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Yufeng Liang and Li Yang

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Carrier Plasmon Induced Nonlinear Band Gap Renormalization in Two-Dimensional Semiconductors

Yufeng Liang, Li Yang¹

¹*Department of Physics, Washington University in St. Louis, St. Louis, MO 63130, USA*

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In reduced-dimensional semiconductors, doping-induced carrier plasmons can strongly couple with quasiparticle excitations, leading to a significant band gap renormalization. However, the physical origin of this generic effect remains obscure. We develop a new plasmon-pole theory that efficiently and accurately captures this coupling. Using monolayer MoS₂ and MoSe₂ as prototype two-dimensional (2D) semiconductors, we reveal a striking band gap renormalization above 400 meV and an unusual nonlinear evolution of their band gaps with doping. This prediction significantly differs from the linear behavior that is observed in one-dimensional structures. Notably, our predicted band gap renormalization for MoSe₂ is in excellent agreement with recent experimental results. Our developed approach allows for a quantitative understanding of many-body interactions in general doped 2D semiconductors and paves the way for novel band gap engineering techniques.

PACS numbers:

The band gap is a defining property of semiconductors and it is typically not strongly influenced by extrinsic factors, such as doping. However, because of dramatically enhanced many-electron interactions, [1, 2], doping in one-dimensional (1D) structures can induce an unexpectedly large band gap normalization (BGR) of around several hundreds meV [3, 4]. Recently, graphene-inspired two-dimensional (2D) semiconductors and their excited-state properties have garnered enormous interest [5–10]. Since doping is a common occurrence [11–14], understanding the effects of BGR is essential for interpreting experimental measurements, such as angle-resolved photoemission spectroscopy (ARPES) [15–17] and extracting exciton and trion [12, 14] binding energies.

Beyond immediate practical applications, obtaining accurate quasiparticle (QP) energies and the corresponding band gap in doped reduced-dimensional semiconductors stands as a fundamental challenge. A particular difficulty is capturing the screening that are dominated by a unique low-energy acoustic carrier plasmon [18, 19]. Unlike in an undoped semiconductor, here the carrier plasmon strongly couples with QP excitations. This can result in a nonlinear resonance profile in the self-energy [4, 22] that complicates the solutions to the Dyson equation. In other words, this subtle but important carrier plasmon calls for a special dynamical treatment of the dielectric screening that is beyond the scope of the widely used general plasmon pole (GPP) model [23] but is crucial for understanding electronic structure of general 2D semiconductors.

In this work, we focus on two materials of broad interest, monolayer MoS₂ and MoSe₂ and study their BGR over a wide range of doping densities (n_{2D}) using the *GW* approximation. We propose and implement a generic plasmon-pole model (PPM) approach that captures the essential screening effect and markedly improves the efficiency of many-body calculations. Our study reveals

that by virtue of pure many body effects the QP band gap of MoS₂ exhibits a strongly nonlinear evolution when varying the doping density; it drops sharply from 2.66 eV across low doping densities but nearly saturates at 2.18 eV for high densities. This is a consequence of the delicate interplay between carrier occupation and dielectric screening. For doped MoSe₂, our *ab initio* calculation gives a band gap in good agreement with recent measurements.

The QP self-energy can be obtained using the *GW* approximation [25], *i.e.*, $\Sigma = iGW$, where G is the single-particle propagator and W is the screened Coulomb interaction. For doped materials, the self-energy can be decomposed into four terms [4]

$$\begin{aligned} \Sigma &= i(G_{\text{int}}W_{\text{int}} + \delta GW_{\text{int}} + G_{\text{int}}\delta W + \delta G\delta W) \\ &= i(\Sigma_{\text{int}} + \Sigma_1 + \Sigma_2 + \Sigma_3) \end{aligned} \quad (1)$$

where the subscript “int” denotes the operator of the intrinsic (undoped) system and the δ terms capture the full effects of the doping. The primary goal, then, is to find the variation in dielectric screening $\delta\epsilon^{-1}$ and hence $\delta W = \delta\epsilon^{-1}v$.

Dielectric function. For a 2D crystal, the dielectric function ϵ can be calculated using the plane-wave representation [23]: $\epsilon_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega) = \delta_{\mathbf{G}\mathbf{G}'} - v_{2D}(\mathbf{q} + \mathbf{G})\chi_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, \omega)$, where $v_{2D}(\mathbf{q})$ is the 2D-truncated Coulomb interaction [26, 27] and the polarizability χ is obtained using the random phase approximation. For a semiconductor with a sizeable band gap, the dynamical matrix $\epsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega)$ is often described via the generalized plasmon-pole (GPP) model with a simple-pole function that is based on the static limit and f -sum rule [23].

A full-frequency calculation of the dielectric function may give the accurate band gap of doped 2D semiconductors. However, mimicking experimentally-accessible doping densities requires one to use an ultra fine sampling

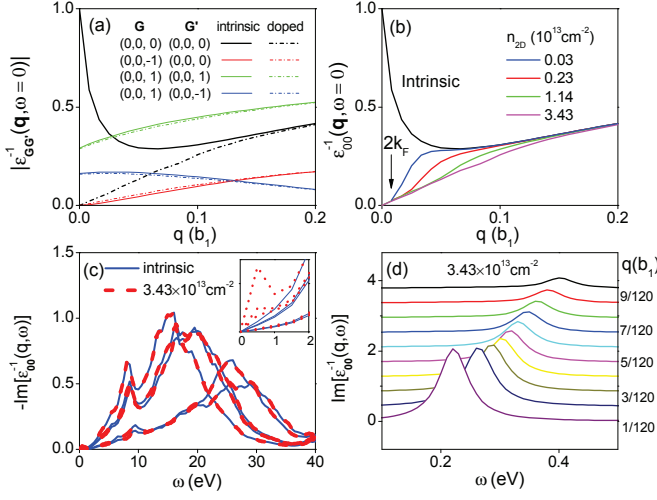


FIG. 1: (color online) (a) Static $\epsilon_{\mathbf{G}\mathbf{G}'}^{-1}$, with different combination of \mathbf{G} -vectors. (b) Static $\epsilon_{\mathbf{00}'}^{-1}$ with at various doping density n_{2D} . b_1 is the reciprocal vector along the $\Gamma-M$ direction of the first Brillouin zone. (c) Loss function $\text{Im}[\epsilon_{\mathbf{00}'}^{-1}(\mathbf{q}, \omega)]$ for wave vectors of several high-symmetry \mathbf{q} (see details in [28]) with a 0.4-eV smearing. (d) Loss function at low energies featured by a shifting carrier-plasmon peak with a 0.03-eV smearing.

scheme over the \mathbf{k} - ω space to capture the carrier screening, making the simulation formidable for converged results. We are thus motivated to develop an efficient and accurate *ab initio* model.

Our model begins with the static $\epsilon_{\mathbf{G}\mathbf{G}'}^{-1}(\mathbf{q}, \omega = 0)$ of doped monolayer MoS_2 . Fig. 1 (a) compares several representative dielectric matrix elements of the undoped system and the doped one at $n_{2D} = 3.4 \times 10^{13} \text{cm}^{-2}$. Given the isotropy about small q [28], the elements are only plotted along a single reciprocal primitive vector \mathbf{b}_1 . Remarkably, all of the matrix elements are remain nearly unaffected (difference < 0.01) even at this high doping level, except the “head” matrix element $\epsilon_{\mathbf{00}'}^{-1}(\mathbf{q}, 0)$. In the undoped case, $\epsilon_{\text{int},\mathbf{00}'}^{-1}(\mathbf{q}, 0)$ approaches 1 [27, 29] as $q \rightarrow 0$, reflecting the absence of screening in a 2D semiconductor at long-wavelengths. However, including doping causes $\epsilon_{\mathbf{00}'}^{-1}(\mathbf{q}, 0)$ immediately drops to 0 [29], due to metallic screening. This result leads us to focus primarily on the variation of the head matrix element $\delta\epsilon_{\mathbf{00}'}^{-1}$.

$\epsilon_{\mathbf{00}'}^{-1}(\mathbf{q}, 0)$ is shown in Fig. 1 (b) at various doping densities n_{2D} . For increasing q , all of the dielectric functions $\epsilon_{\mathbf{00}'}^{-1}(\mathbf{q}, 0)$ at finite n_{2D} first grow up linearly at the same rate for small q , but then individually turn up towards, and merge with, the intrinsic $\epsilon_{\text{int},\mathbf{00}'}^{-1}(\mathbf{q}, 0)$. This behavior is in accord with the 2D free electron gas (FEG) polarizability [30], $\delta\chi_{\mathbf{00}'}(\mathbf{q}) = -\frac{m^*}{2\pi} [1 - \theta(q - 2k_F) \sqrt{1 - 4k_F^2/q^2}]$, for which the static doping effect only dominates at small q and damps away rapidly beyond $2k_F$. The rapid damping profile suggests that the 2D doping effects are predominantly localized at the long-wavelength limit, due

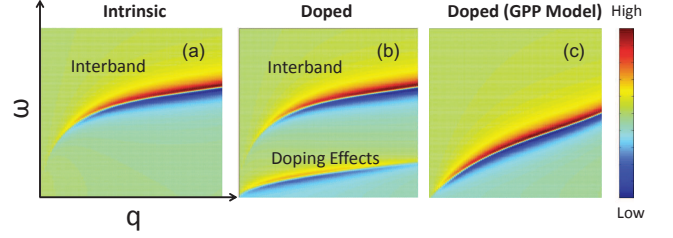


FIG. 2: (color online). Schematics of $\text{Re}[\epsilon_{\mathbf{00}'}^{-1}(\mathbf{q}, \omega)]$ produced by simple-pole functions, as indicated by colormap plot on the $q - \omega$ plane. (a) Intrinsic case, (b) doped case, and (c) GPP model for doped case.

to the low-doping densities that commonly occur and the resulting large screening length.

Next, we turn to dynamical screening effects to treat the carrier plasmon. Fig. 1 (c) presents the frequency-dependence of the loss function $\text{Im}[\epsilon_{\mathbf{00}'}^{-1}(\mathbf{q}, \omega)]$ for various \mathbf{q} located at several high-symmetry points of the first Brillouin zone (BZ). It can be seen that the intrinsic and doped cases are almost identical ($< 0.1\%$) except for some spectral features at low frequencies (inset of Fig. 1(c)). A refined calculation (Fig. 1(d)) provides clear evidence of a dispersive carrier plasmon, as manifested by the peak in the loss function.

The above calculation reveals a key fact about the dielectric function of a doped 2D system: *the doping effects are exclusively concentrated in the head element $\epsilon_{\mathbf{00}'}^{-1}(q, \omega)$ at long wavelengths and low frequencies; this is where the carrier plasmon dominates.* An overall picture is illustrated with schematics in Fig. 2. For an undoped semiconductor, only the optical plasmons arising from interband transitions are present, which can be represented by the single-pole function (Fig. 2(a)). For a doped 2D semiconductor, a branch of low-energy carrier acoustic plasmon emerges while the high-energy optical plasmon remains intact (Fig. 2(b)). The GPP model no longer accurately describes the dynamical effects in the system when this new plasmon emerges; it exaggerates the doping effects in low-frequency region across a broader frequency region due to the sum rule [23] (Fig. 2(c)).

Motivated by the simple plasmon structure in Fig. 1(d), we model the variation of the head matrix element caused by doping as

$$\delta\epsilon_{\mathbf{00}'}^{-1}(\mathbf{q}, \omega) = \frac{\Omega_d^2(\mathbf{q})}{\omega^2 - \omega_d^2(\mathbf{q})} \quad (2)$$

where the parameter $\Omega_d(\mathbf{q})$ and $\omega_d(\mathbf{q})$ are the plasmon-pole strength and frequency, respectively. These are determined by the following two constraints. First, the plasmon energy $\omega_d(\mathbf{q})$ can be extracted from a frequency-dependent calculation. We find that $\omega_d(\mathbf{q})$ converges quickly with cutoff energy $E_{\mathbf{G}}$ and occupied band number

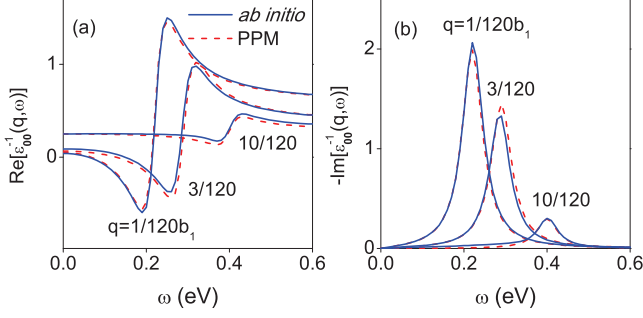


FIG. 3: (color online) Real and imaginary parts of the variation in the head matrix element, $\delta\epsilon_{00}^{-1}$, as obtained from full *ab initio* calculations and our proposed PPM.

N_c . In our case, $E_{\mathbf{G}} = 2$ Ry and $N_c = 4$ are sufficient for producing a converged $\omega_d(\mathbf{q})$ [28]. Second, the plasmon-pole strength is given by $\Omega_d^2(\mathbf{q}) = -\delta\epsilon_{00}^{-1}(\mathbf{q}, \omega = 0)\omega_d^2(\mathbf{q})$, where $\delta\epsilon^{-1}$ can be extracted from static calculations for the intrinsic and doped systems (Fig. 1(a)). Although a dense \mathbf{k} -grid ($120 \times 120 \times 1$) and a typical $E_{\mathbf{G}}$ (10Ry) are needed, only a few conduction bands ($N_c = 13$) are needed for convergence for calculations involving only small q .

As shown in Fig. 3, this PPM satisfactorily reproduces the frequency-dependence of the head ϵ_{00}^{-1} that are obtained from *ab initio* simulations, for various wave vector \mathbf{q} . Our proposed calculation scheme focuses on the head dielectric function at small wave vectors and low frequencies, which circumvents the process of inverting the dielectric matrix [31]. Ultimately, this model is a far more efficient than the full-frequency scheme.

Self-Energy: We will calculate the self-energy of doped 2D semiconductors using the developed PPM. Following the COHSEX approximation [23, 25], the self-energy $\Sigma^{n\mathbf{k}}$ can be split into a screened-exchange (SX) term and a Coulomb-hole (CH) term

$$\Sigma_{\text{SX/CH}}^{n\mathbf{k}}(E) = \sum_{n'\mathbf{q}, \mathbf{G}\mathbf{G}'} \xi_{-\mathbf{G}, -\mathbf{G}'}^{n'n}(\mathbf{k}, -\mathbf{q}) K_{\text{SX/CH}} \quad (3)$$

where the SX and CH kernels are defined as $K_{\text{SX}} = -f_{n'\mathbf{k}-\mathbf{q}} W_{\mathbf{G}\mathbf{G}'}(\mathbf{q}, E - \epsilon_{n'\mathbf{k}-\mathbf{q}})$ and $K_{\text{CH}} = W_{\mathbf{G}\mathbf{G}'}^+(\mathbf{q}, E - \epsilon_{n'\mathbf{k}-\mathbf{q}})$, with $W^\pm(E) = \pm \frac{1}{\pi} \text{P} \int_0^{\pm\infty} dE' \frac{\text{Im}W(E')}{E - E'}$, which only encompasses the positive (negative) poles in W . $\xi_{\mathbf{G}\mathbf{G}'}^{n'n}(\mathbf{k}, \mathbf{q}) = M_{nn'}^*(\mathbf{k}, \mathbf{q}, \mathbf{G}) M_{nn'}(\mathbf{k}, \mathbf{q}, \mathbf{G}')$ represents the band structure effect, where $M_{nn'}(\mathbf{k}, \mathbf{q}, \mathbf{G})$ is the plane-wave matrix element [23, 29]. $\epsilon_{n\mathbf{k}}$ and $f_{n\mathbf{k}}$ are the single-particle energy and occupation number of the state on band n at \mathbf{k} , respectively.

According to Eq. (1), we can obtain the total self-energy term by term. The calculation of Σ_{int} and Σ_1 is straightforward [28, 32, 33]. The next two terms, Σ_2 and Σ_3 , are closely tied to the carrier screening δW , which can

be reproduced using our proposed PPM in Eq. (2). Due to the aforementioned properties of the carrier plasmon, these self-energy contributions can be simplified dramatically by (i) limiting the band summation to $n' = n$, (ii) retaining only the term with $\mathbf{G} = \mathbf{G}' = \mathbf{0}$, and (iii) setting up a cutoff q_c for the BZ integration.

$\Sigma_2 = iG_{\text{int}}\delta W$ does not involve partial band filling, it reads

$$\Sigma_2^{n\mathbf{k}}(E) \simeq \pm \int_{q < q_c} \frac{d^2\mathbf{q}}{(2\pi)^2} \xi_{00}^{nn}(\mathbf{k}, -\mathbf{q}) \delta W_{00}^\pm(\mathbf{q}, E - \epsilon_{n\mathbf{k}-\mathbf{q}}) \quad (4)$$

where $\delta W_{00}^\pm(\mathbf{q}, \omega) = \pm \frac{\Omega_d^2(\mathbf{q})}{2\omega_d(\mathbf{q})(\omega \mp \omega_d(\mathbf{q}))} v_{2D}(\mathbf{q})$ and \pm is for conduction/valence states. For fully filled valence bands, the SX and CH term have been combined via $-W^- = -W + W^+$. $\Sigma_3 = i\delta G\delta W$ is affected by the carrier occupation and is solely related to the SX term

$$\Sigma_3^{n\mathbf{k}}(E) \simeq - \int_{q < q_c} \frac{d^2\mathbf{q}}{(2\pi)^2} \xi_{00}^{nn}(\mathbf{k}, -\mathbf{q}) \times \delta f_{n\mathbf{k}-\mathbf{q}} \delta W_{00}(\mathbf{q}, E - \epsilon_{n\mathbf{k}-\mathbf{q}}) \quad (5)$$

This term is only significant on the doped band.

The energy dependence of the self-energy plays a crucial role in determining the QP energies. For a state $n\mathbf{k}$, both Σ_{int} and Σ_1 vary slowly near the single-particle energy $\epsilon_{n\mathbf{k}}$ because the optical-plasmon feature occurs at high-energies [28]. However, this is not the case for Σ_2 and Σ_3 , on account of the emergence of the low-energy carrier plasmon. Instead, they exhibit strongly nonlinear behaviors near the $\epsilon_{n\mathbf{k}}$. Fig. 4 displays Σ_2 and Σ_3 for the valence band maximum (VBM) and conduction band minimum (CBM) of n-doped monolayer MoS₂ with $\omega = E - \epsilon_{\text{VBM}}$ and $\omega = E - \epsilon_{\text{CBM}}$, respectively, where $\omega = 0$ defines the on-shell energy. In Fig. 4 (a), Σ_2^{VBM} exhibits typical feature of Fano resonance, resulting from the coupling of a quasi-electron with the dispersive carrier plasmon. With increasing carrier density n_{2D} , the resonance peak position systematically shifts left due to a blue shift in the plasmon energy. The case of Σ_2^{CBM} is the reverse (Fig. 4 (b)) because it corresponds to a quasi-hole state. Fig. 4 (c) shows the energy dependence of Σ_3 . For electron doping, while $\Sigma_3^{\text{VBM}} \simeq 0$, Σ_3^{CBM} rises as an asymmetric finite-width plateau. Finally, the total contribution of $\Sigma_2^{\text{CBM}} + \Sigma_3^{\text{CBM}}$ is shown in Fig. 4(d), which features a transition from a hole-like resonance to an electron-like resonance.

Although the G_0W_0 approximation is reliable for many intrinsic semiconductors, it is no longer appropriate for treating a doped 2D system, for which the self-energy becomes strongly nonlinear. This is manifested by Σ_2 and Σ_3 . This nonlinear profile causes the QP solutions to depend sensitively on the entry DFT calculation. One needs to proceed with a self-consistent GW calculation

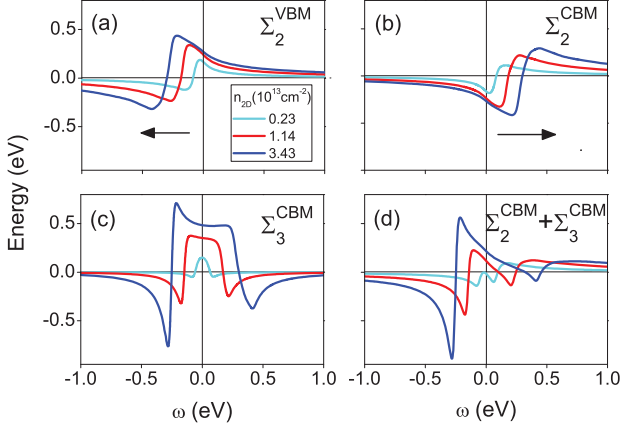


FIG. 4: (color online) Self-energy contributions $\Sigma_2 = iG_{\text{int}}\delta W$ and $\Sigma_3 = i\delta G\delta W$ for both VBM and CBM at different doping levels. $\omega = 0$ is set at the on-shell energy. The arrows in (a) and (b) are used for guiding readers' eyes for the evolution of those resonant peaks under higher doping densities.

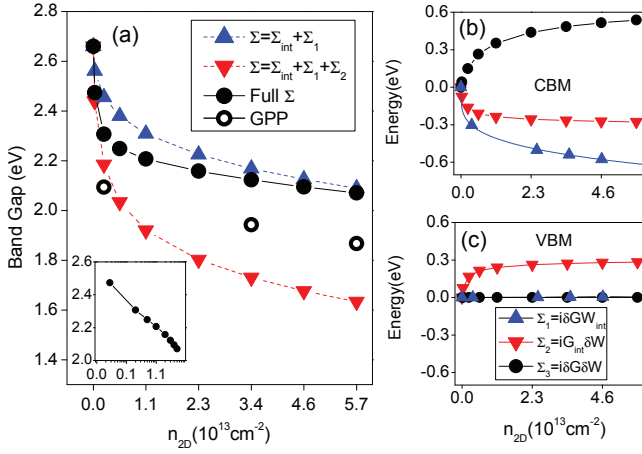


FIG. 5: (color online) (a) QP band gap evolution versus the doping density. The sole effects of Σ_1 and Σ_2 on the band gap are displayed by blue and red curves. The GPP band gap is marked by black open circles. The band gap including SO splitting and lattice dilation is marked by solid circles. The inset is shown in logarithm scale of n_{2D} . (b)(c) Different many-body mechanisms for band gap shrinkage induced by doping. They are quantified by on-shell self-energy corrections in CBM (b) and VBM (c) for various doping densities.

[34, 35]. Fortunately, we find that the carrier-plasmon resonance profile depends weakly on the band curvature [28]. This suggests that the self-consistency can be achieved by rigidly shifting [36] the whole resonance profile along energy-axis such that the on-shell energy of Σ coincides with the QP solution. This procedure is equivalent to performing the GW_0 approximation [21, 37].

Fig. 5 displays the evolution of the BGR and con-

tributing mechanisms versus n-doping density n_{2D} in monolayer MoS_2 . Here, the band gap is defined as the energy difference between the *original* CBM and the VBM. Meanwhile, A spin-orbit (SO) splitting of $\Delta_{\text{SO}} = 140\text{meV}$ and the doping induced dilation of the lattice constant have been included. Remarkably, the QP band gap is strongly renormalized in the light-doping regime; it drops dramatically from 2.66 eV to around 2.18 eV as n_{2D} increases from 0 to $5.7 \times 10^{13}\text{cm}^{-2}$ (Fig. 5(a)). The origin of this enhanced band-gap shrinkage is due to the following mechanisms. First, a major contribution comes from the carrier-occupation energy. At low enough doping density, this contribution is dominated by $\Sigma_1 = i\delta G \cdot W_{\text{int}}$, which is analogous to the *negative* Fock exchange energy[30], except that the bare Coulomb interaction v is replaced by W_{int} . For a n-doped system, Σ_1 roughly scales as $-\epsilon_{\text{int}}^{-1}k_F$, which always lowers the CBM (Fig. 5 (b)). Since the screening effect ϵ_{int} is greatly depressed in low-dimensional semiconductors [1, 2, 38], the band gap reduction from the carrier occupation, Σ_1 , is particularly large. Within the largest n_{2D} in our simulation, it can reach up to $\sim 500\text{meV}$. Similar magnitudes of carrier-occupation energy have also been reported in doped semiconducting carbon nanotubes (CNTs) [3, 4]. Another important band-gap shrinkage mechanism comes from the carrier screening $\Sigma_2 = iG_{\text{int}}\delta W$. It weakens the electron-electron interaction and hence leads to a significant reduction in QP band gap correction up to a few hundred meV (see Fig. 5 (b) and (c)).

As the doping density n_{2D} increases, the QP band gap slowly saturates at 2.18eV. This phenomenon results from several factors. When n_{2D} is high enough, the extra carrier screening can in turn reduce the carrier occupation energy. At high n_{2D} , the double-difference term $\Sigma_3 = i\delta G\delta W$ becomes a prominent contribution (Fig. 5 (b)). For n-doping, it scales as $-\delta\epsilon^{-1}k_F$. Therefore, Σ_3 varies in an opposite trend to Σ_1 due to this minus sign and is responsible for enlarging the band gap. Furthermore, the carrier screening effect Σ_2 itself also exhibits a saturation behavior (Fig. 5 (b) and (c)). With increasing doping density, the carrier plasmon blueshifts significantly and its effect on the QP state becomes weaker, as evidenced from the departing Fano feature that is indicated by arrows in Fig 4 (a) and (b). Finally, dimensionality effects also plays a role in the band gap evolution. Our case differs substantially from that in CNTs, for which the band gap shrinks almost linearly with the doping density [3]. In the 1D case, some major self-energy contributions, like Σ_1 , still scale as $-k_F$, but which is proportional to the doping density. Meanwhile, the conventional GPP method[23] significantly overestimate the BGR, as shown in Fig. 5 (a).

A recent ARPES experiments [17] that directly accesses the band gap of doped monolayer MoSe_2 can serve to justify our *ab initio* approach. At the experimental

doping level ($5.3 \times 10^{13} \text{cm}^{-2}$) [17], our calculated QP band gap reduction is 0.45eV. Additionally, this doping density induces a lattice dilation of 0.6% that causes an extra QP band gap reduction of 0.13eV. Finally, by including a SO splitting of $\Delta_{\text{SO}} = 180 \text{meV}$ [17] and a band gap reduction of 0.13eV from substrate screening [40], we obtain a band gap of 1.59eV, which is in excellent agreement with the measured value of 1.58eV.

In conclusion, we have developed and applied a highly efficient generic computing scheme for calculating the dielectric function of doped 2D semiconductors. We thereby obtained the enhanced and nonlinear reduction of the QP band gap over a wide range of doping densities unique to 2D systems. We also found that the band gap drops to a certain limit at sufficiently high doping densities because of a delicate competition between the exchange and correlation energies. Our *ab initio* prediction is in close agreement with recent measurements. This enhanced BGR is crucial for explaining the excitonic effects and trions observed in experiments.

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