry phase [23–26], appearing in various forms including rings [41–49], crossings [50–53], chains [54–56], links [57–64], knots [63–65], nexus [66–68], and nets [69–71]. However, all the NL belonging to this class do not carry a Z_2

monopole charge. Because of this, such a NL can exist alone in the Brillouin zone (BZ), which can disappear after shrinking to a point [23]. No candidate material has been predicted to host Z_2 -nontrivial NLs (Z_2 NLs) yet. Although there are preceding theoretical studies on Z_2 NLs [21–23], the generic feature of the associated band structure topology, which is useful to facilitate material discovery, has not been thoroughly studied.

In this work, we study topological characteristics unique to a nodal line semimetal (NLSM) with Z_2 monopole charges and propose the first candidate material, ABC-stacked graphdiyne. In particular, we describe the mechanism for creating Z_2 NLs and the linking structure between them, which originates from the underlying global topological characteristics of real electronic states represented by the *second Stiefel-Whitney (SW) class*. The linking structure exists between a Z_2 NL near the Fermi energy E_F and another NL below E_F , similar to the linking structure predicted in 5D Weyl semimetal recently [72]. This demonstrates that, in contrast to the common belief, the topological property of NLSM is determined not only by the local band structure near crossing points at E_F but also by the global topological structure of all occupied bands below E_F .

Band crossing in PT -invariant spinless fermion systems.— Z_2 -trivial NLs can be described as follows [23, 26]. Since $(PT)^2 = +1$ in the absence of spin-orbit coupling, PT operator can be represented by $PT = K$ where K denotes the complex conjugation. In this basis, the PT invariance of the Hamiltonian, $PTH(\mathbf{k})(PT)^{-1} = H(\mathbf{k})$, requires $H(\mathbf{k})$

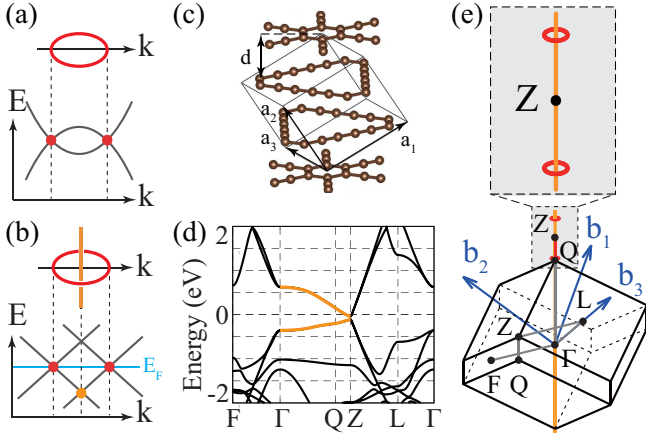


FIG. 1: (a) Band structure near a nodal line (NL) with zero Z_2 monopole charge. (b) Band structure near a NL carrying a unit Z_2 monopole charge (Z_2 NL) linked with another nodal line (NL*) below the Fermi level (E_F). (c) Atomic structure of ABC-stacked graphdiyne. (d) Band structure of ABC-stacked graphdiyne where thick orange lines indicate degenerate NLs above and below E_F . (e) The shape of two Z_2 NLs (red loops) at E_F ($E = 0$) linked with a NL* below E_F (yellow line) in ABC-stacked graphdiyne.

to be real. Then the effective two-band Hamiltonian near a band crossing point can be written as $H(\mathbf{k}) = f_0(\mathbf{k}) + f_1(\mathbf{k})\sigma_x + f_3(\mathbf{k})\sigma_z$, where $\sigma_{x,y,z}$ are the Pauli matrices for the two crossing bands and $f_{0,1,3}(\mathbf{k})$ are real functions of momentum $\mathbf{k}=(k_x, k_y, k_z)$. Because closing the band gap requires only two conditions $f_{1,3}(\mathbf{k}) = 0$ to be satisfied whereas there are three independent variables $k_{x,y,z}$, the generic shape of band crossing points is a line.

On the other hand, to describe Z_2 NLs, one needs to consider a four-band Hamiltonian as first proposed in [23]. When the reality condition is imposed, $H(\mathbf{k})$ can include three 4×4 anticommuting matrices, which indicates that a 3D massless Dirac fermion can exist. The Dirac point is stable against the gap opening because the mass terms, which are imaginary, are forbidden. However, there are other allowed real matrix terms that can deform the Dirac point into a NL. For instance, let us consider the following Hamiltonian introduced in [23],

$$H(\mathbf{k}) = k_x\sigma_x + k_y\tau_y\sigma_y + k_z\sigma_z + m\tau_z\sigma_z, \quad (1)$$

where $\tau_{x,y,z}$ and $\sigma_{x,y,z}$ are Pauli matrices. The energy eigenvalues are $E = \pm\sqrt{k_x^2 + (\rho \pm |m|)^2}$ where $\rho = \sqrt{k_y^2 + k_z^2}$. One can see that the conduction and valence bands touch along the closed loop (a Z_2 NL) satisfying $k_x = 0$ and $\rho = |m|$. Moreover, two occupied bands cross along another line along $\rho = 0$ (NL*), which is linked with the Z_2 NL. Because of this linking, the Z_2 NL is stable and distinct from trivial NLs. As $m \rightarrow 0$, the linking requires that the Z_2 NL shrinks to a Dirac point. As m becomes finite after sign-reversal, the size of the Z_2 NL increases again. It can never disappear by itself. Because a single Z_2 NL is stable, only a pair of Z_2 NLs can be created by band inversions.

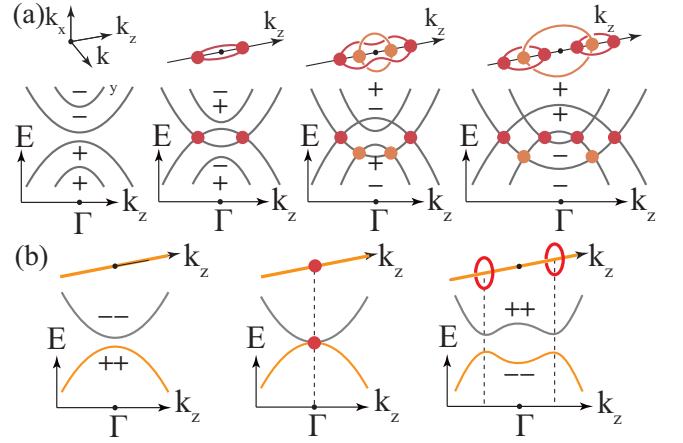


FIG. 2: (a) Evolution of band structure during a double band inversion (DBI). Red (Orange) points and lines indicate the crossing between the conduction and valence bands (two occupied bands). \pm indicate the inversion eigenvalues at the Γ point. (b) A variant of BDI process realized in graphdiyne. Due to the three-fold rotation symmetry, both the valence and conduction bands are degenerate along the k_z axis, thus NL* exists before Z_2 NLs are created.

Z_2 NLs in ABC-stacked graphdiyne.— Our first-principles calculations predict that ABC-stacked graphdiyne realizes Z_2 NLs with the linking structure. ABC-stacked graphdiyne is an ABC stack of 2D graphdiyne layers composed of sp^2 - sp carbon network of benzene rings connected by ethynyl chains. [See Fig. 1(c).] Recently, Nomura *et al.* [73] theoretically proposed ABC-stacked graphdiyne as a NLSM. Here we show that the NLs in this material are Z_2 NLs. Consistent with [73], we find NLs occurring off the high-symmetry Z point of the BZ. While the electronic band structure displays band gap along the high-symmetry lines as shown in Fig. 1(d), the valence and conduction bands cross off the high-symmetry \mathbf{k} -points along a pair of closed NLs colored in red in Fig. 1(e). Additionally, we find that two topmost occupied bands form another NL [the orange line in Fig. 1(e)], which pierces the red NLs, manifesting the proposed linking structure. Interestingly, the effective four-band Hamiltonian describing ABC-stacked graphdiyne near E_F is identical to Eq.(1) [73], indicating the generality of our theory.

Double band inversion (DBI).— Let us illustrate a generic mechanism for a pair creation of Z_2 NLs in inversion symmetric systems, which is comprised of consecutive band inversions, dubbed a *double band inversion* (DBI). For concreteness, we describe a DBI by using the Hamiltonian in Eq. (1) after the replacement $k_z \rightarrow |\mathbf{k}|^2 - M$. The evolution of the band structure during the DBI is illustrated in Fig. 2(a) as a function of the parameter M . As we increase M from $M < -|m|$, the first band inversion occurs at $M = -|m|$ between the top valence and bottom conduction bands, creating a trivial NL. Then, the inversion at $M = 0$ between two occupied (unoccupied) bands generates another NL below (above) E_F , which we call NL*. The last band inversion at $M = |m|$ between two inverted bands near E_F splits the trivial NL into

two Z_2 NLs linked by the NL* below E_F [Fig. 2(a)]. During the DBI, each occupied (unoccupied) band crosses both of two unoccupied (occupied) bands, which explains why the minimal number of bands required to create a Z_2 NL is four. In ABC-stacked graphdiyne, both valence and conduction bands are doubly degenerate along the high-symmetry k_z axis due to three-fold rotation symmetry, thus NL* exists from the beginning. In such a system, a single band crossing immediately inverts two occupied and two unoccupied bands having opposite parities, generating a Z_2 NL pair as shown in Fig. 2(b). In noncentrosymmetric systems, Z_2 NL pair creation occurs in a similar manner, that is, by splitting a trivial NL into a Z_2 NL pair which are linked with another NL below E_F as described in [75].

Z_2 monopole charge, linking number, and the second Stiefel-Whitney class.— Here we give a formal proof for the equivalence between the Z_2 monopole charge and the linking number, based on the correspondence between the Z_2 monopole charge and the second Stiefel-Whitney (SW) class implied by K-theory [74].

The Z_2 invariant was originally defined in [23] as follows. First, we take real occupied states by imposing $PT|u_{n\mathbf{k}}\rangle = |u_{n\mathbf{k}}\rangle$. Then we consider a sphere surrounding a NL, which is divided into two patches (the northern and southern hemispheres) overlapping along the equator as shown in Fig. 3(a). One can find smooth real states $|u_{n\mathbf{k}}^N\rangle$ ($|u_{n\mathbf{k}}^S\rangle$) on the northern (southern) hemisphere. On the overlapping circle, $|u_{n\mathbf{k}}^{N,S}\rangle$ are connected by a smooth transition function $t^{NS}(\mathbf{k}) \in \text{SO}(N_{\text{occ}})$ in a way that $|u_{n\mathbf{k}}^S\rangle = t_{mn}^{NS}(\mathbf{k})|u_{m\mathbf{k}}^N\rangle$, where N_{occ} denotes the number of occupied bands. Let us note that, since the real occupied states are orientable on a sphere, transition functions can be restricted to $\text{SO}(N_{\text{occ}})$ [75]. The homotopy group $\pi_1(\text{SO}(N_{\text{occ}} > 2)) = Z_2$ indicates that there is a Z_2 -type obstruction for defining real smooth state on the sphere, which is nothing but the Z_2 monopole charge of NLs. Because $\pi_1(\text{SO}(2)) = Z$, the winding number of $t^{NS}(\mathbf{k})$ is an integer invariant when $N_{\text{occ}} = 2$. In this case, the Z_2 monopole charge is defined by the parity of the winding number.

Now we make a connection between the Z_2 monopole charge and the second SW class w_2 . w_2 characterizes the obstruction to lifting transition functions of real occupied states to their double covering group [97–99]. When $w_2 = 0$ ($w_2 = 1$), the lifting is allowed (forbidden). For simplicity, let us first consider the case with $N_{\text{occ}} = 2$ so that the transition function $t^{NS}(\mathbf{k}) = \exp(i\theta(\mathbf{k})\sigma_y)$, where $\sigma_{x,y,z}$ are the Pauli matrices for two occupied bands. When the Z_2 monopole charge on the sphere is 0 (1), the angle $\theta(\mathbf{k})$ evolves from 0 to $4n\pi$ ($(4n+2)\pi$) with an integer n , because t^{NS} is periodic along the equator and has an even (odd) winding number. Now let us ask whether it is possible to take a lift $t^{NS} \rightarrow \tilde{t}^{NS}$ from $\text{SO}(2)$ to its double covering group $\text{U}(1)$ while the periodicity of \tilde{t}^{NS} is kept. To answer this, one defines a two-to-one mapping $\pi : \text{U}(1) \rightarrow \text{SO}(2)$ by using $\tilde{t}^{NS}(\theta) = \exp(i\frac{\theta}{2})$ and $t^{NS}(\theta) = \exp(i\theta\sigma_y)$. Let us note that when $t^{NS}(\theta)$ has an even (odd) winding number with $\theta \in [0, 4n\pi]$ ($\theta \in [0, (4n+2)\pi]$), $\tilde{t}^{NS}(\theta)$ is peri-

odic (non-periodic), thus the lifting from t^{NS} to \tilde{t}^{NS} is well-defined (ill-defined). The same argument applies to the case with $N_{\text{occ}} > 2$ [98]. The Z_2 monopole charge is thus identified with w_2 .

To derive the equivalence between w_2 and the linking number, let us continuously deform the sphere wrapping a NL γ , by gluing the north and south poles at the center, into a thin torus completely enclosing γ . As long as the band gap remains finite during the deformation, w_2 is invariant since the gluing of the north and south poles does not create a monopole, which is further confirmed numerically as shown in Fig. 3(c,d). We assume that the torus is thin enough so that all occupied bands on it are non-degenerate. In this limit, according to the Whitney sum formula [100, 101], w_2 satisfies the following relations modulo two [75]

$$w_2 = \sum_{n < m} [w_{1,\phi}(\mathcal{B}_n)w_{1,\theta}(\mathcal{B}_m) - w_{1,\phi}(\mathcal{B}_m)w_{1,\theta}(\mathcal{B}_n)] \quad (2)$$

where $w_{1,\phi/\theta}(\mathcal{B}_n)$ is the first SW class of the n th occupied band \mathcal{B}_n along the toroidal/poloidal cycle on the torus wrapping γ . As shown in [75], the first SW class $w_{1,\phi/\theta}(\mathcal{B}_n)$ corresponds to the Berry phase $\Phi_{n,\phi/\theta}$ of the n th band along ϕ/θ calculated in a smooth complex gauge, and it characterizes the orientability of the occupied states. Through a direct calculation of the Berry phase in a Coulomb gauge, we find that [75]

$$w_2 = \sum_{\tilde{\gamma}_j} \text{Lk}(\gamma, \tilde{\gamma}_j), \quad (3)$$

where $\text{Lk}(\gamma, \tilde{\gamma}_j) = \frac{1}{4\pi} \oint_{\gamma} d\mathbf{k} \times \oint_{\tilde{\gamma}_j} d\mathbf{p} \cdot \frac{\mathbf{k}-\mathbf{p}}{|\mathbf{k}-\mathbf{p}|^3}$ is the linking number [102] between γ and another NL $\tilde{\gamma}_j$ formed by the occupied band degeneracy. Let us notice that NLs formed between unoccupied bands do not contribute to the linking number because the monopole charge is defined by occupied bands. For the model in Eq. (1) with $N_{\text{occ}} = 2$, $\Phi_{1,\phi} = \pi$, $\Phi_{1,\theta} = \pi$, $\Phi_{2,\phi} = \pi$, and $\Phi_{2,\theta} = 0$, so $\text{Lk} = 1$ as expected.

Wilson loop method for computing w_2 .— w_2 can be computed efficiently by using the Wilson loop technique [22, 23, 103–105]. The relation between the Wilson loop spectrum and the Z_2 monopole charge can be proved by using the definition of w_2 [97, 99] as explicitly shown in [75]. In general, on a 2D closed manifold with coordinates (ϕ, θ) , the Wilson loop operator along ϕ at a fixed θ is defined by [103–105] $W_{(\phi_0+2\pi,\theta)\leftarrow(\phi_0,\theta)} = \lim_{N \rightarrow \infty} F_{N-1}F_{N-2}\dots F_1F_0$ where F_j is the overlap matrix at $\phi_j = \phi_0 + 2\pi j/N$ with matrix elements $[F_j]_{mn} = \langle u_m(\phi_{j+1}, \theta) | u_n(\phi_j, \theta) \rangle$, and $\phi_{N+1} = \phi_0$. On the wrapping sphere covered by three patches shown in Fig. 3(b), the Wilson loop operator $W_0(\theta) \equiv W_{(2\pi,\theta)\leftarrow(0,\theta)}$ becomes $W_0(\theta) = t^{AB}W_{(2\pi,\theta)\leftarrow(\pi,\theta)}t^{BC}W_{(\pi,\theta)\leftarrow(\pi/2,\theta)}t^{CA}W_{(\pi/2,\theta)\leftarrow(0,\theta)}$, where $t_{mn}^{AB} = \langle u_m^A(0, \theta) | u_n^B(2\pi, \theta) \rangle$, $t_{mn}^{BC} = \langle u_m^B(\pi, \theta) | u_n^C(\pi, \theta) \rangle$, and $t_{mn}^{CA} = \langle u_m^C(\pi/2, \theta) | u_n^A(\pi/2, \theta) \rangle$. Let us take a parallel-transport gauge defined by $|u_{p,n}^\alpha(\phi, \theta)\rangle = [W_{(\phi,\theta)\leftarrow(\phi_0^\alpha,\theta)}^\alpha]_{mn} |u_m^\alpha(\phi, \theta)\rangle$, where $\phi_0^\alpha = 0, \pi, \pi/2$ for $\alpha = A, B, C$, respectively, and W^α is

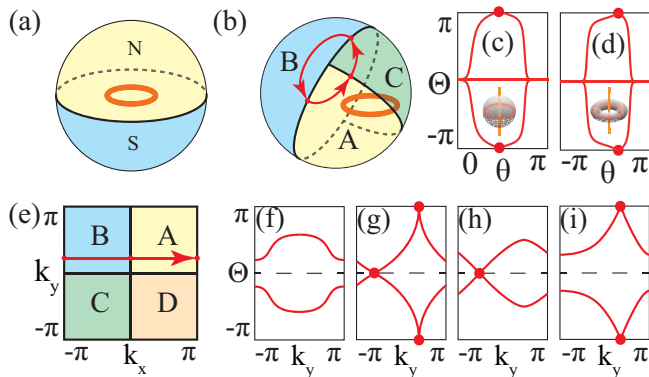


FIG. 3: (a, b) The wrapping sphere covered by two or three patches. (c, d) Wilson loop spectrum for ABC-stacked graphdiyne computed on a sphere or a torus wrapping a Z_2 NL. (e) A torus covered by four patches. (f) The Wilson loop spectrum on a torus with $(w_{1,y}, w_2) = (0, 0)$ when $N_{\text{occ}} = 2$. Similar spectra with $(w_{1,y}, w_2) = (0, 1)$, $(1, 0)$, $(1, 1)$ are shown in (g), (h), and (i), respectively.

defined with smooth states within the patch α . Then the Wilson loop operator becomes

$$W_0(\theta) = W_{p,0}(\theta) = t_p^{AB}(\theta)t_p^{BC}(\theta)t_p^{CA}(\theta), \quad (4)$$

where W_p and t_p are the Wilson loop operator and the transition function in the parallel-transport gauge. Let us note that, in this gauge, $W_0(\theta)$ is simply given by the product of transition functions along the ϕ cycle. Since $W_0(0, \pi) = 1$ due to the consistency condition at triple overlaps [75], the image of the map $W_0(\theta)$ for $\theta \in [0, \pi]$ forms a closed loop. Then w_2 is given by the parity of the winding number of $W_0(\theta)$ [75], which can be obtained gauge-invariantly from its eigenvalue $\Theta(\theta)$ [22, 103]. We apply the Wilson loop technique to ABC-stacked graphdiyne, and find that the Z_2 NLs carry nontrivial monopole charges. Figure 3(c) shows the first-principles calculations of the Wilson loop spectrum computed on a sphere wrapping a Z_2 NL. The single crossing on the $\Theta = \pi$ line indicates the odd winding number, leading to $w_2 = 1$. Fig. 3(d) shows that the Wilson loop spectrum computed on a torus is also nontrivial. These first-principles results confirm the NLSM phase that we proposed here hosted in ABC-stacked graphdiyne.

2D SW insulator (SWI).— Using w_2 computed on a 2D BZ torus, we can define a new PT -invariant 2D topological insulator characterized by w_2 when $w_1 = 0$ (i.e., $w_{1,\phi} = w_{1,\theta} = 0$). To prove this, we consider a 2D BZ torus with coordinates $(\phi, \theta) = (k_x, k_y)$ [Fig. 3(e)]. Then w_2 is again given by the spectral degeneracy of the Wilson loop $W_0(\theta)$ on the torus, as shown in [75].

Let us first consider $N_{\text{occ}} = 2$ case. We calculate W_0 along an orientable cycle, because otherwise the Wilson loop spectrum has no stable crossing points such that it does not show the topological property. One can always choose such an orientable cycle [75]. Then, there are four Z_2 homotopy classes of Wilson loop spectra shown in Fig. 3(f-i). They are classified by the parity of the number of linear crossing points on

$\Theta = 0$ and $\Theta = \pi$. A spectrum corresponds to $w_2 = 0$ ($w_2 = 1$) when it has an even (odd) linear crossing points on $\Theta = \pi$. Fig. 3(f,g) and 3(h,i) are distinguished by the total number of linear crossing points, which is even (odd) since $w_{1,\theta} = 0$ ($w_{1,\theta} = 1$) [75].

Notice that the topology of the spectrum in Fig. 3(h) and (i) differs only by an overall shift of the eigenvalues by π , whereas those in Fig. 3(f,g) are invariant under the shift. This indicates that w_2 is independent (dependent) of the unit cell choice when $w_{1,\theta} = 0$ ($w_{1,\theta} = 1$), because the Wilson loop eigenvalues correspond to the Wannier centers for insulators [103]. Indeed, the same unit cell dependence exists for any even N_{occ} whereas w_2 is independent of the unit cell choice for any odd N_{occ} [75]. Therefore, w_2 is a well-defined topological invariant when $w_1 = 0$. We may call the insulator characterized by $w_2 = 1$ as a 2D SW insulator (SWI). This is a new kind of fragile topological phase [106–108] since it can be trivialized when bands with $(w_1, w_2) = (1, 0)$ are added.

Topological phase transition.— As a sphere wrapping a Z_2 NL can be continuously deformed to two parallel 2D BZs, one with $w_2 = 1$ and the other with $w_2 = 0$, a Z_2 NL can be considered as a critical state separating a 2D NI and a 2D SWI. Accordingly, the pair creation and annihilation of Z_2 NLs can mediate a topological phase transition between a 3D NI and a 3D SWI, a vertical stacking of 2D SWIs. The presence of two NL*s formed between occupied bands clearly distinguishes a 3D SWI from a NI. Interestingly, first principles calculations show that ABC-stacked graphdiyne turns into a 3D SWI after pair annihilation of Z_2 NLs under about 3 % of a uniaxial tensile strain applied along the z direction. [See [75].]

Discussion.— Let us discuss about measurable properties of NLSM with Z_2 NLs. Unfortunately, its surface states are generally not robust due to P breaking on the surface [23]. Nevertheless, our study suggests that observing the linking structure using ARPES [109] can provide strong evidence for Z_2 NLs. Moreover, the bulk magnetoelectric response under magnetic field can provide another evidence. When P and T are individually symmetries of the system, the number of pairs of Z_2 NLs (N_{mp}) can be determined from the inversion eigenvalues of the occupied bands at inversion-invariant momenta (IIM). Since a DBI changes two inversion eigenvalues at an IIM, N_{mp} is given by the sum of the number of negative eigenvalue pairs over all IIM [21, 75]. Let us note that, in P -invariant insulators with broken T , two times magnetoelectric polarizability $2P_3$ is determined by inversion eigenvalues in the same way as N_{mp} is [86]. This implies that a NLSM with an odd number of Z_2 NL pairs turns into an axion insulator, which can host chiral hinge modes along the domain wall [110–112], when the band gap is opened due to a T -breaking perturbation such as magnetic field [75]. We believe that the theoretical prediction given in the present work can be experimentally tested in ABC-stacked graphdiyne in near future.

Note Added.— After the submission of this letter, fragile topology in Z_2 -nontrivial NLSMs was also explored in [113]; the results of that work is consistent with our conclusions.

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