Topological Exciton Fermi Surfaces in Two-Component Fractional Quantized Hall Insulators
Maissam Barkeshli, Chetan Nayak, Zlatko Papić, Andrea Young, and Michael Zaletel
Phys. Rev. Lett. 121, 026603 — Published 9 July 2018
DOI: 10.1103/PhysRevLett.121.026603
Two-component quantum Hall systems have long been known to host rich phase diagrams, exhibiting intrinsically two-component fractional quantum Hall (FQH) states, broken symmetry states, and quantum phase transitions at fixed total filling fraction $\nu (1)$. When tunneling between the components is effectively zero, the system acquires an enhanced total and relative filling $\nu T = \frac{1}{2}$, symmetry due to the independently conserved charges of the two components. This situation is most easily realized when the two components are related by spin or valley symmetry [4,8], or in double layer systems in which a barrier suppresses interlayer tunneling [9,10].

A number of experimental platforms have been used to study the resulting phase diagram of two component FQH phases at $\nu T = \frac{1}{2}$, including wide quantum wells [11-14], ZnO heterostructures [8,15], and, most recently, bilayer graphene (BLG), where the two components correspond to layer $\delta$. In many of these systems the relative filling $\nu_+ - \nu_-$ of the two components can be tuned in situ. In particular, Ref. [16] has reported the remarkable experimental observation of a BLG state at $\nu T = \frac{1}{2}$ that is incompressible, yet possesses a finite interlayer polarizability. This insulating state persists over the range of interlayer polarization $\nu_+ - \nu_- \approx 0 - 0.18$, which is strikingly large when compared with the typical width of FQH plateaux. In the presence of $U(1)$, finite polarizability indicates a vanishing neutral gap, and hence hints at the discovery of a new phase of matter distinct from a fully gapped quantized Hall state.

In this Letter we address this experimental finding by analyzing the possible phases which can occur in a two component system at $\nu T = \frac{1}{2}$ as density is transferred between the two components. The $U(1)$ symmetry ensures that intercomponent excitons can exist as long-lived excitations. We argue that the experimental observations of Ref. [16] could be explained by a FQH insulator whose interlayer excitons have delocalized into a degenerate quantum liquid.

The problem is particularly rich at $\nu T = \frac{1}{2}$ because the fractionalized nature of even-denominator FQH states guarantees that in addition to the familiar bosonic exciton (b-Exc), the system also hosts a topologically non-trivial fermionic exciton (f-Exc). If the f-Exc is lower in energy, the system naturally forms a "topological exciton metal" at finite f-Exc density. This new phase of matter would exhibit insulating charge transport but metallic counterflow resistance.

We consider two main scenarios. First we discuss systems in which the two components arise from a crossing between an $N = 0$ and $N = 1$ LL in the limit where the distance $d$ between them is small compared to the magnetic length $\ell_B$, as occurs in ZnO [8], wide quantum wells [12], and BLG [16]. However all even-denominator states must contain a charge $-e$ boson, so will lead to essentially the same conclusions.
When $\delta = 1/2$, the particles reside in an $N_\pm = 0$ level, so the system forms a compressible CFL. \cite{21,22}.

What is the fate of the system at intermediate $\delta$? As $\delta$ increases from zero, the top layer loses charge to the bottom layer. Due to the strong Coulomb interaction between layers, excitons will form, with $-e$ charge in the top layer and $e$ charge in the bottom layer, with a binding energy on the order of the interlayer Coulomb interaction. Crucially, at $\nu_r = \frac{1}{2}$ this system supports two topologically distinct types of excitons. The conventional bosonic exciton (b-Exc) is formed when an electron is transferred from the Pfaffian state in the top layer to the bottom layer. On the other hand, the Pfaffian state also has a charge $-e$ bosonic excitation, which can be thought of as a Laughlin quasiparticle associated with inserting two flux quanta into the system. A bound state of the charge $-e$ boson in the top Pfaffian layer and an electron in the bottom is a fermionic exciton (f-Exc). In contrast to the b-Exc, the f-Exc is a topologically non-trivial quasiparticle; it can also be thought of as a bound state of the b-Exc and the anyonic “neutral fermion” $\psi_{\text{NF}}$ of the Pfaffian phase. As we will demonstrate within the long wavelength effective field theory, this f-Exc is coupled to an emergent $Z_2$ gauge field. A pair of f-Exc’s is topologically equivalent to a pair of b-Exc’s.

Because excitons are neutral particles, they have some non-zero dispersion $\epsilon(k)$ and can delocalize. If the excitons attract, there may be an instability and the transition will be discontinuous, but otherwise we can consider three types of ground states for the excitons: density-wave, condensate, and metal.\cite{24} First, depending on the interactions between the excitons, it may be preferable for the excitons to form a density-wave state, for example stripes or a Wigner crystal. In the presence of weak disorder that pins the density wave, this state can be viewed as a localized state of excitons, e.g. a Bose glass or Anderson insulator for the b-Exc, f-Exc respectively.\cite{25,26}

As the density of excitons increases with $\delta$, the b-Exc can potentially undergo a quantum phase transition to a superfluid, spontaneously breaking $U(1)_r$. Analogous to the $\nu_r = 1$ exciton condensate\cite{21,23}, the condensation of the b-Exc leads to an interlayer coherent Moore-Read Pfaffian state. Alternatively, if the f-Exc are more stable, increasing their density leads to a Fermi surface whose volume is set by $\delta$. In this case, the Pfaffian state coexists with a Fermi surface of f-Exc’s, leading to insulating charge transport but metallic counterflow. There is no sharp transition between the Anderson insulator state and the “metallic” state of excitons, because in two dimensions all states are localized by disorder. At finite temperature there is a crossover from the localized to delocalized regime as the temperature is increased, with a crossover temperature $T^* \sim e^{-\epsilon_F/W}$, where $\epsilon_F$ is the Fermi energy and $W$ is the disorder strength.\cite{26}

Let us now describe the above scenario more concretely in terms of a long wavelength effective field theory. $c_+^\pm$ and $c_-^\pm$ denote the electrons in the two layers. To describe the system at $\nu_r = 1/2$, we attach two flux quanta to each electron, to obtain composite fermions (CFs) $\psi_+$ and $\psi_-$. It is convenient to describe this in terms of a parton construction (see e.g.\cite{27}) $c_\pm = b \psi_\mp^\pm$, where $b$ is a charge-$e$ boson and $\psi_+, \psi_-$ are the neutral CFs. $b$ and $\psi_\pm$ carry charge 1 and $-1$, respectively, under an internal emergent gauge field $A$, associated with the phase rotations $b \rightarrow e^{i\theta} b$, $\psi_\pm \rightarrow e^{-i\theta} \psi_\pm$ which keep the physical electron operator invariant. Introducing $A_T = A_+ + A_-\pm$ as an external probe gauge field for $U(1)_T$, and $A_r = (A_+ - A_-)/2$ as a probe gauge-field for the $U(1)_r$, the $\psi_\pm$ carry charge $\pm 1/2$ under $A_r$.

Next, we assume a mean-field ansatz where $b$ forms a bosonic $\nu = 1/2$ Laughlin state, and $\langle a \rangle = 0$. The resulting field theory can be written as

$$\mathcal{L} = -\frac{2}{4\pi} \partial \bar{a} \partial a + \frac{1}{2\pi} (a + A_T) \partial a + \mathcal{L}_\psi(\psi_\pm, a, A_r).$$

Here $\partial a \partial \bar{a} = \epsilon^{abc} \partial a \partial \bar{a}_c$, $\frac{1}{2\pi} \epsilon^{abc} \partial \bar{a}_b \partial a_c$ is the conserved current for the $b$ particles, and the first term on the RHS above is the effective action for a bosonic 1/2 Laughlin FQH state\cite{28}.

$$\mathcal{L}_\psi = \sum_{\alpha = \pm} \left[ \psi_\alpha^\dagger (i \partial_t + a_t + \alpha A_{r_2}/2) \psi_\alpha + \frac{1}{2m_\alpha} \psi_\alpha^\dagger (i \partial_t + a_t + \alpha A_{r_2}/2)^2 \psi_\alpha + \cdots \right],$$

where $\cdots$ indicates higher order interactions among the CFs. We can now consider a variety of possible mean-field states for the CFs $\psi_\pm$.

(1) Two-component composite Fermi liquid. Here, $\psi_\pm$ both form a composite Fermi sea. This describes a CFL state with two Fermi surfaces, with Fermi wave vectors $k_{F_\pm} = \frac{\nu_\pm}{\nu_B} \sqrt{2\nu_\pm}$, where $\nu_\pm$ is the electron filling in the two layers. This phase is most natural when $\nu_- \sim 1/2$.

(2) $Z_2$ fractionalized exciton metal. We consider a state where species $\psi_+$ forms a paired state, $\langle \psi_+ \psi^+_+ \rangle \neq 0$, while $\psi_-$ continues to form a Fermi surface with $k_{F_-} = \frac{\nu_-}{\nu_B} \sqrt{2\nu_-}$. This breaks the $U(1)$ gauge symmetry down to $Z_2$, and the Higgs mechanism sets $a + A_{r_2}/2 = 0$. In the limit $\nu_- = 0$, we expect $\psi_+$ forms a $p_x + ip_y$ state since the system is described by a Moore-Read Pfaffian state in the top layer\cite{16,29}.

As $\nu_-$ is increased, the system is described by a Pfaffian state in $\psi_+$ together with a Fermi sea of $\psi_-$. Since we have locked $a = -A_{r_2}/2$, Eq. (2) implies that $\psi_-$ effectively becomes coupled only to $A_{r_2}$ with unit charge. Physically, this implies that $\psi_-$ is a fermion which carries a unit dipole moment perpendicular to the layers, and can thus be identified with the f-Exc.

However, $\psi_-$ is still coupled to an emergent $Z_2$ gauge field, corresponding to the remnant of $a$ after the pairing of the $\psi_+$ fermions, reminiscent of the ‘orthogonal metal’ phase\cite{30}. Importantly, the $\psi_+$ and $\psi_-$ fermions are both coupled to this $Z_2$ gauge field, so are non-trivially entangled. In particular, the f-Exc will acquire a $\pi$-phase upon encircling the Pfaffian’s non-Abelian charge $e/4$ quasiparticle; hence the f-Exc will see any localized $\pm e/4$ quasiparticles pinned to the disorder potential as sources of random $\pi$-flux.
A model wave function for this state can be written as follows: \( \Psi_{\text{exc}}(z_i, w_a) = \mathcal{P} \, \mathcal{L} \, \psi_{\text{exc}}(\{r_a\}) \prod_{i} (z_i - z_{\text{ref}})^2 \). Here \( z \) and \( w \) are the complex coordinates of the electrons in the top and bottom layers, respectively, with \( w_a = r_a + i \theta_a w_a \). \( \psi_{\text{exc}}(\{r_a\}) \) is the wave function for the excitons, which can be taken to be in a Fermi sea. \( \mathcal{P} \mathcal{L} \) denotes projection to the lowest LL. While this wave function is written as if both layers are in the lowest LL, it should be transposed to the case where the Pf layer is in the first LL by acting with the LL raising operator on each \( z \)-electron, \( \prod_{i} (z_i - z_{\text{ref}})^2 \).

(3) Interlayer coherent FQH states: exciton condensates. Both \( \psi_{\pm} \) CFs can form a paired state, \( \langle \psi_+ \psi_+ \rangle \neq 0 \), \( \langle \psi_- \psi_- \rangle \neq 0 \), which breaks \( U(1) \), and gives interlayer coherence. These phases thus have a Goldstone mode and superfluid-like counterflow. We further distinguish two cases:

(a) \( \langle \psi_+ \psi_- \rangle \neq 0 \). In this case, since we also have \( \langle \psi_+ \psi_+ \rangle \neq 0 \) and \( \langle \psi_+ \psi_- \rangle \neq 0 \), which implies that the \( U(1) \) charge, its expectation value implies that the interlayer \( U(1) \) is completely broken, implying that the b-Exc form a condensate.

(b) \( \langle \psi_+ \psi_- \rangle = 0 \). In this case, pairs of the f-Exc have condensed, implying that the interlayer \( U(1) \) is spontaneously broken down to \( Z_2 \). This leaves behind a mod-2 conservation law for the exciton number. Since pairs of f-Exc are topologically equivalent to pairs of b-Exc, this state can also be viewed as a state where pairs of b-Exc have condensed.

Note that in both case (a) and (b), we can further consider various types of paired states for the \( \psi_{\pm} \) fermions, e.g., whether they are weak or strong pairing superconductors. Wave functions for these interlayer coherent FQH states can be written as \( \Psi(\{x_i, \sigma_i\}) = \text{Pf} \left[ g_{\sigma, \sigma'}(r_i - r_j) \right] \prod_{i<j} (x_i - x_j)^2 \), where \( x_i \) is now the complex coordinate of the \( i \)-th electron including both layers and \( \sigma_i = \pm \) is its layer index. \( g_{\sigma, \sigma'}(r_i - r_j) \) is the pair wave function. For example, if we take \( g_{\sigma, \sigma'}(r_i - r_j) = \frac{\Delta_{\sigma, \sigma'}}{i \sigma - x_j} \), this would correspond to the case where \( \langle \psi_+^{\sigma}(k) \psi_+^{\sigma'}(-k) \rangle = \Delta_{\sigma, \sigma'}(k_x + i k_y) \).

(4) Pfaffian FQH states with localized excitons. Finally, we can consider a state where \( \psi_+ \) is paired, while the \( \psi_- \) fermions form a density wave state, or, in the presence of disorder, are localized. This is the state which, in the language of excitons used earlier, corresponds to a Pfaffian FQH state in one layer with some density of localized excitons. As explained above, this disordered state is not a sharply distinct phase from the \( Z_2 \) fractionalized exciton metal, but rather a different regime of the same phase. The topological order of such a state is simply that of the Pfaffian FQH state, regardless of whether the b-Exc or f-Exc are lower in energy.

Exact diagonalization study of the Pfaffian’s exciton energies. While we have enumerated several possibilities, it is a matter of microscopic energetics which will actually occur. A comprehensive numerical investigation is presented in [Please Add PRB], but here we address the most important question: does the b-Exc, or f-Exc, have lower energy? We answer this question using exact diagonalization of the Coulomb Hamiltonian on a sphere, keeping both an \( N = 0 \) and \( N = 1 \) LL. To explain the results in Fig. 1 we recall some facts about the Pfaffian state on a sphere. The Pfaffian ground state occurs when the number of electrons \( N_e \) and the number of flux quanta \( N_\phi \) satisfies \( N_\phi = 2N_e - 5 \). When \( N_e \) is even, the sphere has a unique, gapped ground state. In the top panel of Fig. 1(a), we show the energy per electron \( E(\{N_1 = N_e, N_0 = 0\})/N_e \) when all electrons are in the \( N = 1 \) layer. Calculations are done for the Coulomb interaction with energies expressed in units of \( e^2/\epsilon L_B \). Using standard finite-size corrections and linear extrapolation in \( 1/N_e \) for \( N_e \)-even, we find the thermodynamic vacuum energy per particle of the Pfaffian to be \( \epsilon_0 \approx 0.365 \). However, when \( N_e \) is odd, there is a dispersing band of low energy states. This can be understood by appealing to the “superconducting” nature of Pfaffian phase when the number of CFs is odd, one CF must remain as an unpaired BdG quasiparticle, which is precisely the neutral fermion \( \psi_{\text{NF}} \) exciton. By measuring the ground state energy differences \( E(N_e) - \epsilon_0 N_e \), where \( N_e \) is odd and \( \epsilon_0 \) is the energy per electron in the thermodynamic limit (top panel in Fig. 1b), we estimate neutral fermion gap \( \Delta_{\text{NF}} \approx 0.018 \), in line with earlier studies.

A similar method can be used to measure the energy difference between the f-Exc and b-Exc, see bottom panel of Fig. 1(a). Let \( E(\{N_1, N_0\}) \) be the ground state energy for \( N_e = N_1 + N_0 \) electrons in the \( N = 1 \) level respectively, keeping fixed the number of flux \( N_\phi = 2N_e - 5 \). The b-Exc occurs when \( N_0 = 1 \) and \( N_e \) is even; in contrast, the f-Exc occurs for \( N_0 = 0 \) and \( N_e \) is odd. We define the exciton energies by subtracting off the Pfaffian’s extrapolated vacuum energy

![FIG. 1:](attachment:image1)
The exciton energy $E_{ex}(N_e)$ also shows an odd-even effect, \( \text{Fig. 1a} \), but in contrast to the vacuum, \textit{odd} \( N_e \) (the f-Exc) is now lower in energy by $\Delta_{b-Exc} - \Delta_{f-Exc} \gtrsim 0.02$. Note that since the b-Exc can decay into an f-Exc and a $\psi_{NF}$, we do not expect to see a difference much greater than $\Delta_{NF} \approx 0.018$, though the energy of the \textit{metastable} b-Exc may be larger.

To verify that the electron and hole are forming a tightly bound exciton, we examine the inter-layer pair correlation function in the f-Exc sector, $g_{01}(r) \equiv A\langle \tilde{n}_0(r)\tilde{n}_1(0) - \langle \tilde{n}_0 \rangle \rangle$, where $\tilde{n}_0 = N_e/A$ is the average density in the Pfaffian ground state and $A$ is the area of the sphere. The f-Exc carries angular momentum $L = 3/2$, so the double brackets denote an average over the $L$-multiplet. We subtract $\langle \tilde{n}_1 \rangle$ so that $-\int d^2rg_{01}(r) = 1$ can be interpreted as the probability for the electron and hole to be at distance $r$. As we see in \textit{Fig. 1b}, they indeed bind together into an exciton of size $\sim 4\ell_B$.

In summary, exact diagonalization of the Coulomb Hamiltonian shows that as charge is transferred between layers the electrons and holes form tightly bound excitons, and the non-trivial f-Exc is the lowest energy exciton. At dilute exciton densities this “single particle” energy will dominate over interactions, indicating that a fermionic exciton metal is more likely than a bosonic condensate.

A number of experimental signatures could be used to distinguish these scenarios:

\textit{Counterflow}—Counterflow transport is a clear way to distinguish between localized Bose/Fermi excitons, interlayer coherent FQH states, and the exciton metal. Assuming the ability to independently contact the two layers, one can measure the counterflow conductivity: $j_\parallel = \sigma_j E_j$, where $j_\parallel = j_+ - j_-$ is the relative current and $E_\parallel = E_+ - E_- $ is the difference in electric field between the two layers. When $\langle \psi_+\psi_+ \rangle \neq 0$, $j_\parallel$ is simply the current of the $\psi_+$ fermions. The DC “counterflow conductivity” $\sigma_\parallel$ will thus be zero, finite, or infinite, depending on whether the b-Exc have Bose condensed, the f-Exc have formed a Fermi sea (with temperature $T$ greater than the localization cross-over scale), or the excitons have localized. A \textit{dissipative} counterflow conductivity, in an incompressible FQH insulator, is a striking property of the exciton metal state.

\textit{Polarizability}—The polarizability is defined as $\lim_{\omega \to 0, q \to 0} p(q, \omega)p(-q, -\omega)$, where $p(x, t) = n_+(x, t) - n_-(x, t)$ is the difference in density between the two components. All states considered above have finite polarizability. When the excitons are localized by disorder in either the bosonic or fermionic case, the polarizability is set by the disorder strength; in the Bose exciton condensate state it is set by the superfluid density, and in the exciton Fermi sea it is set by the density of states at the Fermi surface. The latter can be understood within the field theory presented above: if $\langle \psi_+ \psi_+ \rangle \neq 0$, then $\psi_+$ is a f-Exc, $p \sim \psi_0^\dagger \psi_+ + \text{const}$, and polarizability is simply the compressibility of the f-Exc state. The exciton Fermi sea can be distinguished the temperature dependence of the polarizability or by the application of a periodic potential: when the wave vector of the periodic potential becomes commensurate with $2k_F$, Bragg scattering induces an exciton band gap and modulates the polarizability.

\textit{Specific heat and thermal conductivity}—Another characteristic distinguishing feature of the different exciton states appears in the specific heat and the thermal conductivity. The thermal conductivity of the exciton metal will be linear in temperature: $\kappa \sim C_v v_F \ell \sim T$, where $\ell$ is the mean free path of the excitons, $v_F$ is their Fermi velocity, and $C_v \sim T$ is the specific heat of the exciton Fermi surface. Since such a state has zero electrical conductivity at zero temperature, this would imply an infinite violation of the Wiedemann-Franz law. In contrast, the thermal conductivity of the exciton localized state $\kappa \to 0$ at zero temperature, although the specific heat is still expected to be linear in $T$ in this phase.

\[ (N_+, N_-) = (0, 0): (331) \text{ fractional exciton metal.} \]

We mention an alternative platform for an exciton metal. In QH bilayers with $d/l_B > 1$ at filling $(\nu_+, \nu_-) = (1/4, 1/4)$ the bilayer can form a 331 state. This state has been observed when both components partially fill the $N_e = 0$ LL,\(^3\) though it may happen more generally. What is the fate of the system in the intermediate regime $(\nu_+, \nu_-) = (1/4 + \delta, 1/4 - \delta)$? The 331 state also possesses an f-Exc, which contains charge $e/2$ and $-e/2$ in the two layers. This is quite distinct from the scenario considered earlier, where the f-Exc in the Pfaffian state contained charge $e$ and $-e$ in the two layers. Since the b-Exc has charge $e$ and $-e$ while the f-Exc has charge $e/2$ and $-e/2$, we expect that the Coulomb repulsion would cause the b-Exc to be unstable to decaying into two f-Exc’s. As $\delta$ is tuned away from zero, the finite density of f-Exc’s can form a Fermi sea.

\textit{Acknowledgements}—We thank Roger Mong for discussions. AFY acknowledges the support of the Army Research Office under grant W911NF-16-1-0482. MB is supported by startup funds from the University of Maryland and NSF-JQI-PFC. This research was supported in part by the National Science Foundation under Grant No. NSF PHY-1125915 (KITP). Z.P. acknowledges support by EPSRC grant EP/P009409/1. Statement of compliance with EPSRC policy framework on research data: This publication is theoretical work that does not require supporting research data.

\[ 3 \text{ E. Shayegan, in 1998 Les Houches Summer School, Session LXIX, Topological Aspects of Low Dimensional Systems, NATO Advanced Study Institute, edited by A. Comtet, T. Jolicoeur, S. Ouvry, and F. David (Springer-Verlag, Singapore, 1999), pp. 1–51.} \]


[36] More generally, when $\psi^+$ forms any BCS paired odd angular momentum state, then other variants of the Pfaffian state are realized.