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# Unusual Proton Transfer Kinetics in Water at the Temperature of Maximum Density

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# Title: Unusual Proton Transfer Kinetics in Water at the Temperature of Maximum Density

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## ABSTRACT

Water exhibits many anomalous properties, many of which remain poorly understood. One of its intriguing behaviors is that it exhibits a temperature of maximum density (TMD) at 4°C. We provide here new experimental evidence for hitherto unknown abrupt changes in proton transfer kinetics at the TMD. In particular, we show that the lifetime of OH<sup>-</sup> ions has a maximum at this temperature, as opposed to the hydronium ions. Furthermore, base-catalyzed proton transfer shows a sharp local minimum at this temperature, and activation energies change abruptly as well. The measured lifetimes agree with earlier computational predictions as the temperature approaches the TMD. Similar results are also found for heavy water at its own TMD. These findings point to a high propensity of forming fourfold coordinated OH<sup>-</sup> solvation complexes at the TMD, underlining the asymmetry between hydroxide and hydronium transport. These results could help to further elucidate the unusual properties of water and related liquids.

The study of water structure and dynamics is of particular interest due to its importance for facilitating chemical and biochemical processes that form the basis of life. It is all the more surprising that it has many anomalous and unusual properties when compared to other liquids. It stands as one of the most studied systems, and its behavior is still subject to much debate<sup>1-2</sup>. One of the best-known anomalous properties of water is the fact that it exhibits a density maximum at 4°C (temperature of maximum density – TMD).

Computational work accounting for the quantum behavior of water has indicated changes in the geometry of water molecules, in particular, the O-H bond length, at the TMD<sup>3-4</sup>. Ramirez and Herrero<sup>5</sup> probed the proton kinetic energy dependence on temperature in combination with the dependence of density on temperature, as well as the influence of isotope effects. Nevertheless, accurately reproducing water's anomalous properties by remains a significant challenge<sup>4-8</sup>. Experimental investigations employing vibrational Raman<sup>9</sup> and X-ray scattering in liquid D<sub>2</sub>O<sup>10</sup>, suggest the presence of two competitive structures for heavy water around its TMD within the second-neighbor shell. Two major structural features were identified, with one corresponding to a more densely packed structure for second neighbors and the other indicative of an open tetrahedral network<sup>10-12</sup>.

Charge migration in water, mediated by proton exchange between water molecules and  $H_3O^+$  and  $OH^-$  ions has been widely investigated for its relationship to many anomalous properties. Meiboom<sup>13</sup> was the first to study the proton exchange kinetics in water by NMR spectroscopy as a function of pH. Subsequently, several studies have measured <sup>17</sup>O NMR linewidths as reporters of proton exchange in water<sup>14-16</sup>. Pfeifer calculated the exchange rates at temperatures above the TMD by <sup>1</sup>H spin-spin relaxation times<sup>17</sup>, and the water proton transfer in solutions of strong electrolytes was studied via the <sup>1</sup>H linewidth<sup>18</sup>. Further work includes the

study of the pH-dependence of <sup>17</sup>O transverse relaxation rates for both H<sub>2</sub>O and D<sub>2</sub>O<sup>19</sup>, the observation of non-Arrhenius behavior (mostly in the supercooled region) of <sup>17</sup>O longitudinal rates<sup>20</sup>, and the measurement of proton exchange by means of <sup>1</sup>H field-cycling relaxometry<sup>21</sup>. Recently, the <sup>1</sup>H relaxation at very low Larmor frequencies was revisited using a superconducting quantum interference device (SQUID)<sup>22</sup>. In addition, ultrafast 2D Infrared spectroscopy has been used to investigate proton transfer in aqueous hydroxide solutions<sup>23</sup> and in aqueous hydrochloric acid solutions<sup>24</sup>.

The currently accepted model is that  $H_3O^+$  and  $OH^-$  transport is driven by hydrogen bond rearrangements that equalize coordination patterns of species on both sides of a hydrogen bond through which the fundamental proton transfer or hopping step occurs, leading to the concept of presolvation<sup>25-26</sup>. For  $H_3O^+$  transport, this mechanism only requires, as a first step<sup>26</sup>, that a first solvation-shell water lose one of its acceptor hydrogen bonds, thus changing its coordination pattern from a tetrahedral fourfold one to a threefold pattern that closely matches that of the hydronium ion. Applying the concept to hydroxide is somewhat subtler, however, as the dominant solvation pattern of hydroxide is one in which the OH<sup>-</sup> oxygen atom accepts four hydrogen bonds in a roughly planar arrangement, termed a "hyper-coordinated" or non-Lewis like structure<sup>27-29</sup>. This structure, which is "inactive" with respect to proton exchange, transforms into a tetrahedral threefold pattern via loss of one of its first solvation shell members, and the structure ultimately becomes "active" when, in addition, the OH<sup>-</sup> hydrogen donates a hydrogen bond<sup>27-29</sup>. This three-acceptor-one-donor (3A+1D) pattern closely matches that of its coordinating water molecules, thus promoting the subsequent proton transfer. Numerous experiments support this picture of the structural diffusion mechanism for OH<sup>-</sup> transport in water<sup>30-31</sup>. Additional *ab initio* molecular dynamics studies<sup>32</sup> predicted an anomalous slowing

down of the hydroxide reorientation times as the TMD is approached<sup>33</sup>, suggesting that this phenomenon is caused by an increased propensity for OH<sup>-</sup> ions to exist in the inactive fourfold hyper-coordinated solvation state<sup>27-28</sup>.

In this work, we report an abrupt change of the exchange rates at the TMD for both light and heavy water, measured via fine-grained temperature and pH-dependent <sup>17</sup>O NMR relaxation measurements, and specifically identify a minimum in the base-catalyzed proton exchange rates, which to the best of our knowledge has never been found before. This finding is in line with the aforementioned low-temperature *ab initio* molecular dynamics studies<sup>32</sup>.

The proton exchange rates in water can be both acid- and base-catalyzed and the processes can be written as<sup>13</sup>:

$$(I) H_2O+H_3O^+ \xrightarrow{k_1} H_2OH^+ + H_2O$$
$$(II) OH_2+OH^- \xrightarrow{k_2} OH^- + HOH$$

with the overall rate for proton transfer derived as<sup>13</sup>

$$k_{\rm ex} = 1/\tau_{\rm ex} = 2/3k_1 10^{-\rm pH} + k_2 K_{\rm w} 10^{\rm pH}, \tag{1}$$

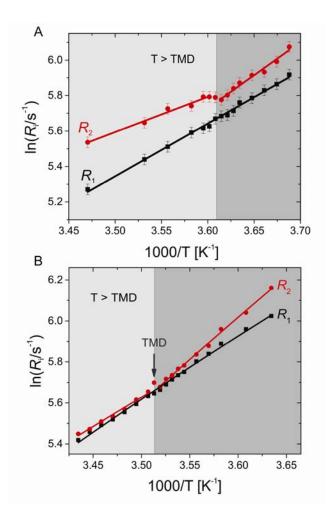
where  $k_1$  and  $k_2$  are the rate constants for the acid- and base-catalyzed reactions and  $K_w$  is the ion product of water<sup>34</sup>. Although both hydroxide and hydronium ion mobilities contribute to the proton transfer, the hydroxide ion mobility has been historically less studied. In view of the perceived asymmetry in the transfer mechanisms between hydronium and  $OH^{-27-29}$ , it is particularly important to determine the individual rates of both the acid- and base-catalyzed processes separately.

While <sup>17</sup>O NMR has the disadvantage of a low natural abundance (0.0373 %), a broad resonance line, and short relaxation times due to quadrupolar relaxation, its use is advantageous because it can specifically sense the presence of the two protons via <sup>17</sup>O-<sup>1</sup>H *J*-couplings. The <sup>17</sup>O

resonance frequency hops between three different positions in the triplet formed due to the <sup>17</sup>O-<sup>1</sup>H *J*-couplings (~90 Hz) whenever a proton is exchanged<sup>15</sup>. Since the splitting is rarely detectable directly, due to fast exchange, this process is typically quantified via the average linewidth, the spin-spin relaxation time  $T_2$ , and the spin-lattice relaxation time in the rotating frame  $T_{1p}$ . The latter parameter is widely used in studies of protein dynamics,<sup>35-36</sup> and simple, analytical expressions for this relaxation constant exist under certain assumptions as a function of the exchange rates<sup>37-38</sup>. Most of these expressions are valid for a fast exchange system or in cases where there is a single dominant pool of spins exchanging with several smaller pools. Palmer et al.<sup>35</sup> provided these expressions for 2 or more exchange sites.

In this work, a distinct anomaly in <sup>17</sup>O  $T_2$  and  $T_{1\rho}$  is seen at the TMD. By varying the pH of water solutions, the exchange rates  $k_1$  and  $k_2$  can be calculated from  $T_2$  and  $T_{1\rho}$  NMR experiments. A minimum in the overall exchange rate was found at the TMD, and specifically, the base-catalyzed rate was identified as contributing most to this anomaly.

The temperature dependences of the longitudinal ( $T_1$ ) and transverse ( $T_2$ ) <sup>17</sup>O nuclear spin relaxation processes are shown as a function of temperature in Fig. 1 for H<sub>2</sub>O and D<sub>2</sub>O at pH=7. The relaxation processes are plotted as the logarithm of the respective rates,  $R_1=1/T_1$ , and  $R_2=1/T_2$  vs. inverse temperature (1/*T*) in order to identify Arrhenius-type behavior. Linear behavior is seen for both H<sub>2</sub>O and D<sub>2</sub>O, except for a marked discontinuity at the TMD for  $R_2$  for H<sub>2</sub>O. In addition, the fitted slopes at temperatures above and below the TMD are different from each other for the  $R_2$  curves.



**Figure 1:** <sup>17</sup>O relaxation rates in water plotted as  $\ln(R_i/s^{-1})$  vs inverse temperature.  $R_i$  with (*i*=1 or 2) refers to the longitudinal and transversal relaxation rates, respectively. The experiments were performed (A) in water at pH=7 over a temperature range from -2°C to 15°C and (B) in D<sub>2</sub>O pH=7.44 where the temperature range was from 2°C to 18°C. The standard deviation was calculated from four independent measurements at the same temperature. The discontinuities of  $R_2$  are supported by a statistical outlier test with 95% confidence.

Assuming a relatively constant activation energy  $E_a$  over the temperature ranges studied, we obtain  $E_a=24.7\pm0.4$  kJ/mol for  $R_1$ . For  $R_2$  above the TMD, we obtain  $E_a=17\pm1$  kJ/mol, and a separate fit below the TMD gives the value of  $E_a=36\pm2$  kJ/mol. The measured activation energy for the proton  $R_1$  process in ice is  $E_a=59$  kJ/mol, for comparison<sup>39</sup>. It is reasonable that the measured values do not exceed those for ice.

When repeating the same experiment with 99.9% D<sub>2</sub>O, the discontinuity, and change of slope were found at 11°C, which corresponds to the TMD for heavy water. As seen in Fig. 1B, the effect is less pronounced, but nonetheless clearly visible, suggesting that influence of quantum nuclear effects in determining how pronounced the effect is. In analogy to H<sub>2</sub>O,  $\ln(R_1/s^{-1})$  exhibits linear behavior in the range studied while  $\ln(R_2/s^{-1})$  shows a clear discontinuity and a significant change of slope at the TMD of D<sub>2</sub>O; these effects lie well outside the experimental uncertainties. Table 1 shows the comparison of the extracted  $E_a$  values for both H<sub>2</sub>O and D<sub>2</sub>O.

	E <sub>a</sub> of H <sub>2</sub> O/D <sub>2</sub> O (95/5) [kJ/mol]	E <sub>a</sub> of D <sub>2</sub> O [kJ/mol]
$R_1$	$24.7\pm0.4$	$25.8 \pm 0.4$
$R_2$ above TMD	17 ± 1	$23.8 \pm 0.8$
$R_2$ below TMD	$36 \pm 2$	$34.7 \pm 0.8$

**Table 1**: Activation energies calculated from <sup>17</sup>O  $R_1$  and  $R_2$  in H<sub>2</sub>O/D<sub>2</sub>O (95/5) and D<sub>2</sub>O. The activation energies derived above and below the TMD differ by more than 14 standard deviations for H<sub>2</sub>O/D<sub>2</sub>O (95/5), and more than 13 for D<sub>2</sub>O (combined standard deviations are taken).

The activation energy for  $R_1$  is higher for D<sub>2</sub>O than for H<sub>2</sub>O. For  $R_2$  the trend is the same above the TMD, while it is the opposite below the TMD. This observation can be interpreted as a reflection of the presence of competing quantum effects<sup>40</sup>, the balance of which can change as a function of temperature. In hydrogen bonds, a phenomenon known as the *Ubbelohde effect* leads to a contraction of a hydrogen bond upon replacing D<sup>+</sup> with H<sup>+</sup>. This effect arises from the fact that H<sup>+</sup> exhibits a more pronounced quantum mechanical character in the form of spatial delocalization (mostly due to zero-point energy) in the hydrogen bond<sup>41-43</sup>. It is this increased delocalization that contracts the hydrogen bond, bringing the oxygens closer together, on average, than they would be in the corresponding deuterated hydrogen bond. This contraction is tantamount to a strengthening of the hydrogen bond along the bonding direction. In directions orthogonal to the bond, however, quantum nuclear effects weaken the bond when  $D^+$  is replaced by H<sup>+</sup>. This occurs, for example, in the angle-bending mode due to a softening of the bend potential. At room temperature, the effects nearly cancel each other out, reducing the overall influence of nuclear quantum effects<sup>40</sup>.

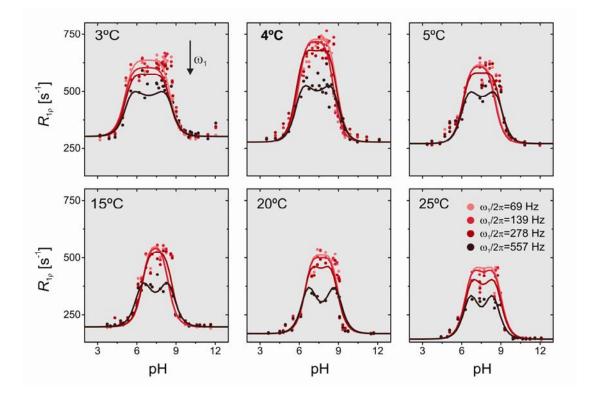
An analogous competition may occur within the hydroxide solvation complexes. At room temperature, ab initio molecular dynamics and ab initio path integral calculations suggest that proton transfer is more facile when quantum nuclear effects are accounted for. The predicted "corner cutting" results from a softening of the potential along the angular direction<sup>29</sup>. At temperatures above the TMD, this effect causes the activation energy associated with H<sup>+</sup> transfer to be lower than that of  $D^+$ . The calculations suggest that proton transfer occurs preferentially when the OH<sup>-</sup> is in the "active" threefold-coordinated state, as discussed above. This process is shown schematically in Fig. S1 (S1A to S1B), and the donation of a hydrogen bond through the OH<sup>-</sup> site is shown in Fig. S1C<sup>25, 27-29</sup>. The softening of the potential along the angular direction makes it easier for the proton to transfer when the threefold pattern is not in an ideal tetrahedral geometry. Below the TMD, however, the calculations of Ma and Tuckerman<sup>32</sup> suggest that fourfold hyper-coordinated complexes are more prominent, and the competition of nuclear quantum effects shifts in favor of strengthening the four hydrogen bonds around the hydroxide oxygen (Ubbelohde effect), increasing the rigidity of the hyper-coordinated complex. The shift also reduces quantum effects along the orthogonal directions. The key step in the proton transfer process, which requires that this hyper-coordinated complex transforms to the threefold complex

(Fig. S1), therefore, becomes less favorable for  $H^+$  compared to  $D^+$ , giving rise to an increase in activation energy. This view accords with the isotope differences observed in Fig. 1.

In order to support the initial findings, rotating frame relaxation measurements ( $R_{1\rho}$ ) were performed. These measurements, employing a spin lock pulse with adjustable radiofrequency (rf) power, allow one to scale the relative contributions of  $R_1$ ,  $R_2$  and thus tune the level of sensitivity to exchange. With these experiments, one can gauge the intermediate exchange regime more accurately<sup>37</sup>. This modification was necessary for the study of different pH regimes, as discussed below. Fig. 2 shows  $R_{1\rho}$  curves for different rf powers  $\omega_1$  as a function of pH for different temperatures. For fast exchange (low and high pH values),  $R_{1\rho}$  becomes equal to  $R_1$ , as expected, and, when  $\omega_1$  is high,  $R_{1\rho}$  becomes equal to  $R_1$  across the whole pH range. It can be seen that the  $R_{1\rho}$  curves are similar to each other for  $\omega_1/2\pi=69$ , 139 and 278 Hz, while they start to decrease when  $\omega_1/2\pi=557$  Hz. It was found that at  $\omega_1/2\pi=4500$  Hz,  $R_{1\rho}$  becomes insensitive to pH variations and equal to  $R_1$ . The maximum amplitude for the spin-lock field  $\omega_1/2\pi=557$  Hz was chosen as a balance between sensitivity to pH and robustness against offset effects.

The higher value of  $R_{1p}$  at 4°C around pH=7 can be related to a minimum in the exchange process, which is also at the root of the discontinuity in the  $R_2$  rates shown in Fig. 1. The individual acid- and base-catalyzed exchange rates can be extracted from these data by fitting a numerical model on the basis of H-hopping and associated frequency shifts due to <sup>17</sup>O-<sup>1</sup>H *J*- coupling, as outlined in the Supplemental Material<sup>44</sup>. Fig. 2 shows the experimental results along with the fitted lines. The dip in the theoretical curves is not seen in all experimental data points in the vicinity of pH=7, which is due to an increased uncertainty in this region. The fitted exchange parameters are most sensitive to the position of the maximum of the curve, which is given by the

ratio of the two exchange parameters, and the width of the curve is linked to the geometrical average of the two rate constants. Therefore, the parameters are not very sensitive to the uncertainty in the dip region, as was also seen in earlier work<sup>13</sup>.

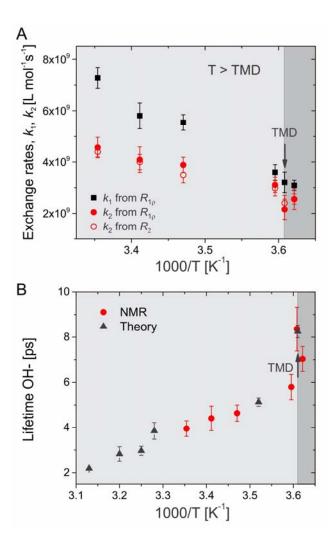


**Figure 2**:  $R_{1\rho}$  using  $\omega_1/2\pi=69$ , 139, 278, and 557 Hz rf power. Dots represent the experimental data while the lines show the numerical fittings. A maximum  $R_{1\rho}$  value can be observed at 4°C around the neutral pH range. This anomaly disappears at low and high pH when the exchange is increased.

Fig. 3A shows the exchange rates  $k_1$  and  $k_2$  obtained from these  $R_{1\rho}$  experiments. The exchange rate  $k_1$  increases monotonically with temperature while  $k_2$ , which describes the exchange between water molecules and hydroxide, exhibits a minimum value at the TMD. In order to validate this minimum,  $k_2$  obtained from  $R_2$  data (Supplemental Material) is included, showing the same behavior. It is, therefore, the base-catalyzed process that is responsible for the

discontinuity in the observed values. Fig. S5 shows the total proton exchange time and exchange rate as a function of pH.

From the exchange rate  $k_2$ , the lifetime of OH<sup>-</sup> can be calculated as  $\tau = 1/k_2$ [OH<sup>-</sup>], which changes with temperature and is shown in Fig. 3B. The OH<sup>-</sup> lifetime calculated here can be compared with Fig. 1B from reference<sup>32</sup> where Ma and Tuckerman calculated the reorientation time of OH<sup>-</sup>, which is also reproduced in Fig. 3B for comparison. The results presented here are in good agreement with the theoretical calculation, where the reorientation time is at a maximum at the TMD, although in that paper, the TMD was the minimum temperature studied. A caveat is that it was not tested whether the minimum temperature of 277 K studied by Ma and Tuckerman corresponded to the actual TMD for the density functional approximation, basis set size, and pseudopotential scheme employed in their work. Importantly, Ma and Tuckerman showed that the maximum in reorientation time corresponded to a maximum in the proton exchange time (see Fig. 3 of Ref.<sup>32</sup>) and a minimum in the hydroxide diffusion coefficient (Fig. 4 of<sup>32</sup>), again in line with a high propensity of "hyper-coordinated" OH solvation complexes at the TMD. The "freezing in" of such high coordination complexes is consistent with the notion of the formation of a greater number of hydrogen bonds at a density maximum. Interestingly, additional computational studies have suggested that once locked in, these hyper-coordinated structures can persist into the ice phase<sup>45-46</sup>.



**Figure 3**: Exchange rates and OH<sup>-</sup> lifetimes vs. inverse temperature. (A) Exchange rates  $k_1$  and  $k_2$  calculated from  $R_{1p}$  and  $R_2$  relaxation data. (B) OH<sup>-</sup> lifetime calculated from  $k_2$ . The error-bars represent the standard deviation from results obtained from four separate experiments with different spin-lock fields. The error bars for  $k_2$  calculated from  $R_2$  data correspond to the error in the fitting. Results from theoretical calculations for the reorientation time of OH<sup>-</sup> reproduced from Fig. 1B in Ref<sup>32</sup> are shown with gray triangles.

In conclusion, this work presents new experimental evidence for unusual behavior of water at the TMD, which includes a local OH<sup>-</sup> lifetime maximum, and an abrupt change in

activation energies. Furthermore, a good agreement of these experimentally determined lifetimes is found with computationally predicted lifetimes in the approach to the TMD. These results help to elucidate the anomalous features of water and may provide further insights into proton transfer dynamics.

#### SUPPLEMENTAL MATERIAL

Fig. S1. Scheme for the mechanism of the transport of OH<sup>-</sup>.

Fig. S2. Experimental *R*<sub>2</sub> values at different pH and temperature.

**Fig. S3.** Experimental <sup>17</sup>O  $R_{1p}$  values at different pH and temperature

Fig. S4. Experimental linewidths at different pH and temperature.

**Fig. S5.** Experimentally determined proton exchange time and the exchange rate at different pH and temperature.

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