



CHORUS

This is the accepted manuscript made available via CHORUS. The article has been published as:

Subangstrom Edge Relaxations Probed by Electron Microscopy in Hexagonal Boron Nitride

Nasim Alem, Quentin M. Ramasse, Che R. Seabourne, Oleg V. Yazyev, Kris Erickson, Michael C. Sarahan, Christian Kisielowski, Andrew J. Scott, Steven G. Louie, and A. Zettl

Phys. Rev. Lett. **109**, 205502 — Published 16 November 2012

DOI: [10.1103/PhysRevLett.109.205502](https://doi.org/10.1103/PhysRevLett.109.205502)

Sub-Ångstrom edge relaxations probed by electron microscopy in hexagonal boron nitride (h-BN)

Nasim Alem^{1,2,3}, Quentin M. Ramasse^{4,*}, Che R. Seabourne⁵, Oleg V. Yazyev^{1,3,6},
Kris Erickson^{1,3}, Michael C. Sarahan⁴, Christian Kisielowski⁷, Andrew J. Scott⁵,
Steven G. Louie^{1,3}, and A. Zettl^{1,2,3,*}

* To whom correspondence should be addressed: qmrmasse@superstem.org;

azettl@berkeley.edu

¹ Department of Physics, University of California Berkeley, California 94720, USA

² Center of Integrated Nanomechanical Systems, University of California Berkeley, 94720, USA

³ Materials Sciences Division, Lawrence Berkeley National Laboratory, Berkeley, California
94720, USA

⁴ SuperSTEM Laboratory, STFC Daresbury, Keckwick Lane, Daresbury WA4 4AD, U.K.

⁵ Institute for Materials Research, SPEME, University of Leeds, Leeds LS2 9JT, U.K.

⁶ Institute of Theoretical Physics, Ecole Polytechnique Fédérale de Lausanne (EPFL), CH-1015
Lausanne, Switzerland

⁷ National Center for Electron Microscopy and Joint Center for Artificial Photosynthesis,
Lawrence Berkeley National Laboratory, Berkeley, California 94720, USA

Abstract: Theoretical research on the two-dimensional crystal structure of hexagonal boron nitride (h-BN) has suggested that the physical properties of hBN can be tailored for a wealth of applications by controlling the atomic structure of the membrane edges. Unexplored for hBN, however, is the possibility that small additional edge-atom distortions could have electronic structure implications critically important to nanoengineering efforts. Here we demonstrate, using a combination of analytical scanning transmission electron microscopy and density functional theory, that covalent interlayer bonds form spontaneously at the edges of a h-BN bilayer, resulting in sub-Ångstrom distortions of the edge atomic structure. Orbital maps calculated in 3D around the closed edge reveal that the out-of-plane bonds retain a strong π^* character. We show that this closed edge reconstruction, strikingly different from the equivalent case for graphene, helps the material recover its bulk-like insulating behavior and thus largely negates the predicted metallic character of open edges.

The atomic structure of two-dimensional crystal membranes can modulate their electronic, magnetic and optical properties, making these materials desirable for a variety of applications [1, 2]. For example, zigzag graphene nanoribbons were recently predicted to realize controllable half-metallic electronic structure, with tantalizing potential for spin-based electronic devices on the nanometer scale [3]. Atomically-thin sheets of hexagonal boron nitride (h-BN) possess an atomic structure analogous to graphene, but with drastically different electronic properties. The atomic arrangement of h-BN consists of alternating boron and nitrogen atoms forming a honeycomb structure. The individual sheets of h-BN are stacked with the boron atoms residing on top of the nitrogen atoms, with no offset within a column. Although structurally pristine sheets of h-BN maintain a band gap larger than 5 eV, edges and defects in an h-BN membrane

can dramatically modify the electronic structure of this two-dimensional material by introducing in-gap states [4, 5]. BN nanoribbons with certain edge geometries and termination have even been theorized to have local magnetic moment [6]. Therefore, similar to graphene, modulations in the atomic structure of h-BN can help tailor its properties for a variety of applications, especially within the field of spintronics.

Recent advancements in ultra-high resolution aberration-corrected electron microscopy have opened up the possibility of studying the local structure and bonding of two-dimensional materials one atom at a time [7-10]. Beyond the mere technical prowess, we show here that these techniques can be applied to concrete and complex issues in materials design: we have used state-of-the-art Scanning Transmission Electron Microscopy (STEM) and Electron Energy Loss Spectroscopy (EELS) to observe and analyze sub-Ångstrom structural relaxations that take place at the edges of an h-BN bilayer. Coupling such precise experimental data with first principles calculations have lead us to the discovery of new physical effects in h-BN. This study shows that the edge atoms undergo an unusual distortion that is strikingly different from bilayer graphene. Orbital maps, obtained by mapping in 3D the unoccupied density of states probed by EELS, have assisted in our understanding of the nature of bonding at the distortion, as well as rationalizing changes to the core-level spectra in a novel fashion. We show that covalent interlayer B-N bonds are spontaneously formed across the adjacent layers, resulting in a structure analogous to a folded single-layer h-BN. This reconstruction thus restores the electronic properties expected for a single layer h-BN with no edge effects. Understanding such underlying physical and chemical effects is very important in nano-engineering two dimensional crystals, such as h-BN and hBN/graphene hybrids, for desirable physical and electronic properties.

Figure 1 shows an annular dark field (ADF) STEM image of the edge of a bilayer h-BN sheet obtained by mechanical exfoliation from h-BN powder (see Supplementary Material). This area shows the formation of zigzag edges and a bilayer of h-BN next to the hole. During the growth of the hole in this region, narrow monolayer step edges were observed next to the hole before the monolayer atoms were further sputtered away. Such intensity variation around the hole confirmed presence of the bilayer h-BN in this region of the sample. The micrograph was processed using a maximum entropy-based probe deconvolution algorithm to improve noise levels (see Supplementary Material for details on the image processing). Even though this work was carried out under so-called 'gentle STEM conditions' (high vacuum at the sample below 5×10^{-9} Torr, 60 keV primary beam energy) [11], h-BN remains beam-sensitive and ultimately holes can be created in the middle of the membrane during imaging via knock-on damage from the electron beam. In addition to holes whose edges (predominantly of the 'zigzag' type) are stable enough for prolonged observation (at least over a few minutes), this process also results in the formation of boron monovacancies, which can be readily observed in Fig. 1 (see also the Supplementary material for further illustration). Remarkably, the higher magnification image of the region near the newly-formed hole in the bilayer h-BN in Fig. 1b shows that the atoms located immediately at the edge of the membrane, marked with red dots, exhibit considerable in-plane contraction relative to the bulk h-BN lattice. In addition to the obvious structural distortions, the edge atoms also show an increase in contrast (Fig. 1c), although EELS does not show any evidence of impurities or adatoms residing at the bright edge in this region of the sample.

We have used density functional theory (DFT) calculations to elucidate the local edge structure at the bilayer h-BN (see Supplementary Material). Figure 2a shows a schematic model in cross-sectional and plan views representing the predicted distortions at the edge of the bilayer h-BN.

This figure shows a model of a bilayer h-BN nanoribbon with two edges: one is forced to maintain its pristine, 'bulk-like' structure (Fig. 2a, bottom part) while the top edge of the membrane is allowed to minimize its energy through structural relaxation (Fig. 2a, upper part) in three-dimensional space. The calculated structural relaxations result in *interlayer covalent bonds* being formed across the bilayer zigzag edge leading to a “closed” edge termination. Our calculations predict an energy gain of -4.9 eV for each interlayer bond formed at the edge. Although these interlayer bonds in the out-of-plane direction have a covalent nature similar to the intralayer, in-plane sp^2 hybridized bonds within the bulk material, the curvature at the edge leads to hybridization between σ and π symmetry states.

The calculated structural model in Fig. 2a was used to simulate an annular dark field STEM image using experimental parameters (see the Supplementary Material). Figure 2b shows the simulated ADF-STEM image of this model. On the top, the edge atoms undergo in-plane distortion, while no structural distortion occurs on the bottom edge. Figure 2c compares the in-plane distortions observed between the experiment (Fig. 1b) and simulations (Fig. 2a). The black profiles show the atom positions along the zigzag direction with no distortion, while the red profiles represent the relaxed edge from experiment and simulation. The in-plane atomic relaxations at the edge of the bilayer h-BN show excellent agreement between the experiment and the simulation. This relaxation arises from an in-plane atomic distortion of 37 pm due to the formation of interlayer bonds along the z-direction. DFT calculations predict an out-of-plane distortion of 96 pm for each edge atom resulting in an interlayer B-N bond length of 146 pm across the bilayer, typical for the B-N bond length within the plane of sp^2 bonded h-BN. Local structural distortions of the same nature and magnitude have been previously observed at the h-BN membrane edges [12], BN helical cones [13] as well as boron monovacancies in bilayer h-

BN [7] with nitrogen edge atoms shifting by 37 pm in the in-plane direction and over 100 pm in the out-of-plane direction from their pristine position. In the case of relaxed edges in bilayer h-BN, this atomic displacement can significantly alter the channeling conditions for STEM imaging, leading to a contrast increase, observed experimentally and reproduced here in the image simulations (Fig. 2d).

Additionally, the edge reconstruction and more specifically the formation of a direct interlayer B-N bond are expected to result in important changes in the electronic configuration of the h-BN membrane edge, relative to the undistorted "bulk" or the undistorted "edge". These effects are probed by recording electron energy loss spectra from individual atom columns. Figure 3 shows an annular dark field image of the edge of the bilayer h-BN along with boron *K* edges for the atom columns with identical chemistry on the edge and in the middle of the membrane. A distinctly different fine structure for the boron *K* edge is observed for the atom columns on the edge and within the bulk in our experiment, most readily seen by a reversal of the π^* to σ^* peak intensity ratio (Fig. 3c). Figure 3c compares experimental and simulated EELS spectra (indicated by the subscripts 'e' for experiment and 't' for theory) for two atomic column positions on the edge (labeled '1' and '3' on the ADF image of Fig. 3a) and one position away from the edge (labeled '2' on the ADF image of Fig. 3a). It should be noted that although the image on Fig. 3a was recorded immediately prior to the acquisition of EELS spectra 1_e and 2_e, another image was used to position the beam for EELS spectrum 3_e. For visual conciseness, the label on Fig. 3a indicates an equivalent position at the edge of the sample; the actual location of the beam for spectrum 3_e can be found in the Supplementary material.

Simulations were carried out on equivalent atom columns using either the calculated 'relaxed' (closed) edge of a bilayer h-BN, or 'pristine' (open) model in which no interlayer bonding takes

place. Positions 1_t , 2_t and 3_t on Fig. 3c (resp. 1_e , 2_e and 3_e) were considered equivalent in the simulations to both the pristine and relaxed edge structures. As can be seen in Fig. 3b, the near-edge fine structure from positions 1_e and 2_e in Fig. 3a matches remarkably well with the fine structure predicted by the first principles calculations carried out on the atom columns 1_t (relaxed) and 2_t (relaxed) for the relaxed edge model. In addition, the experimental EELS spectra acquired on the atom columns 1_e and 3_e also show a similar fine structure to the simulated EELS spectra obtained from atom columns 1_t (relaxed) and 3_t (relaxed) in the relaxed edge model. By contrast, when comparing with the pristine structure, there is a significant difference in Fig. 3b between the fine structure of the experimental EELS spectra at points 1_e and 2_e and the simulated EELS spectra on the 1_t (pristine) and 2_t (pristine) atom columns. This comparison unambiguously validates the proposed relaxed (closed) edge model, and confirms the formation of interlayer bonds at the relaxed edge of the bilayer h-BN. Both experiments and simulations show a significantly higher π^* to σ^* ratio of the boron K edge on the edge of the relaxed structure when compared to the bulk. In electronic structure terms this observation points to a higher contribution to the unoccupied density of states of the material of π^* orbital character at the edge of the sample, while away from the edge, the σ^* orbital contribution is more dominant. We thus gain remarkable insight into the nature of the interlayer bond.

Figure 4 presents an unoccupied orbital map for the π^* energy region as defined by the bulk-like position '2' in Fig. 3. The map consists in a density plot of all unoccupied theoretical orbitals with energy entirely within the π^* region for atom '2'; it is a three-dimensional dataset and only a representative cross-sectional slice is shown, from the plane indicated on Fig. 4a. The map shows that the π^* orbitals retain a significant density around the distortion even though they lie in an in-plane direction with respect to the membrane, a direction along which they are normally very

weak in the bulk. Furthermore, the absolute orbital intensity is larger at the distortion as compared to the middle of the membrane. This could be directly related to the experimental observation that at the relaxed edge the π^* peak intensity is significantly increased relative to the σ^* peak. In addition, it might further suggest an enhanced preference for electrons to undergo transitions to π^* orbitals at the distortion as compared to the middle of the membrane. Such behavior is also observed around the curved walls of carbon nanotubes at large collection angles[14, 15]. The geometry of the reconstructed edge of a bilayer h-BN sheet could be compared locally to a BN nanotube with an extremely short radius of curvature. We therefore suggest that the change of directionality with respect to the beam of the π^* orbitals along the interlayer bonds forming at the relaxed edges of h-BN gives rise to this shift in the transition of electrons into π^* as opposed to σ^* orbitals observed in the EELS.

This newly-understood behavior may in turn have profound implications for tailoring the electronic properties of h-BN-based nanostructures. Figure 5 shows the computed band structure of bilayer h-BN nanoribbons with open and closed edges compared to the band structure of bulk bilayer h-BN calculated using the supercell dimension which corresponds to the nanoribbon models (see the Supplementary material). While bulk bilayer h-BN is characterized by a large band gap (4.4 eV within the present level of theory, see Fig. 4a), open edges introduce localized states in the gap, essentially making the edges metallic (Fig. 4b). By closing the edges, bilayer h-BN saturates dangling bonds thus recovering the large band gap of bulk bilayer h-BN. Additionally, we find that the relatively small curvature radii at the closed edges have very little effect on the band gap (4.3 eV). This finding is closely related to the calculations predicting nearly constant band gaps of BN nanotubes of different diameters [16-18].

In summary, utilizing a variety of atomically resolved imaging and spectroscopy techniques coupled with first-principles calculations, this study reveals new physical and chemical effects at the edges of h-BN. Sub-Ångstrom structural distortions and bonding changes result from the spontaneous formation of interlayer bonds at the edges of bilayer h-BN. Such structural distortions can significantly transform the electronic properties of h-BN flakes. Our spectroscopy results suggest an enhanced probability at the distortion for electrons to transition to π^* orbitals when excited, a behavior previously observed in carbon nanotubes and boron nitride sheets [15, 19-21]. Although h-BN nanoribbons are predicted to show a metallic behavior locally at open edges, we demonstrate here that distortions arising from the covalent interlayer bonds across the bilayer h-BN can recover the insulating character of bulk bilayer h-BN. Such unusual bonding and local distortions across the edges in h-BN are strikingly different from graphene, and have been further rationalized using novel 3D orbital mapping. Functionalizing the edges in h-BN, through chemical vapor deposition of h-BN nanoribbons for instance, could keep the edges open and result in a significantly different electronic behavior in this material. This study shows that small additional distortions in the atomic structure of the engineered nanocrystals can lead to peculiar physical, chemical and electronic properties, which, if not understood properly, may hinder efforts to tailor material's properties for desirable applications. Only by examining the engineered structure carefully, one atom at a time, and understanding the competing chemical and physical effects, can one successfully nano-engineer such materials.

References:

- [1] J. H. Chen *et al.*, Nat Phys **7**, 535 (2011).
- [2] M. Schubert *et al.*, Phys Rev B **56**, 13306 (1997).
- [3] Y. W. Son, M. L. Cohen, and S. G. Louie, Nature **444**, 347 (2006).
- [4] H. B. Zeng *et al.*, Nano Letters **10**, 5049 (2010).
- [5] Z. H. Zhang, and W. L. Guo, Phys Rev B **77**, 075403 (2008).
- [6] M. Topsakal, E. Akturk, and S. Ciraci, Phys Rev B **79** (2009).

- [7] N. Alem *et al.*, *Phys Rev Lett* **106**, 126102 (2011).
- [8] O. L. Krivanek *et al.*, *Nature* **464**, 571 (2010).
- [9] K. Suenaga, and M. Koshino, *Nature* **468**, 1088 (2010).
- [10] L. P. Hansen *et al.*, *Angew Chem Int Edit* **50**, 10153 (2011).
- [11] O. L. Krivanek *et al.*, *Ultramicroscopy* **110**, 935 (2010).
- [12] C. Collazo-Davila *et al.*, *Appl Phys Lett* **72**, 314 (1998).
- [13] L. Bourgeois *et al.*, *Philos Mag A* **80**, 129 (2000).
- [14] Y. Sun, and J. Yuan, *Phys Rev B* **71**, 125109 (2005).
- [15] E. Najafi *et al.*, *Ultramicroscopy* **113**, 158 (2012).
- [16] X. Blase *et al.*, *Europhys Lett* **28**, 335 (1994).
- [17] R. Arenal *et al.*, *Phys Rev Lett* **95**, 127601 (2005).
- [18] R. Arenal, X. Blase, and A. Loiseau, *Advances in Physics: Boron-nitride and boron-carbonitride nanotubes: synthesis, characterization and theory* 15 Feb. 2010), Vol. 59 (2), pp. 101.
- [19] R. Arenal, M. Kociak, and N. J. Zaluzec, *Appl Phys Lett* **90**, 204105 (2007).
- [20] L. Bourgeois *et al.*, *Phys Rev B* **61**, 7686 (2000).
- [21] L. Bourgeois *et al.*, *Acta Crystallogr A* **55**, 168 (1999).

Acknowledgements

This work is supported by U.S. DOE Contract DEAC02-05CH11231 which provided for the preliminary TEM characterization and theoretical calculations. The Center of Integrated Nanomechanical Systems (COINS) with grant number EEC-0832819 provided for sample preparation. O.V.Y. and S.G.L. acknowledge support from NSF Grant No. DMR10-1006184. O.V.Y. was in part supported by the Swiss National Science Foundation (Grant No. PP002-133552). Computational resources have been provided by TeraGrid (Kraken). C.R.S. would like to thank the high-performance computing team at the University of Leeds. The SuperSTEM Laboratory is funded by the U.K. Engineering and Physical Sciences Research Council (EPSRC).

Figure Captions:

Figure 1. Imaging structural relaxations at the edge of bilayer h-BN. (a) Annular Dark Field STEM image of a large bilayer h-BN sheet showing a hole with straight zigzag edges. (b) The individual atoms at the magnified h-BN edge are marked with red dots. A distortion pulls the zigzag edge atoms away from the hole creating a curvature at the edge. (c) The intensity at the edge of the bilayer is increased, while no impurities or adatoms were found to reside at this part of the edge.

Figure 2. Image simulations using the calculated structures for closed and open edges. (a) Cross-sectional and plan view schematics of a predicted DFT model showing the formation of interlayer bonds at the zigzag edges of a bilayer h-BN, resulting in local three-dimensional atomic displacements. (b) A simulated ADF-STEM image from the predicted DFT model. (c) The relaxed (closed) edge of the simulated structure on the top shows enhanced intensity contrast compared to the pristine (open) edge. (d) Experimental and simulated in-plane atomic coordinates are compared with and without distortion in the zigzag direction. The relaxed edges in the experiment (Fig. 1b) precisely follow the predicted edge distortions from first principles calculations of closed edges.

Figure 3. Experimental and simulated EELS data along with annular dark field (ADF) images of bilayer h-BN at the edge. (a) ADF image of bilayer h-BN at the edge along with its EELS spectra at points 1_e , 2_e and 3_e . (b) Cross-sectional and plan view of the pristine and relaxed models of bilayer h-BN edge. The dashed lines on the cross sectional schematics correspond to the atomic columns 1_t , 2_t and 3_t for the pristine and relaxed edge models. Please note that a different image was used to position the beam for spectrum 3_e . The label 3_e indicates here an 'equivalent' atomic column, while the actual beam location image is provided in the Supplementary material. (c) The experimental and simulated EELS spectra at points 1, 2 and 3 already marked on images (a and b). Subscript 'e' stands for experiment and 't' for theory.

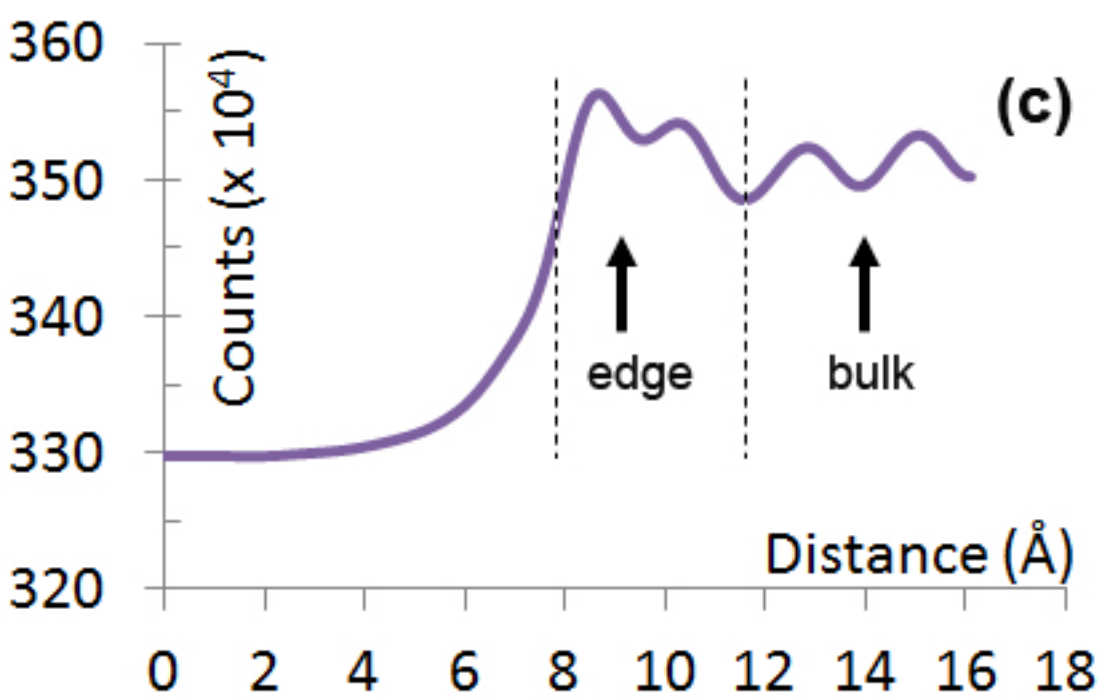
Figure 4. Orbital map of the closed bilayer edge. (a) Depiction of the cross-sectional slice at which unoccupied orbitals have been plotted (b) EELS unoccupied orbital map, illustrating the unoccupied orbitals which have energy windows lying within the π^* region for the bulk-like position '2'. Colors towards the red end of the spectrum indicate greater orbital intensity.

Figure 5. Calculated electronic band structures of three configurations of h-BN. (a) bulk bilayer h-BN and in the presence of (b) open and (c) closed edges calculated from first principles. The structural transformations are schematically shown using the atomistic models.

(a)

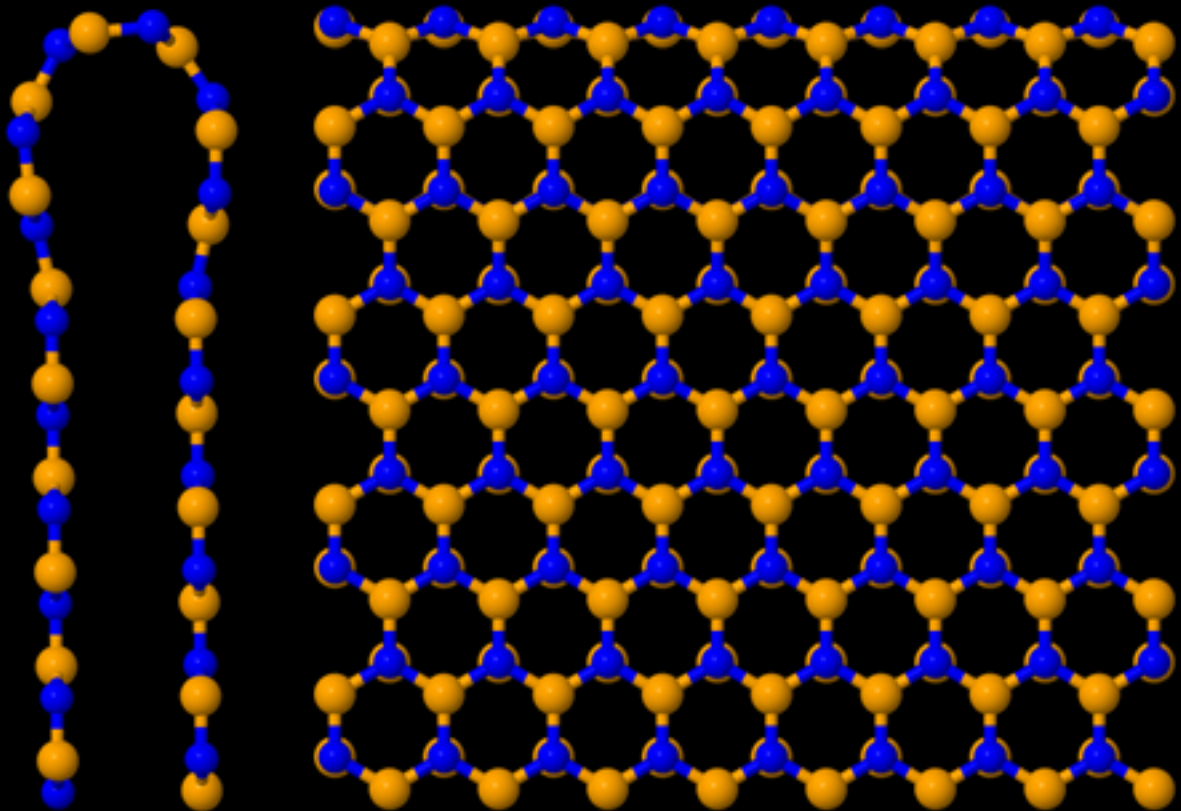
1 nm





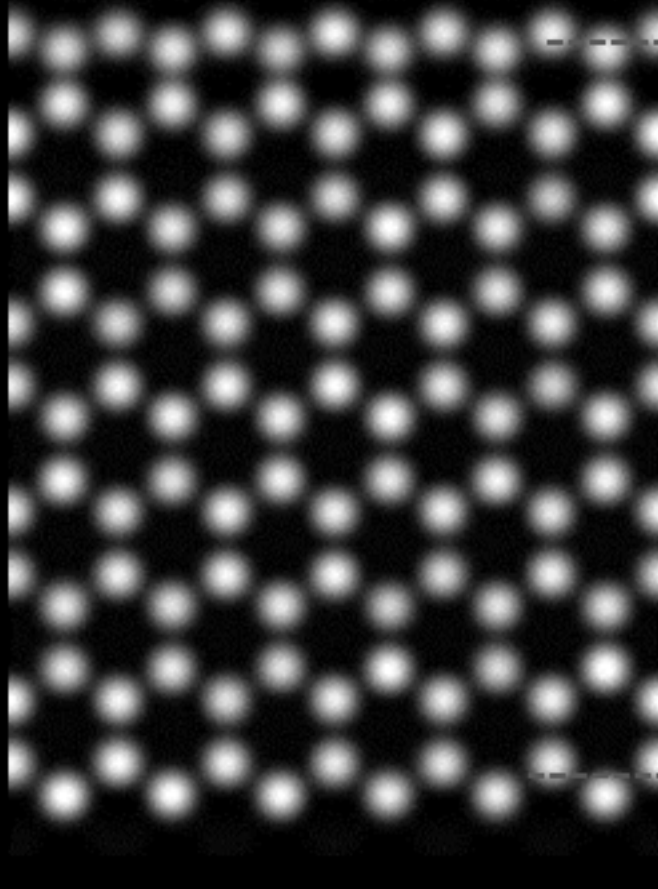
Closed
Edge

(a)

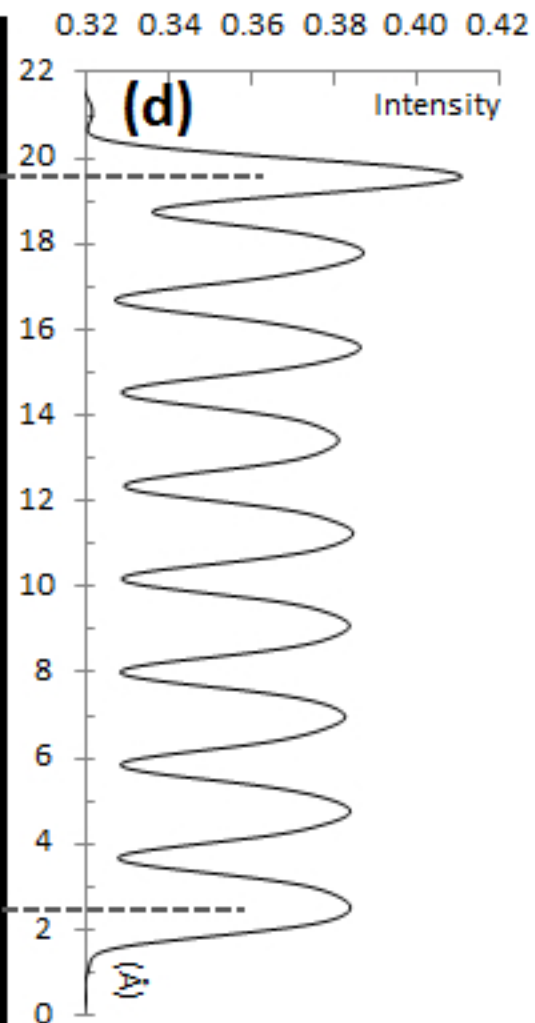


Open
Edge

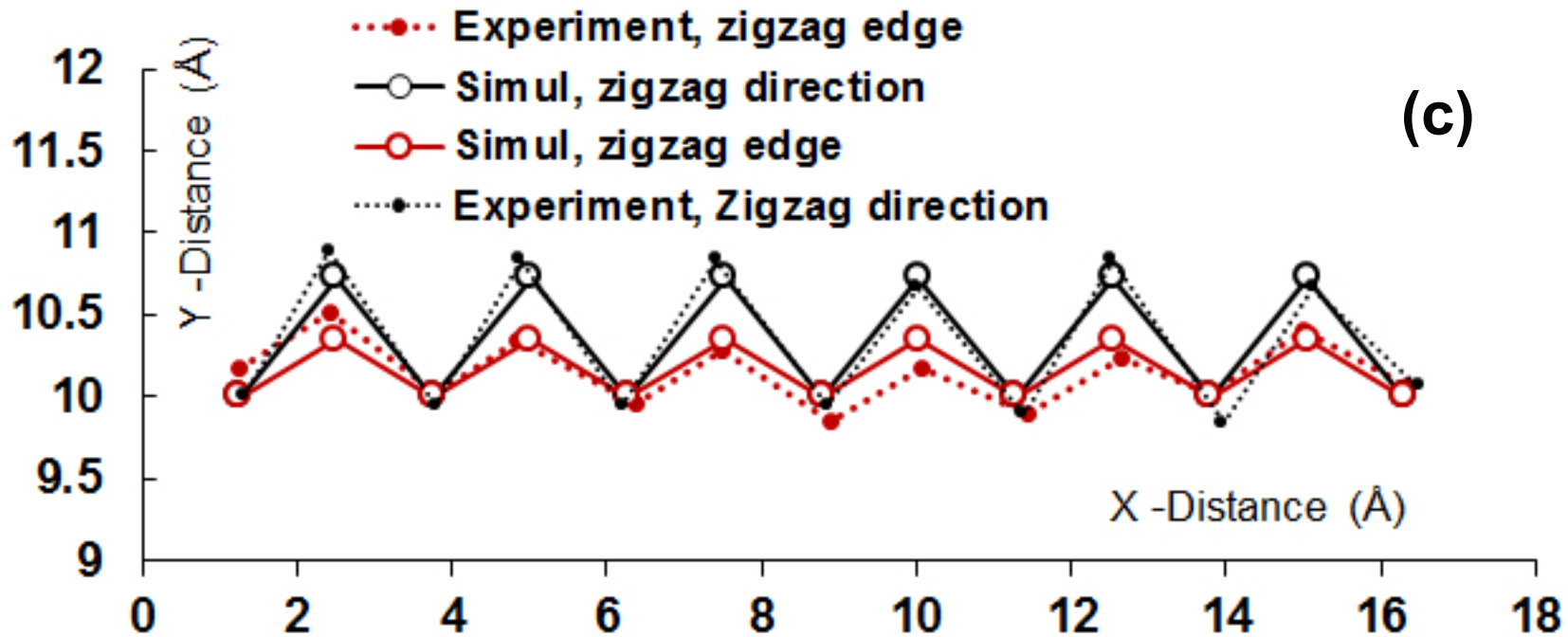
(b)



(d)



(c)



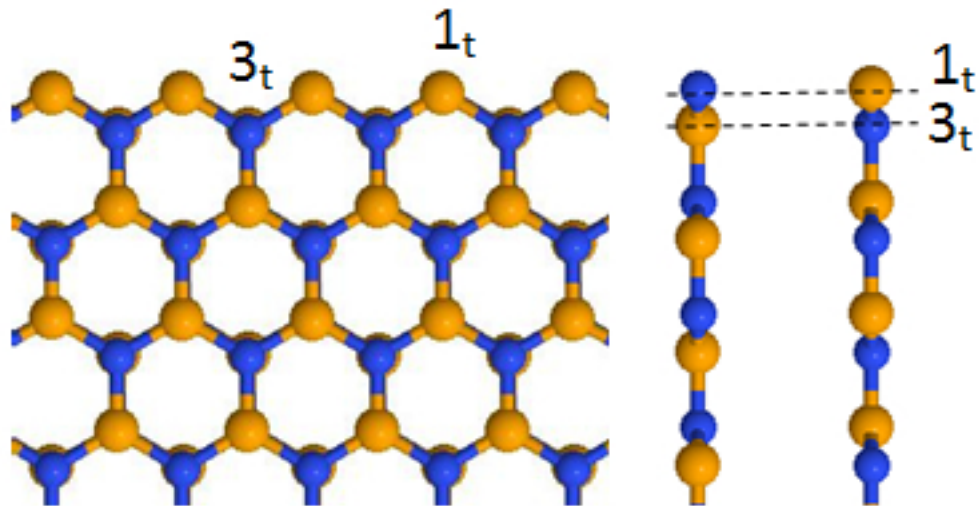
(a)

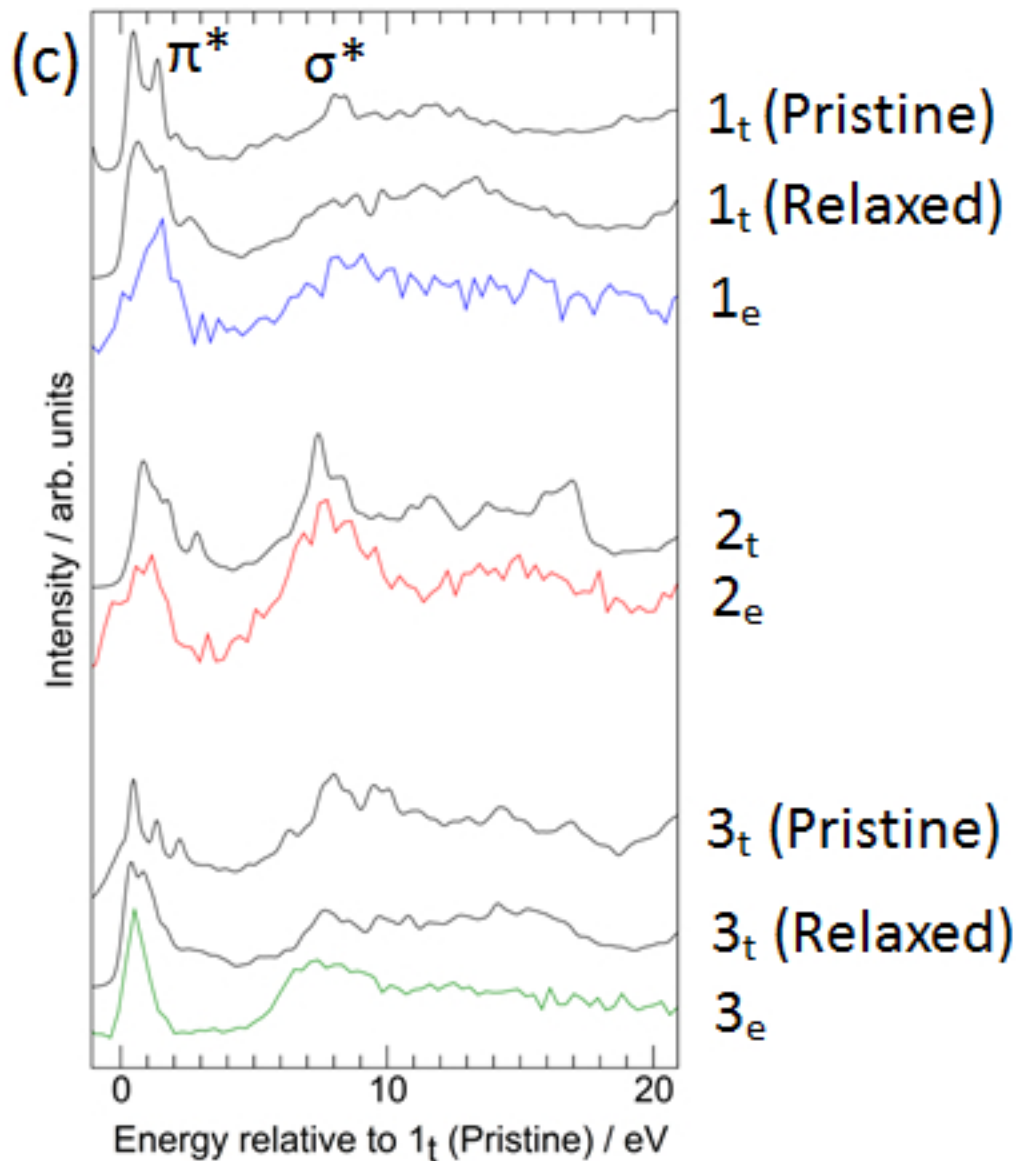
3_e
○

1_e
○

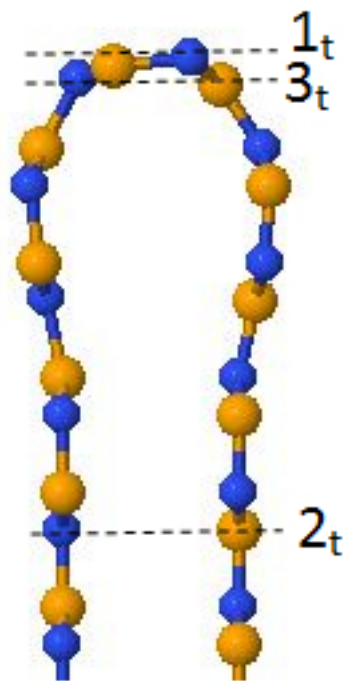
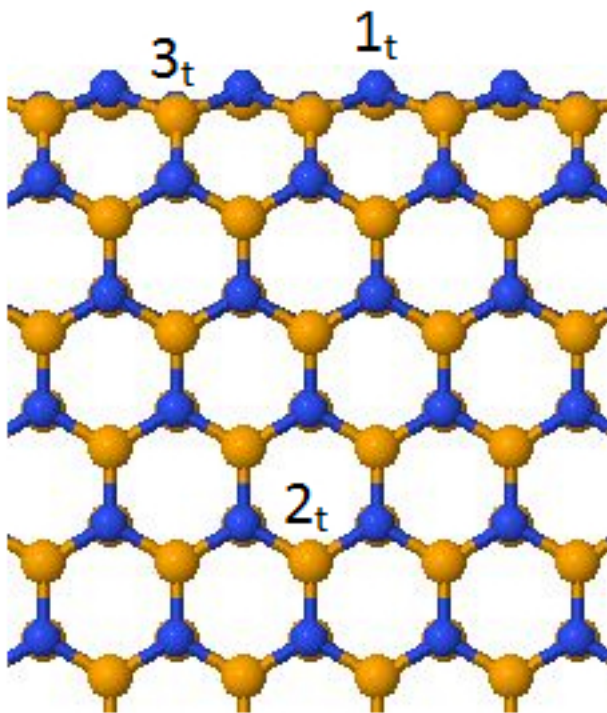
○
 2_e

(b) Pristine edge

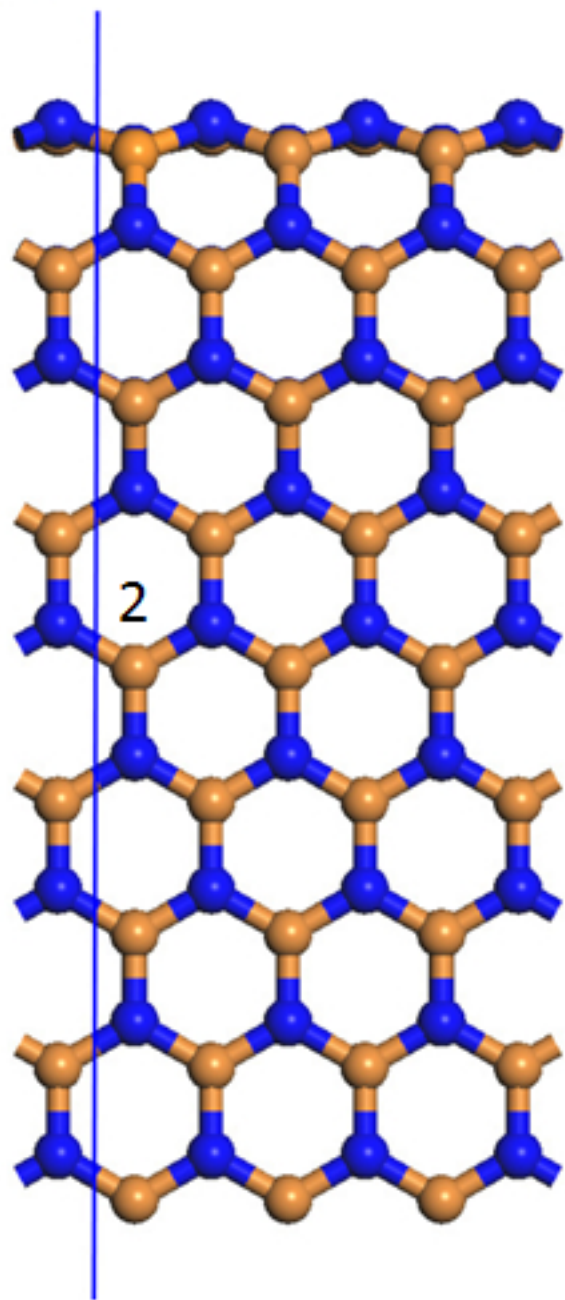




Relaxed/distorted edge



(a)



(b)

