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Gravitational wave consistency relations for multifield inflation

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We study the tensor spectral index n_t and the tensor-to-scalar ratio r in the simplest multifield extension to single-field, slow-roll inflation models. We show that multifield models with potentials $V \sim \sum_i \lambda_i |\phi_i|^p$ have different predictions for n_t/r than single-field models, even when all the couplings are equal $\lambda_i = \lambda_j$, due to the probabilistic nature of the fields' initial values. We analyze well-motivated prior probabilities for the λ_i and initial conditions to make detailed predictions for the marginalized probability distribution of n_t/r . With $\mathcal{O}(100)$ fields and $p > 3/4$, we find that n_t/r differs from the single-field result of $n_t/r = -1/8$ at the 5σ level. This gives a novel and testable prediction for the simplest multifield inflation models.

A cosmological gravitational wave background (CGWB) is a compelling signature of inflation, which is already supported by the highly Gaussian primordial perturbations [1, 2] and their broken scale invariance, now detected at 5σ significance [3, 4]. A large-amplitude CGWB provides fundamentally new tests of single-field slow-roll (SFSR) inflation via the *consistency relation* [5] $n_t/r = -1/8$, which relates the tensor spectral index n_t to the ratio of the tensor and scalar perturbation amplitudes, r .

While there has been dramatic progress towards the direct detection of a CGWB through the B -mode polarization in the cosmic microwave background (CMB) [6], measuring n_t is challenging with current technologies [7–9]. However, for $r \gtrsim 0.1$ this will be feasible with the next generation of space-based [10, 11], ground-based [12–15], and balloon-borne [16, 17] experiments, while future 21 cm projects [18, 19] could also detect lensing by a CGWB and direct detection experiments [20, 21] would test the consistency condition using the lever arm between CMB and solar system scales to far greater accuracy with $r \gtrsim \mathcal{O}(10^{-3})$.

The simplest inflationary scenarios that yield an easily detectable CGWB are *monomial* models with the inflationary potential $V \sim |\phi|^p$, which have $0.05 \lesssim r \lesssim 0.30$ for $2/3 \lesssim p \lesssim 4$. Single field models are *simple* but not necessarily *natural*, as many high energy theories yield large numbers of scalar degrees of freedom [22–25]. For multifield models the consistency relation is reduced to an inequality, $n_t/r \leq -1/8$. While r and n_t are correlated for $N_f = 2$ [26, 27], there is no known relationship between r and n_t when N_f is large.

In this *Letter*, we derive a robust prediction for n_t/r for N_f -*monomial* models, with potential

$$V = \frac{1}{p} \sum_i \lambda_i |\phi_i|^p, \quad (1)$$

where λ_i are real, positive constants and summations run over the number of fields, N_f . Eq. (1) arises naturally

in many high energy theories [28–35] and is a simple, intuitive generalization of the chaotic SFSR models.

We treat the λ_i and the values of ϕ_i at a fixed number of e -folds before the end of inflation as independent random variables. When $N_f \rightarrow \infty$, the central limit theorem ensures that n_t/r is a Gaussian random variable. Critically, $\langle n_t/r \rangle$ does not reduce to the single-field limit if the couplings are identical unless the field values $\phi_{i,*}$ when the pivot scale k_* leaves the horizon are also fixed, except for the special case $p = 2$. The expected value of n_t/r depends only on two moments of the distributions of the λ_i and ϕ_i , and is independent of N_f . The variance in n_t/r is $s_{n_t/r}^2 \sim 1/N_f$ (for $p > 3/4$), giving a sharp, generic prediction for the consistency relation in the many-field limit. This provides a direct test for distinguishing between N_f -monomial models and their single-field analogues.

Model— In some cases the λ_i in Eq. (1) might be derivable from fundamental theory, but in general we are ignorant of their values, so we treat these terms as independent random variables (RVs) with a prior probability $P(\lambda)$. Similarly, we do not know the fields' initial conditions, so we also treat these as identically distributed, but possibly correlated, RVs with a prior probability $P(\phi_0)$. We then marginalize over the $P(\lambda)$ and $P(\phi_0)$ to produce a probability distribution for n_t/r . Since a change of variables $\phi_i \rightarrow \tilde{\phi}_i(\phi_j, \lambda_j)$ will mix the λ_i and ϕ_i , it is clear that there is no *a priori* difference between these two types of parameters, motivating our statistical approach.

The simplest choice for $P(\phi_0)$ is a uniform distribution of $\phi_{i,*}$ defined when the pivot scale k_* leaves the horizon N_* e -folds before the end of inflation. This choice contains the least Shannon information about the fields' initial states and ensures that most of the fields are dynamically relevant. Further, this $P(\phi_0)$ and others were extensively studied in Ref. [36], where it was shown that the initial conditions only weakly affect the predicted density spectra. The likely values of n_s and r for a related class of multifield monodromy models was derived in Ref. [37],

finding $0.955 \lesssim n_s \lesssim 0.975$. Furthermore, $r = 4p/N_*$, and the non-Gaussianity is small.

δN formalism— The potential in Eq. (1) is sum-separable and, assuming slow-roll, N_* is [38, 39]

$$N_* = - \int_*^c \sum_i \frac{V_i}{V'_i} d\phi_i, \quad (2)$$

where $V'_i = \lambda_i |\phi_i|^{p-1}$ and $\phi_{i,*}$ and $\phi_{i,c}$ denote field values at horizon crossing and the end of inflation, respectively. For N_f -monomial inflation

$$N_* = \frac{1}{2p} \sum_i [\phi_{i,*}^2 - \phi_{i,c}^2]. \quad (3)$$

The δN formalism relates the field perturbations at horizon crossing to the gauge-invariant curvature perturbation ζ on constant density hypersurfaces via

$$\zeta \approx \sum_i N_{*,i} \delta\phi_{i,*}, \quad (4)$$

where $N_{*,i} \equiv \partial N_*/\partial\phi_{i,*}$. If the field perturbations are well-approximated by a free field theory with power spectrum $\mathcal{P}_{\delta\phi}^{ij} = (H_*/2\pi)^2 \delta^{ij}$ at horizon crossing, the tensor-to-scalar ratio is

$$r = \frac{8}{\sum_i N_{*,i} N_{*,i}}. \quad (5)$$

To first-order in slow-roll $n_t = -2\epsilon$, where

$$\epsilon = \frac{1}{2} \sum_i \left[\frac{V'_i}{V} \right]^2. \quad (6)$$

For N_f -monomial models, the field values $\phi_{i,c}$ at the end of inflation can typically be neglected. This *horizon crossing approximation* (HCA) (e.g., Refs. [38, 40]) is a simplification of the δN formalism that incorporates the super-horizon evolution of ζ , but ignores quantities contributing to N_* from the end-of-inflation surface. Setting $\phi_{i,c} \rightarrow 0$ in Eq. (3), we find

$$\frac{n_t}{r} = -\frac{1}{4p^2} \epsilon \sum_i \phi_{i,*}^2, \quad (7)$$

where we restrict our attention to cases that are slowly rolling at horizon crossing. Requiring $\epsilon \lesssim 0.1$ then sets the maximum deviation from the single-field result as

$$-\left(\frac{N_*}{2p}\right) \times \mathcal{O}(10^{-1}) \lesssim \frac{n_t}{r} \leq -\frac{1}{8}. \quad (8)$$

The many-field limit— We build the probability distribution for n_t/r by marginalizing Eq. (7) over $P(\phi_0)$ and $P(\lambda)$, and use the central limit theorem (CLT) to take the large N_f limit, $N_f \rightarrow \infty$. By Eq. (3) the HCA implies that $P(\phi_0)$ is a uniform distribution pulled back onto an N_f -sphere in field-space with radius $\sqrt{2pN_*}$.

Since the multivariate normal distribution $\vec{x} \sim \mathcal{N}(0, \mathbb{1})$ is invariant under rotations of $\vec{x}$, we can sample this N_f -sphere uniformly by defining

$$\phi_{i,*} = \sqrt{\frac{2pN_*}{\sum_j x_j^2}} x_i \quad \text{for } \vec{x} \sim \mathcal{N}(0, \mathbb{1}). \quad (9)$$

Using Eq. (9), the summations in Eqs. (6) and (7) are

$$\sum_i \lambda_i^n |\phi_{i,*}|^m = \sum_i \lambda_i^n \left[\frac{2pN_*}{\sum_j x_j^2} \right]^{\frac{m}{2}} |x_i|^m. \quad (10)$$

As $N_f \rightarrow \infty$ the CLT shows that the numerator is normally distributed with mean

$$\mu_{\text{num}} = N_f (2pN_*)^{m/2} \langle \lambda^n \rangle \langle |x|^m \rangle \quad (11)$$

and standard deviation

$$s_{\text{num}} = \sqrt{N_f} (2pN_*)^{m/2} \sigma_{n,m}, \quad (12)$$

where $\langle \cdot \rangle$ indicates expected value and

$$\sigma_{n,m}^2 \equiv \langle \lambda^{2n} \rangle \langle |x|^{2m} \rangle - \langle \lambda^n \rangle^2 \langle |x|^m \rangle^2, \quad (13)$$

which assumes that the λ_i and x_j are independent. Finally, the denominator in Eq. (9) is drawn from the χ -distribution, which is closely approximated by $\mathcal{N}(\sqrt{N_f}, 1/\sqrt{2})$ for $x_i \sim \mathcal{N}(0, 1)$.

The numerator and denominator in Eq. (10) are correlated by the constraint in Eq. (3). For a given variance in $P(\lambda)$, the correlation γ is maximized when $m = 2$ and $|\gamma| \rightarrow 1$ as the variance vanishes. Since each $\sum_i \lambda_i^n |\phi_{i,*}|^m$ is uniquely determined given $\vec{\lambda}$ and $\vec{\phi}_*$, we expect a strong correlation between the numerator and denominator in Eq. (6) for typical choices of $P(\lambda)$. This significantly reduces the variance of n_t/r , and ensures a sharp prediction for its value. We numerically calculate γ after defining the priors on λ .

For any normally distributed variable $y \sim \mathcal{N}(\mu, \sigma)$

$$\langle |y|^m \rangle = \frac{2^{\frac{m}{2}} \sigma^m}{\sqrt{\pi}} \Gamma\left(\frac{1+m}{2}\right) F_{1,1}\left(\frac{-m}{2}; \frac{1}{2}; \frac{-\mu^2}{2\sigma^2}\right), \quad (14)$$

for $m > -1$, and $F_{1,1}$ is the confluent hypergeometric function of the first kind. If $\mu = 0$, as for $x_i \sim \mathcal{N}(0, 1)$, then $F_{1,1} = 1$ and only the Γ function contributes to the moments.

If $m < -1$, $\langle |y|^m \rangle$ may diverge if $P(y = 0)$ does not vanish fast enough. This is indeed the case for $x_i \sim \mathcal{N}(0, 1)$, and we cannot predict the distribution of the sums in Eq. (10) with $m \leq -1$. Sums like Eq. (10) are effectively finite numerical approximations to the integral

$$\frac{1}{N_f} \sum_i \lambda_i^n |x_i|^m \approx \int |x|^m \mathcal{N}(0, 1) dx \int \lambda^n P(\lambda) d\lambda, \quad (15)$$

which diverges for $m < -1$. While ratios of these sums might be well-defined [41], our approach shows that a finite prediction for both the mean and the standard deviation of n_t/r requires $p > 3/4$, while only requiring a finite mean needs $p > 1/2$, using the CLT.

The method— Since n_t/r is given by Eq. (7) and the sums in Eq. (10) are ratios of correlated, normally distributed RVs, the key tool for this analysis is the ratio distribution $f_{\text{ratio}}(\alpha/\beta)$ for normally distributed RVs α and β . If $w \equiv \alpha/\beta$, then as $P(\beta > 0) \rightarrow 1$ the CDF for the ratio distribution $f_{\text{ratio}}(w)$ is approximately [42]

$$F_{\text{ratio}}(w) = \Phi \left[\frac{\mu_\beta w - \mu_\alpha}{\sigma_\alpha \sigma_\beta a(w)} \right], \quad (16)$$

where μ_i and σ_i^2 are the respective means and variances,

$$a(w) \equiv \left[\frac{w^2}{\sigma_\alpha^2} - \frac{2\gamma w}{\sigma_\alpha \sigma_\beta} + \frac{1}{\sigma_\beta^2} \right]^{1/2}, \quad (17)$$

and

$$\Phi(z) \equiv \frac{1}{2} \left[1 + \text{Erf} \left(\frac{z}{\sqrt{2}} \right) \right] \quad (18)$$

for real z . When N_f is large, f_{ratio} approaches a normal distribution with mean μ_α/μ_β and standard deviation

$$s = \frac{\sqrt{\mu_\beta^2 \sigma_\alpha^2 - 2\gamma \mu_\alpha \mu_\beta \sigma_\alpha \sigma_\beta + \mu_\alpha^2 \sigma_\beta^2}}{\mu_\beta^2}. \quad (19)$$

The mean of f_{ratio} is independent of the correlations γ , and the standard deviation for n_t/r is a straightforward — but messy — algebraic function of $\langle \lambda \rangle$, $\langle \lambda^2 \rangle$, and $\langle \lambda^4 \rangle$, as well as $\langle |x|^m \rangle$ for $m = 2, 4, p, 2p, 2p-2$, and $4p-4$.

To obtain the distribution $f_{\text{ratio}}(n_t/r)$ we express the consistency relation in terms of the sums in Eq. (10) as

$$\frac{n_t}{r} = -\frac{pN_*}{4} \left[\frac{\sum_i \lambda_i^2 |\phi_{i,*}|^{2p-2}}{\left(\sum_j \lambda_j |\phi_j|^p \right)^2} \right]. \quad (20)$$

For each sum above, we calculate the covariance in Eq. (10) between the numerator and denominator given $P(\lambda)$, and use Eq. (19) to find the variance of the sum. Although the denominator $(\sum_i \lambda_i |\phi_{i,*}|^p)^2$ is then χ^2 -distributed, this is approximately normal in the many-field limit. We then substitute these two normally-distributed RVs back into Eq. (16). Similarly, we evaluate the correlation between the numerator and denominator in Eq. (20), finally obtaining the probability distribution for n_t/r .

Novel multifield predictions— From the ratio distribution (16), as $N_f \rightarrow \infty$ the value of n_t/r in Eq. (20) is normally distributed with a mean

$$\left\langle \frac{n_t}{r} \right\rangle_{N_f \uparrow} = \left[-\frac{1}{8} \right] \left[\frac{\langle \lambda^2 \rangle}{\langle \lambda \rangle^2} \right] \left[\frac{\sqrt{\pi} \Gamma(p - \frac{1}{2})}{2 \Gamma^2(\frac{p+1}{2})} \right] \quad (21)$$

FIG. 1. The multifield prediction from Eq. (21) compared to the numerical mean $\langle n_t/r \rangle$ of simulations with 5000 samples, at each plotted value of p , with $N_f = 1000$ using the horizon-crossing approximation. The field values $\phi_{i,*}$ as the pivot scale k_* leaves the horizon are drawn from a uniform prior on the surface in Eq. (3) and all the couplings λ_i are identical.

and a standard deviation proportional to

$$s_{n_t/r} \propto \frac{1}{\sqrt{N_f}} \rightarrow 0 \quad \text{as} \quad N_f \rightarrow \infty, \quad (22)$$

which can be found by substituting the means, variances, and correlations of Eq. (10) into Eq. (19).

The first bracketed term in Eq. (21) is the single-field prediction, the second is due to the couplings λ_i , and the third arises from the uniform prior for $\phi_{i,*}$ on the horizon-crossing surface. This last term is due only to the spread in the field values at horizon crossing and is independent of everything except p . The functional form of this term is fixed by the uniform prior distribution on the horizon crossing surface, but other prior probabilities for $\phi_{i,*}$ result in qualitatively similar behavior as demonstrated in Ref. [36]. As Eq. (22) vanishes in the many-field limit, Eq. (21) is the generic multifield prediction, which deviates from the single-field result at $> 5\sigma$ for $N_f \gtrsim \mathcal{O}(10^2)$ for typical $P(\lambda)$.

Consequently, even if $\langle \lambda^2 \rangle = \langle \lambda \rangle^2$, N_f -monomial models do not predict $n_t/r = -1/8$, unless the $\phi_{i,*}$ are also identical. Fig. 1 compares the predicted value for $\langle n_t/r \rangle$ in Eq. (21), with all λ_i equal, to numerical results obtained by directly evaluating n_t/r with Eq. (7), showing excellent agreement for many fields. The divergence at $p = 1/2$ reflects the fact that $\langle |x|^{2p-2} \rangle \rightarrow \infty$. Thus, when $p \leq 1/2$, $\langle n_t/r \rangle$ may be arbitrarily large, which violates the slow-roll assumption. Consequently, these models are most easily distinguished from their single field analogues, but the hardest to make accurate predictions for.

Specific examples— To understand how the mean $\langle n_t/r \rangle$ in Eq. (21) is affected by $P(\lambda)$ we compare two explicit priors that are widely used in Bayesian analyses of inflation [4, 43–46]. We focus on the $p = 2$ case, since the dependence on the prior on $\phi_{i,*}$ in Eq. (21) cancels for this scenario.

FIG. 2. Predicted probability distributions for n_t/r with $p = 2$ compared with histograms built from 10000 numerical samples. The couplings λ_i are drawn from the Uniform Model with (left) $N_f = 20$ and (right) $N_f = 100$. For $N_f \lesssim \mathcal{O}(10^2)$, the distribution is skewed toward positive values as predicted.

We look at two cases: uniform prior probabilities over λ_i or α_i for $\lambda_i \equiv 10^{\alpha_i}$, which we denote the *Uniform Model* and *Log Model*, respectively. The Uniform Model would be applicable when the relevant scale of λ_i is known to within an order of magnitude, while the Log Model effectively scans over a range of physical scales.

For the Uniform Model, the λ_i are drawn from $\mathcal{U}[a, b]$, and Eq. (21) becomes

$$\left(\frac{n_t}{r}\right)_{p=2}^{\text{unif}} = -\frac{1}{6} \left[\frac{b^2 + ab + a^2}{(b+a)^2} \right]. \quad (23)$$

For $\lambda_i \in [10^{-14}, 10^{-13}]$, as $N_f \rightarrow \infty$ the predicted correlation coefficient for $f_{\text{ratio}}(n_t/r)$ is $\gamma \approx -0.98$ and $\langle n_t/r \rangle = -0.153$. We plot f_{ratio} and the results of 10000 numerical realizations using the HCA in Fig. 2. We find excellent agreement with Eq. (23), with f_{ratio} accurately capturing the higher order moments of the n_t/r distribution for $N_f \gtrsim 20$. For $p = \{3/2, 2, 3\}$ the single-field result $n_t/r = -1/8$ is a 5σ deviation from the mean in Eq. (23) for $N_f \gtrsim \{120, 120, 200\}$, respectively.

For the Log Model with $\alpha \sim \mathcal{U}[a, b]$,

$$\left(\frac{n_t}{r}\right)_{p=2}^{\text{log}} = -\frac{\log(10)(b-a)}{16} \left[\frac{10^b + 10^a}{10^b - 10^a} \right]. \quad (24)$$

If $a \rightarrow b$, we recover the single-field result in both Eqs. (23) and (24). However, Eq. (24) diverges as $a \rightarrow -\infty$, reflecting the failure of slow-roll in the limit of widely separated scales. For $\alpha \in [-14, -12]$ the Log Model predicts $\mathcal{P}_\zeta \sim \mathcal{O}(10^{-9})$, $\epsilon \lesssim 0.03$, $\gamma \approx -0.95$ and $n_t/r = -0.294$. For $p = \{3/2, 2, 3\}$ the single-field result is a 5σ deviation from the mean in Eq. (24) for $N_f \gtrsim \{145, 135, 255\}$, respectively.

Relaxing the approximations— Fig. 3 compares the HCA prediction to numerical results that include the contribution from the end-of-inflation surface in Eq. (3), with $\phi_{i,c} \neq 0$. We numerically solve the background Klein-Gordon equations for 1000 realizations, finding the field values at the end of inflation (defined by $\epsilon = 1$) and obtaining the full δN prediction without using the HCA. Fig. 3 also incorporates both the sub-horizon evolution of the modes and any non-slow-roll behavior by solving

FIG. 3. The consistency relation for the Uniform Model with $p = 2$ is plotted for different N_f , marginalizing over initial field values. The boxes/whiskers cover the 50/97% CIs and the gray regions delineate the same ranges as predicted by the HCA and the central limit theorem. The (dashed) brown and (solid) gray lines are the single-field and the many-field HCA predictions, respectively. For each case we present results derived from full numerical solutions to the mode equations (blue/left), the slow-roll prediction using the HCA (yellow/center), and the slow-roll prediction including the end-of-inflation surface (red/right) for $N_f = 20, 60$, and 100 .

the mode equations numerically, as in Refs. [36, 47], using MULTIMODECODE [48]. Results are plotted for the Uniform Model, with the ranges $\lambda_i \in [10^{-14}, 10^{-13}]$ and $p = 2$.

In all cases the numerical results are well-approximated by the HCA. The HCA results are marginally larger than the numerical results, which we attribute to second-order corrections to the slow-roll equations; $n_t = -2\epsilon/(1-\epsilon)$, which suppresses n_t relative to the first-order approximation. The variances in the numerical results scale as $\sigma^2 \propto 1/\sqrt{N_f}$, as predicted by the HCA results, confirming that many-field models make sharp predictions for n_t/r .

Conclusion— We have computed the probability distribution for the consistency relation n_t/r for inflation driven by multiple scalar fields with monomial potential terms, as a function of the distribution of couplings and initial field values. The single-field result is clearly distinguishable from the many-field limit, providing a clean and compelling signature that distinguishes these models from their single-field analogues. Other than for the quadratic case, this result holds even when the couplings are identical.

We focused on computing the slow roll parameter ϵ , but the nature of the slow-roll hierarchy [49] indicates that this approach will generalize to a variety of observables, so quantities such as f_{NL} that rely on the second and higher slow-roll parameters should also have precise predictions that deviate from the single-field expectation even when the couplings are degenerate. This provides a further compelling example of a multifield scenario in

which the observables have a sharp and *generic* prediction in the many-field limit [32, 34–36, 40, 41, 50–57].

The expected value $\langle n_t/r \rangle$ depends on only two moments of the prior probability distributions $P(\lambda)$ and $P(\phi_0)$, and the corresponding variance is $s_{n_t/r}^2 \propto 1/N_f$. The single-field expectation of $n_t/r = -1/8$ differs from the multifield result at the 5σ level when $N_f \gtrsim \mathcal{O}(10^2)$. Consequently, given specific priors for the field values and couplings, we obtain generic and testable predictions for the consistency relations in this large and interesting class of multifield inflation models.

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