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A Classical Analog to Entanglement Reversibility

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In this letter we study the problem of secrecy reversibility. This asks when two honest parties can distill secret bits from some tripartite distribution p_{XYZ} and transform secret bits back into p_{XYZ} at equal rates using local operation and public communication (LOPC). This is the classical analog to the well-studied problem of reversibly concentrating and diluting entanglement in a quantum state. We identify the structure of distributions possessing reversible secrecy when one of the honest parties holds a binary distribution, and it is possible that all reversible distributions have this form. These distributions are more general than what is obtained by simply constructing a classical analog to the family of quantum states known to have reversible entanglement. An indispensable tool used in our analysis is a conditional form of the Gács-Körner common information.

Resource theories offer a powerful framework for studying what physical processes are possible under a certain class of constraints. For instance, when studying the manipulation of quantum systems, entanglement is identified as a precious resource that cannot be freely generated under local quantum operations and classical communication (LOCC). Inspired by the conceptual successes of entanglement theory, researchers have recently begun applying a resource-theoretic perspective toward the notion of secrecy in classical information theory [1, 2]. For two-party secrecy, one considers tripartite distributions p_{XYZ} : Alice (X) and Bob (Y) share correlations about which, undesirably, Eve (Z) has side information. The distributions are manipulated using local operations and public communication (LOPC), which is the classical analog of LOCC. Just as the ebit $|\Phi\rangle = \sqrt{1/2}(|00\rangle + |11\rangle)$ represents a fundamental unit of entanglement, a secret bit $\Phi_{XY} \cdot q_Z$ represents a fundamental unit of secrecy. Here, $\Phi_{XY}(i, j) = (1/2)\delta_{ij}$ is a perfectly correlated bit while q_Z is an arbitrary and uncorrelated distribution.

Quantum entanglement and classical secrecy share many striking similarities [1–9]. One important similarity lies in the tasks of resource distillation and resource cost. For a bipartite quantum state ρ_{AB} , its *distillable entanglement* $E_D(\rho_{AB})$ quantifies, roughly speaking, the amount of ebits that can be distilled from ρ_{AB} using LOCC [10] (in the many-copy sense), while its *entanglement cost* $E_C(\rho_{AB})$ quantifies the amount of ebits required to generate ρ_{AB} using LOCC [11]. For a distribution p_{XYZ} , its “secrecy content” can analogously be quantified in terms of its distillable key $K_D(p_{XYZ})$ [12, 13] and its key cost $K_C(p_{XYZ})$ [14]. Here, the distillation goal is to obtain secret bits Φ_{XY} from p_{XYZ} , while the formation goal is simulate p_{XYZ} using Φ_{XY} and public communication. Compared to entanglement theory, much less is known about the relationship between K_D and K_C , except for the expected hierarchy $K_C \geq K_D$ [14].

With the inequality $K_C \geq K_D$, classical secrecy can be given a thermodynamic interpretation similar to entanglement [15, 16]. By the second law of thermodynamics, a heat engine cannot do more work when transferring heat from one temperature bath to a lower one than the work required to perform the reverse refrigeration process. Likewise, $K_C(p_{XYZ}) \geq K_D(p_{XYZ})$ means that an LOPC protocol is not able to distill more secret bits from p_{XYZ} than the secret bits needed to perform the reverse formation process. Any distribution for which this inequality is tight can thus be regarded as the secrecy analog of a reversible heat engine. The *secrecy reversibility problem* asks what distributions satisfy $K_C(p_{XYZ}) = K_D(p_{XYZ})$.

To begin tackling this problem, it is instructive to first consider the quantum scenario. It is well-known that all bipartite quantum pure states demonstrate entanglement reversibility: any pure state can be concentrated into an EPR state $|\Phi\rangle$ and diluted back to the original state at equal rates [17]. Thus, a natural starting place to find reversible secrecy is with a classical analog to quantum pure states. Collins and Popescu have investigated [1] one such analog based on an embedding of $p(x, y, z)$ into a tripartite quantum state given by

$$|\Psi\rangle_{ABE} = \sum_{x,y,z} \sqrt{p(x,y,z)} |xyz\rangle. \quad (1)$$

If Alice and Bob’s reduced state in $|\Psi\rangle$ is pure, then $|\Psi\rangle$ can always be expressed as $|\Psi\rangle = \sum_{j,z} \sqrt{p(j)q(z)} |\alpha_j \beta_j\rangle |z\rangle$, where $|\alpha_j\rangle$ and $|\beta_j\rangle$ are Schmidt basis vectors. With this motivation, Collins and Popescu have proposed distributions of the form $p(x, y, z) = \delta_{xy} p(x) q(z)$ to be the classical analog to quantum pure states (another type of analog has also been proposed in the literature [18]). Actually, we can generalize the Collins-Popescu class of distributions to

include distributions of the form

$$p(x, y, z) = \sum_j p(x|j)p(y|j)p(j)q(z), \quad (2)$$

where $p(x|j)p(x|j') = p(y|j)p(y|j') = 0$ if $j \neq j'$. A quantum embedding of any such distribution *à la* Eq. (1) recovers a pure state for Alice and Bob with Schmidt basis vectors $|\alpha_j\rangle = \sum_x \sqrt{p(x|j)}|x\rangle$ and $|\beta_j\rangle = \sum_y \sqrt{p(y|j)}|y\rangle$. We refer to any distribution having the form of Eq. (2) as *secret block independent* (SBI), and they may also be considered as a type of “classical pure state.” Like the Collins-Popescu distributions, the theory of single-copy state transformations can be constructed for SBI states analogous to pure quantum states [1]. Furthermore, just as pure quantum states possess reversible entanglement, SBI distributions possess reversible secrecy. This fact was observed by Oppenheim *et al.* (see footnote 12 of [7]), and it will be included in our results below. The reversibility of SBI distributions noted in Ref. [7] can thus be interpreted as the classical analog to the entanglement reversibility of bipartite pure states [19].

However, beyond pure states, one of the most prominent open problems on the quantum side is to characterize the class of mixed states possessing reversible entanglement. This letter covers the analogous classical question: which distributions beyond SBI also demonstrate secrecy reversibility. To begin answering this question, we first recall a well-known upper bound on $K_D(p_{XYZ})$ referred to as the *intrinsic information* of p_{XYZ} [20]. This quantity is given by

$$I(X : Y \downarrow Z) := \min I(X : Y | \bar{Z}), \quad (3)$$

where the minimization is taken over all auxiliary variables \bar{Z} such that $XY - Z - \bar{Z}$ forms a Markov chain [21]. Using the definition of key cost, Renner and Wolf were able to prove that $K_C(p_{XYZ}) \geq I(X : Y \downarrow Z)$ [14], and thus

$$K_D(p_{XYZ}) \leq I(X : Y \downarrow Z) \leq K_C(p_{XYZ}). \quad (4)$$

Consequently, we can split the secrecy reversibility problem into two separate questions: (1) when does $K_C(p_{XYZ}) = I(X : Y \downarrow Z)$, and (2) when does $K_D(p_{XYZ}) = I(X : Y \downarrow Z)$? We answer the first question below and reference certain results from Ref. [22] where we have recently studied the second question. However, before doing so, we introduce a variety of distribution classes based on the notion of a conditional common function since these classes will play a central role in our analysis of reversible secrecy.

Common Functions and UBI-PD Distributions. For distribution p_{XY} , a *maximal common function* is a variable J_{XY} such that

$$H(J_{XY}) = \max_K \{H(K) : 0 = H(K|X) = H(K|Y)\}. \quad (5)$$

The value $H(J_{XY})$ has been identified by Gács and Körner as the *common information* between X and Y [23]. It can be shown that for every p_{XY} , the variable J_{XY} is unique up to a relabeling of its range (see Supplemental Material). Note that an SBI distribution can be equivalently characterized by the entropic condition $I(X : Y | J_{XY}) = 0$, and $H(J_{XY}) = I(X : Y)$ for these distributions [23].

For a tripartite distribution p_{XYZ} , we will denote a maximal common function of the conditional distribution $p_{XY|Z=z}$ by $J_{XY|Z=z}$. Then, a *maximal conditional common function* $J_{XY|Z}$ is just a collection of maximal common functions $\{J_{XY|Z=z} : p(z) > 0\}$. Again, the variable $J_{XY|Z}$ is unique up to relabeling. We say that a distribution p_{XYZ} is *block independent* (BI) if $I(X : Y | J_{XY|Z}Z) = 0$; equivalently, if the distribution decomposes as

$$p(x, y, z) = \sum_{z \in \mathcal{Z}} \sum_{J_{XY|Z=z}=j} p(x|z, j)p(y|z, j)p(j, z), \quad (6)$$

where $p(x|z, j)p(x|z, j') = 0$ and $p(y|z, j)p(y|z, j') = 0$ for $j \neq j'$. Obviously SBI distributions are simply BI with an uncorrelated Eve. A distribution is said to be *uniform block independent* (UBI) if it is block independent, and there exist local coarse-graining maps $K_X(X)$ and $K_Y(Y)$ such that $\Pr[J_{XY|Z} = K_X = K_Y] = 1$ for some maximal common function $J_{XY|Z}$. In other words, Alice and Bob can determine the value for $J_{XY|Z}$ simply by consulting their local variable. With many copies of a UBI distribution, secret key can be distilled via privacy amplification at an optimal rate $H(J_{XY|Z}) = I(X : Y | Z)$ [12, 24].

However, in general $J_{XY|Z}$ will be unknown to Alice and Bob unless they engage in public communication. A public communication protocol is a sequence of public messages $M = (M_1, M_2, \dots, M_r)$ such that M_k is a function of both $M_{k-1} \dots M_1$ and X (resp. Y) when k is odd (resp. even). At the end of these exchanges, the new object of interest becomes $J_{XY|ZM}$, which is a maximal conditional common function for the distribution $p_{(XM)(YM)(ZM)}$. It can easily be proven that when p_{XYZ} is BI, so is $p_{(MX)(MY)(ZM)}$, and furthermore

$$I(X : Y | ZM) = I(X : Y | Z) - I(M : J_{XY|Z} | Z) \quad (7)$$

(see Supplemental Material). This equation formalizes the intuitive idea that messages M will decrease Alice and Bob’s average conditional common information unless, from Eve’s perspective, the messages are independent of $J_{XY|Z}$.

With this motivation, we say p_{XYZ} is *uniform block independent under public discussion* (UBI-PD) if it is BI and there is a public communication protocol generating messages M such that $p_{(MX)(MY)(ZM)}$ is UBI and $I(M : J_{XY|Z} | Z) = 0$. Thus, UBI-PD distributions have a distillation rate of $H(J_{XY|ZM} | ZM)$, which

structural condition recently proven for distributions satisfying $K_D(p_{XYZ}) = I(X : Y|Z)$ [22].

Proposition 1 ([22]). *When $|X| = |Y| = 2$ and there exists a pair (x, y) such that $p(x, y|z_1)p(x|z_0)p(y|z_0) > 0$ but $p(x, y|z_0) = 0$ for some $z_0, z_1 \in \mathcal{Z}$, then $K_D(p_{XYZ}) < I(X : Y|Z)$.*

Continuing with the proof of Theorem 1 in the 2×2 case, from the previous discussion it suffices to prove necessity when $K_C(p_{XYZ}) = K_D(p_{XYZ}) > 0$. Then by Lemma 2, for some $\bar{Z}|Z$, $p_{XY\bar{Z}}$ must be block independent and $K_D(p_{XYZ}) = I(X : Y|\bar{Z})$. However, since $K_D(p_{XYZ}) \leq K_D(p_{XY\bar{Z}}) \leq I(X : Y|\bar{Z})$, we see that $K_D(p_{XY\bar{Z}}) = I(X : Y|\bar{Z})$. Then from Proposition 1, the structure of BI distributions, and the fact that $H(J_{XY|\bar{Z}}|\bar{Z} = z) > 0$ for some z , we have that $H(X|Y) = H(Y|X) = 0$; i.e. $p_{XY\bar{Z}}$ is UBI and, up to a relabeling, has the form $p(x, y, z) = \delta_{xy}[xq(z) + (1-x)(1-q(z))]$. Since \bar{Z} is obtained by processing Z , $p_{XY\bar{Z}}$ can have this correlated form only if p_{XYZ} likewise does. Thus, p_{XYZ} is UBI. \square

Reversible Distributions Embedded in Quantum States. We now consider embedding reversible distributions into quantum states as in Eq. (1). In particular, we focus on distributions with $|\mathcal{X}| = |\mathcal{Y}| = 2$ so that the corresponding $\rho_{AB} := \text{Tr}_E |\Psi\rangle\langle\Psi|_{ABE}$ is a two-qubit state. We can make a comparison between the secret key of the underlying distribution and the entanglement of the embedded quantum state using an analytic formula for the entanglement of formation E_F [27]. The following relatively straightforward calculation is carried out in the Supplemental Material.

Theorem 2. *For reversible p_{XYZ} with $|\mathcal{X}| = |\mathcal{Y}| = 2$ and $K_D(p_{XYZ}) > 0$:*

$$K_D(p_{XYZ}) = \sum_{z \in \mathcal{Z}} p(z) \mathbb{E} \left(2\sqrt{p(0|z)p(1|z)} \right) \\ E_F(\rho_{AB}) = \mathbb{E} \left(2 \sum_{z \in \mathcal{Z}} p(z) \sqrt{p(0|z)p(1|z)} \right), \quad (11)$$

where $\mathbb{E}(x) := h(\frac{1}{2}[1 - \sqrt{1-x^2}])$ is strictly convex in x for $h(x) := -x \log x - (1-x) \log(1-x)$. The equality $K_D(p_{XYZ}) = E_F(\rho_{AB})$ holds iff $H(X|Z = z)$ is constant for all $z \in \mathcal{Z}$.

It is natural to wonder whether a quantum state with an embedded reversible distribution will likewise possess reversible entanglement. However, one can already see in two qubits that this will not be true in general. Every two-qubit embedded ρ_{AB} with $K_D(p_{XYZ}) > 0$ will take the form $\rho_{AB} = \sum_z \sum_{j,j'=0}^1 p(z) \sqrt{p(j|z)p(j'|z)} |jj\rangle\langle j'j'|$. This is a so-called maximally-correlated state for which entanglement reversibility is known to be lacking whenever ρ_{AB} is not pure [28, 29]. In fact, $E_F(\rho_{AB})$ is additive for the states of Theorem 2 [30]. Thus,

Corollary 1. *When $|\mathcal{X}| = |\mathcal{Y}| = 2$, any distribution with nonzero reversible secrecy will have nonzero reversible entanglement when embedded in a quantum state iff the embedded state is pure.*

Returning to Reversible Entanglement. We motivated our investigation into reversible secrecy by considering reversible entanglement in quantum pure states and asking for a classical analog. This led to the proposal of SBI distributions as being a type of “classical pure state.” Beyond pure states, the only known quantum mixed states demonstrating entanglement reversibility are the so-called locally-flagged states [28, 29, 31, 32]. By generalizing the type of states presented in [31], we say that σ_{AB} is an *LOCC-flagged* state if there exists an LOCC instrument $(\mathcal{L}_m)_m$ (i.e. a collection of CP maps generated by an LOCC protocol [33]), with m enumerating the different possible public messages of the protocol, such that (i) $\sigma = \sum_m \mathcal{L}_m(\sigma)$ and (ii) $\frac{1}{p(m)} \mathcal{L}_m(\sigma) = |\varphi_m\rangle\langle\varphi_m|$ is pure, where $p(m) = \|\mathcal{L}_m(\sigma)\|_1$. For such states, $E_C(\sigma) = E_D(\sigma) = \sum_m p(m) S(\text{Tr}_A |\varphi_m\rangle\langle\varphi_m|)$.

What is the classical analog of LOCC-flagged mixed states? Care must be taken since in the definition of key cost, Eve must be able to use her part of p_{XYZ} to simulate whatever public communication Alice and Bob use to generate their parts of p_{XYZ} in a formation protocol [14]. Given the identification of an SBI distribution as a classical pure state, we say distribution p_{XYZ} is an *LOPC-flagged* state if there exists an LOPC instrument $(\mathcal{L}_m)_m$ (i.e. a collection of substochastic maps generated by an LOPC protocol), with m enumerating the different public messages of the protocol, such that (i) $p_{XYZ} = \sum_m \mathcal{L}_m(p_{XYZ})$, (ii) $\frac{1}{p(m)} \mathcal{L}_m(p_{XYZ}) = p(x, y|m)p(z|m)$ is SBI, where $p(m) = \|\mathcal{L}_m(p_{XYZ})\|_1$, and (iii) $p(z|m)p(z|m') = 0$ for $m \neq m'$. This is formally analogous to the quantum scenario except for condition (iii), which captures the ability for Eve to reproduce the public communication from her information Z . Any LOPC-flagged classical state takes the form

$$p(x, y, z) = \sum_{M=m} p(x, y|m)p(z|m)p(m) \quad (12)$$

where M is generated by a public communication protocol with $I(X : Y|J_{XY|M}, M) = 0$ and $H(M|Z) = 0$. It immediately follows from definition that these distributions are UBI-PD, but the converse is not true.

Discussion. We have presented a class of distributions UBI-PD \downarrow that are conjectured to fully characterize reversible secrecy. Despite the complexity of these distributions, validity of this conjecture would mean that reversibility of some distribution could be decided by a single-copy analysis. Turning back to the analogous problem of entanglement reversibility in quantum states, one might then likewise hope for a solution on the single-copy level. Only LOCC-flagged mixed states are known

to possess entanglement reversibility, and these can indeed be identified by having a particular single-copy structure. We have proposed a classical analog to LOCC-flagged states that likewise possess reversible secrecy, but these do not constitute the full set of reversible states. Therefore, if only LOCC-flagged quantum states possess entanglement reversibility, then the analogous statement for secrecy in classical states would not be true. On the other hand, if entanglement and secrecy are truly on equal footing in terms of reversibility characters, then our findings might suggest the existence of reversible entanglement beyond LOCC-flagged states.

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