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Band formation in a molecular quantum well *via* 2D superatom orbital interactions

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By scanning tunneling microscopy and spectroscopy, we study nearly-free electron (NFE) band formation of the σ^* lowest unoccupied molecular orbital (LUMO) of C_6F_6 on a Cu(111) surface. In fractal islands, the LUMO energy systematically stabilizes with the number of interacting near-neighbor C_6F_6 molecules. Density functional theory calculations reveal the origin of effective intermolecular orbital overlap in the previously unrecognized superatom character of the σ^* orbital of C_6F_6 molecules. The discovery of superatom orbitals in planar molecules offers a new universal principle for effective band formation, which can be exploited in design organic semiconductors with NFE properties.

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Molecules provide myriad building blocks with potential applications in electronic materials. Electronic band formation with the effective mass approaching that of a free electron in molecular semiconductors is a desirable but elusive property for molecular electronics.^{1,2} The primary focus of research on charge transport in organic semiconductors has been on π -conjugated molecules with delocalized intramolecular wave functions, and potential for effective intermolecular coupling.¹⁻⁵ The co-facial arrangement of aromatic rings (π -stacking) is known to favor strong intermolecular overlap between π -orbitals.^{1,5-7} Pauli repulsion, however, deters the co-facial arrangement of molecules such as polyacenes in crystalline materials.^{1,4} Instead, electropositive H atoms interact with the π -electron charge density^{5,8} to impose herringbone structures, forcing a nearly orthogonal π -orbital arrangement among adjacent molecules.^{1,4} Because of the unfavorable packing, as well as poor screening and strong electron-phonon interaction, molecular materials fail to achieve the band transport implicit in the pairwise overlap integrals for the π -stacking geometry.¹

Nevertheless, studies of organic molecule/metal interfaces by photoemission spectroscopy and low-temperature scanning tunneling microscopy (LT-STM) offer tantalizing evidence for nearly-free electron (NFE) band formation.⁹⁻¹³ Usually, however, the NFE properties can be attributed to perturbation of the metal surface electronic structure by the *molecule-surface* interaction.^{9,11,13-17} Therefore, it is an open question whether the valence or conduction bands of molecular semiconductors can acquire NFE character through *intrinsic intermolecular* interactions.

A striking example of intrinsic NFE band formation was discovered for C₆₀ quantum wells on metals by two-photon photoemission (2PP) spectroscopy, and LT-STM imaging.^{12,14} The physical origin of intermolecular interactions was clarified with the discovery of the superatom molecular orbitals (SAMOs) of hollow molecules.¹² SAMOs are diffuse atom-like orbitals where the central exchange-correlation and dipole potentials bind electrons to the non-nuclear regions in the hollow molecular core and outer perimeter rather than to individual atoms.^{12,18-21} Because of their diffuse nature, the s-

symmetry SAMOs in C_{60} quantum structures hybridize into dispersive bands possessing an effective mass, m_{eff} of $1.4m_e$ and minima at 3.3-3.6 eV above the Fermi level (E_F).^{14, 22} The facile relaxation to lower energy LUMO states, however, prevents SAMOs derived bands of C_{60} from mediating electron transport.^{14, 23} Although strategies for stabilizing SAMOs have been proposed,^{18, 24} so far no molecular semiconductor with a SAMO derived conduction band has been identified.

Other intriguing examples of molecular NFE band formation have been reported in 2PP and photoemission spectroscopy for the $4a_{1g}$ σ^* LUMO and occupied $2a_{1g}$ σ orbitals of C_6F_6 ⁹ and C_6H_6 ,²⁵ respectively, on metal surfaces. It remains unclear why these oblate molecules lying flat on metal surfaces and interacting through weakly polarizable atoms achieve strong wave function overlap. To answer this question, in this Letter we explore the physical origin of the NFE band formation within fractal C_6F_6 islands on Cu(111) surface by molecule-resolved LT-STM and DFT. LT-STM probes the real-space intermolecular interactions through energy stabilization of the σ^* state as the number of near-neighbor C_6F_6 molecules increases, which is implicit in the energy-parallel momentum dispersion of the LUMO band in 2PP spectra.⁹ DFT calculations for one- C_6F_6 -molecule thick quantum wells, free and supported on Cu(111) surface, show that the particularly strong intermolecular orbital overlap for the σ^* state is entirely a molecular property. From its non-nuclear density, we recognize the σ^* wave function as a 2D analogue of 3D SAMOs. Comparing the orbitals and band dispersions of C_6F_6 and C_6H_6 , we conclude that *SAMOs are a universal feature of flat aromatic molecules; their NFE bands offer a new paradigm for electron transport* in organic molecular semiconductors.

The electronic structure of C_6F_6 films on the Cu(111) surface has been studied by 2PP and x-ray spectroscopy.^{9, 26-29} Angle-resolved 2PP spectra show the LUMO of C_6F_6 forming a highly dispersive band 3.14 eV above E_F with $m_{eff}=1.9m_e$ for one monolayer (ML) coverage, decreasing to $1.0m_e$ for 5 ML.⁹ Vondrak *et al.*²⁶ assigned this band to the σ^* state, based on the electronic structure of the free C_6F_6 molecule.³⁰ Gahl *et al.* attributed the NFE band formation and adsorption-induced changes in the

surface electronic structure to a putative interaction between the σ^* orbitals and image potential (IP) state of Cu(111) surface.⁹ The dielectric continuum model, however, failed to reproduce the 3D band formation of multilayer C₆F₆ films.⁹ Most recently, in a study focused on the electronic relaxation within C₆F₆ quantum wells, Föhlich *et al.* reassigned the NFE band to the π^* state of C₆F₆ because the core C1s excitation spectra found it below the σ^* state.²⁹ Similarly, they attributed the NFE properties to mixing of the π^* and the IP state.

We perform structural and spectroscopic measurements on C₆F₆/Cu(111) surface in an Omicron LT-STM cooled to 5K and with base pressure below 10⁻¹⁰ mbarr. A Cu(111) crystal is cleaned by cycles of Ar⁺ sputtering and annealing to ~ 600 K. Hexafluorobenzene is purified by freeze-pump-thaw cycles and dosed through a stainless steel tube onto the Cu(111) surface held at 10 K. Imaging and spectroscopy are carried out in the constant-current and constant-current distance-voltage modes. Low currents (6-20 pA) minimize the tunneling electron-induced diffusion or chemical reaction of the adsorbed molecules.³¹

Figures 1a and 1b show low and high resolution STM images of fractal C₆F₆ islands on the Cu(111) substrate at 10 K, which forms through the limited mobility of molecules along the island edges. Upon annealing the crystal to ~ 80 K (Fig. 1c), the islands coalesce into the close-packed hexagonal (3x3) superlattice with 0.77 nm intermolecular spacing.

To probe the intermolecular interactions of the σ^* state, we measure distance-voltage spectra above selected C₆F₆ molecules within the fractal islands. Figure 2a shows numerically differentiated distance voltage spectra (dz/dV),³¹ measured above several C₆F₆ molecules, with a different number of near-neighbors for a compact monolayer as well as fractal islands. Tunneling spectrum for the C₆F₆ monolayer shows a sharp resonance at 3.5 eV above E_F , which we attribute to the σ^* derived LUMO band, reported in 2PP spectra at 3.14 eV.^{9,26} Perturbation of the surface field by the STM tip causes the σ^* state to appear in dz/dV spectra at a higher energy.^{31,32}

Using the sub-molecular resolution of STM, we characterize how the intermolecular interactions modify the properties of the σ^* state by quantifying its energy shift with the number of near-neighbor C_6F_6 molecules within fractal islands. The ramified shape of fractal islands creates variability in the local molecular coordination. Within the STM image in Fig. 1b we indicate specific molecules with 2, 4, and 6 near neighbors (NN), where some of the measurements are performed. The dz/dV curves in Figure 2a, obtained by averaging measurements at several sites with specific NN coordination, show the stabilization of the σ^* state with the NN coordination. The experimental shifts of the σ^* state energy from the isolated molecule to compact monolayer are plotted in Fig. 2b. We use the tight binding model to simulate the stabilization of the σ^* state through NN interactions using a hopping integral of $\beta=28$ meV, and assume that the interaction with the next-nearest-neighbors decreases as βd^{-2} with the geometrical distance d . The observed stabilization is consistent with intermolecular wave function overlap of the σ^* state increasing electron delocalization over the molecular quantum well as the coordination number increases.

To understand the intermolecular interactions that enable the σ^* state to form the NFE band, we perform DFT calculations for both a free and Cu(111) substrate-supported C_6F_6 monolayer corresponding to the experimental 3×3 superlattice,³³⁻³⁸ with molecules rotated to minimize the F atom repulsion.²⁸ To account for the van der Waals interaction, the $C_6F_6/Cu(111)$ structure is calculated with the DFT-D approach adding a semi-empirical dispersion potential to the Kohn-Sham DFT energy.³⁹ This calculation gives a binding energy of 0.63 eV and a height of 3.08 Å primarily due to the dispersion forces. The electronic properties of the supported overlayer depend weakly on the adsorption height because of the interaction is through dispersive forces. The DFT calculations give the LUMO bandwidths are 0.66 and 0.65 eV for the supported and the unsupported C_6F_6 monolayer; the negligible enhancement by the substrate indicates that the band formation is an *intrinsic* electronic property of interactions among the σ^* orbitals. For comparison, the bandwidth from the tight binding calculation is

0.55 eV. Although σ^* LUMO band crosses the unoccupied part of the Shockley surface state, which undergoes band folding by the (3×3) superlattice, the weak interaction characterized by gaps of <0.1 eV hardly perturbs the σ^* band as compared with the unsupported monolayer.

Figure 3 shows the calculated energy-momentum dispersions and orbital density distributions of bands formed by the σ^* , the highest occupied molecular orbital (HOMO) π , and lowest unoccupied π^* orbitals of the free C_6F_6 quantum well. The calculated $m_{eff}=2m_e$ and strong orbital delocalization shows that the σ^* band possesses special electronic properties *distinct from* the energetically proximate π states. Contrary to the assignment in Ref. 29, the NFE band cannot be attributed to the π^* state, because its $m_{eff}=-80 m_e$ has the opposite sign and very different magnitude than for σ^* . Neither the σ^* nor the π^* states can be modified through interaction with the IP states of the substrate, as suggested previously;^{9, 29} a constant potential parallel to the surface cannot affect the σ^* or π^* orbital interactions.

To illuminate the special properties of the σ^* state, in Fig. 4 we present the energies and wave functions for valence states of the isolated C_6F_6 molecule. We label the wave functions according to a natural set of quantum numbers for the D_{6h} molecular symmetry to clarify the features of molecular wave functions, which promote the NFE band formation. Quantum numbers n_r , l , and n_z respectively count the number of nodal surfaces normal to the molecular plane, extending radially from the center and upon 2π azimuthal rotation, and in the molecular plane. Accordingly, the σ^* state with three radial nodes is described by $\mathbf{N}=(n_r, l, n_z)=(3, 0, 0)$. In Fig. 4, we sort the electronic states of C_6F_6 as a function of energy according to this scheme, and show the calculated probability density isocontours for selected orbitals. We emphasize the a_{1g} symmetry orbitals forming the progression $\mathbf{N}=(0-3, 0, 0)$, because for a given “principal” quantum number n_r they have the strongest intermolecular orbital hybridization. Figure 3a and b show the radial cross sections of $\mathbf{N}=(0-3, 0, 0)$ wave functions after averaging over the azimuthal and surface normal coordinates, and contrast them with those of the weakly dispersive π -symmetry HOMO [$\mathbf{N}=(1, 1, 1)$], and the LUMO+1 orbitals [$\mathbf{N}=(1, 2, 1)$]. For the $\mathbf{N}=(0-3, 0, 0)$ sequence,

increasing n_r causes the probability density to spread from the C and F atoms into non-nuclear regions corresponding to the hollow center and perimeter of C_6F_6 molecule. From the cross sections in Fig. 3, we conclude that the primary origin of the hybridization of the σ^* state into the NFE quantum well band is its substantial nonnuclear density beyond the F atom perimeter. By contrast, the π and π^* wave functions are firmly localized on the C and F atoms.²⁸ The back donation from F atom $2p$ -orbitals to the aromatic ring π -orbitals²⁸ further diminishes the in-plane intermolecular interactions among the π -orbitals. The propensity of the σ -orbitals to acquire non-nuclear density at a lower energy than the π -orbitals explains why they readily form the delocalized bands.

Having explained the origin of NFE band, we consider the broader context of our analysis. We find that the tendency of an orbital of a planar molecule to form a dispersive band is defined by the value of its n_r quantum number. Introducing angular or in-plane nodes increases the electron kinetic energy and localization; therefore, a_{1g} orbitals within an n_r shell have the strongest intermolecular hybridization. Indeed, for benzene molecule (C_6H_6) chemisorbed flat on the Ni(110) surface the occupied $\mathbf{N}=(1,0,0)$ state also forms a strongly dispersive band 10.9 eV below E_F .²⁵ Such σ interactions have been predicted to lead to C_6H_6 metallization of compressed planar 2D layers.⁵ These interactions between planar molecules cannot be attributed to mediation by F or H atoms, but rather the propensity of σ -orbitals to displace electron density to the molecule periphery as n_r increases.

Our finding has broad implications for designing the electronic properties of aromatic molecular solids. The spread of $\mathbf{N}=(n_r, 0, 0)$ wave functions into the non-nuclear regions with increasing n_r is the *defining feature of SAMOs of hollow molecules*. We argue that with increasing n_r molecular orbitals of planar hollow molecules gain 2D superatom character, which enables the NFE band formation. These bands are tunable by molecular design as evident from the comparison between the (3,0,0) and (1,0,0) states of C_6F_6 and C_6H_6 . Fluorine atoms of C_6F_6 , being σ -acceptors and π -donors, withdraw charge from the aromatic ring.^{28,40} Hence, the C-F dipoles locate net positive charge on the hollow ring, which

attracts the σ^* state orbital density to the center, but some density also spills out to the perimeter. The positive dipole potential at the center stabilizes the σ^* state with SAMO character below the π^* state. By contrast, for benzene, the internal C-H dipoles have the opposite polarity, and therefore displace orbital density to the perimeter, making the corresponding $\mathbf{N}=(n_r, 0, 0)$ molecular orbitals more diffuse than for C_6F_6 , and raising the energy σ^* above π^* . The superatom character, being a manifestation of the exchange-correlation and dipole potentials, is not strongly sensitive to reduction of symmetry by substituting F or H atoms by another functional group.

Thus, modifying the central potential by charge transfer between the molecular center and periphery, or by introducing a central metallic cation, are possible strategies for designing the electronic properties of SAMOs within 2D molecules. In the case of fullerenes and nanotubes, we have shown that the choice of endohedral atoms or clusters can be used to control the energy of SAMOs in hollow molecules.^{18, 19, 24} We expect that the facility of synthesizing 2D hollow molecules, together with our simple principles for the SAMO stabilization, will enable the discovery of other strong band forming organic materials with promising charge transport properties.

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Figure captions

Figure 1. (color online) a) STM image (200 x 200 nm, +0.3V, 0.025 nA) of submonolayer C₆F₆ deposited onto a Cu(111) surface held at 10 K. b) Enlarged image of a fractal island (27 x 27 nm, +0.2 V, 0.1 nA) with specific molecules with 2, 4 and 6 NN indicated by colored dots, for which the dz/dV measurements are performed. c) An image of compact hexagonal C₆F₆ islands after annealing the sample to 80 K. The enlarged image in the inset shows the (3x3) overlayer structure and the surface unit cell with 0.77 nm dimensions.

Figure 2. (color online) a) Several dz/dV spectra from isolated molecule to one monolayer coverage spanning the range of C₆F₆ aggregation for different numbers of nearest neighbors. b) The experimentally observed stabilization energy relative to an isolated molecule from the data in a). The theoretical stabilization energy is calculated by the tight binding model for fractal islands consisting of 50-60 molecules.

Figure 3. (color online) a) The radial distributions of the $\mathbf{N}=(0-3,0,0)$ probability densities averaged over the azimuthal and surface normal coordinates, showing the increasing superatom character as n_r is increased. The locations of C and F atoms are indicated. The characteristic properties of SAMOs include dominant non-nuclear probability density within the hollow ring and beyond the F atom perimeter, as well as density minima on C and F atoms. b) The comparison of the probability densities of the superatom σ^* LUMO, with the aromatic π and π^* HOMO and LUMO+1. Unlike the σ^* orbital, the π orbitals are localized on C and F atoms. c) The calculated band dispersions for HOMO, LUMO and LUMO+1. The π ($m_{eff}=-40m_e$) and π^* ($m_{eff}=-80m_e$) bands are essentially dispersionless, whereas σ^* ($m_{eff}=2m_e$) has NFE character. The orbital densities for the three bands contrast the large intermolecular density for σ^* with the localized character of π and π^* . Only one component of each doubly degenerate π orbitals is shown.

Figure 4. (color online) The calculated energy level diagram and molecular orbitals of isolated C_6F_6 . The energy distribution of the n_r , l , and n_z quantum numbers is shown separately. Side and top views labeled by quantum numbers $\mathbf{N}=(n_r, l, n_z)$ are shown for the orbitals in Fig. 3a and 3b.

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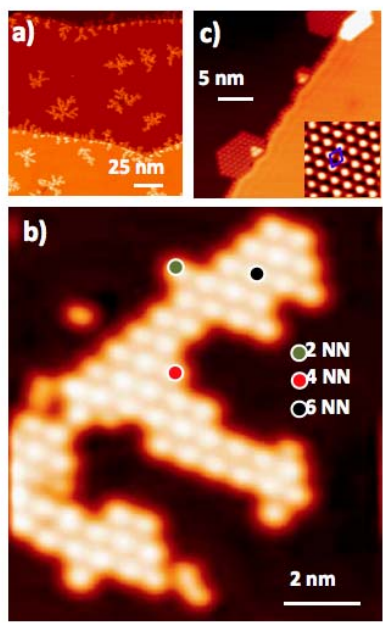


Figure 1. Dougherty et al.

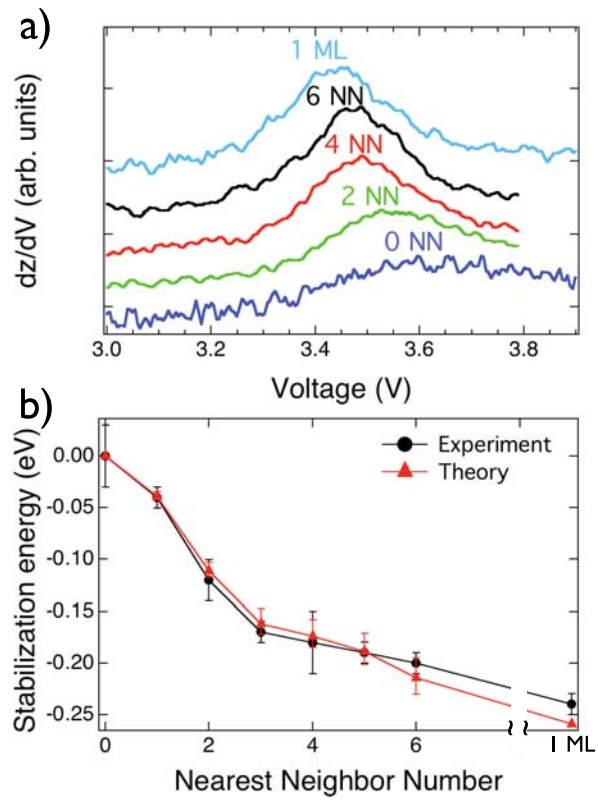


Figure 2. Dougherty et al.

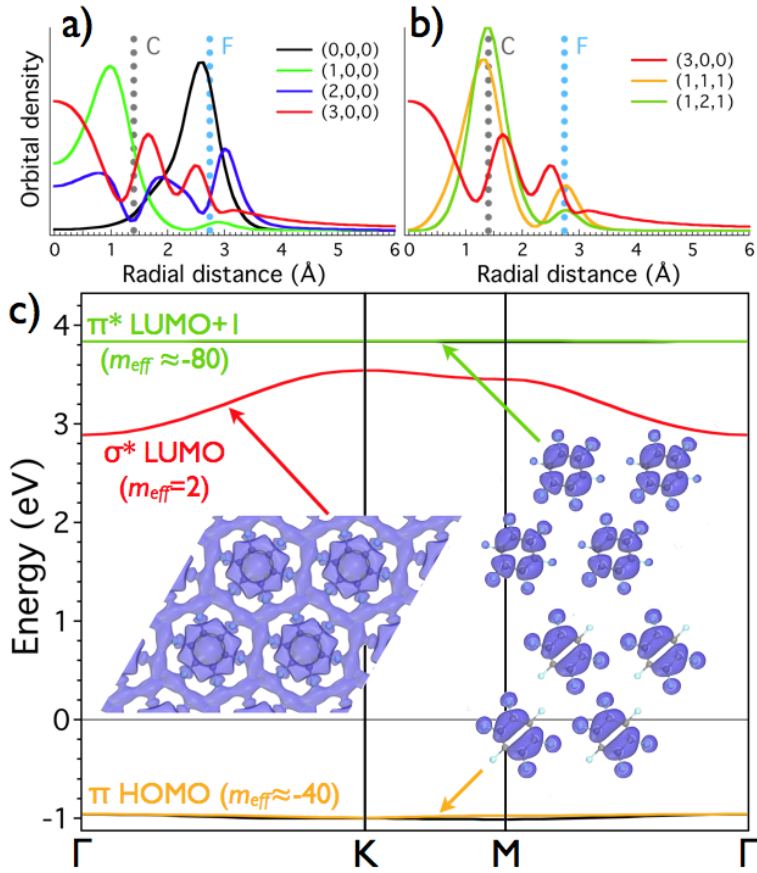


Figure 3. Dougherty et al.

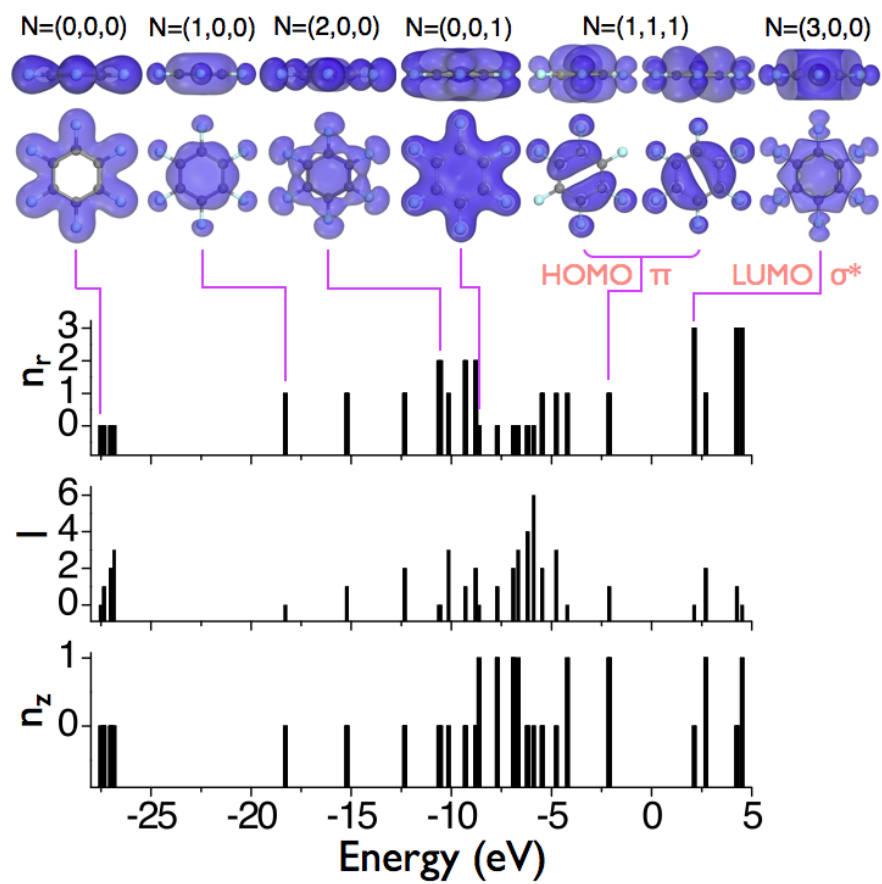


Figure 4. Dougherty et al.