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1 **Role of Reversible Phase Transformation for Strong Piezoelectric Performance**  
2 **at Morphotropic Phase Boundary**

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14

1 **Abstract**

2 A functional material with coexisting energetically equivalent phases often exhibits  
3 extraordinary properties such as piezoelectricity, ferromagnetism and ferroelasticity,  
4 which is simultaneously accompanied by field-driven reversible phase  
5 transformation. The study on the interplay between such phase transformation and  
6 the performance is of great importance. Here, we have experimentally revealed the  
7 important role of field-driven reversible phase transformation in achieving enhanced  
8 electromechanical properties using in-situ high-energy synchrotron X-ray diffraction  
9 combined with 2D geometry scattering technology, which can establish a  
10 comprehensive picture of piezoelectric-related microstructural evolution.  
11 High-throughput experiments on various Pb/Bi-based perovskite piezoelectric  
12 systems suggest that reversible phase transformation can be triggered by electric  
13 field at the morphotropic phase boundary and the piezoelectric performance is highly  
14 related to the tendency of electric-field-driven phase transformation. A strong  
15 tendency of phase transformation driven by electric field generates peak  
16 piezoelectric response. Further, phase-field modelling reveals that the polarization  
17 alignment and the piezoelectric response can be much enhanced by the  
18 electric-field-driven phase transformation. The proposed mechanism will be helpful  
19 to design and optimize the new piezoelectrics, ferromagnetics, or other related  
20 functional materials.

21

1 Modern functional materials such as piezoelectrics, ferromagnets, ferroelectrics,  
2 and ferroelastics, often display extraordinary responses to external stimuli at phase  
3 boundaries [1-5]. In such materials, external-stimuli-driven reversible phase  
4 transformations are extensively observed to be considered as a direct correlation to  
5 their extraordinary properties [4-10]. For example, excellent shape recovery  
6 properties, colossal magnetostriction, and giant magnetocaloric effect are  
7 accompanied by magnetic/temperature-field-driven phase transformation [6-8].

8 In cause of piezoelectrics, which are widely used for electromechanical devices,  
9 anomalously high piezoelectric performance is generally found at the position of  
10 morphotropic phase boundary (MPB), which was discovered more than half a  
11 century ago [11]. This discovery stimulated researchers to engineer and develop  
12 composition-controlled [12], pressure-induced [2,13], epitaxial strain-driven [1,5]  
13 MPB systems to achieve desirable properties, for examples,  $\text{Pb}(\text{Zr,Ti})\text{O}_3$ -based (PZT)  
14 ceramics [12],  $\text{Pb}(\text{Mg}_{1/3}\text{Nb}_{2/3})\text{O}_3$ - $\text{PbTiO}_3$  (PMN-PT),  $\text{Pb}(\text{Zn}_{1/3}\text{Nb}_{2/3})\text{O}_3$ - $\text{PbTiO}_3$   
15 (PZN-PT) single crystals [4,14], and  $\text{BiFeO}_3$  thin films [1,5,15]. The MPB  
16 compositions typically exhibit electric-field-driven phase transformation as is  
17 observed for PZT [16],  $\text{PbTiO}_3$ - $\text{BiScO}_3$  [17],  $(\text{Bi}_{1/2}\text{Na}_{1/2})\text{TiO}_3$ -based ceramics  
18 [18-20], domain engineered PZN-PT single crystals [4], and  $\text{BiFeO}_3$  thin films [1,5].  
19 The theoretical studies [13,21,22], and in-situ diffraction experiments [23,24], have  
20 shed light on the role of field-driven phase transformation for the enhanced  
21 piezoelectric performance. Despite these advances, fundamental questions remain:  
22 What is the intrinsic correlation between electric-field-driven phase transformation  
23 and enhanced piezoelectricity? How does the phase transformation determine the  
24 piezoelectric performance at the MPB?

25 In this Letter, we perform in-situ high-energy synchrotron X-ray diffraction  
26 (SXRD) combined with 2D geometry scattering technology (see Fig. S1 of  
27 Supplemental Material [25]), which can simultaneously establish a comprehensive  
28 picture of piezoelectric-related structural evolution (lattice strain and phase  
29 transformation) and domain switching behavior under applied electric field [32-34].  
30 First, piezoelectric related properties of domain switching, lattice strain, and phase

1 transformation evolution have been studied in two typical Pb/Bi-based MPB  
2 piezoceramics which have similar  $c/a$  ratios. One is the MPB composition of  
3  $0.64\text{PbTiO}_3\text{-}0.36\text{BiScO}_3$  (PT-36BS) with high piezoelectric performance, while the  
4 other is  $0.38\text{PbTiO}_3\text{-}0.62\text{Bi}(\text{Mg}_{1/2}\text{Ti}_{1/2})\text{O}_3$  (PT-62BMT) with inferior performance.  
5 Subsequent the in-situ studies were extended to other Pb/Bi-based piezoelectric  
6 systems. It is interesting to find that the electric-field-driven phase transformation  
7 plays a general role to enhance the piezoelectric response. The ease of phase  
8 transformation results in better piezoelectric performance. Finally, the results from  
9 phase-field modelling confirm that the enhanced piezoelectric response stems from  
10 the improved polarization alignment via the electric-field-driven phase  
11 transformation. These results have implications for the fundamental understanding of  
12 the role of external stimuli driven phase transformation on related extraordinary  
13 properties and provide a possibility to design materials with enhanced piezoelectric  
14 response.

15 It has been established that the piezoelectric performance is directly correlated to  
16 the  $c/a$  ratio. Smaller  $c/a$  usually means higher mobility of domain walls and thus  
17 corresponds to enhanced piezoelectric properties [35,36]. However, despite PT-36BS  
18 ( $c/a = 1.02$ ) [37], and PT-62BMT ( $c/a = 1.03$ ) [38] exhibiting similar  $c/a$  ratios,  
19 there is a stark contrast in their piezoelectric performance. PT-36BS exhibits a  
20 superior piezoelectric response ( $d_{33} = 430$  pC/N) [37], while PT-62BMT shows an  
21 inferior one ( $d_{33} = 220$  pC/N) [38]. The difference in their piezoelectric performance  
22 can also be clearly seen from the electric-field-induced strain (Fig. S2 of Ref. [25]).  
23 For instance, at  $E = 5$  kV/mm, the positive strain is 0.29% and 0.14% for PT-36BS  
24 and PT-62BMT, respectively. It is worth exploring the nature of the huge difference  
25 in piezoelectric response. By using the present method of in-situ high-energy SXRD  
26 technology combined with appropriate 2D scattering geometry, the effect of electric  
27 field induced texture can be neglected at the  $45^\circ$  sector [32,33,39], which allows the  
28 reliable estimation of phase content. However, the diffraction patterns at the  $0^\circ$  sector,  
29 which is parallel to the electric field direction, can be used to quantify the domain  
30 switching fraction and lattice strains [17,32,33].

1 Figure 1(a) and 1(b) shows the  $\{200\}_{pc}$  profiles of PT-36BS and PT-62BMT at  
 2 the  $0^\circ$  sector as function of electric field. It is interesting to find the domain  
 3 switching fractions to be almost the similar for both PT-36BS and PT-62BMT, even  
 4 though they have much difference piezoelectric performance. Increasing the electric  
 5 field results in texture, as a result of which the intensity of the  $(002)_T$  reflection  
 6 increases, while that of the  $(200)_T$  decreases. The character of ferroelectric domain  
 7 texture can be quantified by the multiple of random distribution ( $f_{002,T}$ ) [40] (Fig. S3a  
 8 and S5 of Ref. [25]). With increasing electric field, the  $f_{002,T}$  value increases,  
 9 indicating that larger fraction of the domains switch in response to the applied  
 10 electric field. To estimate the mobility of domain wall, the ratio of  $\Delta f_{002,T}/E$  [32,36] is  
 11 calculated [Fig. 1(c)]. It is interesting to find that both MPB piezoceramics have  
 12 similar domain wall mobility, as indicated by the remarkable approximate values of  
 13  $\Delta f_{002,T}/E$  [ $0.10/(\text{kV}\cdot\text{mm}^{-1})$ ]. It suggests that the origin of the difference in  
 14 piezoelectric performance of both the MPB piezoceramics is not dominated by  
 15 domain switching but by other mechanisms. Furthermore, lower domain switching  
 16 fractions have been observed in other high performance MPB ceramics, such as soft  
 17 PZT [ $\Delta f_{002,T}/E = 0.11/(\text{kV}\cdot\text{mm}^{-1})$ ,  $d_{33} = 500$  pC/N], and  $\text{PbTiO}_3\text{-Bi}(\text{Ni}_{1/2}\text{Zr}_{1/2})\text{O}_3$   
 18 [ $\Delta f_{002,T}/E = 0.10/(\text{kV}\cdot\text{mm}^{-1})$ ,  $d_{33} = 390$  pC/N] [41].

19 The lattice strain for both the MPB piezoceramics obtained at the  $0^\circ$  sector is  
 20 shown in the Fig. S7 of Ref. [25]. As anticipated, the high performance PT-36BS  
 21 exhibits larger lattice strains as opposed to PT-62BMT. The lattice strain evaluated  
 22 from the  $\{111\}_{pc}$  profile of PT-36BS (0.25% at 5 kV/mm,  $d_{33}^* = 500$  pm/V) is  
 23 higher in comparison to PT-62BMT (0.14% at 5 kV/mm,  $d_{33}^* = 260$  pm/V). As  
 24 shown in Fig. S2 of Ref. [25], a similar macrostrain property is also observed in  
 25 ceramics of PT-36BS (0.29%) and PT-62BMT (0.14%). Note that the strain of  
 26  $\{111\}_{pc}$  would result from the intergranular strain due to comprehensive factors of  
 27 domain switching, phase coexistence and so on [42].

28 Why is there the prominent difference in piezoelectric performance despite the  
 29 similar domain switching behavior in PT-36BS and PT-62BMT? As shown in Fig.  
 30 1(d) and 1(e), the compositions exhibit a stark difference in the  $\{200\}_{pc}$  profiles of

1 the 45° sector. An electric-field-driven phase transformation occurs to a large extent  
2 in the high performance PT-36BS piezoceramics, while it is limited in the inferior  
3 PT-62BMT. With increasing electric field, the intensity of the shoulder peaks  
4 corresponding to the tetragonal (T) phase decreases, while the middle peaks of the  
5 second phase increases. In order to evaluate the phase fraction as function of electric  
6 field, the  $\{200\}_{pc}$  profile is fitted to four peaks using pseudo-Voigt function (Fig. S4  
7 of Ref. [25]). The T phase fraction ( $\xi_T$ ) as a function of electric field for PT-36BS  
8 and PT-62BMT are contrasted in Fig. 1(f). Under application of electric field, the T  
9 phase fraction rapidly decreases for PT-36BS; however, this decrease is gradual in  
10 the case of PT-62BMT. This indicates that the interphase boundary mobility is  
11 enhanced in PT-36BS, but not in PT-62BMT. Perusing the above, the nature of the  
12 difference in the piezoelectric performance of PT-36BS and PT-62BMT can be  
13 attributed to the electric-field-driven phase transformation.

14 One can extend the above conclusion and argue if this is indeed the general  
15 case for other MPB systems? In order to further confirm and expand the intrinsic  
16 correlation between piezoelectric performance and electric-field-driven phase  
17 transformation, we have investigated several other MPB piezoceramics exhibiting  
18 different degree of piezoelectric performance. The superior ones are La,Sr-doped  
19  $Pb(Zr_{0.53}Ti_{0.47})O_3$  (La,Sr-PZT,  $d_{33} = 500$  pC/N), and  $0.6PbTiO_3-0.4Bi(Ni_{1/2}Zr_{1/2})O_3$   
20 (PT-40BNZ,  $d_{33} = 390$  pC/N) [41], and the moderate ones are  
21  $0.57PbTiO_3-0.43Bi(Mg_{1/2}Zr_{1/2})O_3$  (PT-43BMZ,  $d_{33} = 300$  pC/N) [43], and  
22 commercial PZT-4 ceramic (PZT-4,  $d_{33} = 289$  pC/N). The evolution of the  $\{200\}_{pc}$   
23 profiles as a function of electric field at the 45° sector is depicted in Fig. S10 of Ref.  
24 [25]. It can be clearly seen that the middle bulge in the  $\{200\}_{pc}$  profiles responds to  
25 electric field for those compositions, which is significant in the compositions with  
26 superior piezoelectric performance, but exhibits negligible/weak change for the  
27 compositions with moderate performance. It is worth noting that such phase  
28 transformation is reversible (Fig. S11 of Ref. [25]). Under loading a bipolar electric  
29 field, the T phase fraction displays a butterfly shape (Fig. S12 of Ref. [25]). Fig. 2(a)  
30 depicts the quantitative phase fractions of these piezoceramics as function of electric

1 field. Upon increasing electric field, the T phase fraction ( $\xi_T$ ) gradually tapers off in  
2 all these ceramics, analogous to the previously reported soft PZT ceramics [16]. The  
3 T phase fractions exhibits a near linear relationship to electric field. Here, the slope  
4 defined as  $d\xi_T/dE$  is adopted to indicate the tendency of phase transformation with  
5 respect to electric field. Larger value of  $d\xi_T/dE$  signifies ease of phase  
6 transformation triggered by electric field. It is intriguing to observe a significant  
7 difference in  $d\xi_T/dE$  for these piezoelectric systems. Larger values of  $d\xi_T/dE$  are  
8 observed for La,Sr-PZT [4.8 %/(kV·mm<sup>-1</sup>)], PT-36BS [4.2 %/(kV·mm<sup>-1</sup>)], and  
9 PT-40BNZ [3.8 %/(kV·mm<sup>-1</sup>)], while the values are lower for PT-43BMZ  
10 [2.6 %/(kV·mm<sup>-1</sup>)] PZT-4 [2.61 %/(kV·mm<sup>-1</sup>)], and PT-62BMT [1.2 %/(kV·mm<sup>-1</sup>)].  
11 The plot of  $d_{33}$  as function of  $d\xi_T/dE$  [Fig. 2(b)] reveals an intriguing correlation.  
12 The piezoelectric performance is highly and directly correlated with the tendency of  
13 phase transformation. Larger value of  $d\xi_T/dE$ , higher the piezoelectric coefficient  $d_{33}$ .  
14 A large value of  $d\xi_T/dE$  implies that smaller electric field amplitudes are sufficient to  
15 drive the phase transformation, resulting in ease of electric-field-driven phase  
16 transformation. This trend is also robust if extrapolating the line to the point of  
17  $d\xi_T/dE = 0$ , in which corresponds to a single T phase. It indicates that pure T phase  
18 compositions have inferior piezoelectric properties as is the case in PT-30BS (130  
19 pC/N) [44], La-doped PbZr<sub>0.4</sub>Ti<sub>0.6</sub>O<sub>3</sub> (130 pC/N) [45], PT-47BNT (180 pC/N) [46],  
20 and PT-60BMT (145 pC/N) [47]. It is unambiguous that  $d_{33}$  strongly correlates with  
21  $d\xi_T/dE$ . Similarly, the large-signal  $d_{33}$  is strongly correlated with  $d\xi_T/dE$  (Fig. S13 of  
22 Ref. [25]). The near-linear behavior suggests that the electric-field-driven phase  
23 transformation is the dominant contributing factor to the enhanced piezoelectric  
24 properties at the MPB.

25 Phase-field modelling was performed to investigate the general role of  
26 electric-field-driven phase transformation to enhance piezoelectric performance  
27 (details are provided in Supplemental Material [25]). For the domain configuration  
28 depicted in Fig. 3(a), the domains can be switched with electric field. It is the so  
29 called 90° domain switching. However, for the domain configuration shown in the  
30 left panel of Fig. 3(b), this state is stable if no phase transition occurs. In such case,

1 non-180° switching cannot occur under applied electric field and strain is low.  
2 Nevertheless, since the composition is at the MPB with coexisting phases that are  
3 energetically equivalent, it readily undergoes phase transformation upon application  
4 of electric field. Once the phase transformation is triggered by the electric field, the  
5 state will be overcome [Fig. 3(b)]. As the phase transformation occurs, the  
6 polarization tends to align along the electric field direction. The nucleation of the  
7 new phase are likely occurs at the domains walls based on the present and previous  
8 phase-field simulation results [48], which is in conjunction with the phase boundary  
9 motions to the T phase. The phase transformation enables the polarization to align  
10 along electric field to a larger extent [Fig. 3(d)]. Therefore, the phase transformation  
11 promotes some “death domains” active. The phase-field simulation [Fig. 3(c) and  
12 Fig. S14 of Ref [25]] indicates that under applied electric field, negligible phase  
13 transformation is observed for the non-MPB composition of T phase, which exhibits  
14 low piezoelectric response ( $d_{33}^* = 360$  pm/V). For the PZT composition near MPB,  
15 it exhibits a moderate electric-field-driven phase transformation, and displays a  
16 moderate piezoelectric response ( $d_{33}^* = 560$  pm/V). However, for the MPB  
17 composition, it exhibits enhanced electric-field-driven phase transformation, and  
18 high piezoelectric performance ( $d_{33}^* = 640$  pm/V). Coinciding with the in-situ  
19 high-energy SXRD results, the phase-field modelling also reveals that a high  
20 tendency of phase transformation driven by electric field generates a high  
21 piezoelectric response. The piezoelectric response is, therefore, improved by the  
22 enhanced polarization alignment [49], and additional interphase boundary motion.

23 Similarly, when electric field is applied along the <001> direction of  
24 rhombohedral PZN-PT crystals, strain abruptly increases, which is associated with  
25 electric-field-driven R to T phase transformation and the inclined polarization jump  
26 to the electric field direction [4]. According to the Landau-Ginsburg-Devonshire  
27 (LGD) thermodynamic theory [22], the high sensitivity of phase transformation to  
28 electric field can be interpreted as a flattening of the anisotropic free energy profiles.  
29 A flatter free energy profile suggests an enhanced susceptibility of atomic  
30 displacements, and thus gives rise to enhanced piezoelectricity.

1 In general, the major contributing factors to the piezoelectric performance are  
2 domain switching, lattice strain and phase transformation. The extrinsic contribution  
3 can be maximized through domain engineering [4,50]. The intrinsic structure-related  
4 contribution can be largely promoted by flexible continuous polarization rotation via  
5 single monoclinic structure [33,39,51,52]. For the MPB piezoceramics, the high  
6 piezoelectric performance can be achieved via the enhancement of reversible phase  
7 transformation by optimizing extrinsic factors, such as grain size, and domain wall  
8 density.

9 In summary, the evolution of lattice strain, domain switching, and in particular,  
10 phase transformation have been evaluated using in-situ high-energy SXRD under  
11 applied electric field in various perovskite-type piezoelectric systems. The results  
12 provide a direct experimental evidence that the electric-field-driven phase  
13 transformation plays a dominant role in the piezoelectric performance of MPB  
14 compositions. A strong tendency of electric-field-driven phase transformation  
15 generates a peak piezoelectric response. The polarization alignment can be enhanced  
16 via the electric-field-driven phase transformation. The present results will inspire  
17 insight for functional materials whose properties are related to  
18 external-stimuli-driven phase transformation such as ferroelectrics, ferromagnets,  
19 and ferroelastics.

20

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FIG. 1. Diffraction peak profiles and contour plots of  $\{200\}_{pc}$  as function of electric field at the  $0^\circ$  and  $45^\circ$  sectors, (a) PT-36BS and (b) PT-62BMT at the  $0^\circ$  sector, (d) PT-36BS and (e) PT-62BMT at the  $45^\circ$  sector. The blue arrows indicate the direction of increasing electric field amplitude. (c) Electric-field-dependent  $\Delta f_{002,T}$  of PT-36BS and PT-62BMT ceramics obtained from the  $0^\circ$  sector. (f) The electric field dependence of tetragonal phase fraction ( $\xi_T$ ) for PT-36BS and PT-62BMT ceramics obtained from the  $45^\circ$  sector.

FIG. 2. Strong correlation between piezoelectric performance and electric-field-driven phase transformation for various MPB compositions. (a) The T phase fraction as function of electric field ( $\xi_T$  vs.  $E$ ). (b) The piezoelectric coefficient  $d_{33}$  as function of the  $d\xi_T/dE$ .

FIG. 3. (a) Normal polarization alignment via  $90^\circ$  domain switching. (b) Enhanced polarization alignment via electric-field-driven phase transformation. (c) The calculated piezoelectric strain from phase-field simulation for the PZT compositions of MPB, near MPB, and non-MPB T phase, which generates electric-field-driven high extent, moderate, and negligible phase transformation, respectively. (d) Polarization profile along the dash line.





