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## Electron Trapping from Interactions between Laser-Driven Relativistic Plasma Waves

Grigory Golovin, Wenchao Yan, Ji Luo, Colton Fruhling, Dan Haden, Baozhen Zhao, Cheng Liu, Min Chen, Shouyuan Chen, Ping Zhang, Sudeep Banerjee, and Donald Umstadter Phys. Rev. Lett. **121**, 104801 — Published 7 September 2018 DOI: 10.1103/PhysRevLett.121.104801

## 1 Electron trapping from interactions between laser-driven relativistic plasma waves

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4 Interactions of large-amplitude relativistic plasma waves were investigated experimentally by

5 propagating two synchronized ultra-intense femtosecond laser pulses in plasma at oblique crossing

6 angles to each other. The electrostatic and electromagnetic fields of the colliding waves acted to pre-

7 accelerate and trap electrons via previously predicted, but untested injection mechanism of

8 ponderomotive drift and wake-wake interference. High-quality energetic electron beams were

9 produced, also revealing valuable new information about plasma-wave dynamics.

10 Interactions between relativistic plasma waves are central to plasma physics, astrophysics [1,2],

11 controlled fusion [3], wakefield electron accelerators [4--7], and compact x-ray sources [8,9]. Recently-

12 developed capabilities – for driving relativistic transverse electromagnetic plasma waves (referred here

13 simply as laser pulses), and relativistic longitudinal electrostatic wakefield electron plasma waves

14 (referred here as wakes) – have greatly enhanced laboratory studies. The greatest current interest in these

15 waves stems from their remarkably high acceleration gradients (TeV/cm and GeV/cm, respectively).

16 Wakes can be driven either by short-duration laser or charged-particle pulses. Such wakes have been

shown to accelerate electrons to > GeV energy in distances of just cm [10] or m [11], respectively.

18 However, for electrons to gain energy from the wake, they must first become trapped by it.

19 A force must be exerted on an electron to give it the velocity and phase required for trapping, i.e., inject it

20 into the wake. In the case of plasma-based electron accelerators, since the phase velocity of the wake is

relativistic, so too is the velocity required for injection. Several mechanisms can be employed to provide

the injection force. A propagating wake can self-inject, such as when it breaks [12], or when its

23 wavelength suddenly changes due to defocusing of the wake driver [13], or due to an encounter with a

sharp plasma-density gradient [14--16]. Alternatively, the wake driver can liberate and inject new

electrons via photo-ionization [17,18].

26 The greatest flexibility and control over the injection process can be achieved when the injector is

separate from the wake driver. In this case, the phase of the wake into which the electrons are injected can

28 be precisely controlled, resulting in improved accelerator performance with reduced energy spread and

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beam emittance. If an intense laser pulse is used as the injector (referred as optical injection), it can inject
electrons via a time-averaged ponderomotive drift in its steep electromagnetic field gradient [19], photoionization [19--21], or stochastic heating in an optical beatwave [22--29]. Injection can be also caused by

32 interference of overlapping wakes [30--32].

33 Reported here is the first experimental demonstration of two of these controlled injection mechanisms: 34 ponderomotive drift and wake-wake interference, both of which had originally been proposed theoretically more than two decades ago [19,30]. Two laser pulses (drive and injector) were propagated 35 36 through plasma obliquely and in crossing directions. Both were focused to sufficiently high intensity 37 levels to drive their own wakes, enabling injection by wake-wake interference. The intensity of the injector pulse  $(1.7 \times 10^{20} \text{ W/cm}^2)$  was several orders of magnitude higher than what was used in prior 38 experiments on beatwave injection [23--29], high enough to cause injection via ponderomotive drift. To 39 40 eliminate contribution from the competing mechanism of beatwave injection, the delay between when the drive and injector pulses arrived at their intersection was varied over a large range. Injection was 41 42 observed by measuring the properties of the e-beams accelerated in the drive pulse direction. The 43 dependence of these properties on the delay also proved to be a novel diagnostic of wake period and 44 lifetime.

45 The experiments were performed at the Extreme Light Laboratory, University of Nebraska-Lincoln [33]. After amplification, the laser pulse (800 nm, oscillation period of 2.67 fs) was split into two pulses, which 46 47 were compressed by independent grating compressors [34]. An f/14 parabolic mirror focused the drive pulse (1.2 J, 36 fs) to a 20-µm (FWHM) focal spot, corresponding to normalized vector potential of 48  $a_0 = 1.4$ . An f/2 parabolic mirror focused the injector pulse (0.9 J, 34 fs) to a 2.8-µm focal spot, 49 corresponding to intensity of  $1.7 \times 10^{20}$  W/cm<sup>2</sup> ( $a_0 \sim 9$ ). We chose the tight focusing geometry to 50 51 maximize the injector pulse intensity and access the regime of ponderomotive drift and wake-wake 52 interference injection. Adaptive closed-loop feedback-control systems corrected the spectral phase 53 distortions [35] and spatial aberrations [36] of both pulses. The pulses were polarized in the horizontal plane and intersected at an oblique angle (155°) inside a 2-mm gas jet [37]. Because the ponderomotive 54 55 force of the injector pulse and the wakefields of the drive pulse are both three-dimensional, having 56 comparable longitudinal and transverse components, electrons can be kicked into many different angles and subsequently trapped [19,39]. Thus, the injection mechanisms under study are expected to occur for a 57 wide range of interaction angles. Although it may not have been optimal, the choice of interaction angle 58 59 used in this experiment was based on the sizes of available optics and working space. An optical delay 60 line adjusted the arrival times of the pulses to their intersection. The e-beam energy spectra were

61 measured using a double-screen (fast Lanex) magnetic spectrometer (0.7-T, 15-cm-long magnet) with 1%





Figure 1. Schematic of the experiment. By changing the delay between pulse arrival times, three scenarios resulted: a) the drive pulse arrives at the intersection after the injector one and interacts with the injector wake. b) Both pulses arrive at the intersection simultaneously. c) The injector pulse arrives at the intersection after the drive one and interacts with the drive wake. The polarization of the laser pulses is horizontal (black arrows), with the directions indicated by red arrows.

- 69 We started with the laser pulses overlapped in time (Figure 1b). In this scenario, we observed stable,
- 70 quasi-monoenergetic (4% RMS spread), few-pC e-beams. By varying the plasma density over
- 71  $(0.65-1.30)\times 10^{19}$  cm<sup>-3</sup>, the e-beams were tuned from 130-170 MeV. With the drive pulse alone, we
- observed stable, quasi-monoenergetic (~10% RMS) e-beams, but with two orders of magnitude lower
- racharge (80±40 fC based on 20 shots averaging). This single-pulse self-injection is likely due to marginal
- 74 wave-breaking over a short distance. Massive continuous self-injection occurred at higher densities (
- $75 > 1.30 \times 10^{19} \text{ cm}^{-3}$ ) for both cases: injector-pulse-on and off. The operational densities were kept below
- this threshold to eliminate the impact of self-injection. Shown in Figure 2 are typical e-beam spectra for
- 77 the zero-delay case.

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Figure 2. a) Spectral profiles of magnetically dispersed e-beams and b) corresponding spectral lineouts.
The left panel of (a) and the black curve in (b) show the e-beam generated with the drive pulse only. The
other beams were generated with both drive and injector pulses with no delay between them.

82 We then tuned the delay between the laser pulses. First, we scanned with large time-steps (67 fs), longer than the plasma period (35 fs for density  $1.3 \times 10^{19}$  cm<sup>-3</sup>). Robust injection was observed in the range of 83 84 delays from -850 fs to +950 fs (total of 50 plasma periods) resulting in stable quasi-monoenergetic ebeams with an average charge at least twice of the charge measured with the drive pulse only. Shown in 85 86 Figure 3a are the central energy and charge of these beams. Negative (positive) delay times correspond to the injector (drive) pulse arriving first to the intersection, see Figure 1a (c). Based on the experimental 87 geometry, the spatio-temporal overlap of the drive and injector pulses, at which they form a beatwave, 88 89 and at which electrons can be injected via stochastic heating in the beatwave [22], is limited to (-200 fs; 90 200 fs) [see Supplementary]. Such injection in similar delay range was observed by Plateau et al. [40]. 91 Injection outside of this range, observed in our experiment, should be therefore attributed to different mechanisms. For negative delays it is wake-wake interference, for positive delays it is wake-wake 92 93 interference and ponderomotive drift.



95 Figure 3. Electron-beam properties vs delay between the drive and injector laser pulses. a) Course timescan ( $n_e = 1.0 \times 10^{19} \text{ cm}^{-3}$ , plasma period  $\tau_p = 35 \text{ fs}$ ). Each point shows 3.4 shots on average; the errorbars 96 show standard deviations. The green line shows results of PIC-simulations. Purple area shows charge 97 measured with the drive pulse only (±1 standard deviation). b) Fine time-scan ( $n_e = 7.6 \times 10^{18} \text{ cm}^{-3}$ , 98  $\tau_p = 40$  fs ). Each point shows 10 shots on average. Light blue area represents standard deviation of the 99 100 data, dark blue area – standard error of the mean. The red dashed lines show data fits with a) bi-Gaussian 101 function (red numbers show half-widths-at-half-maximum calculated from the fit); b) sums of sine and 102 linear functions (red number shows a period of the plasma wave, calculated from the fit).

103 To more precisely control position within the wakefield period at which the electrons were injected, we 104 tuned the delay between the laser pulses with a time-step of 6 fs, much smaller than the plasma period (40 fs at  $n_e = 7.6 \times 10^{18} \text{ cm}^{-3}$ ). The energy and charge of quasi-monoenergetic e-beams, measured during this 105 106 scan, are shown in Figure 3b. Both quantities exhibit oscillations, with a period  $(41\pm 2 \text{ fs}, \text{ based on a sine})$ fit, red lines) that closely matches the plasma period. The same oscillations were seen when the delay was 107 108 scanned at twice-higher plasma density; however, the period decreased to 31±5 fs, consistent with the plasma period (31 fs for  $1.3 \times 10^{19} \text{ cm}^{-3}$ ) [see Supplementary for additional experimental and numerical 109 modeling data]. Similar oscillations were observed in experiments on coupling of multiple laser-110

111 wakefield-acceleration stages [41]. They result from electrons being injected in different phases of the

112 wakefield. The obvious anti-correlation of charge and energy of the e-beams (Figure 3a and b) can be 113 attributed to beam-loading [42]. The deviation from this pattern can be seen in the coarse scan in the 114 range of (-250 fs; 0 fs). It can be explained by a complex host of interactions of the laser pulses and wakes. In particular, in the injector-pulse-first scenario, electrons pre-accelerated by the ponderomotive 115 force of the injector pulse and its wake and trapped in the drive wake might go through a decelerating 116 phase of the drive wake first and lose some energy, while in the drive-pulse-first scenario they are trapped 117 into an accelerating phase immediately (compare Figure 4a and c). It should be noted that the trends of 118 energy and charge on the coarse and fine-time scans can differ because they correspond to different time-119 120 scales (10's of plasma periods for the coarse scan and only a few plasma periods for the fine one). Also, 121 the scans were done at slightly different plasma densities, which translated in different dynamics of the 122 laser pulses and their wakes, as well as the magnitude of beam-loading.



124 Figure 4. Results of PIC-simulations for electron injection into the drive wake in the cases when the 125 injector pulse arrives at the intersection before the driver pulse (a), at the same time (b), or after the driver 126 pulse (c). The white-blue-black (horizontal) color bar represents background plasma density, the red-127 green-black (vertical) color bar – electric field. The black points are the initial positions of typical injected 128 electrons. The color curves show typical trajectories of those electrons. The figures at the bottom of each panel show the same trajectories with "o" marking their starting points. "Dr," "In," "Dr<sub>w</sub>," and "Inj<sub>w</sub>" 129 labels stand for drive pulse, injector pulse, drive wake, and injector wake respectively, and point to the 130 locations along electron trajectories experiencing the field of each. 131

123

132 To better understand the underlying physics of the injection process, we conducted two-dimensional

133 Particle-In-Cell (PIC) simulations with the code OSIRIS [43] (see Supplementary for details). While the

simulations showed injection into both drive and injector wakes, for the purposes of this paper we focused

135 only on electrons injected in the drive wake. When the injector pulse arrives first (Figure 4a), three groups 136 of electrons are injected. Electrons from group I originate off-axis with respect to both pulses, so they do 137 not experience their fields directly; instead, the injector wake dominates their motion. A representative particle trajectory is shown with the red curve. The high-frequency small-amplitude oscillations are due to 138 direct laser acceleration at the drive-laser-pulse carrier frequency. The lower frequency high-amplitude 139 oscillations are due to the interaction with the injector wake. These electrons are injected via wake-wake 140 interference mechanism. Electrons in group II originate directly on the axis of the drive pulse, and to the 141 side of the injector pulse (yellow trajectory). These electrons first experience the interaction with the drive 142 143 pulse, and then kicked and injected into the drive wakefield by the combination of the injector pulse's ponderomotive force and its wakefield [19,44,45]. This group is injected via ponderomotive drift and, 144 partially, due to stochastic heating in the beatwave. Electrons in group III originate in front of the injector 145 146 pulse (green trajectory), they experience both injector and drive pulses, as well as the fields of their

147 wakefields [30].

148 When the drive and injector pulses overlap, electrons from groups I and II are injected (Figure 4b).

149 Electrons from group II receive strong forward or backward kick (depending on their transverse positions)

150 from the ponderomotive force of the injector pulse, which traps them into the drive wake. When the drive

151 pulse arrives first, most charge originates from group I (Figure 4c). Those electrons are initially located

152 on the right side of the injector pulse and experience its wakefield, which kicks them into the drive wake.

153 Small perturbations due to interaction with injector (near the beginning) and drive (near the center) pulses

154 can be seen on the trajectories. Electrons from group II are also located on the right side of the injector

155 pulse, but closer to its axis.

156 The amount of injected charge as a function of time delay is shown in Figure 3a. Simulation results (green

line) match well the experimental trends: the charge drops faster for the drive-pulse-first scenario than for

the injector-pulse-first one. This asymmetry is clearly seen in the bi-Gaussian fit of the experimental data

(red dashed line): the half-width-at-half-maximum is 180±20 fs for the drive-pulse-first case and 500±50

160 fs for the injector-pulse-first case. It can be attributed mainly to the intensity difference between the

161 pulses. In the drive-pulse-first case, the injector's wake dominates electron trajectories at the intersection.

162 As a result, electron acceleration along the drive-pulse direction is suppressed, and total injected charge

drops quickly with increasing delay. In the injector-pulse-first case, the drive pulse collides with the

164 injector wake, which exists for longer than the drive wake, since the injector pulse is much stronger than

the drive one. Electrons oscillating in the injector wake have sufficient energy to be trapped into the drive

166 wake for long times (10's of plasma periods).

167 In the above simulations, the polarization planes of the drive and injector pulses were parallel to each

168 other, as they were in the experiment. To study the impact of beatwave injection, we also performed a

simulation with perpendicular polarizations, in which case the beatwave should not exist (see

170 Supplementary). The total injected charge dropped by 24% at zero delay, as compared with the parallel-

171 polarization case, indicating that beatwave injection was not dominant, even when the drive and injector

172 pulses overlapped.

173 In summary, we discussed the results of a laboratory study of wave-wave interactions in plasma. The

174 results experimentally confirmed long-standing, but previously untested, theories of electron injection via

ponderomotive drift and wake-wake interference [19,30,31]. These mechanisms are shown to produce

high-quality e-beams, which can be further improved by optimizing the driver-injector interaction

geometry. Most importantly, precise control over the phase of the wake, at which injection takes place, is

demonstrated. Such control has the potential to minimize energy spread and emittance, or increase charge,

of wakefield-accelerated beams [46], whether laser-driven or charged-particle-driven [20,47]. These

180 mechanisms also have an advantage of being relatively immune to laser timing jitter and amplitude

181 fluctuation. The accelerated electrons can reveal features of strongly nonlinear wakes that are

182 complementary to other plasma-wave diagnostic methods used to probe linear wakes, such as ultrafast

shadowgraphy [48], holography [49,50], ultrafast polarimetry [51,52], and e-beam probes [53]. We

believe this new diagnostic might eventually yield further insights into nonlinear plasma phenomena,

such as energy transfer with highly non-linear plasma wakes, of interest in high-energy-density physics,

186 astrophysics, and fusion plasmas.

187 This work was supported by National Science Foundation under Grant No. PHY-1535700 (ultra-low

emittance electron beams), the US Department of Energy (DOE), Office of Science, Basic Energy

189 Sciences (BES), under Award # DE-FG02-05ER15663 (laser-driven x-rays for ultrafast science), and the

190 Air Force Office for Scientific Research under award number FA9550-14-1-0345 (interactions of

191 electrons with laser light at highly relativistic intensities). This support does not constitute an express or

implied endorsement on the part of the Government. We acknowledge technical assistance from Kevin

193 Brown, Chad Peterson, Jun Zhang, and Bradley Nordell. MC acknowledges the support by the National

Basic Research Program of China (Grant No. 2013CBA01504) and the NSFC (Grants No. 11774227,

195 11721091). We also acknowledge the OSIRIS Consortium, consisting of UCLA and IST (Lisbon,

196 Portugal) for the use of OSIRIS and the visXD framework. Simulations were performed on the

197 Π supercomputer at SJTU.

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