



This is the accepted manuscript made available via CHORUS, the article has been published as:

Intervalley Scattering and Localization Behaviors of Spin-Valley Coupled Dirac Fermions

Hai-Zhou Lu, Wang Yao, Di Xiao, and Shun-Qing Shen Phys. Rev. Lett. **110**, 016806 — Published 4 January 2013

DOI: 10.1103/PhysRevLett.110.016806

Hai-Zhou Lu, Wang Yao, Di Xiao, and Shun-Qing Shen

¹Department of Physics and Centre of Theoretical and Computational Physics, The University of Hong Kong, Pokfulam Road, Hong Kong, China ²Department of Physics, Carnegie Mellon University, Pittsburgh, Pennsylvania 15213, USA (Dated: November 28, 2012)

We study the quantum diffusive transport of multi-valley massive Dirac cones, where time-reversal symmetry requires opposite spin orientations in inequivalent valleys. We show that the intervalley scattering and intravalley scattering can be distinguished from the quantum conductivity that corrects the semiclassical Drude conductivity, due to their distinct symmetries and localization trends. In immediate practice, it allows transport measurements to estimate the intervalley scattering rate in hole-doped monolayers of group-VI transition metal dichalcogenides (e.g., molybdenum dichalcogenides and tungsten dichalcogenides), an ideal class of materials for valleytronics applications. The results can be generalized to a large class of multi-valley massive Dirac systems with spin-valley coupling and time-reversal symmetry.

PACS numbers: 73.63.-b, 75.70.Tj, 85.75.-d

The Bloch bands in many crystals have degenerate but inequivalent extrema in the crystal momentum space, known as valleys. Like the spin index in spintronics, the valley index is a well defined degree of freedom for low-energy carriers, thus can be used to encode information as well. This has led to the concept of valleytronics, a new type of electronics based on manipulating the valley index of carriers [1–5]. The timescale of intervalley scattering determines how long the information represented by valley polarization can be retained. For valleytronics applications to be practical, this timescale shall be long as compared to the typical time for the control of valley dynamics. It is thus crucial to measure the intervalley scattering time for identifying potential valleytronics materials, in particular, in a transport scenario.

Several extensively studied monolayer 2D crystals are promising materials to host valley-based electronics, including graphene, and graphene-like crystals such as silicene [6-10] and monolayer group-VI transition metal dichalcogenides [11–14]. In these hexagonal 2D crystals, both the conduction and valance band edges are at the two inequivalent valleys at K points (corners of first Brillouin zone), which are related by time reversal. In monolayer dichalcogenides, ground breaking theoretical and experimental progresses on dynamical control of valley were recently achieved [15-18]. Unlike graphene, monolayer dichalcogenides are described by massive Dirac fermions, and intrinsic spin-orbit coupling (SOC) gives rise to splitting of valence bands with opposite spins, and the splitting must be opposite at the two valleys as required by time-reversal symmetry (see e.g. Fig. 1). This effective coupling between the spin and valley indices can have two significant consequences for valleytronics: (i) the interplay between spin and valley degrees of freedom; (ii) a unique form of intervalley scattering which must be accompanied by a simultaneous spin flip.

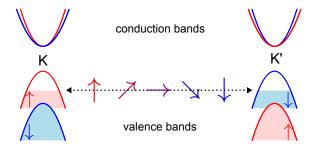


FIG. 1: Low-energy effective band structures of MX_2 monolayer at K and K' valleys. The Fermi surface (at the top edges of shadowed areas) intersects the highest two valance bands of opposite spin orientations (marked by \uparrow and \downarrow). Horizontal dotted arrows guide the intervalley spin-flip scattering.

In this Letter, we study the quantum diffusive transport of multi-valley massive Dirac fermions with spinvalley coupling. Without loss of generality we choose the model of monolayer dichalcogenides MX_2 (M = Mo, W; X = S, Se) for a concrete discussion. This problem is theoretically unique from the conventional 2D electron gas systems or the massless graphene. We show that the spin-valley coupled band edges result in distinct symmetries and localization behaviors in intra- and intervalley scattering dominant regimes. Namely, the spinconserved intravalley scattering leads to negative quantum conductivity and positive magnetoconductivity from the weak localization (WL) effect, whereas the intervalley spin-flip scattering with time-reversal symmetry gives rise to positive quantum conductivity and negative magnetoconductivity from the weak antilocalization (WAL). The quantum conductivity and magnetoconductivity have logarithmic dependence on the ratio between intra- and inter-valley scattering rates, making possible the measurement of intervalley scattering rate in a vastly broad range, from three orders of magnitude below to three orders of magnitude above the intravalley scattering rate. Recently, dichalcogenide field effect transistors became experimentally accessible [19, 20]. Experimental verifications of these phenomena will reveal the nature of impurities and provide general guidance on the suppression of intervalley scattering for a longer valley life time necessary for valleytronic applications. Our approach and results are readily to be extended to spinvalley coupled Dirac fermions with much smaller masses in monolayers of silicon, germanium, and tin [6], as they share a similar low-energy band structure.

The band edge electrons and holes in monolayer MX_2 are well described by the two-valley massive Dirac model in two dimensions [15]:

$$H = \hbar v (\pm k_x \hat{\sigma}_x + k_y \hat{\sigma}_y) + \frac{\Delta}{2} \hat{\sigma}_z \pm \lambda \hat{s}_z \otimes \frac{\hat{1} - \hat{\sigma}_z}{2} , \quad (1)$$

where \pm stands for K and K' valleys, respectively. The Pauli matrices $\hat{\sigma}_{x,y,z}$ act on the pseudospin indexing the $A \equiv d_{z^2}$ and $B \equiv (d_{x^2-y^2} \pm i d_{xy})/\sqrt{2}$ orbitals while $s_z = \uparrow, \downarrow$ is the z-component of real spin. (k_x, k_y) is the wave vector measured from K(K') points. The Hamiltonian is described by three parameters: the SOC strength λ , the band gap Δ , and the effective velocity v. The resulting band structure consists of four sets of massive Dirac cones, two in K and two in K' valleys. An important feature is the large SOC splitting [15, 21]($2\lambda \sim$ 0.15 eV in molybdenum dichalcogenides and $2\lambda \sim 0.4$ eV in tungsten dichalcogenides) between the spin-up and spin-down states at the valence band top. The conduction band bottoms remain degenerate. The band dispersion is schematically shown in Fig. 1. The model, based on a tight-binding analysis, is further confirmed by first-principles calculations and well describes the optical properties of this family of materials (c.f., the recent experiments on valley-dependent optical selection rule [16– 18). Here this model is used in a different context to address the quantum transport phenomena, which are of relevance for valleytronics applications based on transistor or other transport devices.

Because of the large spin splitting in the valence bands, in the following we focus on the localization effect in hole-doped samples, when the Fermi surface intersects the two highest valence bands as shown in Fig. 1. It is instructive to first consider two limiting regimes when either intra-or inter-valley scattering dominates:

(1) Intravalley scattering dominant regime. As shown in Fig. 1, the intravalley scattering within each valence band can only happen between the same spin species. Meanwhile, because of the large band gap ($\Delta \sim 1.6$ eV), the orbital pseudospin is almost fully-polarized and does not play a role. The frozen pseudospin can be quantitatively seen from the Berry phase [22], which for the valence bands is given by

$$\phi_v = 2\pi \frac{V + \Delta - \lambda}{2V + \Delta - \lambda} \sim 2\pi \tag{2}$$

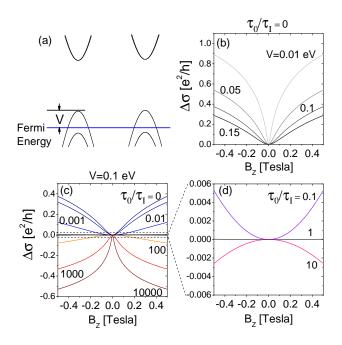


FIG. 2: (a) Sketch of band structure. V measures the Fermi energy from the valence band top $(V \in [0, 2\lambda] \text{eV})$. [(b)-(d)] Magnetoconductivity $\Delta \sigma$ when the Fermi energy intersects the highest valence bands. The positive (negative) logarithmic magnetoconductivity is the signature for the WL (WAL), which indicates that intravalley (intervalley) scattering is stronger than intervalley (intravalley) scattering. (b) Only WL in absence of intervalley scattering $(\tau_0/\tau_1=0)$ for different V. (c) The crossover between WL and WAL at V=0.1 eV and for different τ_0/τ_1 . Larger τ_0/τ_1 means stronger intervalley scattering. (d) Zoom-in of (c) for $\tau_0/\tau_1=0.1, 1, 10$. Parameters: $\Delta=1.66$ eV and $\lambda=0.075$ eV [15], mean free path $\ell=10$ nm, and phase coherence length $\ell_\phi=300$ nm.

where V measures the Fermi energy from the valence band top. Δ is much larger than λ and allowed V ($\in [0, 2\lambda] \,\mathrm{eV}$) in MX_2 [15]. The conserved spin and peuedospin rotational symmetries, along with time-reversal symmetry in this system, belong to the orthogonal symmetry class [23]. The orthogonal symmetry always predicts WL [24], which is a negative quantum interference correction to electronic conductivity and usually shows a positive logarithmic magnetoconductivity.

(2) Intervalley scattering dominant regime. The intervalley scattering in MX_2 must break spin-rotational symmetry because of the opposite spin splitting at the two valleys (Fig. 1). The broken spin-rotational symmetry together with time-reversal symmetry leads to the symplectic symmetry [23]. The symplectic symmetry always promises WAL [24], which is a positive quantum interference correction to electronic conductivity and usually shows a negative logarithmic magnetoconductivity.

To test the above picture, we generalize the dia-

grammatic techniques [25–29] to this spin-valley coupled multi-valley massive Dirac cones. The method is based on expanding the Kubo formula of conductivity, with Eq. (1) as the unperturbed part and scattering potentials as perturbations. The spin-conserved intravalley scattering is modeled by

$$U_{\mathbf{k},\mathbf{k}'}^{0} = \sum_{\mathbf{R}} u^{\mathbf{R}} e^{i(\mathbf{k}' - \mathbf{k}) \cdot \mathbf{R}}, \tag{3}$$

where $u^{\mathbf{R}}$ is the potential of an impurity at position \mathbf{R} . \mathbf{k}, \mathbf{k}' are electron wavevectors. Although the intravalley scattering should be related to long-range potentials, the short-range and delta correlation used for both U^0 have been justified numerically [30]. In the basis of $|+\uparrow A\rangle$, $|+\uparrow B\rangle$, $|-\downarrow A\rangle$, $|-\downarrow B\rangle$, the spin-flip intervalley scattering that preserves time-reversal symmetry can be in general modeled by

$$U_{\mathbf{k},\mathbf{k}'}^{\mathbf{I}} = \begin{bmatrix} U_{z}^{A} & 0 & U_{-}^{A} & 0 \\ 0 & U_{z}^{B} & 0 & U_{-}^{B} \\ U_{+}^{A} & 0 & -U_{z}^{A} & 0 \\ 0 & U_{+}^{B} & 0 & -U_{z}^{B} \end{bmatrix},$$

$$U_{z}^{A/B} = i \sum_{\mathbf{R} \in A/B} u_{z}^{\mathbf{R}} e^{i(\mathbf{k}' - \mathbf{k}) \cdot \mathbf{R}},$$

$$U_{\pm}^{A/B} = i \sum_{\mathbf{R} \in A/B} e^{i(\mathbf{k}' - \mathbf{k}) \cdot \mathbf{R}} (u_{x}^{\mathbf{R}} + iu_{y}^{\mathbf{R}}),$$
(4)

where $\hat{x}, \hat{y}, \hat{z}$ are the unit vectors. The i in front of $U^{\rm I}_{{\bf k},{\bf k}'}$ protects time-reversal symmetry as i and spins formed by $\{|+\uparrow A\rangle, \ |-\downarrow A\rangle\}$ and $\{|+\uparrow B\rangle, \ |-\downarrow B\rangle\}$ change sign under time reversal. For two possible spin-flip mechanisms, the magnetic scattering and spin-orbit scattering, the latter preserves time-reversal symmetry and is likely to exist in the materials. For the spin-orbit scattering $(u_x^{\bf R}, u_y^{\bf R}, u_z^{\bf R}) = u^{\bf R}{\bf k} \times {\bf k}' \cdot (\hat{x}, \hat{y}, \hat{z})$, where ${\bf k}$ and ${\bf k}'$ also change sign under time reversal.

The total conductivity is given by

$$\sigma = \sigma^D + \sigma^F, \tag{5}$$

where σ^D is the semiclassical (Drude) conductivity following the Einstein relation

$$\sigma^D = e^2 N_F D \tag{6}$$

where $N_F = E_F/(\pi\hbar^2 v^2)$ is the two-valley density of states of at the Fermi energy E_F measured from the Dirac point, $D = \eta_v v_F^2 \tau/2$ is the diffusion constant, η_v is the ladder diagram correction to the velocity of Dirac fermions [31], v_F is the Fermi velocity, and the total scattering time is given by $\tau = (1/\tau_0 + 1/\tau_1)^{-1}$. τ_0 and τ_I are intravalley and intervalley scattering times, respectively. Since σ^D is a function of the total scattering time, it can not distinguish the contributions from intervalley and intravalley scattering. Besides, σ^D is insensitive to magnetic field.

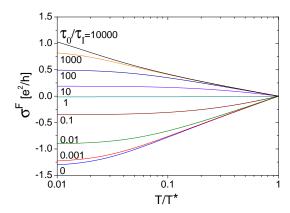


FIG. 3: The quantum conductivity σ^F as a function of temperature T for different τ_0/τ_1 . Positive (negative) σ^F corresponds to WAL (WL). Other parameters are the same as those in Figs. 2 (c) and (d). The signature that intervalley (intravalley) scattering is stronger than intravalley (intervalley) scattering is given by a positive (negative) σ^F , as well as that σ^F increases (decreases) with decreasing T.

The quantum interference correction to the Drude conductivity (quantum conductivity for short) is found in forms of logrithmic functions

$$\sigma^F = \frac{e^2}{\pi h} \left(C_0 \ln \frac{X_0^{-2} + \ell_\phi^{-2}}{X_0^{-2} + \ell^{-2}} + C_I \ln \frac{X_I^{-2} + \ell_\phi^{-2}}{X_I^{-2} + \ell^{-2}} \right), \quad (7)$$

where C_0 and $C_{\rm I}$ are weight factors for the intravalley and intervalley contributions. X_0 and $X_{\rm I}$ are corresponding characteristic lengthes that effectively reduce the mean free path ℓ and phase coherence length ℓ_{ϕ} . The intervalley/intravalley scattering ratio is incorporated in the expressions for $C_{0,\rm I}$ and $X_{0,\rm I}$ [32]. Due to its interference origin, σ^F can be suppressed by a perpendicular magnetic field B_z , giving rise to the magnetoconductivity

$$\Delta \sigma(B_z) \equiv \sigma^F(B_z) - \sigma^F(0) = \frac{e^2}{\pi h} (C_0 F_0 + C_1 F_1),$$
 (8)

where $F_i = \Psi(\ell_B^2/\ell_i^2 + \frac{1}{2}) - \ln(\ell_B^2/\ell_i^2)$, $1/\ell_i^2 \equiv 1/\ell_\phi^2 + 1/X_i^2$, Ψ is the digamma function, and $\ell_B \equiv \sqrt{\hbar/(4e|B_z|)}$ is the magnetic length [32]. Considering the low mobility in MX_2 [19], we have assumed short τ and $\ell \equiv \sqrt{D\tau}$, then the results are not sensitive to ℓ and τ .

Figure 2(b) shows the magnetoconductivity without intervalley scattering when the Fermi energy intersects with the two highest valence bands. The WL is a quantum interference induced suppression of conductivity, it can be lifted by magnetic field and gives positive magnetoconductivity. Figure 2(b) shows that the magnetoconductivity is always positive, corresponding to the WL of single valence band in the allowed range of the Fermi energy. Figures 2(c) and (d) shows the magnetoconductivity as the intervalley scattering increases, where the

ratio between scattering times

$$\tau_0/\tau_{\rm I}$$
 (9)

increases with increasing intervalley scattering. As τ_0/τ_1 increases, the magnetoconductivity in Figs. 2(c) and (d) changes from positive to negative, corresponding to a crossover from WL to its opposite, the WAL. The magnetoconductivity covers a wide range of τ_0/τ_1 , from < 0.001 to > 1000. When $\tau_0/\tau_1 > 10$ or < 0.1, a small change in the magnetoconductivity corresponds to a large change of τ_0/τ_1 . This sensitivity allows us to estimate even a very small τ_1 when τ_0 dominates, or vice versa. Note that a completely opposite crossover happens in graphene, where intervalley scattering leads to WL while intravalley scattering gives WAL [25, 33], because graphene is gapless and has ignorable spin-orbit interaction [34, 35].

The signature of the crossover is also provided by the sign of the quantum conductivity σ^F and how σ^F changes with decreasing temperature. σ^F becomes nonzero at low temperatures when the phase coherence length ℓ_{ϕ} is longer than the mean free path ℓ . ℓ_{ϕ} increases as the decoherence mechanisms from electronphonon and electron-electron interactions are suppressed at low temperatures. Empirically, $\ell_{\phi} = C_{ph}(T/T^*)^{-3/2} +$ $C_{ee}(T/T^*)^{-1/2}$, where the coefficients C_{ph} and C_{ee} are for electron-phonon from electron-electron interactions, respectively. T^* is a characteristic temperature at which $\ell_{\phi} = \ell$ and σ^F vanishes, so it defines the boundary between the quantum and classical diffusion regimes. Usually, T^* ranges between 10 and 100 K [36–38]. In the calculation, we assume that $\ell_{\phi}=300$ nm at $T/T^*=0.01$ and $\ell_{\phi}=\ell$ at $T/T^*=1$. Figure 3 shows $\sigma^F(T)$ in Eq. (7) for different τ_0/τ_I . The WL (WAL) can be read from a negative (positive) σ^F , as well as that σ^F decreases (increases) with decreasing temperature. Note that the total conductivity is $\sigma(T) = \sigma^D + \sigma^F(T, B)$, where the Drude conductivity σ^D may give extra temperature power law that depends on scattering mechanisms [37, 38]. But σ^F from the weak (anti-)localization is the contribution most sensitive to magnetic field (because of the logarithmic dependence). σ^F thus can be extracted from the total conductivity by $\sigma^F(T) =$ $\sigma(T,0) - \sigma(T,B_c)$, with B_c a finite magnetic field strong enough to quench the weak (anti-)localization, say, about 1 Tesla.

We can also have some qualitative arguments for the conduction bands. All four conduction bands can take part in transport as they always intersect the Fermi surface together. Therefore, different from the transport in hole-doped samples, both intravalley spin-flip and intervalley spin-conserved scattering are possible in the conduction bands. With time-reversal symmetry, the spin-flip scattering leads to WAL while spin-conserved scattering to WL as the pseudo-spin degree of freedom is always frozen. All types of scattering and the resulting WL and WAL are shown in Fig. 4.

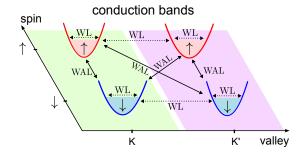


FIG. 4: Weak localization (WL) and antilocalization (WAL) in the conduction bands. Spin-up (\uparrow) and spin-down (\downarrow) are offset for clarity. Solid and dotted arrows represent spin-flip and spin-conserved scattering, respectively.

The existing experiments on the MX2 monolayers [19, 20] are mainly focused on the on/off characteristics of field-effect transistors, implying that the resistance in the samples still comes mainly from the Schottky barriers between mismatched metal electrodes and monolayers, instead of the monolayers themselves. We expect our theory to inspire more experimental efforts for realizing good Ohm contacts (e.g., by liquid gating) and to explore the spin-valley coupled physics in transport.

This work was supported by the Research Grant Council of Hong Kong under Grant No. HKU 705110P (H.Z.L. and S.Q.S.) and HKU 706412P (W.Y.). D.X. was supported by the U.S. Department of Energy, Office of Basic Energy Sciences, Materials Sciences and Engineering Division.

- O. Gunawan, Y. P. Shkolnikov, K. Vakili, T. Gokmen, E.
 P. De Poortere, and M. Shayegan, Phys. Rev. Lett. 97 186404 (2006).
- [2] A. Rycerz, J. Tworzydlo, and C. W. J. Beenakker, Nature Phys. 3, 172 (2007).
- [3] D. Xiao, W. Yao, and Q. Niu, Phys. Rev. Lett. 99, 236809 (2007).
- [4] W. Yao, D. Xiao, and Q. Niu, Phys. Rev. B 77, 235406 (2008).
- [5] W. Yao, S. A. Yang, and Q. Niu, Phys. Rev. Lett. 102, 096801 (2009).
- [6] C. C. Liu, W. Feng, and Y. Yao, Phys. Rev. Lett. 107, 076802 (2011).
- [7] B. Lalmi, H. Oughaddou, H. Enriquez, A. Kara, S. Vizzini, B. Ealet, and B. Aufray, Appl. Phys. Lett. 97, 223109 (2010).
- [8] P. De Padova, C. Quaresima, C. Ottaviani, P. M. Sheverdyaeva, P. Moras, C. Carbone, D. Topwal, B. Olivieri, A. Kara, H. Oughaddou, B. Aufray, and G. Le Lay, Appl. Phys. Lett. 96, 261905 (2010).
- [9] B. Aufray, A. Kara, S. Vizzini, H. Oughaddou, C. Lžandri, B. Ealet, and G. Le Lay, Appl. Phys. Lett. 96, 183102 (2010).
- [10] L. Chen, C. C. Liu, B. Feng, X. He, P. Cheng, Z. Ding, S. Meng, Y. Yao, and K. Wu, Phys. Rev. Lett. 109, 056804

- (2012).
- [11] K. S. Novoselov, D. Jiang, F. Schedin, T. J. Booth, V. V. Khotkevich, S. V. Morozov, and A. K. Geim, Proc. Natl. Acad. Sci. U.S.A. 102, 10 451 (2005).
- [12] K. F. Mak, C. Lee, J. Hone, J. Shan, and T. F. Heinz, Phys. Rev. Lett. 105, 136805 (2010).
- [13] A. Splendiani, L. Sun, Y. Zhang, T. Li, J. Kim, C.-Y. Chim, G. Galli, and F. Wang, Nano Lett. 10, 1271 (2010).
- [14] S. Lebègue and O. Eriksson, Phys. Rev. B 79, 115409 (2009).
- [15] D. Xiao, G. B. Liu, W. X. Feng, X. D. Xu, and W. Yao, Phys. Rev. Lett. 108, 196802 (2012).
- [16] H. L. Zeng, J. F. Dai, W. Yao, D. Xiao, and X. D. Cui, Nature Nanotech. 7, 490 (2012).
- [17] K. F. Mak, K. He, J. Shan, and T. F. Heinz, Nature Nanotech. 7, 494 (2012).
- [18] T. Cao, G. Wang, W. Han, H. Ye, C. Zhu, J. Shi, Q. Niu, P. Tan, E. Wang, B. Liu, and J. Feng. Nature Comms, 3, 887 (2012).
- [19] B. Radisavljevic, A. Radenovic, J. Brivio, V. Giacometti, and A. Kis, Nature Nanotech. 6, 147 (2011).
- [20] H. Fang, S. Chuang, T. C. Chang, K. Takei, T. Takahashi, and A. Javey, Nano Lett. 12, 3788 (2012).
- [21] Z. Y. Zhu, Y. C. Cheng, and U. Schwingenschlögl, Phys. Rev. B 84, 153402 (2011).
- [22] D. Xiao, M. C. Chang, and Q. Niu, Rev. Mod. Phys. 82, 19592007 (2010).
- [23] F. J. Dyson, J. Math. Phys. 3, 140 (1962).

- [24] S. Hikami, A. Larkin, and Y. Nagaoka, Prog. Theor. Phys. 63, 707 (1980).
- [25] H. Suzuura and T. Ando, Phys. Rev. Lett. 89, 266603 (2002).
- [26] E. McCann, et al., Phys. Rev. Lett. 97, 146805 (2006).
- [27] G. Bergmann, Phys. Rep. **107**, 1 (1984).
- [28] K. I. Imura, Y. Kuramoto, and K. Nomura, Phys. Rev. B 80, 085119 (2009).
- [29] H. Z. Lu, J. R. Shi, and S. Q. Shen, Phys. Rev. Lett. 107, 076801 (2011).
- [30] X. Z. Yan and C. S. Ting, Phys. Rev. Lett. 101, 126801 (2008).
- [31] N. H. Shon and T. Ando, J. Phys. Soc. Jpn. 67, 2421 (1998).
- [32] Supplementary material.
- [33] F.V. Tikhonenko, A. A. Kozikov, A. K. Savchenko, and R.V. Gorbachev, Phys. Rev. Lett. 103, 226801 (2009).
- [34] H. Min, J. E. Hill, N. A. Sinitsyn, B. R. Sahu, L. Kleinman, and A. H. MacDonald, Phys. Rev. B 74, 165310 (2006).
- [35] Y. Yao, F. Ye, X.-L. Qi, S.-C. Zhang, and Z. Fang, Phys. Rev. B 75, 041401 (2007).
- [36] P. A. Lee and T. V. Ramakrishnan, Rev. Mod. Phys. 57, 287 (1985).
- [37] T. Ando, A. B. Fowler, and F. Stern, Rev. Mod. Phys. 54, 437 (1982).
- [38] S. Das Sarma, S. Adam, E. H. Hwang, and E. Rossi, Rev. Mod. Phys. 83, 407 (2011).