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Chiral Spin Waves in Fermi Liquids with Spin-Orbit Coupling

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We predict the existence of chiral spin waves—collective modes in a two-dimensional Fermi liquid with the Rashba or Dresselhaus spin-orbit coupling. Starting from the phenomenological Landau theory, we show that the long-wavelength dynamics of magnetization is governed by the Klein-Gordon equations. The standing-wave solutions of these equations describe “particles” with effective masses, whose magnitudes and *signs* depend on the strength of the electron-electron interaction. The spectrum of the spin-chiral modes for arbitrary wavelengths is determined from the Dyson equation for the interaction vertex. We propose to observe spin-chiral modes via microwave absorption of standing waves confined by an in-plane profile of the spin-orbit splitting.

Introduction.—The rapidly developing field of spintronics aims to manipulate electron spins by electric rather than magnetic fields. Since spin-orbit (SO) interaction allows for such a coupling, electron systems with SO interaction have been under intense study. A particularly interesting issue is the role of the electron-electron interaction in such systems [1, 2]. SO-coupled Fermi liquids (FLs) are expected to exhibit a rich variety of effects, which arise only from a combination of the electron-electron and SO interactions, such as spin-split and Rashba phases [3, 4], unusual Friedel oscillations [5, 6], and spin textures [7], to name just a few. The focus of this Letter is on the collective excitations in a SO-coupled FL.

The effect of the SO coupling on the electron spin can be thought of as resulting from an effective magnetic field which, in contrast to the real field, depends on the magnitude and direction of the electron momentum. With this analogy in mind, collective modes in an SO-coupled FL are somewhat similar to spin waves in a FL subject to a (real) magnetic field [8–10]. Spin waves occur because the exchange interaction couples precessing spins located at some distance from each other; this results in a dispersive mode which starts off at the unrenormalized (thanks to the Kohn’s theorem) Larmor frequency and decreases with the wavenumber. In the case of an SO-coupled Fermi gas, the components of the Kramers doublet are split even in the absence of the external magnetic field. The SO-split states differ by their chirality, i.e., a correlation in the directions of the electron momentum and spin. The rate of direct transitions between the chiral branches of the spectrum determines the frequency of the (zero-field) *combined* [11] or *chiral* spin resonance [12]. In an SO-coupled FL, SU(2) invariance of electron spins is broken; as a result, there is not one but at least two resonances at $q = 0$, corresponding to excitations of the in- and out-plane electron spins [12].

In this Letter, we predict a new type of collective modes in a two-dimensional (2D) FL with SO coupling: *chiral spin waves*. The macroscopic equations of motion for the modes are derived using the quantum Boltzmann equation and the phenomenological FL theory. In the

limit of small q and in the absence of damping, these equations assume a form of Klein-Gordon equations for the in- and out-of-plane components of magnetization. The standing-wave solutions of these equations can be thought of massive “particles” with effective masses that depend on the strength of the electron-electron interaction. These masses not only differ in magnitude but also may be of opposite *signs*. The SO-splitting, Δ , plays the role of a potential energy of these particles. A lateral modulation of Δ along a 2D electron (2DEG) plane acts as a potential well confines particles. We propose to observe standing spin-chiral waves via microwave absorption in the presence of a local gate voltage which modulates the SO-coupling.

Equations of motion.—We consider a 2D system of electrons in the presence of Rashba SO coupling (α), described by the Hamiltonian [11] $H = \frac{p^2}{2m} + \alpha (\boldsymbol{\sigma} \times \mathbf{p}) \cdot \hat{z} + H_{\text{int}}$, where m is the effective electron mass, $\boldsymbol{\sigma}$ are the Pauli matrices, \hat{z} is the unit vector along the normal to the 2DEG plane, and H_{int} entails the electron-electron interaction. We assume that the splitting of the Rashba subbands, $\Delta = 2|\alpha|p_F$ (where p_F is the Fermi momentum at $\alpha = 0$), is much smaller than the Fermi energy. In this case, the SO coupling can be treated as a perturbation [12]. A key quantity in the Landau’s phenomenological theory of a Fermi liquid is the deviation of the occupation number matrix for quasi-particles (QPs), $\delta\hat{n}_{\mathbf{p}}(\mathbf{r}, t)$, from its equilibrium value, n^0 . The Boltzmann equation can be written as

$$\partial_t \delta\hat{n} + i[\hat{n}, \hat{\varepsilon}]_- + \mathbf{v} \cdot \nabla_{\mathbf{r}} \delta\hat{n} - \frac{1}{2} [\nabla_{\mathbf{r}} \delta\hat{\varepsilon}, \partial_{\mathbf{p}} n^0]_+ = \left(\frac{\partial \delta\hat{n}}{\partial t} \right)_{\text{coll}}, \quad (1)$$

where $\hat{\varepsilon}$ plays a role of the 2×2 Hamiltonian for QPs and is a functional $\delta\hat{n}$ and $[A, B]_{\pm}$ denotes (anti)commutator of A and B . (For brevity, the dependences of $\delta\hat{n}$ on \mathbf{p} , \mathbf{r} , and t are suppressed.) The right-hand-side of Eq. (1) describes scattering of QPs, which we assume to be dominated by disorder. Treating the SO coupling as a perturbation to the SU(2) symmetric FL, we follow the notations in [12] and represent $\delta\hat{n}$ as a sum of the perturbations due to the SO coupling and due to external forces

$$\delta\hat{n} = \delta\hat{n}_{\text{SO}} + \delta\hat{n}_{\text{ext}} = \partial_{\varepsilon} n^0 \delta\hat{\varepsilon}_{\text{SO}} + \partial_{\varepsilon} n^0 \hat{u}, \quad (2)$$

where $\hat{u}_{\mathbf{p}}(\mathbf{r}, t) = u_{\mathbf{p}}^i(\mathbf{r}, t)\tau^i$, $\tau^1 = -\sigma_z$, $\tau^2 = \boldsymbol{\sigma} \cdot \hat{\mathbf{p}}$, $\tau^3 = (\boldsymbol{\sigma} \times \hat{\mathbf{p}}) \cdot \hat{\mathbf{z}}$, and $\hat{\mathbf{p}} = \mathbf{p}/p$. The components of magnetization are expressed via $\hat{u}_{\mathbf{p}}^i$, projected onto the Fermi surface, as

$$M_i = \frac{g\mu_B}{4}\nu_F \int \frac{d\theta}{2\pi} \text{Tr}(\sigma^i \hat{u}_{\mathbf{p}_F}), \quad (3)$$

where g is the bare Landé factor of the electron, $\nu_F = m^*/\pi$ is the (renormalized) density of states, θ is the polar angle of \mathbf{p} , and μ_B is the Bohr magneton. To exploit the in-plane symmetry, we set $M_y = 0$ and keep only M_x and M_z . A deviation of the QP occupation number from the equilibrium results in a change of the QP energy

$$\begin{aligned} \delta\hat{\varepsilon} &= \delta\hat{\varepsilon}_{\text{SO}} - \frac{\nu_F}{2} \int \frac{d\theta'}{2\pi} \text{Tr}'(\hat{f}_{\mathbf{p}, \mathbf{p}'} \hat{u}_{\mathbf{p}'}') \\ \delta\hat{\varepsilon}_{\text{SO}} &= \alpha^* p_F \tau^3 = \Delta \tau^3/2, \end{aligned} \quad (4)$$

where $\hat{f}_{\mathbf{p}, \mathbf{p}'}$ is the Landau function, and prime refers to spin quantum numbers of the electron with momentum \mathbf{p}' . The effect of $\delta\hat{\varepsilon}_{\text{SO}}$ on $\delta\hat{\varepsilon}$ is accounted for via renormalization of the Rashba coupling $\alpha \rightarrow \alpha^* = \alpha/(1 + F_1^a/2)$, where F_ℓ^a is the ℓ th harmonic of the spin part of the Landau function [1, 12]. To leading order in SO coupling, the collision integral due to short-range impurities can be written as $-(\hat{u}_{\mathbf{p}} - \langle \hat{u} \rangle)/\tau$ where $\langle \hat{u} \rangle$ is the average over the directions of the momentum and τ is the impurity mean free time [13]. To the same accuracy, it suffices to keep the SU(2)-invariant form of the Landau function

$$\nu_F \hat{f}_{\mathbf{p}, \mathbf{p}'} = F^s(\vartheta) \hat{I} \hat{I}' + F^a(\vartheta) \boldsymbol{\sigma} \cdot \boldsymbol{\sigma}', \quad (5)$$

where ϑ is the angle between \mathbf{p} and \mathbf{p}' and both momenta are projected onto the Fermi surface. We further adopt the s -wave approximation, in which $F^a = \text{const} \equiv F_0^a$. This approximation allows one to obtain a closed-form solution of Eq. (1) without affecting the results qualitatively. With this assumption, one arrives at a closed system for M_x and M_z :

$$\begin{aligned} 4M_x &= F_0^a \int \frac{d\theta}{\pi} (\cos^2 \theta (\Pi_{+-} + \Pi_{-+}) + 2 \sin^2 \theta \Pi_{++}) M_x \\ &\quad - iF_0^a \int \frac{d\theta}{\pi} \cos \theta (\Pi_{+-} - \Pi_{-+}) M_z \end{aligned} \quad (6a)$$

$$2M_z = F_0^a \int \frac{d\theta}{2\pi} [\Pi_{\pm} (M_z + iM_x) + \Pi_{\mp} (M_z - iM_x)] \quad (6b)$$

where $\Pi_{+-} = \left(\Delta + \mathbf{v} \cdot \mathbf{q} + \frac{i}{F_0^a \tau} \right) (\Omega - \Delta - \mathbf{v} \cdot \mathbf{q})^{-1}$ with $\Omega = i\partial_t + i/\tau$, $\mathbf{q} = -i\nabla_{\mathbf{r}}$, and Π_{-+} and Π_{++} are obtained from Π_{+-} by substituting $\Delta \rightarrow -\Delta$ and $\Delta = 0$, respectively. The denominators of $\Pi_{ss'}$ are inverse operators in space and time: keeping M_i to the right of $\Pi_{ss'}$ emphasizes that. To obtain macroscopic equations of motion, we expand Eqs. (6a) and (6b) to order q^2 . In the ballistic limit ($\tau \rightarrow \infty$), the equations of motion are

of the Klein-Gordon type:

$$-\partial_t^2 M_x = \Delta^2 \left(1 + \frac{F_0^a}{2} \right) M_x - D_x \nabla_{\mathbf{r}}^2 M_x \quad (7a)$$

$$-\partial_t^2 M_z = \Delta^2 (1 + F_0^a) M_z - D_z \nabla_{\mathbf{r}}^2 M_z, \quad (7b)$$

where the mode stiffnesses depend on F_0^a as

$$D_x = - \left[\frac{2}{F_0^a} + \frac{17}{4} + \frac{13}{8} F_0^a - \frac{(F_0^a)^2}{16(1 + F_0^a/2)} \right] v_F^2 \quad (8a)$$

$$D_z = \left[\frac{4}{F_0^a} + \frac{13}{2} + \frac{5}{2} F_0^a \right] v_F^2. \quad (8b)$$

Consequently, the dispersions of the modes are $\Omega_i^2 = \Delta^2(1 + F_0^a \delta_i) + D_i q^2$, where $\delta_x = 1/2$ and $\delta_z = 1$. At $q = 0$, these equations reduce to chiral spin resonances in the s -wave approximation [12]. For a repulsive interaction, F_0^a varies in between 0 (free electrons) and -1 (a ferromagnetic instability). While D_z is positive within this interval, D_x changes sign at $F_0^a = F_c \approx -0.625$ (cf. Fig. 1). For $F_c < F_0^a < 0$, the signs of D_x and D_z are opposite. In the presence of damping, the $q = 0$ form of Eqs. (7a) and (7b) changes to

$$-\partial_t \left(\partial_t + \frac{1}{\tau} \right)^2 M_x = \Delta^2 \left[\left(1 + \frac{F_0^a}{2} \right) \partial_t + \frac{1 + F_0^a}{2\tau} \right] M_x. \quad (9a)$$

$$-\partial_t \left(\partial_t + \frac{1}{\tau} \right) M_z = \Delta^2 (1 + F_0^a) M_z. \quad (9b)$$

These equations describe Dyakonov-Perel spin relaxation [14] renormalized by the electron-electron interaction. The modes are well resolved in the ballistic limit, $\Delta\tau \gg 1$.

Exact spectrum of the collective modes.— To study the spectrum of the collective modes for arbitrary q , we consider the Dyson equation for the scattering amplitude in the limit $\tau \rightarrow \infty$ [15]

$$\begin{aligned} \Gamma_{s,r;s',r'}(P, K; Q) &= \tilde{\Gamma}_{s,r;s',r'}(P, K) \\ &\quad + \int_{P'} \tilde{\Gamma}_{s,t;s',t'}(P, P') \Phi_{tt'}(P'; Q) \Gamma_{t',r;t,r'}(P', K; Q), \end{aligned} \quad (10)$$

where $\tilde{\Gamma} = \lim_{\Omega \rightarrow 0, q/\Omega \rightarrow 0} \Gamma$, the “four-momenta” are defined as $P = (\omega, \mathbf{p})$ etc., and $s \dots t' = \pm 1$ label the Rashba subbands. The particle-hole correlators are given by $\Phi_{ss'}(P, Q) = (2\pi i Z^2 / v_F) \delta(\omega) \delta(p - p_F) \Pi_{ss'}$, with $\tau = \infty$ and $\Omega \rightarrow \Omega + i0 \text{sgn} \Omega$ in $\Pi_{ss'}$. Projecting $\Phi_{ss'}$ onto the Fermi surface in the absence of the SO coupling is permissible to leading order in Δ ; an explicit dependence on Δ is kept in $\Pi_{ss'}$.

To investigate the collective modes in the spin sector, we need to keep only the spin part of $\tilde{\Gamma}$ which, in the s -wave approximation, is identified as

$$Z^2 \nu_F \tilde{\Gamma}_{s,t;s',t'}^a(P, P') = F_0^a \langle s' \mathbf{p} | \boldsymbol{\sigma} | s \mathbf{p} \rangle \cdot \langle t' \mathbf{p}' | \boldsymbol{\sigma} | t \mathbf{p}' \rangle, \quad (11)$$

where Z is the QP renormalization factor and $\langle s' \mathbf{p}' | \boldsymbol{\sigma} | s \mathbf{p} \rangle$ are the Pauli matrices in the chiral basis

$$|s, \mathbf{p}\rangle = \begin{pmatrix} 1 \\ -i s e^{i\theta} \end{pmatrix}. \quad (12)$$

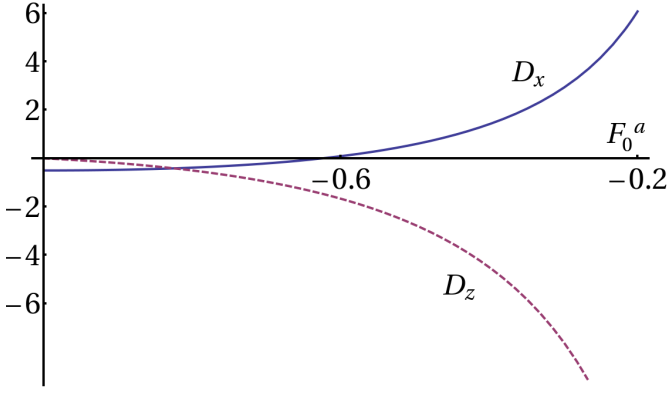


FIG. 1. (color on-line). Stiffnesses of the x -mode (solid) and z -mode (dashed) as a function of F_0^a .

Even in the s -wave approximation, $\tilde{\Gamma}_{s,t;s't'}^a$ depends on the directions of the electron momenta via $\sigma_{ss'}$. Since Eq. (10) holds for any K , the vertex can be factorized as

$$\Gamma_{s,r;s',r'}(P,K;Q) = \eta_{ss'}(P;Q)\eta_{r,r'}(K;Q) \quad (13)$$

Near the poles of Γ , we have

$$\eta_{ss'} = \frac{F_0^a}{2} \sum_{t,t'} \int \frac{d\theta'}{2\pi} \langle s' \mathbf{p}_F | \boldsymbol{\sigma} | s \mathbf{p}_F \rangle \cdot \langle t' \mathbf{p}'_F | \boldsymbol{\sigma} | t \mathbf{p}'_F \rangle \Pi_{tt'} \eta_{t't}. \quad (14)$$

Changing the variables as $\mu_{ss'} = \Phi_{s's}\eta_{ss'}$ and expanding $\mu_{ss'}$ over a complete basis set as $\mu_{ss'} = \sum_{n=0}^{\infty} (\mu_{ss'}^n \cos(n\theta) + \bar{\mu}_{ss'}^n \sin(n\theta))$, we cast Eq. (14) into the form of Eqs. (6a) and (6b) with $M_z \rightarrow \mu_{+-}^1 + \mu_{-+}^1$ and $M_x \rightarrow (\mu_{+-}^1 - \mu_{-+}^1)/2 + i\bar{\mu}_{++}^1$.

The resulting angular integrals can be solved for arbitrary values of q , after which the spectra of the modes are found numerically. Figure 2 shows the spectra for $F_0^a = -0.5$. The higher-frequency mode is the spin-chiral wave of M_x , which runs into the particle-hole continuum at $q < \Delta/v_F$. The lower-frequency mode is the spin-chiral wave of M_z which merges with the continuum at $v_F q = \Delta$. Beyond the s -wave approximation, the number of spin-chiral modes is infinite but the $q = 0$ frequencies of the modes corresponding to higher harmonics are located closer the particle-hole continuum and are thus damped heavier than the low-harmonic ones.

Experimental setup.— For standing-wave solutions, $M_i \sim \exp(i\Omega_i t)$, Eqs. (7b) and (7a) are transformed into the “Schrodinger equations” for massive particles

$$\left[-\frac{1}{2\mathbf{m}_i} \partial_{\mathbf{r}}^2 + V_i(\mathbf{r}) \right] M_i = E_i M_i, \quad (15)$$

where $i = \{x, z\}$, the “effective masses” are related to the stiffnesses in Eqs. (8a) and (8b) via $\mathbf{m}_i = 1/2D_i$, $E_i = \Omega_i^2$, and $V_i(\mathbf{r}) = \Delta^2(\mathbf{r})(1 + F_0^a \delta_i)$ are the “potential energies”, which we now allow to vary slowly (compared

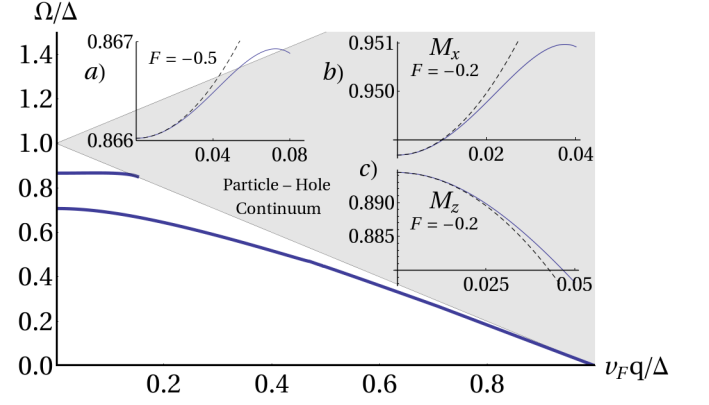


FIG. 2. (color on-line). Spectrum of chiral spin waves for $F_0^a = -0.5$. Inset a): zoom of the small- q region for the x -mode. Insets b) and c): spectra of the x - and z modes respectively, for $F_0^a = -0.2$ and small values of q . The dashed curves represent the parabolic approximation.

to the electron wavelength) in the 2DEG plane [16]. The lateral variation of Δ confines the spin-chiral modes and thus allows to extract the information about their dispersion, similar to how it was done for spin waves in He3 [9] and alkaline metals [10].

The effective mass of the z -mode is negative for any F_0^a within the interval from -1 to 0 . Therefore, the z -mode is confined by a *potential barrier* in Δ , as shown in the bottom part of Fig 3 b. The effective mass of the x -mode is positive for $F_c < F_0^a < 0$ and negative for $-1 < F_0^a < F_c$ ($F_c \approx -0.625$). In the former case, the x -mode is confined by a *potential well* in Δ , as shown in the top part of Fig 3 b; in the latter case, the x -mode is confined in the same way as the z -mode, i.e., by a *potential barrier*. We propose to modulate Δ by applying a gate voltage to a part of the 2DEG. The width of the gate should be chosen to be much larger than the electron wavelength, so that the electron motion would not be affected by the gate. Suppose that $F_c < F_0^a < 0$, so that the effective masses of the x and z modes are of the opposite signs. In this case, a gate voltage of certain polarity confines only one type of modes. Discrete energy levels of the confined mode can be detected by microwave absorption. Although it is not *a priori* known which of the modes is confined, the control experiment would be to reverse the polarity of the gate voltage, which would result in confining the mode with the opposite sign of the effective mass. Since not only the signs but also the magnitudes of the effective masses of the two modes are different, the distances between the peaks in the absorption spectra would change on reversing the polarity of the gate voltage. If $-1 < F_0^a < F_c$, both modes are either confined or deconfined for a given polarity of the gate voltage. By reversing the polarity, one would either suppress absorption or see a dense absorption spectrum. The profile of $\Delta(\mathbf{r})$ must satisfy certain requirements.

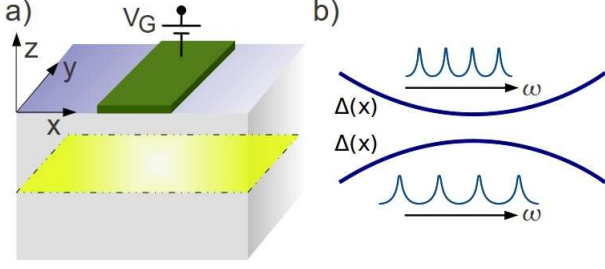


FIG. 3. (color on-line). a) Sketch of the suggested experimental setup. Top gate modulates the SO splitting. b) Top: A minimum in Δ confines the x -mode with a positive effective mass. Bottom: A maximum in Δ confines the z -mode. The corresponding microwave absorption spectra are shown schematically in arbitrary units.

To be specific, we focus on the z -mode with the negative effective mass. Suppose that Δ varies along the x axis in a stepwise manner, i.e., $\Delta(x) = \Delta_0 = \text{const}$ for $|x| > a/2$ and $\Delta(x) = \Delta_0 + W = \text{const}$ for $|x| < a/2$ with $W > 0$. A one-dimensional (symmetric) potential well has at least one bound state. However, to distinguish between single spin-chiral resonances, which exist even in the absence of the interaction, and true quantized spin-chiral waves, one needs to observe several bound states. Using Eq. (15), we find the minimal condition for having more than one bound state as $(\Delta_0 W + W^2/2)(1 + F_0^a)|\mathbf{m}_z|a^2 \geq 1$ [17], which implies the ratio of a to the SO length, $\lambda_{\text{SO}} \equiv 1/2m|\alpha|$, should exceed a threshold value:

$$\frac{a}{\lambda_{\text{SO}}} \geq \left(2 \frac{\frac{4}{F_0^a} + \frac{13}{2} + \frac{5}{2}F_0^a}{1 + F_0^a} \right)^{1/2} \frac{1}{(W/\Delta_0 + W^2/2\Delta_0^2)^{1/2}}. \quad (16)$$

In a GaAs heterostructure with $\alpha = 5 \text{ meV} \cdot \text{\AA}$ [18], $\lambda_{\text{SO}} \approx 1 \mu\text{m}$. According to Eq. (16), a should be larger than $6.6 \mu\text{m}$ for $F_0^a = -0.3$ [19] and $W/\Delta_0 = 0.5$. For larger $|F_0^a|$ and W/Δ_0 , the threshold value of a is closer to λ_{SO} . The condition on the observation of the x -mode is more stringent, as this mode runs into the continuum at $q \approx 0.2/\lambda_{\text{SO}}$ (cf. Fig. 2). Therefore, the x -mode is observable only for $a \gtrsim 30\lambda_{\text{SO}}$.

The second condition is that the distance between the bound states must be larger than their width, which is of order $1/\tau$ in the ballistic regime. For a potential well with a few bound states, this condition amounts to $|\mathbf{m}_z|a^2 \ll \tau^2$. For $|F_0^a| \sim 1$, the last condition translates into $a \ll v_F\tau$, which is the same as the condition for the ballistic regime, i.e., $\Delta\tau \gg 1$. Assuming that α does not depend on the number density n , we find that $\Delta\tau = 3.5 \times 10^{-6} \sqrt{n[10^{11}\text{cm}^{-2}]} \mu[\text{cm}^2/\text{Vs}]$ in a GaAs heterostructure, where μ is the mobility. The ballistic limit is achieved only if $\mu > 10^6 \text{ cm}^2/\text{Vs}$.

An obvious way to excite the chiral spin modes is by the magnetic field, \mathbf{B} , oscillating near the resonance fre-

quency. Re-writing Eqs. (7a) and (7b) as $\hat{L}_i M_i = 0$, it is easy to see that in the presence of the field these equations become $\hat{L}_i M_i = g^2 \mu_B^2 \nu_F \Delta^2 \delta_i B_i / 4$. In addition, the SO interaction allows for a coupling of spins to an in-plane electric field, \mathbf{E} :

$$\hat{L}_x M_x = -\frac{g\mu_B}{4} \nu_F \Delta^2 \frac{\alpha e E_y}{\Omega_{x0}}, \quad (17a)$$

$$\hat{L}_z M_z = 4 \left(1 - \frac{F_0^a \Delta^2}{\Omega_{z0}^2} \right) \left(\frac{\alpha e}{\Omega_{z0}} |\mathbf{E} \times \hat{z}| \right)^2 M_z, \quad (17b)$$

where Ω_{0i} are the resonance frequencies at $q = 0$. While the x -mode couples linearly to the electric field [12], the z -mode is generated to second order in E . Equation (17b) describes a parametric resonance in M_z excited by the electric field with frequency Ω_{z0} . The initial amplitude of M_z can be provided by a pulse in B_z .

It is worth noting that all of the results presented above remain the same if the Rashba SO interaction is replaced by the Dresselhaus one. If the Rashba and Dresselhaus interactions are present simultaneously, spin-chiral modes become non-sinusoidal. Hence, it is better to perform the experiment on a symmetric quantum well which has only the Dresselhaus but no Rashba interaction.

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