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# Universal Wave Function Overlap and Universal Topological Data from Generic Gapped Ground States

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We propose a way – universal wave function overlap – to extract universal topological data from generic ground states of gapped systems in any dimensions. Those extracted topological data might fully characterize the topological orders with gapped or gapless boundary. For non-chiral topological orders in 2+1D, this universal topological data consist of two matrices,  $S$  and  $T$ , which generate a projective representation of  $SL(2, \mathbb{Z})$  on the degenerate ground state Hilbert space on a torus. For topological orders with gapped boundary in higher dimensions, this data constitutes a projective representation of the mapping class group  $\text{MCG}(M^d)$  of closed spatial manifold  $M^d$ . For a set of simple models and perturbations in two dimensions, we show that these quantities are protected to all orders in perturbation theory. These overlaps provide a much more powerful alternative to the topological entanglement entropy and allow for more efficient numerical implementations.

Since the discovery the fractional quantum Hall effect (FQHE)[1, 2] and theoretical study of chiral spin liquids, [3, 4] it has been known that new kind of orders beyond Landau symmetry breaking orders exist for gapped states of matter, called topological order. [5, 6] Topological order can be thought of as the set of universal properties of a gapped system, such as (a) the topology-dependent *ground state degeneracy* [5, 6] and (b) the *non-Abelian geometric phases*  $S$  and  $T$  of the degenerate ground states [7–9], which are *robust against any local perturbations* that can break any symmetries. [6] This is just like superfluid order which can be thought of as the set of universal properties: zero-viscosity and quantized vorticity, that are robust against any local perturbations that preserve the  $U(1)$  symmetry. It was proposed that the non-abelian geometric phases of the degenerate ground states on the torus classify 2+1D topological orders. [7]

Interestingly, it turns out that non-trivial topological order is related to long-range quantum entanglement of the ground state [10]. These long-range patterns of entanglement are responsible for the interesting physics, such as quasiparticle excitations with exotic statistics, completely robust edge states, as well as the universal ground state degeneracy and non-Abelian geometric phases mentioned above.

Our current understanding is that topological order in 2+1 dimensions is characterized by a unitary modular tensor category (UMTC) which encode particle statistics and gives rise to representations of the Braid group, [11] and the chiral central charge  $c_-$  which encode information about chiral gapless edge states. [12, 13]

While the algebraic theory of 2+1D topological order is largely understood, it is natural to ask whether it is possible to extract topological data from a generic non-fixed point ground state. One such proposal has been through using the non-Abelian geometric phase  $S$  and  $T$ . [7–9, 14–17] Another is using the entanglement entropy [18, 19] which has the generic form in 2+1 dimensions

$S = \alpha L - \gamma + \mathcal{O}(\frac{1}{L})$ , where  $\gamma$  is the topological entanglement entropy (TEE). It turns out that  $\gamma = \log \mathcal{D}$ , where  $\mathcal{D}$  is the total quantum dimension and thus a universal topological property of the gapped phase. A generalization of TEE to higher dimensions was proposed in [20].

Here, we would like to propose a simple way to extract data from non-fixed point ground states, that could potentially fully characterize the underlying TQFT. We conjecture that for a system on a  $d$ -dimensional manifold  $M^d$  of volume  $V$  with the set of degenerate ground states  $\{|\psi_\alpha\rangle\}_{\alpha=1}^N$ , the overlaps of the degenerate ground states have the following form [21, 22]

$$\langle \psi_\alpha | \hat{O}_A | \psi_\beta \rangle = e^{-\alpha V + o(1/V)} M_{\alpha, \beta}^A, \quad (1)$$

where  $\hat{O}_A$ , labeled by index  $A$ , are transformations of the wave functions induced by the automorphism transformations of the space  $M^d \rightarrow M^d$ ,  $\alpha$  is a non-universal constant, and  $M^A$  is an *universal* unitary matrix (upto an overall  $U(1)$  phase).  $M^A$  form a projective representation of the automorphism group of the space  $M^d$  –  $\text{AMG}(M^d)$ , which is robust against any perturbations. We propose that *such projective representations for different space topologies are universal topological data and that they might fully characterize topological orders with finite ground state degeneracy*. The disconnected components of the automorphism group is the mapping class group:  $\text{MCG}(M^d) \equiv \pi_0[\text{AMG}(M^d)]$ . We propose that *projective representations of the mapping class group for different space topologies are universal topological data and that they might fully characterize topological orders with gapped boundary*. (For a more general and a more detailed discussion, see Ref. [22].) For some more intuition behind our conjecture, we refer to the supplemental material.

For a 2D torus  $T^2$  the mapping class group  $\text{MCG}(T^2) = SL(2, \mathbb{Z})$  is generated by a  $90^\circ$  rotation  $\hat{S}$  and a Dehn twist  $\hat{T}$ . The corresponding  $M^A$  are the unitary matrices  $S, T$  which generate a projective representation of



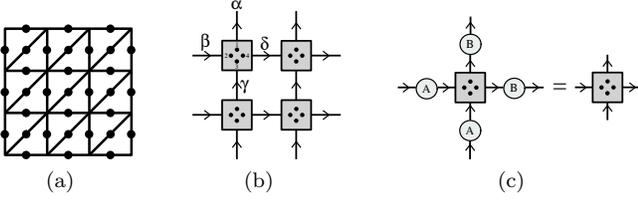


FIG. 1. (a) Lattice under consideration, with the spins living on the links. (b) Tensor network for  $\mathbb{Z}_N$  gauge theory. The lattice is chosen with the orientation shown. The tensors live on the lattice sites and the dots represent the physics indices. (c) Symmetry of the  $\mathbb{Z}_N$  tensor.

We will represent a spin configuration  $|\sigma_{a_1}\sigma_{a_2}\dots\rangle$  using a string picture, where the state on link  $a \in \Omega$  is represented by an oriented string of type  $\sigma_a \in \mathbb{Z}_N$  with a chosen orientation, and  $|0\rangle$  corresponds to no string. There is a natural isomorphism  $\mathcal{H}_a \xrightarrow{\sim} \mathcal{H}_{a^*}$  for link  $a$  and its reversed orientation  $a^*$  by  $|\sigma_a\rangle \mapsto |\sigma_{a^*}\rangle = |-\sigma_a\rangle$ .

The ground state Hilbert space of the  $\mathbb{Z}_N$  topological order consists of an equal superposition of all closed-string configurations that satisfy the  $\mathbb{Z}_N$  fusion rules.

The string-net ground state Hilbert space on  $T^2$  can be algebraically constructed in the following way. Let  $\Lambda_\Delta^*$  denote the set of triangular plaquettes and for each  $p \in \Lambda_\Delta^*$  define the string operator  $B_p^\Delta$  which act on the links bounding  $p$ , with clockwise orientation, by  $|\sigma\rangle \mapsto |\sigma + 1 \bmod N\rangle$ . The set of all contractable closed loop configurations can be thought of as the freely generated group  $G_{\text{free}} = \langle \{B_p^\Delta\}_{p \in \Lambda_\Delta^*} \rangle$ , modulo the relations  $(B_p^\Delta)^N \sim 1$ ,  $\prod_{p \in \Lambda_\Delta^*} B_p^\Delta \sim 1$  and  $B_p^\Delta B_q^\Delta \sim B_q^\Delta B_p^\Delta$ , denoted as  $G_\Delta^{00} = G_{\text{free}} / \sim$ . Similarly we let the subgroup  $G_\square^{00} \subset G_\Delta^{00}$  correspond to closed loop configurations on the square lattice links. For the ground states on the torus, we need to introduce two new operators  $W_x$  and  $W_y$ , corresponding to non-contractable loops along the two cycles of  $T^2$ . These satisfy  $(W_i)^N = 1$ ,  $i = x, y$ . With these, we can construct the group  $G_\Delta^{\alpha\beta}$ , corresponding to closed string configurations with  $(\alpha, \beta)$  windings around the cycle  $(x, y)$ , modulo  $N$ . Similarly, let  $G_\Delta$  be the group of all possible closed string configurations on the torus. These states are orthonormal  $\langle g_{\alpha\beta} | \bar{g}_{\bar{\alpha}\bar{\beta}} \rangle = \delta_{g_{\alpha\beta}, \bar{g}_{\bar{\alpha}\bar{\beta}}}$ .

The  $N^2$ -dimensional ground state Hilbert space is then spanned by the following vectors  $|\alpha, \beta\rangle = |G_\Delta^{\alpha\beta}|^{-1/2} \sum_{g_{\alpha\beta} \in G_\Delta^{\alpha\beta}} |g_{\alpha\beta}\rangle$ , where  $\alpha, \beta = 0, \dots, N-1$ . The construction can trivially be extended to higher-genus surfaces.

This is the string-net basis for the  $\mathbb{Z}_N$  gauge theory. The ground states in the twist basis corresponding to the tensor (4), are just the eigenbasis the operators  $W_x$  and

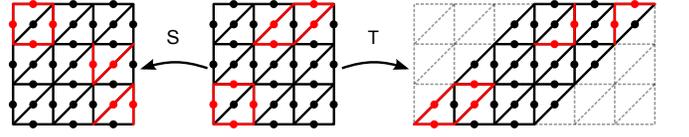


FIG. 2. Definition of  $S$  and  $T$  transformations. The  $S$  transformation corresponds to rotating configurations 90 degrees, while  $T$  corresponds to a shear transformation. Note that this transformation does not leave the space of closed loop configurations invariant.

$W_y$ . These are given by

$$|\psi_{ab}\rangle = \frac{1}{\sqrt{|G_\Delta|}} \sum_{g \in G_\Delta} \gamma^{a\omega_x(g) + b\omega_y(g)} |g\rangle, \quad (5)$$

where  $\gamma = e^{-\frac{2\pi i}{N}}$  and  $\omega_i$  count how many times the string configuration  $g$  wraps around the  $i$ 'th cycle. Note that  $W_x |\psi_{ab}\rangle = e^{\frac{2\pi i}{N} a} |\psi_{ab}\rangle$  and  $W_y |\psi_{ab}\rangle = e^{\frac{2\pi i}{N} b} |\psi_{ab}\rangle$ . For later use, note that  $|G_\Delta^{\alpha\beta}| = N^{|\Lambda_\Delta^*| - 1} = N^{2L^2 - 1}$ ,  $|G_\square^{\alpha\beta}| = N^{|\Lambda_\square^*| - 1} = N^{L^2 - 1}$ ,  $|G_\Delta| = N^2 |G_\Delta^{\alpha\beta}|$  and  $|G_\square| = N^2 |G_\square^{\alpha\beta}|$ .

*Modular  $S$  and  $T$ -matrix from the ground state:* We can now define two non-local operators on our Hilbert space  $\hat{O}_S, \hat{O}_T : \mathcal{H} \rightarrow \mathcal{H}$  as in figure 2, mimicking the generators of the torus mapping class group in the continuum. Here  $\hat{O}_S$  maps any spin configuration, to the 90 degree rotated configuration.  $\hat{O}_T$  corresponds to shear transformation and is defined as in figure 2. It is clear that since we are on the lattice, these operators will not preserve the subspace of closed string configurations.

We can easily calculate the matrix elements of  $\hat{O}_T$  and  $\hat{O}_S$  between ground states. In both cases, only  $|G_\square|$  configurations have a non-zero overlap with the un-deformed ground state. For the  $S$  transformation we find the overlap

$$\langle \psi_{ab} | \hat{O}_S | \psi_{\bar{a}\bar{b}} \rangle = \delta_{a,\bar{b}} \delta_{b,-\bar{a}} \frac{|G_\square|}{|G_\Delta|} = S_{ab,\bar{a}\bar{b}} e^{-\log(N)L^2},$$

where we have defined the modular  $S$  matrix  $S_{ab,\bar{a}\bar{b}} = \delta_{a,\bar{b}} \delta_{b,-\bar{a}}$ . Similarly we have  $\langle \psi_{ab} | \hat{O}_T | \psi_{\bar{a}\bar{b}} \rangle = T_{ab,\bar{a}\bar{b}} e^{-\log(N)L^2}$ , where the modular  $T$  matrix is given by  $T_{ab,\bar{a}\bar{b}} = \delta_{a+b,\bar{a}} \delta_{b,\bar{b}}$ . One can readily check that these satisfy eq. (2) with  $c_- = 0 \bmod 8$  and  $C_{ab,\bar{a}\bar{b}} = \delta_{a,-\bar{a}} \delta_{-b,\bar{b}}$ . Thus this forms a projective representation of the modular group  $SL(2, \mathbb{Z})$ .

In order to use Verlinde's formula and generate the relevant UMTC, we need to put the modular matrices in the quasi-particle basis [36]. This is done as follows, for the  $\mathbb{Z}_N$  theory there are non-contractable magnetic operators on the dual lattice satisfying  $(\Gamma_i)^N = 1$ , and with the commutation relations  $W_x \Gamma_y = e^{-\frac{2\pi i}{N}} \Gamma_y W_x$  and  $W_y \Gamma_x = e^{-\frac{2\pi i}{N}} \Gamma_x W_y$ . The basis we are after corresponds to having a well-defined magnetic and electric



FIG. 3. In the string-net basis, a modular  $S$  transformation flips the topological sectors  $(\alpha, \beta) \rightarrow (\beta, -\alpha \bmod N)$ , while a  $T$  transformation has the effect  $(\alpha, \beta) \rightarrow (\alpha, \alpha + \beta \bmod N)$ .

flux through one direction of the torus. In the eigenbasis of  $W_y$  and  $\Gamma_y$ ,  $|\phi_{mn}\rangle$ , we find

$$S_{mn, \bar{m}\bar{n}} = \frac{1}{N} e^{-\frac{2\pi i}{N}(m\bar{n} + n\bar{m})}, \quad T_{mn, \bar{m}\bar{n}} = \delta_{m, \bar{m}} \delta_{n, \bar{n}} e^{\frac{2\pi i}{N} mn},$$

the well-known modular matrices for the  $\mathbb{Z}_N$  model.

*Perturbed  $\mathbb{Z}_N$  model:* We will now consider a local perturbation to the  $\mathbb{Z}_N$  topological state. One interesting perturbation is to add a magnetic field of the form  $\frac{J}{2} \sum_{a \in \Omega} (Z_a + Z_a^\dagger)$ , where  $Z_a$  is a local operator defined as  $Z_a |\sigma_a\rangle = e^{\frac{2\pi i}{N} \sigma_a} |\sigma_a\rangle$  [37]. This perturbation breaks the exact solvability of the model, but essentially corresponds to introducing string tension to each closed string configuration. This can be implemented by local deformation of the ground states of the form

$$|\psi_{ab}\rangle_{\mathcal{A}} = \frac{1}{\sqrt{|G_{\Delta}|}} \sum_{g \in G_{\Delta}} \mathcal{A}^{-\mathcal{L}(g)/2} \gamma^{a\omega_x(g) + b\omega_y(g)} |g\rangle,$$

where  $\mathcal{A}$  is a variational parameter. Furthermore  $\mathcal{L}(g) = \sum_{a \in \Omega} \frac{1}{2} [1 - \cos(\frac{2\pi}{N} \sigma_a)]$ , which is just the total string length for  $N = 2$ .

Performing a  $S$  transformation, we find the overlap

$$\mathcal{A} \langle \psi_{ab} | \hat{\mathcal{O}}_S | \psi_{\bar{a}\bar{b}} \rangle_{\mathcal{A}} = \frac{1}{|G_{\Delta}|} \sum_{\alpha\beta=0}^{N-1} \gamma^{(\bar{b}-a)\alpha - (b+\bar{a})\beta} \sum_{g \in G_{\square}^{\alpha\beta}} \mathcal{A}^{-\mathcal{L}(g)}$$

If we view strings as domain walls of a  $\mathbb{Z}_N$  clock model on square lattice described by the following Hamiltonian  $H = \sum_{\langle ij \rangle} \frac{1}{2} [1 - \cos(\frac{2\pi}{N} [\sigma_i - \sigma_j])]$ ,  $\sigma_i, \sigma_j = 0, 1, \dots, N-1$ , we find that  $N \sum_{g \in G_{\square}^{00}} \mathcal{A}^{-\mathcal{L}(g)} = \sum_{\{\sigma_i\}} e^{-\beta H}$  can be viewed as the partition function of the  $\mathbb{Z}_N$  clock model, where  $\beta = \log(\mathcal{A})$ . In the supplemental material we show that in the disordered phase of the  $\mathbb{Z}_N$  clock model,

$$Z(\beta) = \sum_{\{\sigma_i\}} e^{-\beta H} = e^{L^2 \log(N) - f(\beta)L^2 + o(L^{-1})} \quad (6)$$

to all orders in perturbation theory in  $\beta$ , where  $f(\beta)$  is a function of  $\beta$  only. Since  $N \sum_{g \in G_{\square}^{\alpha\beta}} \mathcal{A}^{-\mathcal{L}(g)}$  can be viewed as the partition function of the  $\mathbb{Z}_N$  clock model with twisted boundary condition, we find that

$$\left| \log \frac{N \sum_{g \in G_{\square}^{\alpha\beta}} \mathcal{A}^{-\mathcal{L}(g)}}{N \sum_{g \in G_{\square}^{00}} \mathcal{A}^{-\mathcal{L}(g)}} \right| < hLe^{-L/\xi}, \quad (7)$$

where  $h$  and  $\xi$  are  $L$  independent constants. This is because the total free energies of the  $\mathbb{Z}_N$  clock model with twisted and untwisted boundary condition can only differ by  $hLe^{-L/\xi}$  at most. Putting everything together, we find that

$$\mathcal{A} \langle \psi_{ab} | \hat{\mathcal{O}}_S | \psi_{\bar{a}\bar{b}} \rangle_{\mathcal{A}} = S_{ab, \bar{a}\bar{b}} e^{-[\log N + f(\beta)]L^2 + o(L^{-1})} \quad (8)$$

The universal quantity,  $S_{ab, \bar{a}\bar{b}}$  is protected, to all orders in  $\beta$ .

*3D Topological States and  $SL(3, \mathbb{Z})$ :* According to our conjecture (1) there are similar universal quantities in higher dimensions and it would be interesting to consider a simple example in three dimensions. For example, the mapping class group of the 3-torus is  $\text{MCG}(T^3) = SL(3, \mathbb{Z})$ . This group is generated by two elements of the

$$\text{form [38]} \quad \hat{S} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 1 & 0 & 0 \end{pmatrix} \quad \text{and} \quad \hat{T} = \begin{pmatrix} 1 & 0 & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

These matrices act on the unit vectors by  $\hat{S} : (\hat{x}, \hat{y}, \hat{z}) \mapsto (\hat{z}, \hat{x}, \hat{y})$  and similarly  $\hat{T} : (\hat{x}, \hat{y}, \hat{z}) \mapsto (\hat{x} + \hat{y}, \hat{y}, \hat{z})$ . Thus  $\hat{S}$  corresponds to a rotation, while  $\hat{T}$  is shear transformation in the  $xy$ -plane. In the case of 3D  $\mathbb{Z}_N$  model, we can directly compute these generators in a basis with well-defined flux in one direction as [39]

$$\tilde{S}_{abc, \bar{a}\bar{b}\bar{c}} = \frac{1}{N} \delta_{b, \bar{c}} e^{\frac{2\pi i}{N} (\bar{a}c - a\bar{b})}, \quad \tilde{T}_{abc, \bar{a}\bar{b}\bar{c}} = \delta_{a, \bar{a}} \delta_{b, \bar{b}} \delta_{c, \bar{c}} e^{\frac{2\pi i}{N} ab}.$$

These matrices contain information about self and mutual statistics of particle and string excitations above the ground state [39].

In the 2D limit where one direction is taken to be very small, the operator creating a non-contractable loop along this direction is now essentially local. By such a local perturbation, one can break the GSD from  $N^3$  down to  $N^2$ . One can directly show that the generators for an  $SL(2, \mathbb{Z}) \subset SL(3, \mathbb{Z})$  subgroup exactly reduce to the 2D  $S$  and  $T$  matrices. [39]

*Conclusion:* In this paper we have conjectured a universal wave function overlap (1) for gapped systems in  $d$  dimensions, which give rise to projective representations of the mapping class group  $\text{MCG}(M^d)$ , for any manifold  $M^d$ . These quantities contain more information than the topological entanglement entropies [18–20], and might characterize the topological order completely, like in two dimensions [7]. In a following paper [35], we will numerically study the overlaps (1) for simple two-dimensional models and show that the universal quantities are very robust against perturbations and unambiguously characterize phase transitions. In [39] we study the universal quantities (1) for three-dimensional systems.

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