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High-Accuracy Measurement of Atomic Polarizability in an Optical Lattice Clock

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Presently, the Stark effect contributes the largest source of uncertainty in a ytterbium optical atomic clock through blackbody radiation. By employing an ultracold, trapped atomic ensemble and high stability optical clock, we characterize the quadratic Stark effect with unprecedented precision. We report the ytterbium optical clock's sensitivity to electric fields (such as blackbody radiation) as the differential static polarizability of the ground and excited clock levels $\alpha_{\rm clock} = 36.2612(7) \; {\rm kHz} \; ({\rm kV/cm})^{-2}$. The clock's fractional uncertainty due to room temperature blackbody radiation is reduced an order of magnitude to 3×10^{-17} .

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An atom immersed in an electric field $\vec{E}_{\rm a}$ becomes polarized—the electronic wave-function is stretched into alignment with the field. Generally, energies of the lowest-lying electronic quantum states $|i\rangle$ are reduced by $-\frac{1}{2}\alpha_i^{(0)}E_{\rm a}^2$ (see Fig. 1) where $\alpha_i^{(0)}$ is termed a state's static polarizability [1]. The scaling of the Stark effect is quadratic because $\vec{E}_{\rm a}$ is responsible for inducing, and also interacting with, an atomic dipole moment.

Neutral atom lattice clocks [2] employ 10³–10⁵ ultracold alkaline-earth atoms tightly confined in an opti-

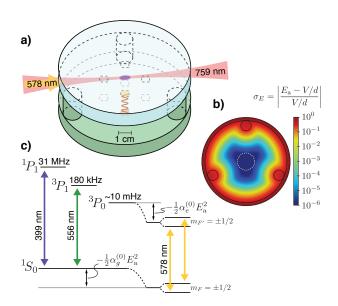


FIG. 1. a) A scale drawing of transparent conductive electrodes surrounding a one-dimensional optical lattice of ytterbium atoms. Four pairs of metallic pads (one of which is highlighted) allow in situ interferometric measurement of the electrode spacing d. b) Deviations σ_E of the applied electric field $E_{\rm a}$ from the ideal field created by infinite-planar electrodes become negligible in the central 1 cm region (dashed white circle). c) Relevant energy levels, laser transition wavelengths, and linewidths $(\Gamma/2\pi)$ of $^{171}{\rm Yb}$. Clock states 1S_0 and 3P_0 are shown Stark-shifted by \vec{E}_a .

cal standing wave potential so their intrinsically narrow, largely imperturbable, ${}^1S_0 \leftrightarrow {}^3P_0$ transitions [3] may establish stable and accurate frequency and time references [4, 5]. In analogy to a pendulum's oscillation slowing due to thermal expansion, a ytterbium lattice clock slows when electrically stretched, or polarized, by thermal blackbody radiation (BBR) fields [6, 7]. This phenomenon has been measured in the cesium fountain primary standard [8, 9] and other optical transitions [1, 10, 11].

No shield at finite temperature protects a clock atom from the time varying electric field of BBR, the electromagnetic energy absorbed and re-emitted by all matter in thermal equilibrium according to the Stefan-Boltzmann law [12]. Inside a hollow shell of opaque matter (a black-body), the time-averaged electric field intensity depends strongly on the absolute temperature T [13], $\langle E^2 \rangle_T \approx (8.319 \text{ V/cm})^2 (T/300 \text{ K})^4$. Near room temperature, the spectrum of radiation is peaked strongly near 9.6 μ m, far detuned from strong electronic transitions in ytterbium.

The ytterbium clock frequency ($\nu \approx 518$ THz) is shifted by the net BBR Stark effect of the two clock states, which can be expressed as

$$\Delta\nu_{\rm BBR} = -\frac{1}{2} \left(\alpha_e^{(0)} - \alpha_g^{(0)} \right) \langle E^2 \rangle_T \left[1 + \eta_{\rm clock}(T) \right], \quad (1)$$

where $\alpha_{g,e}^{(0)}$ are the static polarizabilities of ground and excited states (1S_0 and 3P_0 , respectively), and $\eta_{\rm clock}(T)$ [7] is a small computed parameter accounting for the dynamic aspect of the BBR field [14]. At room temperature, $\eta_{\rm clock}$ is at most a 2% contribution to $\Delta\nu_{\rm BBR}\approx-1.3$ Hz. More significantly, knowledge of $\alpha_{\rm clock}\equiv\alpha_e^{(0)}-\alpha_g^{(0)}$ is theoretical, and limited to 10% accuracy due to the complexity of this many-electron atom [7, 15, 16]. The uncertainty in $\alpha_{\rm clock}$ contributed the dominant fractional frequency uncertainty, 2.5×10^{-16} , to the optical lattice clock when last evaluated [6].

To measure α_{clock} , and reduce the clock's uncertainty due to BBR, we fitted electrodes [17] to an existing ytterbium clock apparatus [6]. A voltage V on ideal

electrodes spaced by d in vacuum creates an electric field $E_{\rm a}=V/d$, shifting the clock transition by $\Delta\nu=-\frac{1}{2}\alpha_{\rm clock}(V/d)^2$. Deviations from this infinite-parallel plane capacitor model are bounded at the 10^{-6} (1 ppm) level by designing a large electrode diameter-to-spacing ratio, ensuring a high degree of parallelism, and centering the atoms radially within the electrodes. Perturbations due to dielectric and conducting mounting structure contribute similar amounts of field uncertainty.

The electrodes, shown in Fig. 1, are comprised of rigidly spaced parallel fused silica plates (101.6(1) mm in diameter, better than $\lambda/10$ flatness) featuring a transparent conductive coating on the inner surfaces. The outer surfaces are anti-reflection coated for all relevant laser wavelengths. The electrode separation, d =15.03686(8) mm, measured throughout data taking, is maintained by three fused silica rods bonded 45(1) mm from the center axis with hydroxide catalysis [18, 19]. d is determined interferometrically by measuring (in situ) the free-spectral-range $\nu_{\rm fsr} = c/2d$ of planar etalons formed by 90%-reflective metallic pads (33 nm gold on 2 nm chromium) deposited over the 0.3 nm indium-tin-oxide inner electrode faces [17]. Each 6 mm square pad is offset 28 mm from center. An external cavity diode laser is tuned by 17 THz around $\lambda_{\rm p} = 766~{\rm nm}$ to observe a set of fringes spanning $N_{\rm f} \approx 1700$ etalon transmission peaks. Each transmission feature, located with a wavelength meter to ± 50 MHz, has a linewidth of 500 MHz, consistent with a finesse $\mathcal{F} \approx 20$. Spacing ~ 10 observed peaks logarithmically allows an efficient least-squares determination of $\nu_{\rm fsr}$. Systematic wavelength and fringe-center inaccuracy is largely divided down by $N_{\rm f}$. Uncertainty in the metal pad thickness contributes 3×10^{-7} uncertainty to d. Gold's index of refraction $(n_r \approx 0.16)$ [20] varies gently about λ_p ; variations in ν_{fsr} with fringe index due to mirror phase shifts contribute an error of 1.2×10^{-6} . Stray etalons add a similarly sized line-pulling error. Electrode parallelism is constrained by the measured finesse as well as spatially independent pad-pair measurements of d. We resolved no thermal drifts in d.

Thin strips of silver-loaded epoxy join insulated wires to electrode perimeters. Two wires are redundantly bonded to each electrode to establish the sheet resistance of the conductive layer ($R_{\rm ito}=3~\rm k\Omega$). The parasitic resistance between the electrodes and the grounded vacuum structure is $R_{\rm leak}=316(9)~\rm G\Omega$ ($R_{\rm leak}=14.3(5)~\rm G\Omega$) below (above) an observed field-emission threshold occurring near 800 V. Leads to the electrodes have current-limiting resistances of 10 k Ω each; the worst-case $E_{\rm a}$ error from voltage division is 1.4×10^{-6} . We constructed a regulated voltage source producing 100 V–1050 V with 1.0×10^{-6} instability over 1 s–1000 s [21].

A clock interrogation cycle (360 ms) begins with slowing, cooling, and trapping $^{171}\mathrm{Yb}$ from an atomic beam with a magneto-optical trap operating first on the $^{1}S_{0} \leftrightarrow ^{1}P_{1}$ transition (399 nm), then on the narrower $^{1}S_{0} \leftrightarrow ^{3}P_{1}$

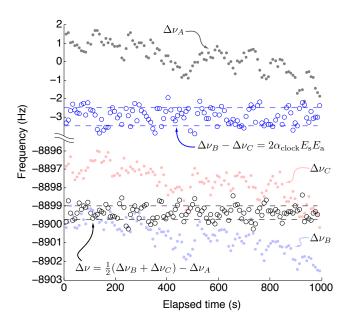


FIG. 2. Integrated clock laser error signals are shown for $E_{\rm a}\approx 700.6$ V/cm under three interleaved conditions: both electrodes grounded ('A', grey dots), and each electrode at high voltage with the other grounded ('B' and 'C', blue and red dots). Laser drift, common to these signals, is removed from the quadratic Stark shift signal (black open circles, see Eq. 2) and the stray field signal $\Delta\nu_B-\Delta\nu_C$ (blue open circles). Dashed lines show standard deviations for these signals. A passive feed-forward linear drift canceler reduced clock laser drift to 4 Hz over this 1000 s data run.

transition (556 nm). Ultracold atoms (10 μ K) are confined by an optical lattice (1D) at the so-called magic wavelength near 759 nm resulting in no net ac-Stark shift between 1S_0 and 3P_0 [3, 22]. Atoms are optically pumped into one nuclear-spin state ($m_F=\pm 1/2$) of 1S_0 . A π -polarized pulse (100 ms) of resonant 578 nm laser radiation coherently excites one of two $\Delta m_F=0$ transitions (split by 500 Hz by an applied magnetic field \vec{B}) to the long-lived 3P_0 state (see Fig. 1). A series of laser pulses converts the resulting clock state populations into fluorescence signals which are then normalized against atom number fluctuations [6].

The stabilized 578 nm laser is independently locked to the atomic transition under three interleaved conditions: both electrodes grounded (condition 'A'), and each electrode at high voltage with the other grounded ('B' and 'C'). Each condition, mediated by opto-coupled reed-relays, lasts $\tau_{\rm v}=2.9$ s, during which the clock laser maintains a fractional frequency stability approaching 3×10^{-16} [23]. In each period $\tau_{\rm v}$, two interrogations are performed on each side of both nuclear-spin spectroscopic features. Slow laser drifts are common to all three integrated error signals, $\Delta\nu_A$, $\Delta\nu_B$, and $\Delta\nu_C$ (see Fig. 2).

The quadratic Stark shift is

$$\Delta \nu = \frac{1}{2} (\Delta \nu_B + \Delta \nu_C) - \Delta \nu_A. \tag{2}$$

The total Allan deviation [24] is used to determine the statistical uncertainty of $\Delta \nu$. For presented data, $\vec{E}_{\rm a} \parallel \vec{E}_{\rm lattice}$ (both were perpendicular to \vec{B}), though other configurations were examined.

Reversing $\vec{E}_{\rm a}$ [17] yields information about stray electric fields $\vec{E}_{\rm s}$ parallel to $\vec{E}_{\rm a}$ or differential contact potentials [25] (e.g. one electrode may develop a thin layer of ytterbium deposition). The difference $\Delta\nu_B - \Delta\nu_C = 2\alpha_{\rm clock}\vec{E}_{\rm a}\cdot\vec{E}_{\rm s}$ reveals that $|\vec{E}_{\rm s}\cdot\hat{z}|\approx 0.1$ V/cm (see Fig. 3); temporal drift and weak correlation with $\vec{E}_{\rm a}$ are observed.

Shifts due to a truly static field $\vec{E}_{\rm s}$ subtract completely in Eq. 2. However, time dependent changes, notably those correlated with the polarity of $\vec{E}_{\rm a}$, do not. Because of careful experimental design, we are not aware of any appreciable stray field source with such a correlation. Nevertheless, because an increase in dwell time $\tau_{\rm v}$ potentially allows an accumulation of unknown stray charge (and thus a correlated stray field), we varied $\tau_{\rm v}$ over 0.8 s-12 s and resolved a small but negligible correlation in $\Delta \nu$ at applied fields twice the maximum used for reported data. We observed no systematic variation in the measured polarizability $\alpha_{\rm clock}$ with $E_{\rm a}$. We note that for data presented here, $E_{\rm a}$ remained three orders of magnitude below the dielectric strength of fused silica, and five orders of magnitude below the characteristic level [26] for ITO electron emission. The time constant for electrode charging is 8 μ s. Typically, 100 ms is allowed for settling. Connecting high voltage to both electrodes creates an electric-gradient field; by observing $\Delta \nu < 30$ mHz with 2 kV applied, we constrain the atoms' radial position to ± 10 mm, consistent with visual observations.

Fig. 3a shows the observed clock frequency shift quadratically as a function of $E_{\rm a}$. When fit to a polynomial, the data are consistent with no quartic, cubic, linear, or offset terms—an ideal demonstration of the Stark effect as non-degenerate perturbation theory. No inhomogeneous line broadening is observed with increased shift, so the fractional statistical uncertainty in $\Delta \nu$ reduces as $E_{\rm a}^{-2}$. In contrast, the uncertainty of the applied voltage (the dominant systematic uncertainty) rises as E_a^2 according the specifications of our commercial voltmeters. Fig. 3c plots the polarizability inferred at each $E_{\rm a}$. Table I lists the sources of measurement uncertainty at a particular applied field. Taking the mean of all measurements, weighted by the total standard errors, we determine $\alpha_{\rm clock} = 36.2612(7) \text{ kHz}(\text{kV/cm})^{-2}$. A leastsquares functional fit (Fig. 3a) yields a consistent value for $\alpha_{\rm clock}$. Table II demonstrates the agreement between this measurement and theoretical predictions.

Neither static nor BBR fields cause spin-magnitudedependent ($\propto |m_F|^2$) tensor Stark shifts because both

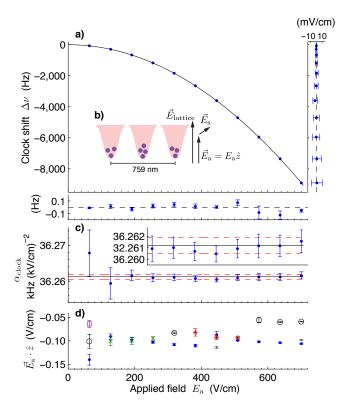


FIG. 3. The clock slows when stretched. a) Residuals of a quadratic fit $\Delta\nu = -\frac{1}{2}\alpha_{\rm clock}E_{\rm a}^2$ display measurement uncertainties in $\Delta\nu$ (below) and $E_{\rm a}$ (right). b) An inset depicts lattice trapped atoms and the relative orientations of $\vec{E}_{\rm lattice}$, \vec{E}_a , and hypothetical static stray field \vec{E}_s . c) At each $E_{\rm a}$, we display $\alpha_{\rm clock} = -2\Delta\nu/E_{\rm a}^2$ with combined statistic and systematic uncertainties (see Table I). An inset shows data at higher resolution. Solid and dashed lines show the final result and standard error, respectively. d) The component of a stray field $\vec{E}_s \parallel \vec{E}_a$ is precisely detected upon \vec{E}_a reversal. We observe more temporal variation in E_s than correlation with E_a . Data with different marker styles were acquired on separate days.

clock states have insufficient total angular momentum (F = F' = 1/2) [27]. Spin-sign-dependent $(\propto m_F)$ vector Stark shifts are absent as well: BBR has no net polarization and static fields lack the time dependence to be circularly polarized [28]. No opposite-parity states lie close to either clock state so no linear dependence of $\Delta \nu$ on $E_{\rm a}$ is expected or observed. A third-order effect [29] mixing the polarization due to the optical lattice $(E_{\rm lattice} \approx 10 \text{ kV/cm})$ and $E_{\rm a}$ is expected to cause a 10^{-9} fractional error at the highest $E_{\rm a}$, and is further suppressed with linear lattice polarization. A fourth-order term $\Delta \nu \propto E_a^4$ (the hyper-polarizability) is responsible for a similarly sized effect. We observed no systematic effect in $\Delta \nu$ upon varying the lattice intensity or polarization. We ensured that the atomic density did not systematically vary with application of E_a ; such a correlation could introduce contamination from the cold collision shift [30].

TABLE I. Uncertainty budget for a representative datum. Errors in $E_{\rm a}$ contribute twice the uncertainty as those in $\Delta\nu$ due to the dependence $\alpha_{\rm clock} = -2\Delta\nu/E_{\rm a}^2$. This factor of two is included in the tabulated quantities below. The total uncertainty in $\alpha_{\rm clock}$, for the particular measurement shown, is found by summing all contributions in quadrature.

Uncertainty source	$\times 10^{-6}$	Notes/conditions	
Shift statistical error	8.3	$\Delta \nu = -3603.77(3) \text{ Hz } (1800 \text{ s averaging})$	
Higher-order Stark shifts	0.01		
Electric field (E_a) errors:		$E_{\rm a} = 445.836(4) \text{ V/cm}$	
Voltmeter systematic	16.4	Regulated $670.3966(55)$ V	
R_{leak} voltage division	0.1	$I_{\mathrm{leak}} = 2.1 \text{ nA}; 20 \text{ k}\Omega \text{ leads}$	
Finite electrode size	1	Atoms centered $\pm 10~\mathrm{mm}$	
Electrode parallelism	4	$\theta_{ m wedge} < 7~\mu{ m rad}$	
Electrode deformation	0.8	Warping of fused silica by gravity	
Dielectric spacers	2	Perturbation of ideal field due to three fused silica posts	
Spacing d (statistical)	1.6	$N_{\rm f} > 1700$ fringes spanned	
Spacing d (systematic)	9	Fringe centering, wavemeter accuracy, stray etalons, stability	
Etalon probe tilt, ϕ	0.3	$(1-\cos\phi)$ error, $\phi\ll0.5$ mrad; retro-coupling single-mode fiber	
Yb thermal beam	0.06	Dielectric $(\epsilon_r - 1) \sim 8 \times 10^{-9}$	
Stray fields, static	0.04	Uncertainty in $\vec{E}_{\rm a}$ reversal	
Stray fields, varying	2	$\Delta \nu$ correlation with $\tau_{\rm v}$	
Uncertainty in $\alpha_{\rm clock}$	21		

TABLE II. Comparison with theoretical predictions. Results are also presented in SI and frequently used *atomic units* (a.u.) [1].

$\alpha_{ m clock} \equiv \alpha_e^{(0)} - \alpha_g^{(0)}$				
$[\mathrm{kHz} (\mathrm{kV/cm})^{-2}]$	[a.u.]	$10^{-39} [{\rm C~m^2/V}]$	Reference	
40.1(3.7)	161(15)	2.65(25)	[15]	
38.6(4.0)	155(16)	2.56(26)	[7]	
33(13)	134(51)	2.21(84)	[16]	
36.2612(7)	145.726(3)	2.40269(5)	this work	

We resolved no shift by systematically varying the electrodes between grounded and floating configurations. Finally, we observed no variation in Zeeman splitting with application of $E_{\rm a}$.

In practice, precise knowledge of $\alpha_{\rm clock}$ and $\eta_{\rm clock}$ (see Eq. 1) is not sufficient to determine the effect of BBR, since knowledge of the thermal environment is limited. Non-uniformities arise due to temperature gradients, a hot effusive oven tip (850 K) and heated viewport (600 K), each about 30 cm away from the trapped atoms, and vacuum walls and viewports with less than unity emissivity and opacity. At T=300(1) K, $\Delta\nu_{\rm BBR}=-1.273(17)$ Hz with a dominant effective 1 K uncertainty in T leading to a fractional uncertainty of 3×10^{-17} in the room temperature clock. A cryogenically shielded environment at T=77(1) K with carefully controlled optical access can reduce the BBR shift uncertainty to the

 1×10^{-18} regime [31]. A stray static field of 0.1 V/cm shifts the ytterbium clock transition -0.18 mHz, a fractional change of $4\times10^{-19}.\,$ A conductive enclosure at any temperature further ensures these, or smaller, stray static fields [32].

We note that our present uncertainty in $\alpha_{\rm clock}$ is competitive with the best known atomic or molecular polarizability, that of helium [33]. Beyond timekeeping, possible metrological applications of the present work include high voltage measurement without the use of resistive dividers or an atomic electric field meter sensitive at moderate field strengths, in contrast to Rydberg atoms [34] which are most sensitive at very low fields.

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- to define $\eta_{\rm clock} \equiv (\eta_e \alpha_e^{(0)} \eta_g \alpha_g^{(0)})/\alpha_{\rm clock}$ and compute $\eta_{\rm clock}(300 \text{ K}) = 0.0145(15)$. We note that $\eta_{\rm clock}$ most strongly depends on the value of the dipole reduced matrix element $\langle 5d6s \, ^3D_1||er||6s6p \, ^3P_0 \rangle$.
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