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1 **Shubnikov-de Haas-Like Quantum Oscillations in Artificial**
2 **One-Dimensional LaAlO₃/SrTiO₃ Electron Channels**

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14
15 **Abstract:**

16 The widely reported magnetoresistance oscillations in LaAlO₃/SrTiO₃ heterostructures
17 have invariably been attributed to the Shubnikov-de Haas (SdH) effect, despite a pronounced
18 inconsistency with low-field Hall resistance measurements. Here we report SdH-like resistance
19 oscillations in quasi-1D electron waveguides created at the LaAlO₃/SrTiO₃ interface by
20 conductive atomic force microscopy lithography. These oscillations can be directly attributed to
21 magnetic depopulation of magnetoelectric subbands. Our results suggest that the SdH
22 oscillations in 2D SrTiO₃-based systems may originate from naturally forming quasi-1D
23 channels.

25 SrTiO₃-based interfaces, and in particular the LaAlO₃/SrTiO₃ (LAO/STO) interface [1],
26 combine the motif of semiconductor heterostructures such as GaAs/AlGaAs, with the wide-
27 ranging physical phenomena of complex-oxides. The LAO/STO system exhibits a wide range of
28 gate-tunable phenomena including superconductivity [2,3], magnetism [4], spin-orbit coupling
29 [5,6] and electron pairing without superconductivity [7]. Transport at LAO/STO interfaces is
30 complicated by a ferroelastic transition within STO at $T=105$ K [8,9]. A variety of local probe
31 methods have documented how ferroelastic domains strongly break translational invariance and
32 favor highly anisotropic transport along ferroelastic domain boundaries [10-13].

33 Given the relatively high carrier densities of oxide heterostructures, prospects for
34 achieving quantum Hall phases under reasonable laboratory conditions appear remote. The
35 electron density of STO-based heterostructures is approximately two orders of magnitude higher
36 ($n_{2D} \sim 10^{13} - 10^{14}$ cm⁻²) than typical III-V heterostructures, while the electron mobility is
37 significantly lower ($\mu \sim 10^3 - 10^4$ cm²/Vs). A typical carrier density at the 2D LAO/STO
38 interface is $n_{2D} = 5 \times 10^{13}$ cm⁻², nearly half electron every nm², with 100 filled Landau levels
39 are expected for a typical laboratory-achievable magnetic field $B=10$ T.

40 Despite the low mobility, STO-based heterostructures show distinctive features of
41 quantum transport. The most striking signature are quantum oscillations which have been widely
42 attributed to the Shubnikov-de Haas (SdH) effect, a precursor to the integer quantum Hall effect.
43 The frequency and temperature dependence of SdH oscillations reveal critical information about
44 the electron density, carrier mobility, quantum scattering time and effective mass [14]. For the
45 STO based systems, SdH oscillations have been extensively reported [15-28]. However, major
46 discrepancies have been observed among all the reports in the literature, as summarized below.

47 i) The carrier density extracted from SdH oscillations ($n_{2D}^{SdH} \sim 10^{12} \text{ cm}^{-2}$) is significantly
48 lower than what is determined by low-field Hall measurements ($n_{2D}^{Hall} \sim 10^{13} -$
49 10^{14} cm^{-2}). Values of $n_{2D}^{Hall}/n_{2D}^{SdH}$ can range between slightly over 1 [29] to 25 [18] for
50 δ -doped STO.

51 ii) The number of distinct SdH frequencies for a given device is found to vary from one
52 [15,17,19] to four [24], with considerable variation in the effective mass of the high-
53 mobility carriers. The corresponding band assignment also ranges from d_{xy} orbital [21] to
54 d_{xz}/d_{yz} orbital [27] to hybridization of the two orbitals [24].

55 In short, the overall phenomenology of SdH oscillations is widely reported; however, there is a
56 lack of internal consistency among quantitative values for $n_{2D}^{Hall}/n_{2D}^{SdH}$ or agreed explanation for
57 the discrepancies. Disagreements between n_{2D}^{Hall} and n_{2D}^{SdH} of more than a few percent in III-V
58 semiconductor heterostructures are unusual. The large and variable deviations in expected
59 values for $n_{2D}^{Hall}/n_{2D}^{SdH}$ have not been satisfactorily explained.

60 Here we report SdH-like quantum oscillations in an artificial quasi-1D electron channel
61 formed at the LAO/STO interface. As a function of magnetic field and electrical gating, we
62 observe a transition between quasi-1D to quasi-2D behavior, and extract characteristic
63 parameters such as the carrier density and width. These electron waveguides exhibit clean
64 ballistic transport at carrier densities as low as $320 \mu\text{m}^{-1}$ (or equivalently $8 \times 10^{11} \text{ cm}^{-2}$ by
65 considering 40 nm characteristic width), significantly lower than what has been reported for bulk
66 2D heterostructures. The magnetotransport shows characteristic SdH-like oscillations that arise
67 due to magnetic depopulation of the magneto-electric subbands within the quasi-1D channel. All
68 the carriers can be fully accounted for due to the observation of conductance quantization, unlike

69 the 2D case where carrier-density measurements of SdH oscillations and Hall effect disagree. We
70 compare the well-understood electron transport within these artificial electron waveguides with
71 naturally formed channels that have been found to arise at ferroelastic domain boundaries, and
72 suggest that previously reported SdH oscillations are in fact manifestations of naturally formed
73 quasi-1D channels.

74 The electron waveguides are fabricated using conductive atomic force microscopy (c-
75 AFM) lithography at the LAO/STO interface, as described in Ref. [30]. Starting from an
76 insulating 3.4-unit-cell-LAO/STO interface, nanoscale conducting paths can be “written”
77 through a surface protonation process [31,32]. Tunnel barriers or insulating regions are created
78 through an “erasure” procedure involving surface deprotonation. Novel properties such as
79 electron pairing without superconductivity [7] and tunable electron-electron interactions [33]
80 have previously been revealed by studying quantum transport in similarly designed
81 nanostructures.

82 Figure 1(a) shows a schematic of the overall device structure. The electron waveguide
83 consists of a 50-nm long linear segment surrounded by two narrow insulating barriers, coupled to
84 source and drain electrodes. The electron density within the waveguide depends on the side-gate
85 voltage V_{sg} and the overall electrostatic confinement produced by the c-AFM writing process. In
86 the regime considered here, the cyclotron frequency $\omega_c = e|B|/m_e$ is greater than the
87 characteristic frequency of lateral confinement ω_y ($\omega_c > \omega_y$) in magnetic fields ($B > \sim 3$ T), where
88 e and m_e are electron charge and effective mass.

89 Well-resolved magnetoresistance oscillations are observed at higher magnetic fields over
90 a range of gate voltages $V_{sg} \sim 0 - 100$ mV; one such example appears in Fig. 1(b). Following the

91 analysis of previous reports [15-24,26-28], we subtract a smooth background to reveal resistance
92 oscillations that are clearly visible and periodic in $1/B$ [Fig. 1(c)]. A Fourier analysis shows a
93 sharp peak 26 T with a smaller secondary peak at 7 T.

94 The magnetoresistance oscillations clearly resemble the SdH effect; however, for an
95 electron waveguide, it is more appropriate to consider the total *conductance*, which can be
96 subject to Landauer quantization in the ballistic regime. Within this framework, the conductance
97 is given by $G = (e^2/h) \sum_i T_i(\mu)$, whereby each energy subband that is occupied (at a given
98 chemical potential μ) contributes e^2/h (with transmission probability $T_i(\mu)$) to the overall
99 conductance G [34]. Within this framework, the conductance increases in steps of e^2/h every
100 time the chemical potential crosses a subband energy minimum. Magnetic fields can depopulate
101 these subbands, leading to an overall decrease in conductance with increasing field strength.
102 Figure 2(a) shows the same data as Fig. 1, plotted as conductance in units of e^2/h , as a function
103 of V_{sg} . Clear conductance steps are observed, while the overall slope decreases with increasing
104 magnetic field. The conductance versus magnetic field at fixed gate voltage [Fig. 2(b)] shows
105 quantization at integer values of e^2/h , with “oscillations” occurring as magneto-electric
106 subbands are depopulated with increasing field strength. The energy spacing $\hbar\omega$ in a magnetic
107 field, where $\omega = \sqrt{\omega_c^2 + \omega_y^2}$, is dominated by Landau level spacing $\hbar\omega_c$ in the regime where
108 $\omega_c \gg \omega_y$. With increasing magnetic field, the occupation of the subbands is gradually
109 depopulated as $\hbar\omega$ grows [Fig. 2(b)]. For example, at $V_{sg} = 60$ mV, the number of occupied
110 subbands is reduced from 10 to 3 by increasing B field from 0 T to 9 T. Finite-bias spectroscopy
111 [Fig. 2(c)] at $B=9$ T shows clustering of I - V curves and half-plateaus, which provide yet another
112 confirmation of ballistic electron transport. The lever arm ratio α converting V_{sg} to energy can be

113 also gained by $\alpha = \frac{e\Delta V_{sd}}{\Delta V_{sg}} = 0.009$ eV/V, where ΔV_{sd} and ΔV_{sg} corresponding to same energy
 114 condition by changing V_{sd} and V_{sg} .

115 In 2D electron systems, Landau levels form flat bands; the condition to completely fill
 116 level n satisfies $n = \frac{\pi\hbar}{|e|B} n_{2d}$, which yields $n \propto 1/B$. In a quasi-1D electron waveguide, however,
 117 lateral confinement causes the magneto-electric subbands to exhibit a parabolic shape. The
 118 filling of the n th subband is given by [35]

$$119 \quad n \approx c \cdot \left(\frac{n_{2d}}{l_y} \right)^{\frac{2}{3}} \omega_y^{\frac{2}{3}} / \omega, \quad (1)$$

120 where $c = \left(\frac{3}{4}\pi \right)^{\frac{3}{2}} \left(\frac{\hbar}{2m_e} \right)^{\frac{1}{3}}$ is a constant and l_y is the characteristic width of the electron waveguide
 121 which determines the lateral confinement $\omega_y = \frac{\hbar}{m_e l_y^2}$. From Eq. (1), it is clear that the dependence
 122 of n on $1/B$ is nonlinear in low magnetic fields and crosses over to a linear regime as $\omega \rightarrow \omega_c$ in
 123 high magnetic fields. By fitting Eq. (1), it is possible to extract key electron waveguide parameters,
 124 including the effective 2D carrier density n_{2d} and width l_y . At higher densities, population of
 125 higher-energy vertical waveguide modes is expected, leading to new series of SdH oscillations, one
 126 for each vertical subband.

127 The subband index n_i is assigned by referencing the resistance minima after subtracting a
 128 smooth background in varying magnetic field and V_{sg} , as shown in Fig. 3. Generally, the
 129 waveguide width increases with increasing V_{sg} . The lateral confinement frequency ω_y is large
 130 for small V_{sg} , leading to a saturation in the number (~ 3 in $V_{sg} = 10$ mV) of depopulated
 131 subbands in 0~9 T B field range, compared to the high V_{sg} case (~ 7 in $V_{sg} = 60$ mV). Figure 3(b)
 132 shows the dependence of n_i on $1/B$. Indeed, the relationship is linear at higher magnetic fields

133 and highly non-linear at low magnetic fields, suggesting a crossover between 2D and 1D in the
134 electron waveguide when tuning the magnetic field.

135 Fitting to Eq. (1) shows good agreement with data [Fig. 3(b)]. The effective 2D carrier
136 density increases from $n_{2D} = 8 \times 10^{11} \text{ cm}^{-2}$ to $n_{2D} = 2.4 \times 10^{12} \text{ cm}^{-2}$ as the gate voltage is
137 increased from $V_{sg}=20 \text{ mV}$ to $V_{sg}=100 \text{ mV}$ (Fig. 4). It is worth noting that the electron density is
138 one order of magnitude lower than what is typically reported for 2D interfaces, and two orders of
139 magnitude lower than what is predicted for the “polar catastrophe” model.

140 More insights into the electronic properties of the interface can be obtained from the
141 transconductance map dG/dV_{sd} [Fig. 3(c)] which shows the subband structure. The lateral
142 confinement energies $E_y = \hbar\omega_y \sim 100 \mu\text{eV}$ and $E_z = \hbar\omega_z \sim 500 \mu\text{eV}$ can be readily read out.
143 Since the confinement frequency $\omega_{y,z} = \frac{\hbar}{m_{y,z}l_{y,z}^2}$, the in-plane effective mass $m_y = 0.5 m_e$ and
144 out-of-plane effective mass $m_z = 2.4 m_e$ can be extracted by taking $l_y = 40 \text{ nm}$ at $V_{sg} =$
145 20 mV and a typical $l_z = 8 \text{ nm}$ [30]. Other parameters including the pairing strength and g -
146 factor can be also routinely extracted [30].

147 The phenomena of SdH-like oscillations observed in artificially constructed quasi-1D
148 waveguides bears a remarkable resemblance to many reports of SdH oscillations at the 2D
149 LAO/STO interface. We propose that SdH oscillations reported for the 2D LAO/STO interface
150 can also be accounted for by 1D transport. The ferroelastic domain patterns that have been
151 revealed by various imaging techniques including scanning SQUID microscopy [11], scanning
152 SET [10] and low-temperature SEM [12] points to a highly inhomogeneous landscape that is
153 markedly different from analogous III-V semiconductor heterostructures. It is quite plausible that
154 the transport along these ferroelastic domain boundaries may be significantly more ballistic than

155 previously assumed. In that case, naturally forming ferroelastic domain walls would
156 spontaneously lead to transport behavior that may vary from one device to another, and from one
157 cooldown to another. Furthermore, inhomogeneous broadening of SdH oscillations would be
158 expected if there are spatial variations in lateral confinements.

159 Inconsistencies in the number of distinct SdH oscillation frequencies among different
160 reports can be understood by recognizing that electron waveguides can support both vertical and
161 lateral modes. Analysis of a waveguide under harmonic lateral and vertical confinement [30]
162 reveals a family of modes, each of which is expected to yield SdH-like quantum oscillations,
163 with one distinct frequency for each vertical mode. For example, Fig. 1(d) shows two distinct
164 frequencies.

165 The discrepancy in carrier density measurements between SdH oscillations and Hall
166 measurements has been attributed to a number of factors at the 2D LAO/STO interface. For
167 example, it has been suggested that coexisting high- and low-mobility carriers occupy different
168 Ti *d* orbitals or multiple subbands [27]. However, SdH oscillations with a single frequency have
169 been reported below the critical density ($1.6 \times 10^{13} \text{ cm}^{-2}$) of Lifshitz transition [36], where only
170 d_{xy} orbital is supposed to be occupied [17]; in that work, $n_{2D}^{\text{Hall}}/n_{2D}^{\text{SdH}} \sim 5$. In the work reported
171 here, the carrier density extracted by magnetic depopulation is consistent with the SdH
172 oscillation measurement in the literature ($n_{2D}^{\text{SdH}} \sim 10^{12} \text{ cm}^{-2}$). Furthermore, all of the carriers are
173 accounted for since full conductance quantization is observed, i.e., there is no discrepancy in the
174 carrier density measurements.

175 To extend the applicability of these results in a single nanowire to the more complex
176 geometry in most 2D experiments, one needs to consider how an ensemble of ferroelastic

177 domains would influence 2D transport. Overall, there is growing evidence that 1D channels
178 have anomalously high mobility [37] and are ballistic on micrometer scales [38]. Recent work
179 by Frenkel et al. [13] indicate that anisotropic flow in 100 μm -scale device can be as large as 50%
180 at low temperature. Devices such as these are expected to exhibit quasi-ballistic transport along
181 ferroelastic domain boundaries, and therefore will be subject to resistance oscillations associated
182 with magnetic depopulation of 1D subbands. Future experiments that combine one or more
183 spatially resolved measurements with high magnetic fields could help to spatially resolve the
184 regions that are contributing maximally to resistance oscillations in 2D structures.

185 In summary, we have used conductive AFM lithography at the LAO/STO interface to
186 create quasi-1D channels whose magnetotransport characteristics bear a strong resemblance to
187 2D SdH-like transport widely reported at the LAO/STO interface. By analyzing the results
188 within the framework of quantum channels, inconsistencies in accounting for “missing electrons”
189 are resolved. Our results suggest that the non-uniform distribution of carriers due to naturally
190 formed domain walls at the 2D LAO/STO interfaces brings additional confinements of carriers,
191 which cause discrepancies in analyzing SdH oscillations at the 2D interfaces. More experiments
192 are clearly required to investigate whether this framework can fully explain quantum oscillations
193 observed at the LAO/STO interface, and to characterize mesoscopic structures in which transport
194 along naturally formed ferroelastic domains exist. But so far, this framework has been the only
195 one that can account for all of the observed phenomena.

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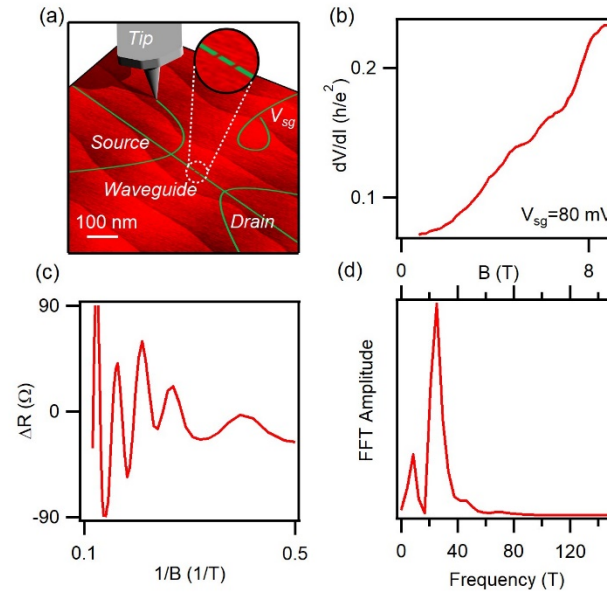
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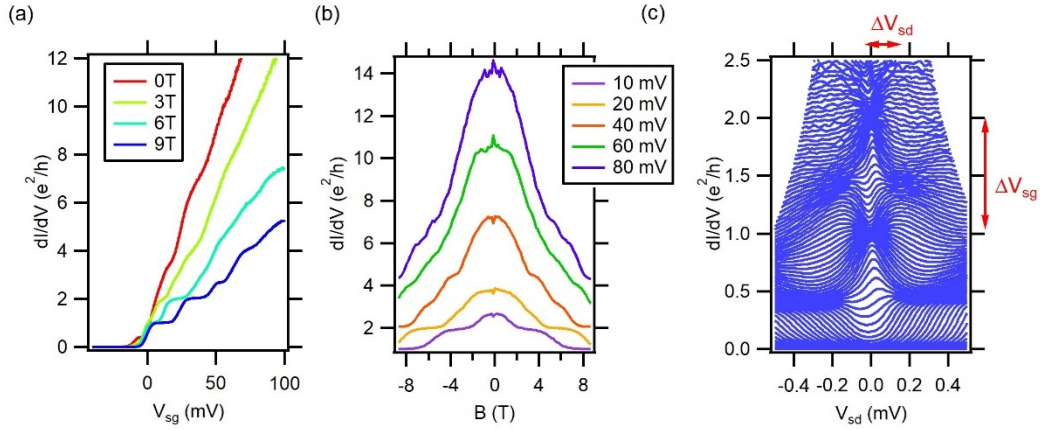
Figures:

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206

207 FIG. 1 The magnetoresistance of an electron waveguide. (a) Schematics of the electron
208 waveguide. (b) The magnetoresistance at $V_{sg}=80$ mV. (c) SdH like oscillations after subtracting a
209 smooth background from (b). (d) FFT analysis shows two distinct peaks.

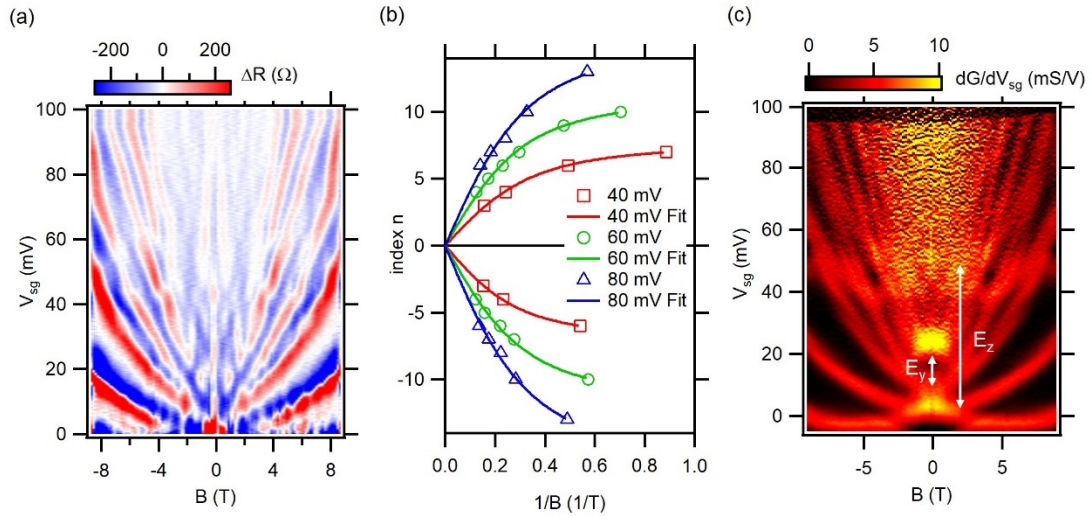


211

212 FIG. 2 Magnetic depopulation effect of the electron waveguide. (a) Differential
 213 conductance dependence on V_{sg} in various magnetic fields $B=0, 3, 6$ and 9 T. Full conductance
 214 quantization is observed at high magnetic fields. (b) Magnetic depopulation for various V_{sg} (10
 215 mV to 80 mV). For a fixed V_{sg} , the number of occupied magnetoelectric bands decreases with
 216 increasing magnetic fields, signifying magnetic depopulation effect. (c) Finite-bias spectroscopy
 217 at 9 T. Differential I - V curves ranging from $V_{sg} = -10$ mV to 60 mV are clustering together
 218 where conductance is quantized. The arrows indicate the parameters
 219 ($\Delta V_{sd} \sim 0.22$ mV, $\Delta V_{sg} \sim 25.2$ mV) to extract the lever arm $\alpha = 0.009$ eV/V.

220

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222

223 FIG. 3 Tunable magneto-electric subbands. (a) Resistance oscillations after subtracting a

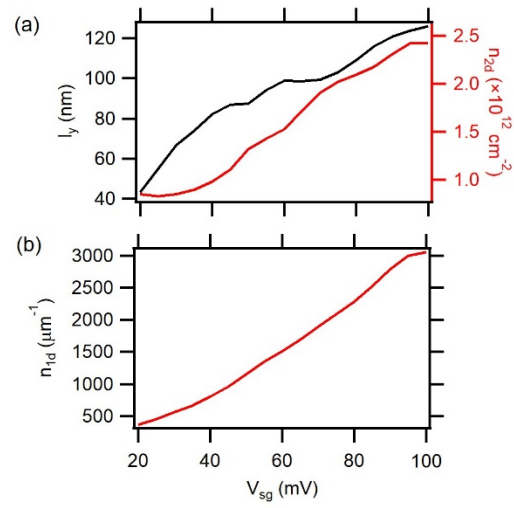
224 smooth background. (b) Subband index versus $1/B$ for $V_{sg}=40$ (red), 60 (green) and 80 mV (blue).

225 Solid lines are fitting results. The subband indices are extracted by looking at the resistance

226 minimum of (a). (c) Transconductance map showing lateral and vertical confinement energies

227 $E_y \sim 100 \mu\text{eV}$ (at $V_{sg} = 20$ mV) and $E_z \sim 500 \mu\text{eV}$ (between the first two vertical bands),

228 respectively.



230

231 FIG. 4 Fitting results. (a) Extracted 2D carrier density and characteristic width of the
232 waveguide. (b) Corresponding linear carrier density dependent on V_{sg} .

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