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Removing gaps in the exclusion of top squark parameter space

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Light stops are a hallmark of the most natural realizations of weak-scale supersymmetry. While stops have been extensively searched for, there remain open gaps around and below the top mass, due to similarities of stop and top signals with current statistics. We propose a new fast-track avenue to improve light stop searches for R-parity conserving supersymmetry, by comparing top cross section measurements to the theoretical prediction. Stop masses below $\sim 180\,\mathrm{GeV}$ can now be ruled out for a light neutralino. The possibility of a stop signal contaminating the top mass measurement is also briefly addressed.

Introduction: One of the open questions in particle physics is why the weak and gravitational forces have such different strengths. If this hierarchy problem has a solution dictated by microscopic dynamics, one expects new particles not far from the weak scale, $\mathcal{O}(100\,\text{GeV})$, in the form of partners of the Standard Model (SM) particles, responsible for insulating the Higgs mass from large ultraviolet quantum corrections. Weak-scale supersymmetry (SUSY) is a leading candidate for such a microscopic solution of the hierarchy problem and the mechanism is most natural if the partners of the SM particles having the largest coupling to the Higgs field are light [1, 2], the top squark being the most prominent one. This region of the SUSY parameter space has been called Natural SUSY in recent years [3]. Many theoretical studies [4–14, 16–23] and experimental searches [24–41] aimed at probing Natural SUSY models have therefore focused on searches for the top (and bottom) squarks \tilde{t} (b).

In R-parity conserving scenarios, current LHC limits reach up to about 700 GeV, depending on the value of the lightest SUSY particle (LSP) mass, usually taken to be a neutralino (χ_1^0) or a gravitino (\tilde{G}) . However, unconstrained regions for lighter values of stop masses still remain, the most important being the one where $m_{\tilde{t}} \sim m_t \gg m_{\chi_1^0,\tilde{G}}$ and \tilde{t} decays into (off-shell) top and the LSP, i.e. where \tilde{t} decays are kinematically very similar to top decays. Given that the production cross section for top squarks is much smaller than the one for top quarks ($\sigma_{\tilde{t}} \sim 0.15 \, \sigma_{t\bar{t}}$ for $m_{\tilde{t}} \sim m_t$ at the LHC), constraining these stealth stop models [42–44] is particularly challenging. All of the strategies studied in the literature focused on exploiting the subtle kinematical differences between the top and stop production and/or decays [9, 11, 20]. Furthermore, the best known discriminating kinematical variables, such as the lepton rapidity distribution or the dilepton angular correlations, are either plagued by large theoretical and pdf uncertainties or require very large statistics, only accessible in future LHC runs [45]. To date, the strongest constraints come from dedicated searches using multivariate analyses and provide only a partial exclusion of the stealth stop window [26, 30]. Open gaps remain. For instance, for massless neutralino, $80\,\text{GeV} \lesssim m_{\tilde{t}} \lesssim 100\,\text{GeV}$ or $m_{\tilde{t}}$ around m_t are still allowed. While model-dependent limits in these gaps arise from indirect Higgs couplings constraints (see e.g. [46–50]) and from $\tilde{t} \to c\,\chi_0^1$ searches [34, 39], we stress that no robust exclusion is currently available.

In this letter we propose a different, complementary approach for constraining light top squarks. Instead of focusing on discriminating differences between SUSY signal and SM background, our method is based on exploiting the kinematical *similarities* between top and stops in this region. Namely, if stop production and decays are kinematically very similar to the SM top ones, then SUSY contributions may bias SM measurements. Earlier works have looked at the impact of stops on top observables, but before the necessary SM precision was available [52]. Similar methods have been proposed for constraining new physics with W^+W^- measurements [53–59]. Therefore, we propose to use top SM measurements and SM theoretical predictions to set limits on the stop contamination in $t\bar{t}$ event samples. We will illustrate our method by focusing on one of the most inclusive top properties, the top production cross section, $\sigma_{t\bar{t}}$. The inclusiveness has the advantage of reducing theoretical uncertainties. Furthermore the theoretical prediction for $\sigma_{t\bar{t}}$ in the SM [60, 61] has been recently improved to NNLO+NNLL by a multiyear effort of two of the authors [62–66], providing [65, 67] $\sigma_{t\bar{t}}^{LHC7} = 172^{+4.4}_{-5.8}(\text{scale})^{+4.7}_{-4.8}(\text{pdf}) \, \text{pb for } m_t = 173.3 \, \text{GeV}.$ Interestingly, the theoretical uncertainties are now comparable to the experimental ones, providing a unique opportunity for performing this analysis: further experi-

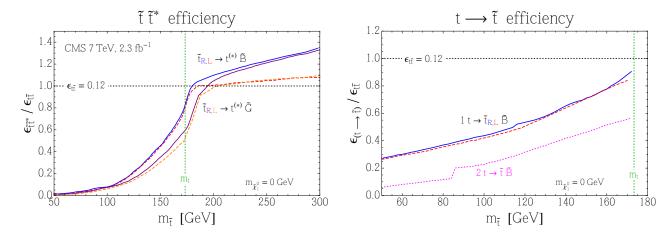


FIG. 1: Efficiencies and acceptances for stop pair production (left) and top pair production with one or two tops decaying to stop and neutralino (right) in the CMS top cross section measurement considered [51]. The efficiencies are normalized to the SM top efficiency of 0.12 which includes decays to hadronic and leptonic taus. Solid lines refer to a right-polarized stop (blue for the case of bino LSP, purple for the gravitino LSP), while dashed lines to a left-polarized stop (red for bino LSP and orange for gravitino LSP). We use Pythia for $2(t \to \tilde{t})$ (dotted magenta), neglecting polarization and off-shell effects.

mental improvements alone will only marginally change the constraining power of this method.

Procedure: In practice, in the presence of a SUSY contamination, the measured cross section is

$$\sigma_{t\bar{t}}^{exp} = \sigma_{t\bar{t}}(m_t) \left(1 + \frac{\epsilon_{\tilde{t}\tilde{t}^*}(m_t, m_{\tilde{t}}, m_{\chi_1^0})}{\epsilon_{t\bar{t}}(m_t)} \frac{\sigma_{\tilde{t}\tilde{t}^*}(m_{\tilde{t}})}{\sigma_{t\bar{t}}(m_t)} \right) \quad (1)$$

where with ϵ we collectively denote the efficiency and acceptances for an event to be selected by the experimental analysis. We keep the explicit mass dependence of the various quantities, and for simplicity we include only the top squark pair production contribution. This formula gets further modified if the top is kinematically allowed to decay to a stop, as described below. Note that throughout this paper, we assume the stop always decays to a lighter neutralino, leaving the possibility of decays to charginos for future work.

For $m_{\tilde{t}} \sim m_t$, $\sigma_{\tilde{t}\tilde{t}^*} \sim 26\,\mathrm{pb}$ at $\sqrt{s}=7\,\mathrm{TeV}$. Taking the efficiencies $\epsilon_{t\bar{t},\tilde{t}\tilde{t}^*}$ to be the same, and adding the theoretical and experimental uncertainties in quadrature, one naively expects to set upper bounds at 95% C.L. on $\sigma_{\tilde{t}\tilde{t}^*}$ of 45 pb and 25 pb by using the SM NLO+NLL and NNLO+NNLL predictions for $\sigma_{t\bar{t}}$ respectively. This clearly indicates that it was not possible [5] to use our proposed method before the NNLO results were available. A similar result persists in a more careful analysis [68] as illustrated below. Note that we use MSTW2008 [91] to determine the PDF uncertainty on the top cross section, $\delta\sigma_{t\bar{t}} \sim 4.8\,\mathrm{pb}$; the uncertainty using other PDF sets is comparable [92].

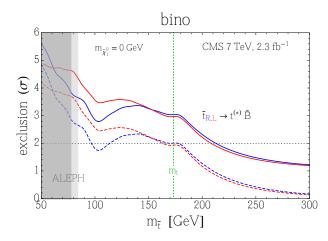
We now describe our method in detail. For concreteness we focus on the CMS $7 \,\mathrm{TeV} \, 2.3 \,\mathrm{fb}^{-1}$ cross section measurement [51], based on dileptonic $t\bar{t}$ final states and using a cut and count approach, providing a measure-

ment uncertainty $\delta \sigma_{t\bar{t}}/\sigma_{t\bar{t}} \sim 4.5\%$, comparable to the most precise LHC measurements. It is useful for illustrating our method, since, contrary to those analyses based on multivariate (MVA) techniques, it allows us to reproduce fairly well its results without a detailed knowledge of the unpublished inner workings of the analysis. Moreover, cut and count analyses tend to be more inclusive than MVA ones and therefore they may accept a larger fraction of the contaminating SUSY signal. We stress that ultimately the study proposed here should be performed directly by the experimental collaborations.

In the following we first discuss the case where the SM top mass is known and use $m_t = 173.3$ GeV. This assumes that a possible stop contamination in the $t\bar{t}$ sample does not bias current top mass measurements. We leave the investigation of this question to future work [70], while we limit ourselves to showing its implications by relaxing this assumption later in this letter.

The quantity in (1) that needs to be estimated is $\epsilon_{\bar{t}\bar{t}^*}/\epsilon_{t\bar{t}}$. For this purpose we generated events with MadGraph 5 [71], showered and hadronized with Pythia 6.4 [72], and performed jet clustering using Fast-Jet 3.0 [73, 74]. Both off-shell and on-shell decays of the top and stop have been properly included. In particular we find that off-shell effects are important also for the region $m_{\bar{t}} > m_t$. We have implemented the CMS analysis in the ATOM package [75] and validated it with the information provided in the experimental paper. We find very good agreement comparing the $\bar{t}t$ acceptance × efficiency, see Table I. Additional cross checks have been performed with PGS4 [76].

To further reduce the recasting uncertainties, we will always use the ratio $\epsilon_{\tilde{t}\tilde{t}^*}/\epsilon_{t\bar{t}}$ with both ϵ 's estimated with the same tools. We use the NLO+NLL expression for the stop cross section [77–79] and neglect SUSY effects



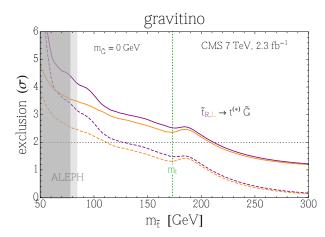


FIG. 2: Exclusion limits for stop decaying into a massless LSP, for bino (left) and gravitino (right). Left and right stop polarization are shown with (red, blue) and (orange, purple) lines respectively. Solid lines correspond to the observed limits while dashed lines correspond to the expected limits. LEP exclusions from ALEPH [69] are shown as shaded gray (the case for minimal and maximal stop coupling to the Z boson are shown).

	e^+e^-	$\mu^+\mu^-$	$e^{\pm}\mu^{\mp}$
ATOM	0.262 ± 0.007	0.289 ± 0.008	0.937 ± 0.013
Atom \times tr. \times eff.	0.202 ± 0.006	0.274 ± 0.007	0.832 ± 0.012
CMS	0.20 ± 0.01	0.27 ± 0.01	0.83 ± 0.04

TABLE I: Comparison of the $\bar{t}t$ acceptance \times efficiency \times branching ratio (%) between CMS and ATOM after event selection and application of one b-tag. The first line is the pure ATOM result assuming 100% efficient electron/muon reconstruction and triggering. The second line is the ATOM result multiplied by the average of the ranges for these efficiencies as quoted in the CMS paper [51], and the third line is the CMS result from the same paper. We show the statistical MC error of the ATOM result and the error quoted by CMS, respectively.

in the top production cross section [80–82] since they are negligible for the spectrum considered here. Our findings are shown in Fig. 1a for a massless lightest SUSY particle (LSP). The efficiency for stop pair production relative to top quickly drops for $m_{\tilde{t}} < m_t$, but it is still sizable for $m_{\tilde{t}} \sim 100 \, \text{GeV}$, while it increases for $m_{\tilde{t}} > m_t$. We consider both the case of stop decaying into bino and gravitino LSP [83], and the case of different polarization in stop decays, by presenting pure \tilde{t}_L and \tilde{t}_R cases. We focus on bino and gravitino LSP because of the LEP2 limit of ~ 100 GeV on a higgsino LSP [84]. Differences between bino and gravitino LSP are most significant in the region where $m_{\tilde{t}} \sim m_t$ while stop polarization greatly affects the efficiency in $m_{\tilde{t}} > m_t$ region. For the bino LSP case, when $m_{\tilde{t}} + m_{\chi_1^0} < m_t$, the decays $t \to \tilde{t}\chi_1^0$ are open. In this case eq. (1) gets modified as

$$\sigma_{t\bar{t}}^{exp} = \sigma_{t\bar{t}}(m_t) \left((1 - B)^2 + 2B(1 - B) \frac{\epsilon_{t\bar{t}, 1(t \to \tilde{t})}}{\epsilon_{t\bar{t}}} + B^2 \frac{\epsilon_{t\bar{t}, 2(t \to \tilde{t})}}{\epsilon_{t\bar{t}}} + \frac{\epsilon_{\tilde{t}\tilde{t}^*}}{\epsilon_{t\bar{t}}} \frac{\sigma_{\tilde{t}\tilde{t}^*}}{\sigma_{t\bar{t}}} \right)$$
(2)

where B is the branching ratio of $t \to \tilde{t}\chi_1^0$ which can be as large as $\mathcal{O}(10\%)$ [85]. For simplicity we have not made explicit the mass dependence of the various quantities. We show the behavior of $\epsilon_{t\bar{t},\,1(t\to \bar{t})}$ and $\epsilon_{t\bar{t},\,2(t\to \bar{t})}$ in Fig. 1b. We find that events with a single top SUSY decay provide a sizable contribution to the SUSY signal while double top SUSY decays are usually negligible. The kink in the purple line on the right (the $2t \to 2\tilde{t}\,2\chi_1^0$ efficiency) is due to Pythia being used as a generator (in this specific case, for computational limitations) which does not model the transition to off-shell decays correctly.

Results: We first present the limits for negligible LSP masses. Both the cases of bino and gravitino LSP are illustrated in Fig. 2. For simplicity, to set limits on the size of the SUSY signal, we have used a χ^2 approximation, including signal and background errors, and combining errors in quadrature whenever necessary. The measured top production cross section by the analysis we considered lies below the current SM prediction, thus strengthening the stop limits. To provide a better sense on the power of this method with the current experimental and theoretical uncertainties, we also present (as

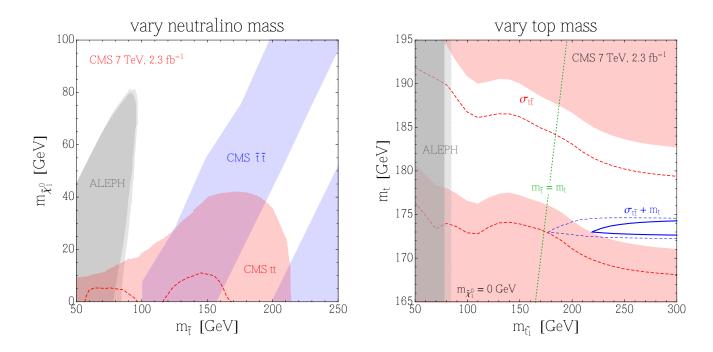


FIG. 3: Left: two dimensional 95% C.L. exclusion limits in the neutralino-stop mass plane. Our derived limits are shown in red (with expected limits shown as a dashed line), LEP limits [69] in gray while the CMS direct stop search in the light stop region [30] is shown in blue. Right: excluded regions for massless neutralino in the stop-top mass plane. Excluded region from our analysis derived using the top cross section alone (i.e. without assuming prior knowledge of the top mass) are shaded in red, while the LEP limits are shown in gray. The effect of combining the $\sigma_{t\bar{t}}$ measurement with current m_t measurements (assuming no stop contamination) is shown as a blue line. Expected limits are shown as dashed lines. For both plots we assume right-handed stop, \tilde{t}_R .

dashed lines) the expected limits. The observed limits are stronger than expected because the cross section observed by CMS is smaller than the theory prediction. The CLs limiting setting procedure [93], which is designed to reduce the impact on the limit when the number of observed events is smaller than predicted, will have a smaller difference between observed and expected limits.

We find that our approach, using LHC top cross section measurements, is able to extend ALEPH limits [69] beyond the LEP kinematical range into a region currently unconstrained by LHC direct searches. Stop mass limits based on the top cross section may reach and extend beyond the top mass, with the bino LSP case being more strongly constrained at higher stop masses and being less constrained, for \tilde{t}_R decays around $80-100\,\mathrm{GeV}$, due to the less efficient $t\to \tilde{t}\chi_1^0$ decays, see Fig. 1 (right).

In Fig. 3a we present the case where the bino mass is allowed to move in the $(m_{\tilde{t}}, m_{\chi_1^0})$ plane, comparing our limits to those obtained by other existing direct stop searches [30, 69]. Our method is closing the stealth stop window for low neutralino masses, $m_{\chi_1^0} \lesssim 20\,\mathrm{GeV}$, while it is not effective for higher masses because signal rates rapidily become too low with increasing $m_{\chi_1^0}$.

Finally, in Fig. 3b we consider the case where the assumption of a known top mass is relaxed. We use the m_t

dependence of $\sigma_{t\bar{t}}$ presented in [65]. We show the limits of this scenario in the $(m_{\tilde{t}}, m_t)$ plane for massless bino. We simulated top and stop events with varying top mass and extracted the efficiencies using ATOM. If m_t is not known, either due to stop contamination or to theoretical uncertainties [86], an increase in m_t can reduce $\sigma_{t\bar{t}}$, thus compensating the effects of the extra SUSY contributions. Therefore the top cross section is now allowing a significantly larger band in the top-stop mass plane. However a 10 GeV shift in the top mass is required to reopen the stop window all the way below 150 GeV. While this shift is likely too large to be allowed by current top mass measurements given the agreement across different analysis techniques and given the $\mathcal{O}(2\,\text{GeV})$ uncertainty on m_t in the endpoint analysis in [87], the precise extent of the allowed regions can ultimately be constrained only by studying SUSY contamination in top mass analyses. In Fig. 3b we also show the limit that would be achieved by combining the cross section measurement with a mass measurement of $m_t = 173.34 \pm 0.76 \,\mathrm{GeV}$ [88], in order to illustrate the sensitivity assuming present mass measurements are not significantly impacted by the presence of stops.

Discussion: We have introduced a novel method for constraining light stops with precision top cross sec-

tion measurements at the LHC. The idea of using precision SM measurements to constrain BSM physics is well known for indirect observables (like electroweak precision measurements or flavor violating observables), but mostly unexplored at high energy colliders, such as the LHC, where a dichotomy between "measurements" and "searches" is often present. This type of studies can be very powerful in covering the shortcomings of standard searches, but clearly require high precision for both theory and experiment which, at present, makes them applicable only to a select but growing set of LHC observables. Nevertheless, precision studies provide a new avenue towards light new physics exhibiting kinematics very simi-

lar to the SM backgrounds.

For the specific example discussed here, further improvements to our findings may be possible. First of all, on the experimental side, measurements of the top cross section with the full LHC Run I dataset will reduce the statistical uncertainties and may help with reducing the systematic uncertainties which dominate the errors in the analysis we have considered here. On the theoretical side, sizeable PDF uncertainties (and discrepancies among different PDF sets) may be reduced by taking ratios of production cross sections at different energies, found to be fairly insensitive to stop contamination in [89]. Fully differential NNLO calculations, that are expected to appear in the very near future, will help further reduce the theoretical uncertainty on the predicted fiducial cross section. Ultimately this method can complement direct stealth stop searches which will become accessible with higher luminosities in the next LHC runs.

Note added: While this paper was being finalized, we were happy to see an experimental analysis by the AT-LAS collaboration [90] performing parts of our proposed analysis [68].

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