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Multi-GeV electron beams from capillary-discharge-guided sub-petawatt laser pulses in the self-trapping regime

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Abstract

Multi-GeV electron beams with energy up to 4.2 GeV, 6 % rms energy spread, 6 pC charge, and 0.3 mrad rms divergence have been produced from a 9 cm-long capillary discharge waveguide with a plasma density of $\approx 7 \times 10^{17}$ cm⁻³, powered by laser pulses with peak power up to 0.3 PW. Preformed plasma waveguides allow the use of lower laser power compared to unguided plasma structures to achieve the same electron beam energy. Detailed comparison between experiment and simulation indicates the sensitivity in this regime of the guiding and acceleration in the plasma structure to input intensity, density, and near-field laser mode profile.

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Laser plasma accelerators (LPAs) can produce acceleration gradients on the order of tens to hundreds of GV/m, making them attractive as compact particle accelerators [1, 2]. Over the past decade, significant progress has been made on LPAs, yielding quasi-monoenergetic electron beams [3–5]. Previous experiments using preformed plasma channels from capillary discharge waveguides have demonstrated acceleration of electron beams up to 1 GeV with 40 TW peak power laser pulses [6]. Subsequent experiments demonstrated > 1 GeV electron beams from non-preformed plasmas using 200 TW laser pulses [7]. Recently, with the availability of petawatt class lasers, electron beams were produced in non-preformed plasmas with energy up to 2 GeV using a 7 cm long gas cell [8], and using a dual gas jet system of 1.4 cm, beams with energy tails up to 3 GeV were observed [9]. GeV energy electron beams can be used for the generation of intense hard x-rays and gamma rays [10, 11]. For these and other applications, continued progress both in the maximum electron beam energy and in reducing the laser energy required to reach a given electron energy are important. In this Letter, experimental results and supporting numerical modeling are presented on the generation of electron beams with energy of 4.2 GeV using 16 J of laser energy, significantly lower than previous experiments [8]. This was achieved by coupling laser pulses with high mode quality to preformed plasma channel waveguides produced by a 9 cm-long capillary discharge.

In these experiments, laser pulses at a wavelength $\lambda = 815 \text{ nm} \pm 20 \text{ nm}$ were generated by the 1 Hz repetition rate Ti:sapphire-based BELLA laser [12] using a setup similar to what was used in reference [6] but with some key differences. The laser energy, $E_{\rm L}$, delivered at the focal location was varied from tens of mJ to $\approx 16 \text{ J}$. Typical pulse durations at optimum compression were $\tau_0 \simeq 40$ fs (FWHM) as measured by a frequency resolved optical gating (FROG) system. The laser beam was imaged using two CCD cameras and an all-reflective achromatic telescope with two uncoated wedges to reduce the intensity (similar to Ref. [6]). The beam was imaged over a range of $\pm 20 \text{ cm}$ about the focus, and also at the plane of the first wedge 10.4 m downstream of focus. The first optical wedge and laser power meter each had a 23 mm-diameter hole for the electron beam to pass through. The laser beam passing through these holes was reflected onto a beam dump using a 25 μ m thick gold coated foil, through which the electron beam passed.

The laser pulses were focused using a 13.5 m focal length off-axis parabolic mirror to a focal spot size of $w_0 \approx 52 \,\mu\text{m}$ [Fig. 1(a)], where w_0 is defined as the radius at which the

intensity drops to $1/e^2$ of the peak value. Measurement at 10.4 m downstream of focus showed the near-field of the laser. The profile was close to a top-hat [Fig. 1(b)], which is consistent with the ring profile observed 9 cm downstream of focus [Fig. 1(c)], and with measurements of the spot FWHM as a function of distance from focus. The hole in the wedge only has a minor impact for laser beams that are large in diameter compared to the hole size, as is the case here. The use of a deformable mirror and wavefront sensor enabled high focal spot quality with Strehl ratio 0.85 ± 0.05 . The associated normalized laser strength $a_0 = 8.5 \times 10^{-10} \lambda \, [\mu m] \sqrt{I_0 [W/cm^2]}$ with $I_0 = 2E_{\rm L}/(\pi w_0^2 \tau_0)$ is $a_0 \simeq 1.66$ for 16 J input energy.

The electron beam profile at 11.1 m from the exit of the plasma structure was measured using a calibrated phosphor screen imaged onto a CCD camera. Charge was measured using two integrating current transformers (ICTs), one located at 1 m from the exit of the plasma and one at 11.3 m. The beam energy was measured using a 2.5 m-long magnetic spectrometer (tunable up to 1.2 T and with a design similar to the spectrometer used in Ref. [6]) by imaging two phosphor screens of length 0.2 m and 2.5 m at the exit plane of the spectrometer onto 12 CCD cameras.

The laser was guided by a preformed plasma channel, which provides several advantages for laser-plasma acceleration [3, 6, 13]. In such a channel, optimum guiding of a low intensity transversely Gaussian laser pulse is obtained when the input laser mode size equals the matched spot size of the channel $w_0 = r_m$. Accessing higher LPA electron energies requires lower plasma density and longer plasmas [1]. Hence in the present experiments, the channel length was increased to 9 cm (from the 3.3 cm reported in Ref. [6]). Similarly, whereas previous experiments [6] used capillary discharge channels [14] with diameters ranging from $190-300 \ \mu m$, here a 500 μm diameter channel was used due to the higher laser energy and spot size. The capillary discharge was operated with hydrogen using a current pulse of the form $I_{\text{max}} \exp(1 - e^{-z} - z)$, where $z = t/t_w$, $I_{\text{max}} = 250$ A and $t_w = 88$ ns. The laser pulses arrived $\approx 30 \,\mathrm{ns}$ after the peak of the current pulse. Channel formation occurred in the first ≈ 100 ns of the current pulse and persisted for about 150 ns [15]. The on-axis densities employed were in the range of $0.2-1.5 \times 10^{18}$ cm⁻³. The matched spot size of the channel was measured at low power levels to be $r_{\rm m} \approx 60 \,\mu{\rm m}$ for the highest density of $1.5 \times 10^{18} {\rm ~cm^{-3}}$ and $r_{\rm m} \approx 80 \,\mu{\rm m}$ for $n_e = 7 \times 10^{17} \,\,{\rm cm}^{-3}$, using laser centroid oscillations [16]. Figure 1(d) shows the mode at the exit of the 9 cm long capillary with plasma density of $7.5 \times 10^{17} \,\mathrm{cm^{-3}}$



FIG. 1. Typical laser spatial profiles in vacuum with laser energy 16 J for (a) focus, (b) z=10.4 m downstream of focus, and (c) z=9 cm downstream of focus. The near-field (b) shows an approximately top-hat profile. In (d) the guided mode is shown for plasma density 7.5×10^{17} cm⁻³. Well-confined high-quality modes were observed for density as low as 2×10^{17} cm⁻³. The color scale is the same for (c) and (d).

and laser energy of 16 J, demonstrating guiding of the laser pulse.

At the high intensities $(a_0 \gtrsim 1)$ required for injection of electrons into the laser-excited plasma wave, the laser guiding properties can be strongly affected by relativistic effects and plasma wave excitation, and the matched guiding condition is laser-intensity dependent [17]. Simulations of laser propagation using the code INF&RNO [18] were conducted to

evaluate effects of both laser spatial mode and plasma (density and channel depth) on laser propagation in this experiment. The average measured laser pulse shape was used as input for the simulations, with an energy of 15 J in ≈ 40 fs. The transverse profiles of the input laser pulses were modeled as both Gaussian, as is typically assumed for such simulations, and tophat near-field profiles, which are more consistent with the data in Fig. 1. A top-hat near-field profile gives rise to a transverse intensity profile given by $I(r) \propto \left[2\frac{J_1(r/R)}{(r/R)}\right]^2$ at focus, where $J_1(x)$ is the 1st-order Bessel function of the first kind, and R is a scale parameter defining the width of the laser spot. The parameter R was determined by measuring the FWHM of the laser intensity distribution at focus and using R = FWHM/3.23. Figure 2 shows the simulated laser pulse evolution as a function of distance in the plasma. Figures 2(a) and (b) show that, although the mode at the output of a 500 μ m-diameter plasma waveguide after 9 cm of propagation in a preformed plasma channel density of $4 \times 10^{17} \text{cm}^{-3}$ is similar for both top-hat and Gaussian beam profiles, the propagation in the channel for each profile is significantly different. The spot size in the middle of the capillary for the top-hat beam is larger for the same density, but increasing the plasma density can be used to control mode propagation. This is illustrated in Fig. 2(c), which shows a top-hat beam for a density of $7 \times 10^{17} \text{ cm}^{-3}$. Such mitigation of diffraction can be important to avoid electron beam loss via phase slippage, resulting in the accelerating electrons entering defocusing wakefields (through the non-linear decrease in plasma wavelength with decreasing intensity). In addition, guiding can minimize damage to the capillary walls caused by poor confinement of the laser beam. The simulations show that good guiding can be achieved in the high-intensity regime for both Gaussian and top-hat near-field profiles through proper choice of plasma density. Without a preformed channel [see Fig. 2(d)], higher density results in initial strong self-focusing of the laser beam, followed by strong diffraction.

Knowledge of the plasma density is essential for understanding guiding and electron acceleration. Since at high laser energies minor misalignment of the laser beam (at the 25 μ m level) or operation at non-optimum density can cause damage to the capillary structure (especially narrowing of the gas feed slots that reduces the internal fill pressure) an in-situ measurement is important. This was achieved by comparing experimental post-interaction laser spectra with simulations. As was shown in previous work [19], a high degree of accuracy can be obtained between experiments and simulations when using the measured laser pulse temporal and spatial profiles. Figure 3 shows the measured and simulated laser spectrum



FIG. 2. Laser pulse radial fluence profile evolution through the waveguide for different plasma density and initial fluence profiles for input laser energy 15 J. In each image the color scale represents the fluence (each normalized to the peak fluence at z = 0) and the dashed line is the diameter at which the fluence drops to $1/e^2$ of the on-axis value. For (a) the initial laser pulse radial profile is Gaussian and the preformed channel is defined by $r_{\rm m} = 81 \,\mu{\rm m}$ and $n_e(0) = 4 \times 10^{17} \,{\rm cm}^{-3}$. In (b), (c), and (d) the near-field of the laser pulse has a top-hat profile. For the same density and channel depth as in (a), (b) shows that the guiding is less efficient for the top-hat case. For (c) the combination of self-guiding and preformed plasma channel ($r_{\rm m} = 81 \,\mu{\rm m}$) mitigates diffraction over the full length of the plasma. Without a preformed plasma channel (d) self-guiding reduces the laser spot size for the first 2 cm but the laser diffracts strongly before the exit of the channel.

versus plasma density for an input laser energy of 7.5 J, corresponding to peak power of ≈ 150 TW. The laser spectra obtained using the code INF&RNO were corrected for the instrument spectral response (diagnostic spectral range 0.5–1.1 μ m). The overall morphology of the



FIG. 3. Measured (left) and simulated (right) optical spectra at the output of the 500 μ m diameter capillary as a function of plasma density for input laser energy ≈ 7.5 J and pulse duration ≈ 40 fs. The spectral response of the detection system was applied to the simulated data.

measured and modeled output laser spectra matches well for a plasma density range from $2 \times 10^{17} \text{cm}^{-3}$ to $9 \times 10^{17} \text{cm}^{-3}$.

For sufficiently high intensities and appropriate choice of density, plasma electrons were self-trapped and accelerated by the plasma wave. For laser energy of 16 J and pulse length of 40 fs (peak power of 300 TW) trapping in the 9 cm-long capillary was observed for density $\geq 3.4 \times 10^{17} \text{ cm}^{-3}$, producing beams of energy $\approx 1 \text{ GeV}$ and measurable charge above the detection threshold (> 0.05 pC). Electron beams above 3 GeV were observed for densities between $0.5 \times 10^{18} \text{ cm}^{-3}$ and $1 \times 10^{18} \text{ cm}^{-3}$, and for the higher end of that density range the beams had charge up to 180 pC with large energy spread. As electron beam generation relied on self-focusing and self-trapping, a high level of stability and reproducibility was not expected in comparison to methods that rely on triggered injection [20].

Because the acceptance of the spectrometer was just $\approx \pm 1$ mrad, below the level of typical LPA beam pointing fluctuations [21], and because isolated low charge was observed at the extreme angles of the spectrometer on some shots, it is likely that generated high energy electron beams were not always detected. Consistent with this, not all shots showed high energy charge. For example, 30% of shots showed charge above 3 GeV for a density range

Density	$E_{\rm L}$	a_0	Energy	Charge (pC)
$(10^{17}{\rm cm}^{-3})$	(J)		(GeV)	
6.5	16	1.66	3.9	15
7.0	16	1.66	4.3	50
7.0	15	1.61	3.7	35

TABLE I. Summary of PIC simulations of laser pulses with top-hat near-field profile producing high energy electron beams.

 $0.6 - 0.8 \times 10^{17} \,\mathrm{cm}^{-3}$. For the beams that were detected, the fluctuation on the high energy peak was at the GeV level. This can be explained in part due to the 0.5 GeV uncertainty in energy due to fluctuations in electron beam pointing.

The contribution of shot-to-shot fluctuations in experimental input parameters was investigated using particle-in-cell (PIC) simulations using the code INF&RNO for the range of the experimental fluctuations in plasma density and laser intensity. Fluctuations in the plasma density were estimated using measurements of group velocity delay [22] in a similar capillary that showed fluctuations of $\pm 0.2 \times 10^{17} \text{ cm}^{-3}$. The laser at the entrance of the plasma channel had $a_0 = 1.66 \pm 0.08$, with variation dominated by temporal fluctuations. Table I summarizes the PIC simulations evaluating these experimental ranges. A significant portion of the variation in beam energy can be attributed to the variation in laser intensity and plasma density.

The sensitivity to input parameter fluctuations can be understood by examining the laser propagation and resulting acceleration physics observed in the simulations (Figure 4). At the entrance of the plasma channel the laser-plasma interaction was in a quasi-linear regime $a_0(z = 0) \simeq 1.66$. Self-focusing of the laser results in an increasing laser intensity, and the interaction enters the nonlinear bubble regime. After a propagation distance of $z \simeq 1$ cm the normalized vector potential (red curve in Fig. 4) reaches $a_0 \simeq 4.1$ and particle injection is observed in several wave periods behind the laser due to the large amplitude wake and sufficiently low wake phase velocity [23]. Subsequently, the laser intensity decreases to a local minimum $a_0 \simeq 2.5$ for $z \simeq 2.2$ cm. Because of the intensity-dependence of the nonlinear plasma wavelength [1] the period of the wake decreases as shown in Fig. 4(ii). However, for this density, the plasma wavelength change is not enough to dephase the electrons, which



FIG. 4. Evolution (a) of the peak normalized laser field strength, $a_0(z)$ (red plot), in a PIC simulation for a top-hat laser pulse with an energy of 16 J focused at the entrance of a 9 cm long plasma channel. The on-axis density (black dashed line) has a plateau density of $n_0 = 7 \times 10^{17}$ cm⁻³, and the matched radius is $r_m = 81 \ \mu$ m. The wakefield (electron density) at various longitudinal locations is shown in (i)-(iv).

continue to accelerate. For $z \gtrsim 2.5$ cm [Fig. 4(iii)] bunches are accelerated in the wakefield generated by the laser. The increase of peak normalized laser field strength observed for 2.5 < z < 6 cm is due to laser self-steepening. For $z \gtrsim 6$ cm the pulse length begins to increase due to laser red shifting, and the pulse starts losing resonance with the plasma. In the exit density ramp [Fig. 4(iv)] the self-injected bunches behind the first plasma period are lost due to the defocusing wakefield generated by the bunch in the first plasma period and the residual laser wakefield, yielding a single electron beam emerging from the plasma.

The value of the minimum of a_0 in region (ii) of Fig. 4, and therefore the electron bunch phasing, depends sensitively on the details of the laser-plasma parameters. For instance, in a simulation with a lower on-axis density, namely $n_0 = 6.2 \times 10^{17}$ cm⁻³, where the normalized laser field strength reaches the minimum value $a_0 \simeq 2$, the reduction of the plasma wavelength moves the self-injected bunches out of the focusing and accelerating phase of the wake, leading to complete electron beam loss. This indicates that due to different laser propagation, modest changes to the laser intensity or plasma density can cause large modifications of the final electron beam properties.

One of the lowest energy spread high energy beams (shown in Fig. 5) was obtained for a plasma density of 7×10^{17} cm⁻³ and 16 J laser energy. The electron beam energy was $4.2^{+0.6}_{-0.4}$ GeV with 6% spread (rms), measured charge of 6 ± 1 pC, and divergence of 0.3 mrad (rms). The uncertainty in the electron beam energy was due to the angular acceptance of the spectrometer.

In conclusion, the experiments demonstrate that laser pulses with peak power at the few hundred terawatt level propagating in preformed channels can generate multi-GeV electron beams. Preformed plasma channels used with high Strehl ratio laser pulses allowed high energy (4.2 GeV) beams to be produced with laser energy (16 J) significantly less than that used to produce 2 GeV beams [8]. Through experiments and simulations, it is found that the non-Gaussian nature of the near-field laser beam profile requires operation at higher density than for a Gaussian beam to ensure good guiding. This has important consequences for the maximum energy that can be reached and will require the implementation of techniques such as laser heating [24] to deepen the radial plasma profile and thereby enhance confinement and lower the on-axis density. Provided that the slice energy spread and emittance are sufficiently low, electron beams with the achieved energy could power x-ray free-electron lasers [25]. Future experiments will employ techniques to both provide better guiding of



FIG. 5. Energy spectrum of a 4.2 GeV electron beam measured using the broadband magnetic spectrometer. The plasma conditions closely match those in Fig. 2(c). The white lines show the angular acceptance of the spectrometer. The two black vertical stripes are areas not covered by the phosphor screen.

the laser pulse and triggered injection [20] for acceleration at lower densities (with longer dephasing and pump depletion lengths), as well as improved reproducibility. Simulations indicate that this will allow for the generation of electron beam energies at the 10 GeV level using 40 J, 100 fs laser pulses [26].

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