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Zhe-Xuan Gong, Michael Foss-Feig, Fernando G. S. L. Brandão, and Alexey V. Gorshkov
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Entanglement area laws for long-range interacting systems

Zhe-Xuan Gong,1,2, * Michael Foss-Feig,3 Fernando G. S. L. Brandão,4 and Alexey V. Gorshkov1,2

1 Joint Quantum Institute, NIST/University of Maryland, College Park, Maryland 20742, USA
2 Joint Center for Quantum Information and Computer Science, NIST/University of Maryland, College Park, Maryland 20742, USA
3 United States Army Research Laboratory, Adelphi, MD 20783, USA
4 IQIM, California Institute of Technology, Pasadena CA 91125, USA

We prove that the entanglement entropy of any state evolved under an arbitrary $1/r^\alpha$ long-range-interacting $D$-dimensional lattice spin Hamiltonian cannot change faster than a rate proportional to the boundary area for any $\alpha > D + 1$. We also prove that for any $\alpha > 2D + 2$, the ground state of such a Hamiltonian satisfies the entanglement area law if it can be transformed along a gapped adiabatic path into a ground state known to satisfy the area law. These results significantly generalize their existing counterparts for short-range interacting systems, and are useful for identifying dynamical phase transitions and quantum phase transitions in the presence of long-range interactions.

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Quantum many-body systems often have approximately local interactions, and this locality has profound effects on the entanglement properties of both ground states and the states created dynamically after a quantum quench. For example, the entanglement entropy, defined as the entropy of the reduced state of a subregion, often scales as the boundary area of the subregion for ground states of short-range interacting Hamiltonians [1]. This “area law” of entanglement entropy is in sharp contrast to the behavior of thermodynamic entropy, which typically scales as the volume of the system. While the study of area laws originates from black hole physics [2, 3], quantum critical phenomena [5], bulk-boundary correspondence [6], efficient classical simulation of quantum systems [7], topological order [8], and many-body localization [9].

However, the description of many-body systems in terms of local interactions is often only an approximation, and not always a good one; in numerous systems of current interest, ranging from frustrated magnets and spin glasses [10, 11] to atomic, molecular, and optical systems [12–17], long-range interactions are ubiquitous and lead to qualitatively new physics, e.g. giving rise to novel quantum phases and dynamical behaviors [18–25], and enabling speedups in quantum information processing [26–30]. Particles in these systems generally experience interactions that decay algebraically ($\sim 1/r^\alpha$) in the distance ($r$) between them. As might be expected, $\alpha$ controls the extent to which the system respects notions of locality developed for short-range interacting systems: For $\alpha$ sufficiently small, it is well established [19] that locality may be completely lost, and for $\alpha$ sufficiently large there is ample numerical and analytical evidence [31–34] that area laws may persist. However, there is currently no general and rigorous understanding of when area laws do or do not survive the presence of long-range interactions.

The modern understanding of area laws draws heavily from several rigorous proofs, all of which require some restrictions on the general setting discussed above. As the most notable example, Hastings [35] proved that ground states of one-dimensional (1D) gapped Hamiltonians with finite-range interactions satisfy the area law. A subsequent development was made later in Refs. [36, 37], which proved that states in 1D with exponentially decaying correlations between any two regions (a set that includes the ground states of gapped short-range interacting Hamiltonians) must satisfy the area law. Generalizing these proofs to include long-range interacting Hamiltonians is, however, rather difficult. For example, it is a well-known challenge to generalize Hastings’ proof of the area law [35] to higher dimensions [38], and long-range interacting systems are in some sense similar to higher-dimensional short-range interacting systems [23, 24]. In addition, since ground states of gapped long-range interacting systems can have power-law decaying correlations [39–41], one would need to relax the condition of exponentially decaying correlations in the proof of Refs. [36, 37] to algebraically decaying correlations. However, this relaxation invalidates the proof, as there exist 1D states with sub-exponentially decaying correlations that violate the area law [42].

To circumvent these challenges in proving area laws for long-range interacting systems, here we employ a “dynamical” approach. Specifically, we prove that a state satisfies the area law if it can be dynamically created in a finite time by evolving a state that initially satisfies the area law under a long-range interacting Hamiltonian [43]. We then use the powerful formalism of quasi-adiabatic continuation [44] to relate such a state to the ground state of a spectrally gapped long-range interacting Hamiltonian. This strategy is made possible by the recent proof of Kitaev’s small incremental entangling (SIE) conjecture [43, 45], and by significant recent improvements in Lieb-Robinson bounds [4] for long-range interacting systems [46, 47].

The manuscript is divided into two proofs of two different area laws, the latter of which builds on the former. The first area law states that for any initial state, the entangle-
ment entropy of a subsystem cannot change faster than a rate proportional to the subsystem’s area. This statement is known to hold for short-range interacting systems [43, 48], and we have generalized it to systems with interactions decaying faster than $1/r^{D+1}$. A direct implication of this new area law is that matrix-product-state calculations of quench dynamics should remain efficient at relatively short times for generic $1/r^\alpha$ Hamiltonians with $\alpha > D + 1$. 

Our second area law states that if a Hamiltonian has interactions decaying faster than $1/r^{2D+2}$, then its ground state satisfies the area law if it can be connected to an area-law state by adiabatically deforming the Hamiltonian. Here adiabaticity implies a finite energy gap during the adiabatic evolution and requires interactions to still decay faster than $1/r^{2D+2}$ [31]. Thus the short-range nature of interactions, believed to be crucial for area laws, is in fact not necessary. (2) The entanglement area law for the ground state of a gapped short-range interacting Hamiltonian will remain stable if we add long-range interactions without closing the gap. For certain frustration-free Hamiltonians, including Kitaev’s toric code [49] and the Levin-Wen model [50], the area law is strictly implied for $\alpha > 2D + 2$ due to a proven stability of the gap for interactions decaying faster than $1/r^{D+2}$ [51]. Thus the short-range nature of interactions, believed to be crucial for area laws, is in fact not necessary. (2) The entanglement area law might be violated without destroying the energy gap or making the energy non-extensive by using $1/r^\alpha$ interactions with $D < \alpha < 2D + 2$ [31]. Thus there may exist exotic quantum phase transitions between gapped phases, challenging the conventional wisdom that quantum phase transitions cannot take place between gapped phases in an adiabatic evolution [52].

**Main results.**—In this manuscript, we consider the following Hamiltonian $H$ on a D-dimensional finite or infinite lattice

$$H = \sum_{i,j} h_{ij}, \quad \|h_{ij}\| \leq 1/r^\alpha_{ij} \quad (i \neq j).$$  

Here, $h_{ij}$ is an operator acting on sites $i$ and $j$ that can be time-dependent, $\|h_{ij}\|$ denotes the operator norm (largest-magnitude of an eigenvalue) of $h_{ij}$, and $r_{ij}$ represents the distance between sites $i$ and $j$. We define $d$ as the maximum local Hilbert space dimension for any site and assume $d$ is finite. The strength of the on-site interaction $h_{ii}$ can be arbitrary, and is unimportant in the following area laws and proofs.

We define the entanglement entropy of a state $|\psi\rangle$ with respect to a subregion $V$ by $S_V(|\psi\rangle) = -\text{tr}[\rho_V \log \rho_V]$, where $\rho_V = \text{tr}_V(|\psi\rangle\langle\psi|)$ and $\bar{V}$ is the complement of $V$. We will use $\partial V$ to denote the set of sites at the boundary of $V$, and $|V|$ to denote the number of sites in the set $V$. To clarify the presentation without sacrificing rigor, we will frequently use the identification $g(x) = O(x)$ if there exists finite positive constants $c$ and $x_0$ such that $g(x) \leq cx$ for all $x \geq x_0$. The constants $c$ and $x_0$ may be different each time the $O$-notation appears, but will not depend on anything other than the lattice geometry and fixed parameters $\alpha$, $D$, $d$, and $\Delta$ (introduced later). We now state our first area law:

**Theorem 1.** (Area law for dynamics) For any state $|\psi\rangle$ under the time evolution of $H$ defined in Eq. (1) with $\alpha > D + 1$,

$$\left| \frac{dS_V(|\psi(t)\rangle)}{dt} \right| \leq O(|\partial V|).$$  

To prove Theorem 1, let us introduce the following lemma, which can be directly obtained from the Kitaev’s SIE conjecture recently proven in Ref. [43].

**Lemma 1.** If $H = \sum_Z h_Z$ with $h_Z$ acting on a set of sites $Z$, then for any state $|\psi\rangle$

$$\left| \frac{dS_V(|\psi(t)\rangle)}{dt} \right| \leq 18 \log(d) \sum_{Z, Z \cap \partial V \neq \emptyset} \|h_Z\| |Z|. \quad (3)$$  

Roughly speaking, this lemma tells us that the entanglement entropy at most changes at a rate proportional to the total strength of interactions that cross the boundary of $V$.

With the help of Lemma 1, the proof of Theorem 1 reduces to the proof of $\sum_{i \in \partial V} \|h_{ii}\| \leq O(|\partial V|)$. Let us now assign a coordinate $(x_i, r_i)$ to each site $i \in V$, with $x_i$ measuring the directions parallel to the boundary, and $r_i$ measuring the distance of $i$ to the boundary (rounded down to the next integer). Upon bounding the sum by a D-dimensional integral, it is straightforward to show that for a given $i \in V$,

$$\sum_{j \notin \partial V} \|h_{ij}\| \leq O(r_i^{D-\alpha}) \cdot$$

Thus, for a given value of $r_i$, the possible choices of $x_i$ is at most proportional to $|\partial V|$, it follows that $\sum_{i \in \partial V} \|h_{ii}\| \leq O(|\partial V|) \sum_{r=1}^{\infty} r^{D-\alpha}$. Theorem 1 is then proven because $\sum_{r=1}^{\infty} r^{D-\alpha}$ converges for $\alpha > D + 1$. Note that the method used here is an improvement over a similar method used in Ref. [43], which if used will lead to the condition $\alpha > D + 2$ instead.

To connect from this dynamical area law to a ground-state area law, we now introduce the formalism of quasi-adiabatic continuation. Consider a continuous family of Hamiltonians

$$H(s) = (1 - s) H(0) + s H(1),$$  

parameterized by $s \in [0, 1]$ with each $H(s)$ being a time-independent Hamiltonian satisfying Eq. (1) and having a unique ground state $|\psi_0(s)\rangle$ and a finite energy gap of at least $\Delta$. As shown in Ref. [44], the evolution (or continuation) of $|\psi_0(s)\rangle$ from $s = 0$ to $s = 1$ is governed by an effective Hamiltonian $\mathcal{D}(s)$, given by the “Schrödinger equation”

$$d|\psi_0(s)\rangle/ds = -i \mathcal{D}(s)|\psi_0(s)\rangle. \quad$$

We emphasize that the evolution of $|\psi_0(s)\rangle$ is not governed by $H(s)$, because despite the existence of a finite gap $\Delta$, to adiabatically evolve under $H(s)$ from $|\psi_0(0)\rangle$ to $|\psi_0(1)\rangle$ exactly requires an infinite evolution time, in contrast to the unity time needed for the evolution under $\mathcal{D}(s)$. As a result, the evolution of $|\psi_0(s)\rangle$ under $\mathcal{D}(s)$ is usually called quasi-adiabatic continuation [44].

For a given $H(s)$, the choice of $\mathcal{D}(s)$ is not unique, and here we choose a convenient form given in Ref. [53],

$$\mathcal{D}(s) = -i \int_{-\infty}^{\infty} f(\Delta t) e^{i H(s)t} \frac{\partial H(s)}{\partial s} e^{-i H(s)t} dt. \quad (5)$$
Here, \( f(x) \) belongs to a family of \textit{sub-exponentially} decaying functions, meaning that for any \( \delta < 1 \), there exists an \( x \)-independent constant \( c_\delta \) such that \( |f(x)| \leq c_\delta \exp(-|x|^\delta) \) [the explicit form of \( f(x) \) is not important]. The \( \mathcal{D}(s) \) given in Eq. (5) has a remarkable feature: if \( H(s) \) is a short-range interacting Hamiltonian [Eq. (4) in the \( \alpha \to \infty \) limit], then \( \mathcal{D}(s) \) contains interactions that decay sub-exponentially with distance, approximately inheriting the locality of the underlying interactions [44]. For a finite but suitably large \( \alpha \), it is reasonable to expect that \( \mathcal{D}(s) \) contains interactions that decay as a power-law in distance, as inherited from \( H(s) \). If so, then we expect to be able to prove a result analogous to Theorem 1, guaranteeing that the entanglement entropy \( S_V(|\psi_0(s)\rangle) \) satisfies the dynamical area law \( |dS_V(|\psi_0(s)\rangle)/ds| \leq \mathcal{O}(dV) \) for \( \alpha \) larger than a certain critical value. Upon integrating from \( s = 0 \) to \( s = 1 \), this would lead immediately to our Theorem 2 [54]:

**Theorem 2.** (Area law for ground states) For \( H(s) \) defined in Eq. (4) with \( \alpha \geq 2D + 2 \), \(|\psi_0(0)\rangle\) satisfying the area law implies that \(|\psi_0(s)\rangle\) satisfies the area law for any \( s \in [0,1] \).

Here the assumption that \(|\psi_0(0)\rangle\) satisfies the area law may come from the scenario where \( H(0) \) contains only short-range interactions. The proof of this area law is much more challenging than the proof of Theorem 1. To see the challenge, let us write \( H(s) = \sum_{ij} h_{ij}(s) \) and \( \mathcal{D}(s) = \sum_{ij} \mathcal{D}_{ij}(s) \), then

\[
\mathcal{D}_{ij}(s) = -i \int_{-\infty}^{\infty} f(\Delta t) \tilde{h}_{ij}^{(s)}(t) dt,
\]

with \( \tilde{h}_{ij}(s)(t) \equiv e^{iH(s)t} h_{ij} e^{-iH(s)t} \) and \( \tilde{h}_{ii} \equiv h_{ii}(1) - h_{ii}(0) \). Unlike \( h_{ij}(s) \), which acts only on sites \( i \) and \( j \), in general \( \mathcal{D}_{ij}(s) \) acts on the entire lattice. Thus we cannot directly apply Lemma 1 to constrain the growth of \( S_V(|\psi(s)\rangle) \), as we did for Theorem 1. To overcome this challenge, we need to derive some locality structure of the interaction \( \mathcal{D}_{ij}(s) \) despite the fact that it acts on the entire lattice. As mentioned above, our intuition is that \( \mathcal{D}_{ij}(s) \) should be similar to \( h_{ij}(s) \), in that it “mostly” acts on sites close to \( i \) and \( j \) while its interaction strength should still decay as \( 1/r_{ij}^{2\alpha} \). In order to turn this intuition into a precise statement, we need to first use the locality structure of \( A(t) \equiv e^{iHt} A e^{-iHt} \) for \( A \) acting on a set of sites \( X \) and \( H \) defined in Eq. (1).

Formally, we will define \( A(t, R) = \int d\mu(U_R) U_R A(t) U_R^\dagger \), with \( U_R \) being a unitary operator acting on all sites with distance larger than or equal to \( R \) from any site in \( X \) and \( \mu(U_R) \) being the Haar measure for \( U_R \). By this definition, \( A(t, R) \) only acts on sites within a distance \( R \) from \( \partial R \). Let us first obtain some intuition in the \( \alpha \to \infty \) limit, where \( H \) is a nearest-neighbor Hamiltonian. It is reasonable to expect that \( A(t, R) \) is a good approximation of \( A(t) \) if we choose \( R \gg t \), because it takes a time \( t \gg R \) to “spread” the operator \( A \) to sites a distance \( R \) from its boundary. More precisely, one can apply the Lieb-Robinson bound [4, 55] in this case to obtain \( \|A(t) - A(t, R)\| \leq \|A\| \mathcal{O}(e^{\epsilon t - R}) \). In fact, in the limit of \( \alpha \to \infty \), Theorem 2 has already been proven in Ref. [43].

For a finite \( \alpha \) the situation is much less clear. Using the direct generalization [39, 56] of the Lieb-Robinson bound for the \( 1/r^\alpha \) Hamiltonian in Eq. (1) leads to \( \|A(t) - A(t, R)\| \leq \|A\| \mathcal{O}(e^{\epsilon t - R^\alpha - D}) \), which only guarantees that \( A(t) \) will be well approximated by \( A(t, R) \) when \( t \ll \log(R) \), thus requiring exponentially larger \( R \) to maintain the level of approximation in the \( \alpha \to \infty \) case. As shown later, this requirement \( t \ll \log(R) \) prohibits a proof of Theorem 2 using the strategy of Ref. [43]. However, recent improvements to the long-range Lieb-Robinson bound [46] significantly improve the situation. The improved bound enables the following Lemma to be derived (see [57]), which together with additional techniques described below leads to a proof of Theorem 2.

**Lemma 2.** There exists a constant \( v = \mathcal{O}(1) \) such that for \( \alpha > 2D, \gamma = \frac{D+1}{2\alpha - 2D} \), and \( 0 < t < t_R \equiv \left( \frac{R}{6\rho} \right)^{-\frac{1}{2\alpha - 2D}} [58],

\[
\|A(t) - A(t, R)\| \leq \|A\| \mathcal{O}(e^{\epsilon t - R^\alpha} + \mathcal{O}(\frac{\gamma}{R^{\alpha - D}})). \tag{7}
\]

A crucial consequence of Lemma 2 is that we must only choose \( R \) polynomially large in \( t \) in order to ensure that \( A(t) \) is well approximated by \( A(t, R) \). The quantity \( t_R \) characterizes the edge of the “light cone”, meaning that \( \|A(t) - A(t, R)\| \) is only parametrically small in \( R \) for \( t < t_R \). The locality structure of \( \mathcal{D}_{ij}(s) \) can be understood with the help of Lemma 2 and the decomposition,

\[
\mathcal{D}_{ij}(s) = \sum_{R=1}^{\infty} G_{ij}^{(s)}(s, R) \equiv -i \int_{-\infty}^{\infty} f(\Delta t) g_{ij}^{(s)}(t, dt). \tag{8}
\]

Here, \( g_{ij}^{(s)}(t, R) \equiv \tilde{h}_{ij}^{(s)}(t, R) - \tilde{h}_{ij}^{(s)}(t, R-1) \) and \( \tilde{h}_{ij}^{(s)}(t, R) \equiv \int d\mu(U_R) U_R \tilde{h}_{ij}^{(s)}(t) U_R^\dagger \). Eq. (8) follows by bringing the summation inside the integral, and using \( \tilde{h}_{ij}^{(s)}(t, \infty) = \tilde{h}_{ij}^{(s)}(t) \) and \( \tilde{h}_{ij}^{(s)}(t, 0) = 0 \) to collapse the summation to \( \sum_{R=1}^{\infty} g_{ij}^{(s)}(t, R) = h_{ij}^{(s)}(t) \). We emphasize that \( G_{ij}^{(s)}(s, R) \) acts only on sites within a distance \( R \) from \( i \) or \( j \) (Fig. 1), and in this sense is “local”. In order to bound how \( \|G_{ij}^{(s)}(s, R)\| \) decays with \( R \) and \( r_{ij} \), we must first derive a bound for \( \|g_{ij}^{(s)}(t, R)\| \) with the help of Lemma 2. But since Lemma 2 only works for \( t < t_R \), we need to bound \( \|G_{ij}^{(s)}(s, R)\| \) differently for \( t > t_R \).

For \( 0 < t < t_R \), we use Lemma 2 together with a triangle inequality \( \|g_{ij}^{(s)}(t, R)\| \leq \|\tilde{h}_{ij}^{(s)}(t) - \tilde{h}_{ij}^{(s)}(t, R-1)\| \), the inequality \( v^\alpha R^{D-\alpha} < 0 \) when \( \frac{R}{t^{1/(1+\gamma)}} \), and \( \|\tilde{h}_{ij}^{(s)}\| \leq 2r_{ij}^{-\alpha} \), leading to \( \|g_{ij}^{(s)}(t, R)\| \leq \|\mathcal{O}(e^{-\mathcal{O}(R^{1/(1+\gamma)})}) + \mathcal{O}(\frac{\epsilon t + R^{D-\alpha}}{r_{ij}^{-\alpha}}) \| \). For \( t > t_R \), it suffices to bound \( \|g_{ij}^{(s)}(t, R)\| \) directly by \( 2\tilde{h}_{ij}^{(s)}(t, R) \), which follows because \( \|A(t, R)\| = \|A\| \) for any \( A \) and \( R \).

Performing the integration over \( t \) in the definition of \( G_{ij}^{(s)}(s, R) \) [see Eq. (8)], we find [59]

\[
\|G_{ij}^{(s)}(s, R)\| \leq \mathcal{O}(e^{\mathcal{O}(R^{1/(1+\gamma)})}) + \mathcal{O}(R^{D-\alpha}) + \mathcal{O}(F[\mathcal{O}(t_R)]) \frac{r_{ij}^{-\alpha}}{r_{ij}^{-\alpha}}.
\]
where $F(x) = \int_x^\infty f(t) dt$ also decays sub-exponentially. Importantly, because Lemma 2 states that $\|G_{ij}(s, R)\|$ is dominated by $O(R^{D-\alpha})$ for large $R$. Note that the directly generalized Lieb-Robinson bound in Refs. [39, 56] gives $t_R \sim \log(R)$; in this case, the term $O(\mathcal{F}[\mathcal{O}(t_R)])$ above would not decay in $R$ for large $R$.

To summarize what we have obtained so far, we need to sum $\sum_{ij} \sum_{R=1}^\infty G_{ij}(s, R)$ over all $||G_{ij}(s, R)||$ whose support overlap with $V$ and $\partial V$ simultaneously. Our summation strategy is to first sum over all $i$ and $j$ that contribute to $|dS_V(|\psi_0(s)|) / ds|$ for a given $R$, and sum over $R$ next. The first step involves two scenarios: (1) For $i$ with $r_i \leq R$, we need to sum over the entire lattice because $G_{ij}(s, R)$ will always cross the boundary, leading to the summation $\sum_{i, r_i < R} \sum_{j} r_{ij}^{-\alpha} \sim R |\partial V|$ for $\alpha > D$. (2) For $i \in V$ and $r_i > R$, we will sum over sites with $r_{ij} > r_i - R$, corresponding to the summation $\sum_{i, r_i > R} \sum_{j, r_{ij} > r_i - R} r_{ij}^{-\alpha} \sim |\partial V|$ for $\alpha > D + 1$. Therefore, Eq. (9) reveals the locality structure hidden in $\mathcal{D}(s)$ (see Fig. 1 for an illustration): Theorem 2 can now be proved using Lemma 1 by summing over all $||G_{ij}(s, R)||$ whose support overlap with $V$ and $\partial V$ simultaneously.

$$\mathcal{D}(s) = \sum_{ij} \sum_{R=1}^\infty G_{ij}(s, R), \quad ||G_{ij}(s, R)|| \leq \frac{O(R^{D-\alpha})}{r_{ij}^\alpha}. \quad (9)$$

Finally, Theorem 2 tells us the adiabatically connected ground states have similar entanglement properties. But do these ground states actually belong to the same quantum phase? The answer is known to be yes for short-range interacting systems [52], but is not yet clear if interactions are long-ranged. In addition, will the proved stability of the area law imply the stability of topological orders [55]? We believe that our results will help obtain a more general understanding of the emergent notion of locality that underpins a wide range of many-body physics in long-range interacting systems.

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