

This is the accepted manuscript made available via CHORUS, the article has been published as:

Chiral Scars in Chaotic Dirac Fermion Systems

Hongya Xu, Liang Huang, Ying-Cheng Lai, and Celso Grebogi

Phys. Rev. Lett. **110**, 064102 — Published 5 February 2013

DOI: [10.1103/PhysRevLett.110.064102](https://doi.org/10.1103/PhysRevLett.110.064102)

takes two cycles for the phase of the wavefunction to become 2π and for the wavefunction returns completely to its original value. This relativistic quantum phenomenon is originated from the chirality of the massless Dirac fermions (will be explained later), and consequently we name such scars *chiral scars*. We note that, despite the emergence of chiral scars, majority of the scars are conventional in the sense that the phase change associated with one cycle is 2π . We develop a semiclassical theory to understand the physical origin of chiral scars.

Consider a massless spin-half particle in a finite domain D in the plane $\mathbf{r} = (x, y)$. Utilizing an infinite-mass term outside the domain to model the confinement of the particle motion within D , we obtain the following Hamiltonian in the position representation: $\hat{H} = -i\hbar v \hat{\boldsymbol{\sigma}} \cdot \nabla + V(\mathbf{r})\hat{\sigma}_z$, where $\hat{\boldsymbol{\sigma}} = (\hat{\sigma}_x, \hat{\sigma}_y)$ and $\hat{\sigma}_z$ are Pauli matrices. The Hamiltonian \hat{H} acts on two-component spinor wave-function $\psi(\mathbf{r}) = [\psi_1, \psi_2]^T$ and it has eigenvalue E , i.e., $[-i\hbar v \hat{\boldsymbol{\sigma}} \cdot \nabla + V(\mathbf{r})\hat{\sigma}_z]\psi(\mathbf{r}) = E\psi(\mathbf{r})$. Some basic properties of the Dirac equation are the following. First, the confinement condition of imposing infinite mass outside D naturally takes into account the Klein paradox for relativistic quantum particles. Second, the reduced spatial dimension and confinement breaks the time-reversal symmetry of \hat{H} , namely $[\hat{T}, \hat{H}] \neq 0$, where $\hat{T} = i\sigma_y \hat{K}$, and \hat{K} denotes complex conjugate. Third, for $V = 0$ in the Dirac equation, there exist plane-wave solutions whose positive-energy part has the following form:

$$\psi_{\mathbf{k}}(\mathbf{r}) = \frac{1}{\sqrt{2}} \begin{pmatrix} \exp(-i\frac{\theta}{2}) \\ \exp(i\frac{\theta}{2}) \end{pmatrix} \exp(i\mathbf{k} \cdot \mathbf{r}), \quad (1)$$

where \mathbf{k} is a wave-vector that makes an angle θ with the x axis.

To obtain solutions of the Dirac equation, a proper treatment of the boundary condition is necessary. Letting the outward unit normal at s be $\mathbf{n}(s) = [\cos(\alpha), \sin(\alpha)]$ (α being the angle with the x -axis), making use of the hermiticity of \hat{H} , and defining $\mathbf{j} = v\psi^\dagger \hat{\boldsymbol{\sigma}} \psi$ as the local relativistic current, we get the vanishing current condition: $\mathbf{j} \cdot \mathbf{n} = 0$ for any point s . Requiring the outward current to be zero cannot fix the boundary condition uniquely but it entails $\text{Re}(\exp(i\alpha)\psi_1/\psi_2) = 0$ for all point s . Using the boundary potential as in [8], we can obtain the complete boundary condition: $\psi_2/\psi_1 = i \exp[i\alpha(s)]$.

Consider chaotic billiards with analytic boundaries. An elementary observation [9] is that, while the Dirac equation together with the boundary condition are generally not separable

in the Cartesian coordinates, for circular domains analytic solutions can be written down in terms of both eigenvalues and eigenstate $\{\mu_{lm}, \psi_{lm}(r, \phi), l = 0, \pm 1, \pm 2, \dots, m = 1, 2, \dots\}$ (see Supplementary Materials). Thereby, given a closed domain with analytic boundary, if a proper conformal mapping can be identified to transfer the domain into a circle, solutions can be explicitly obtained.

The billiard domain D can be defined as a conformal transformation of the unit disc in the w -plane, as shown in Fig. 1, i.e., $u(x, y) + iv(x, y) = w(z) \equiv w(re^{i\phi})$ (for

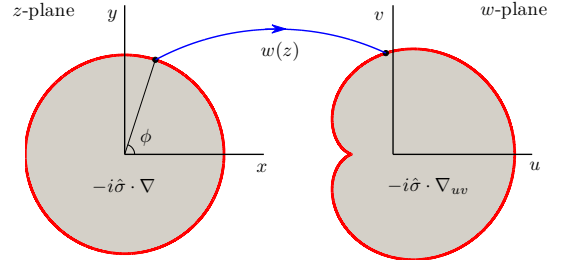


FIG. 1: (Color online) Conformal transformation from the unit disc in $z = x + iy$ (z -plane) to the billiard domain D in $w = u + iv$ (w -plane). The boundary is generated by the mapping function Eq. (3) with parameter $\beta = 0.49$.

$r \in [0, 1]$), where $w(z)$ is an analytic function with non-vanishing derivative in D . The boundary can be defined parametrically by $u = \text{Re}[w(e^{i\phi})]$, $v = \text{Im}[w(e^{i\phi})]$. The basic problem is then to solve the following stationary Dirac equation: $-i\hat{\boldsymbol{\sigma}} \cdot \nabla_{uv} \Psi = k\Psi$, together with the boundary condition $\Psi_2/\Psi_1|_{\partial D} = ie^{i\alpha}$, where Ψ denotes the spinor wavefunction. When being acted upon by the operator $-i\hat{\boldsymbol{\sigma}} \cdot \nabla_{uv}$, the Dirac equation becomes $-\Delta_{uv} \Psi = k^2 \Psi$. Using the conformal mapping $\Delta = |dw/dz|^2 \Delta_{uv}$ to transform the Dirac equation to the unit disc in the z -plane, together with the definition $\Psi'(\mathbf{r}) = \Psi(u, v)$, we obtain the following form of the Dirac equation in the polar coordinates: $\Delta \Psi' + k^2 T(r, \phi) \Psi' = 0$, where $T(r, \phi) = |dw/dz|^2$. To solve this equation, we expand Ψ' in terms of eigenfunctions of the unit disc: $\Psi'(r, \phi) = \sum_{l=-\infty}^{\infty} \sum_{m=1}^{\infty} c_{lm} \psi_{lm}(r, \phi)$, where c_{lm} are the expansion coefficients. Substituting this into the Dirac equation, we have $\nu_{lm}/k^2 - \sum_{l'm'} M_{lm l'm'} \nu_{l'm'} = 0$, where $\nu_{lm} = \mu_{lm} c_{lm}$, and

$$M_{lm l'm'} = \frac{N_{l'm'} N_{lm}}{\mu_{l'm'} \mu_{lm}} \int_0^{2\pi} d\phi \exp\{i(l' - l)\phi\} \int_0^1 dr T(r, \phi) \{J_l(\mu_{lm} r) J_{l'}(\mu_{l'm'} r) + J_{l+1}(\mu_{lm} r) J_{l'+1}(\mu_{l'm'} r)\}. \quad (2)$$

Once the eigenvalues λ_n and eigenvectors $\boldsymbol{\nu}$ of the matrix

$(M_{lm l'm'})$ have been obtained, we get the complete solutions

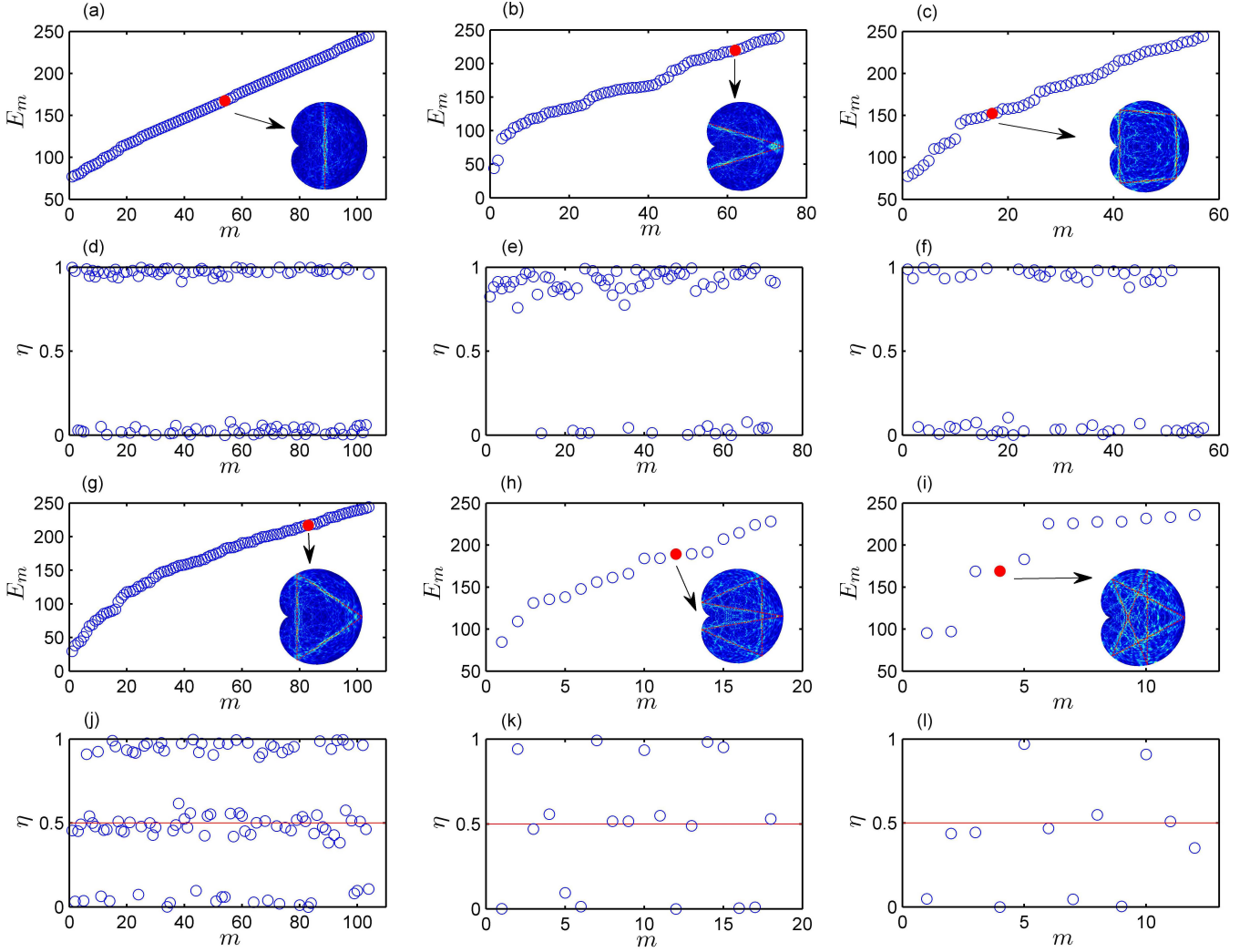


FIG. 2: (Color online) Panels (a)-(c) show the energy levels E_m versus the sequence number m for the scar types 2, 4-I, and 4-II in Table I, respectively. Panels (d-f) are η versus m calculated from Eq. (4), where the relevant data are from panels (a-c), respectively. Similarly, results for the scar types 3, 5-I, and 5-II are shown in panels (g-l).

of the Dirac equation through the relations $k_n = 1/\sqrt{\lambda_n}$ and $c_{lm} = \nu_{lm}/\mu_{lm}$. A practical limitation is that, in actual computations, a truncated basis $\{\psi_{lm}(r, \phi)\}$, $l_{\min} \leq l \leq l_{\max}$, $1 \leq m \leq m_{\max}$ is used. Thus extremely high energy levels and the associated eigenstates cannot be determined accurately. Nonetheless, our conformal-mapping based method can yield an unprecedentedly large number of energy levels and the corresponding eigenstates with extremely high accuracy.

To demonstrate the workings of our conformal-mapping based method to calculate eigenenergies and eigenstates of the Dirac equation, we choose the following complex function $w(z)$ as a quadratic conformal map:

$$w(z) = \frac{1}{\sqrt{1+2\beta^2}}(z + \beta z^2), \beta \in [0, \frac{1}{2}) \quad (3)$$

to determine the shape of the billiard in which a massless

fermion is confined. For $\beta = 0.49$, a previous work on the classical dynamics of the billiard [10] demonstrated the presence of chaos. The quadratic conformal map also has the advantage of amenability to analytic treatment where, in particular, the ϕ integration in Eq. (2) becomes straightforward and the matrix $M_{lm'l'm'}$ becomes nearly diagonal in l . Comparison of the energy levels calculated by our conformal-mapping method with those from the boundary-integral method [8] reveals a remarkably excellent agreement. Further validation of our method can be established by calculating and analyzing the universal behaviors of the various level-spacing statistics in chaotic billiards (see Supplementary Materials).

We now present the reasoning and calculations that lead to the discovery of chiral scars. After examining a large number of relativistic quantum scars for massless Dirac fermion in chaotic billiards, we notice that a certain scarring pattern, once having appeared, tends to reappear at a different en-

ergy value. This can be understood by using semiclassical theory [11], which states that two repetitive scars associated with the same classical periodic orbit can occur when the action difference satisfies $|\Delta S| = 2\pi n\hbar$ ($n = 1, 2, \dots$), where $S = \oint \mathbf{p} d\mathbf{q} = \hbar k L$, and L is the length of a given periodic orbit. It can be inferred that, if one scar already appears, say, at k_0 , the eigenfunctions at the wave number $k_n = k_0 \pm n\delta k$ will most likely scar, where $\delta k = 2\pi/L$. We define

$$\eta(n) = \frac{|k_n - k_0|}{\delta k} - \left\lfloor \frac{|k_n - k_0|}{\delta k} \right\rfloor, \quad (4)$$

where $\lfloor x \rfloor$ denotes the largest integer less than x . According to the semiclassical theory for non-relativistic quantum systems, the quantity η , by its definition, should exhibit only two distinct values: either close to 0 or 1. To calculate the value of η , some key characteristics of the corresponding scars are needed. Table I lists some of the key features of the calculated scars. Using the data of the most typical types of scars, i.e., scar types 2, 3, 4 and 5 in Table I, we calculate their values of $\eta(n)$ from Eq. (4). Figures 2(a-l) show the results. We see that, for scar types 2 and 4, $\eta(n)$ exhibits the two values, i.e., 0 and 1, as can be anticipated from the semiclassical theory. However, for scar types 3 and 5, η can attain the additional value of $1/2$. This implies that, for this type of scars, the conventional semiclassical theory has to be modified.

TABLE I: Characteristics of the relativistic quantum scars.

Scar index ^a	L	δk	k_0	Collected number
2	4.2425	1.4810	167.3225	104
4-I	7.5385	0.8335	219.8747	73
4-II	5.7993	1.0843	152.2197	57
3	5.3764	1.1687	217.0473	104
5-I	8.4725	0.7416	189.2712	18
5-II	9.7321	0.6456	169.0422	12

^aThe relativistic quantum scars are labeled as n , the period of the corresponding classical periodic orbit, if no other configurations exist. For orbits of the same period but with different configurations, Roman numerals are used.

The origin of the type of “abnormal” scars that do not obey the conventional semiclassical quantization rules can be understood by exploring the chirality for massless Dirac fermions and the associated phase changes. In particular, for a classical periodic orbit, the chirality corresponds to the cumulative effect of reflections at the billiard wall. Consider one pair of orbits that close on themselves after N bounces but with opposite orientation, as shown schematically in Fig. 3. Based on the plane-wave description in Eq. (1), after traversing the orbit once the associated phase change is $\Omega = \frac{1}{2}(\theta_N - \theta_0) = \Lambda\pi$, where Λ is an integer, and the total rotation $(\theta_n - \theta_0)$ can be obtained by the reflection law $\theta_{n+1} = \pi + 2\alpha - \theta_n$ for $n = 0, 1, \dots, N$. Define $\Omega_+ - \Omega_- = (\Lambda_+ - \Lambda_-)\pi$ as the difference in the phase

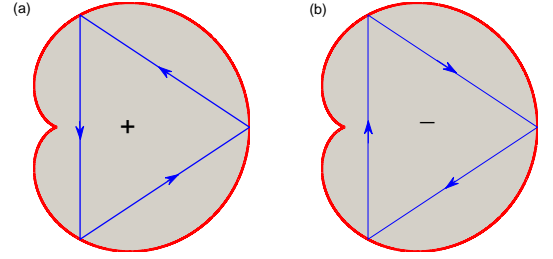


FIG. 3: (Color online.) Illustration of a pair of orbits with opposite orientations.

changes between the pair of $+$ and $-$ orbits. It was shown by Berry and Mondragon [8] that $\Omega_+ - \Omega_- = 2\Lambda_+\pi$ for even N , and $\Omega_+ - \Omega_- = \pi$ for odd N . Since chirality corresponds to the situation of $(\Lambda_+ - \Lambda_-)$ being odd, where the two orbits in the pair enclose themselves with an opposite sign change, the orbit with even number of bounces is not chiral but the orbit with odd number of bounces is. Chirality can have a remarkable effect on scarring. To quantify this we define an effective periodic-orbit length $L^* = \tau L$, where L is the original length of the periodic orbit and τ is a correctional factor. The non-chiral orbits with even number of bounces correspond to $\tau = 1$. However, the chiral orbits correspond to $\tau = 2$. This means that, for chiral orbits, the quantum states as determined by the Dirac equation return to themselves after two successive circulations along the classical orbit. When the modified length is used in the semiclassical theory for type-3 scars, the values of η for all scars become concentrated on 0 and 1.

In summary, we have developed an analytic method based on conformal mapping to solve the massless Dirac equation in a broad class of closed chaotic domains. The advantage is that significantly more eigenstates can be calculated to high accuracy as compared with the previous boundary-integral or finite-difference methods. Empowered by our method, we have found a new class of relativistic quantum scars, chiral scars whose quantum phases return to their original values only after two circulations around the underlying classical unstable periodic orbits. The physical origin of chiral scars can be attributed to chirality of massless Dirac fermions coupled with the particular geometry of the underlying periodic orbit. Such scars are uniquely relativistic quantum scars and find no counterparts in non-relativistic quantum systems.

This work was supported by NSFC (National Science Foundation of China) under Grant No. 11005053. LH and YCL were supported by AFOSR under Grant No. FA9550-12-1-0095 and by ONR under Grant No. N00014-08-1-0627.

* Electronic address: huangl@lzu.edu.cn

† Electronic address: Ying-Cheng.Lai@asu.edu

‡ Electronic address: grebogi@abdn.ac.uk

- [1] S. W. McDonald and A. N. Kaufman, Phys. Rev. Lett. **42**, 1189 (1979); Phys. Rev. A **37**, 3067 (1988).
- [2] E. J. Heller, Phys. Rev. Lett. **53**, 1515 (1984).
- [3] E. B. Bogomolny, Physica D **31**, 169 (1988).
- [4] M. V. Berry, Proc. R. Soc. London, Ser. A **413**, 183 (1987); *ibid.*, **423**, 219 (1989).
- [5] See, for example, R. L. Waterland, J.-M. Yuan, C. C. Martens, R. E. Gillilan, and W. P. Reinhardt, Phys. Rev. Lett. **61**, 2733 (1988); B. Eckhardt, G. Hose, and E. Pollak, Phys. Rev. A **39**, 3776 (1989); R. V. Jensen, M. M. Sanders, M. Saraceno, and B. Sundaram, Phys. Rev. Lett. **63**, 2771 (1989); H.-J. Stöckmann and J. Stein, Phys. Rev. Lett. **64**, 2215 (1990); B. Eckhardt, J. M. Gomez Llorente, O. Pollak, Chem. Phys. Lett. **174**, 325 (1990); R. Blümel, I. H. Davidson, W. P. Reinhardt, H. Lin, and M. Sharnoff, Phys. Rev. A **45**, 2641 (1992); M. Kuś, J. Zakrzewski, and K. Życzkowski, Phys. Rev. A **43**, 4244 (1991); R. V. Jensen, Nature (London) **355**, 311 (1992); T. S. Monteiro, D. Delande, and J.-P. Connerade, Nature (London) **387**, 863 (1992); C. P. Malta, M. A. M. de Aguiar, and A. M. Ozorio de Almeida, Phys. Rev. A **47**, 1625 (1993); G. G. de Polavieja, F. Borondo, and R. M. Benito, Phys. Rev. Lett. **73**, 1613 (1994); T. M. Fromhold, P. B. Wilkinson, F. W. Sheard, L. Eaves, J. Miao, and G. Edwards, Phys. Rev. Lett. **75**, 1142 (1995); P. Bellomo and T. Uzer, Phys. Rev. A **51**, 1669 (1995); O. Agam, Phys. Rev. B **54**, 2607 (1996); R. Akis, D. K. Ferry, and J. P. Bird, Phys. Rev. Lett. **79**, 123 (1997); F. P. Simonotti, E. Vergini, and M. Saraceno, Phys. Rev. E **56**, 3859 (1997); L. Kaplan and E. J. Heller, Ann. Phys. **264**, 171 (1998); E. E. Narimanov and A. D. Stone, Phys. Rev. Lett. **80**, 49 (1998); L. Kaplan, Nonlinearity **12**, R1 (1999); J. P. Keating and S. D. Prado, Proc. R. Soc. Lond. A **457**, 1855 (2001); H. Schanz and T. Kottos, Phys. Rev. Lett. **90**, 234101 (2003).
- [6] K. S. Novoselov, A. K. Geim, S. V. Morozov, D. Jiang, Y. Zhang, S. V. Dubonos, I. V. Grigorieva, A. A. Firsov, Science **306**, 666 (2004); C. Berger, Z. Song, T. Li, X. Li, A. Y. Og-bazghi, R. Feng, Z. Dai, A. N. Marchenkov, E. H. Conrad, P. N. First, and W. A. de Heer, J. Phys. Chem. B **108**, 19912 (2004); K. S. Novoselov, A. K. Geim, S. V. Morozov, D. Jiang, M. I. Katsnelson, I. V. Grigorieva, S. V. Dubonos and A. A. Firsov, Nature **438**, 197 (2005); Y. Zhang, Y.-W. Tan, H. L. Stormer and P. Kim, Nature **438**, 201 (2005); A. H. Castro Neto, F. Guinea, N. M. R. Peres, K. S. Novoselov, and A. K. Geim, Rev. Mod. Phys. **81**, 109 (2009); N. M. R. Peres, Rev. Mod. Phys. **82**, 2673 (2010); S. Das Sarma, S. Adam, E. H. Hwang, and E. Rossi, Rev. Mod. Phys. **83**, 407 (2011).
- [7] L. Huang, Y.-C. Lai, D. K. Ferry, S. M. Goodnick, and R. Akis, Phys. Rev. Lett. **103**, 054101 (2009).
- [8] M. V. Berry and R. J. Mondragon, Proc. R. Soc. Lond. A **412**, 53 (1987).
- [9] M. Robnik, J. Phys. A **17**, 1049 (1984).
- [10] B. W. Li, M. Robnik, and B. Hu, Phys. Rev. E **57**, 4095 (1998).
- [11] M. C. Gutzwiller, *Chaos in Classical and Quantum Mechanics* (Springer, New York, 1990).