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1	Collisional 3 He and 129 Xe frequency shifts in Rb–noble-gas
2	mixtures
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7	Abstract
8	The Fermi-contact interaction that characterizes collisional spin exchange of a noble gas with an
9	alkali-metal vapor also gives rise to NMR and EPR frequency shifts of the noble-gas nucleus and the
10	alkali-metal atom, respectively. We have measured the enhancement factor κ_0 that characterizes
11	these shifts for Rb- ¹²⁹ Xe to be 493±31, making use of the previously measured value of κ_0 for Rb-
12	³ He. This result allows accurate ¹²⁹ Xe polarimetry with no need to reference a thermal-equilibrium
13	NMR signal.

The study of hyperpolarized noble gases generated by spin-exchange optical pumping 14 (SEOP) [1] continues to be vital and integral to recent work in many other fields, including 15 condensed matter physics [2], materials science [3], and medical imaging [4, 5]. A funda-16 mental aspect of SEOP physics is the collisional Fermi-contact hyperfine interaction $\alpha \mathbf{K} \cdot \mathbf{S}$ 17 between the noble-gas nuclear spin K and the alkali-metal electron spin S, where α is the 18 coupling strength. This interaction is not only responsible for spin-exchange hyperpolariza-19 tion of noble gases, but it also gives rise to complementary shifts in both the NMR frequency 20 of the noble gas and the EPR frequency of the alkali-metal vapor that are proportional to 21 the electron and nuclear magnetizations, respectively [6, 7]. These shifts provide insight into 22 the nature of the interatomic potentials that ultimately determine spin-exchange rates for a 23 given alkali-metal-noble-gas pair. If properly calibrated, the EPR shift also offers a simple 24 and robust means to do noble-gas polarimetry in a typical low-field (few gauss) SEOP ap-25 paratus. The enhancement factor κ_0 that characterizes the frequency-shift calibration has 26 been successfully measured for Rb- 3 He to about 2% [8, 9] but until now was known to only 27 about 50% for Rb-¹²⁹Xe [6]. The Rb-¹²⁹Xe measurement presents several method-dependent 28 challenges, among them the fact that, unlike helium, high densities of xenon are difficult 29 to polarize by SEOP. Indeed, the current lean-xenon flow-through method for generating 30 large quantities of highly polarized ¹²⁹Xe [10, 11] would benefit greatly from a more precise 31 measurement of κ_0 for Rb-¹²⁹Xe. In this work, we make consecutive measurements of the 32 NMR shifts of both ³He and ¹²⁹Xe at 2 T in the same glass cell under steady-state SEOP 33 conditions. In cells having relatively low Xe density ([Xe] ≤ 10 Torr at 20 °C) we use the 34 ratio of these shifts to deduce a much more precise temperature-independent value, 35

$$(\kappa_0)_{\rm RbXe} = 493 \pm 31,$$
 (1)

from the known value of $(\kappa_0)_{\text{RbHe}}$. In cells having [Xe] approx. ten times greater we observed an anomalous depression ($\approx 20\%$) of the shift ratio at the highest temperatures.

Averaged over many collisions, the interatomic hyperfine coupling results in a NMR frequency shift [6],

$$\Delta |\nu_X| = -\frac{1}{h} \frac{|\mu_K|}{K} \frac{8\pi}{3} \mu_B g_S \kappa_{XA} [A] \langle S_z \rangle, \qquad (2)$$

where X is the noble gas species, h is Planck's constant, μ_K is the nuclear magnetic moment, μ_B is the Bohr magneton, $g_S \approx 2$ is the Landé factor, [A] is the alkali-metal number density,

and $\langle S_z \rangle$ is the volume-averaged expectation value of the z-component of the alkali-metal 42 electron spin (in units of \hbar). The dimensionless factor κ_{XA} accounts for the enhancement 43 of $\Delta \nu_X$ over the value it would have if the electron-spin magnetization were distributed 44 continuously across a spherical sample. An equation complementary to Eq. (2) yields the 45 EPR shift $\Delta \nu_A$ of the alkali-metal electron in the presence of the nuclear magnetization 46 (proportional to $[X]\langle K_z\rangle$) with enhancement factor κ_{AX} . In the limit of high gas densities 47 [12] that holds for all of this work, i.e., the regime in which binary collisions and short-lived 48 van der Waals molecules dominate, $\kappa_{XA} = \kappa_{AX} \equiv \kappa_0$ for both Rb-³He and Rb-¹²⁹Xe [6]. 49 Eq. (2) is valid in this regime because the Rb spin is only slightly reoriented in a single 50 interaction. Moreover, an applied magnetic field several times the spin-orbit field further 51 suppresses electron reorientation in *all* molecules. 52

⁵³ A direct measurement of $(\kappa_0)_{\text{RbXe}}$ using Eq. (2) requires a measurement of the Rb magne-⁵⁴ tization (proportional to $[\text{Rb}]\langle S_z \rangle$). To avoid this and substantially simplify the experiment, ⁵⁵ we form the ratio

$$(\kappa_0)_{\rm RbXe} = (\kappa_0)_{\rm RbHe} \left(\frac{\gamma_{\rm He}}{\gamma_{\rm Xe}}\right) \left(\frac{2\Delta\nu_{\rm Xe}}{2\Delta\nu_{\rm He}}\right),\tag{3}$$

where γ_X are the noble-gas gyromagnetic ratios and $2\Delta\nu_X$ are the shifts in the respective 56 noble-gas NMR frequencies when the Rb vapor is exactly flipped from the low- to the high-57 energy Zeeman polarization state (LES and HES, respectively); $|[Rb]\langle S_z\rangle|$ is presumed to 58 remain constant under steady-state SEOP conditions. We note that "LES" and "HES" will 59 be used strictly in reference to the Rb polarization state and never to that of the 3 He or 60 129 Xe. In this work, we measure directly the frequency-shift ratio in Eq. (3), averaging many 61 measurements to reduce the statistical uncertainty. We then multiply by the previously 62 measured $(\kappa_0)_{\text{RbHe}} = 4.52 + 0.00934T$ [8] (presumed valid over our temperature range), 63 where T is the temperature in °C, to deduce $(\kappa_0)_{\text{RbXe}}$. 64

Measurements were made on six d=7 mm i.d. sealed uncoated Pyrex-glass spheres con-65 taining a few milligrams of naturally abundant Rb metal along with 3 He, Xe (enriched to 66 86% ¹²⁹Xe), and N₂ in the various ratios shown in Table I. The cells are broadly divided 67 into two categories containing high (50-100 Torr) and low (5-10 Torr) partial pressures of 68 Xe. Spheres were used because Eq. (3) is strictly valid only for the case of a uniform spher-69 ical distribution of Rb magnetization for which the net average through-space dipole field 70 is everywhere zero; effects due to imperfect geometry will alter the ³He shift only, because 71 $(\kappa_0)_{\rm RbHe}$ is on the order of unity, whereas $(\kappa_0)_{\rm RbXe}$ is two orders of magnitude larger. The 72

⁷³ small "pull-off" volume that results from cell fabrication (1-3% of the total cell volume in our ⁷⁴ case) and an inhomogeneous laser intensity through the cell can both give rise to nonspher-⁷⁵ ical Rb magnetization. We note that geometrical shifts due to the nuclear magnetizations ⁷⁶ are less significant: rapid diffusion (compared to spin exchange) more readily guarantees a ⁷⁷ near-spherical distribution. We have determined through a combination of numerical mod-⁷⁸ eling and experimentation that geometrical shifts amounted to no more than a 1-2% effect ⁷⁹ in even the most extreme cases.

NMR free-induction decays (FIDs) were acquired at $67.6 \text{ MHz} (^{3}\text{He})$ and $24.5 \text{ MHz} (^{129}\text{Xe})$ 80 in a horizontal-bore 2 T superconducting magnet (Oxford). The Apollo (Tecmag) console is 81 equipped with room-temperature shims and a gradient-coil set for imaging. The probe is a 82 35 mm diam Helmholtz coil immersed, along with the cell, in a safflower-oil bath contained 83 in an Al-block reservoir with a plate-glass window to admit laser light. The probe could 84 be tuned in situ from one nucleus to the other by manually switching in/out additional 85 capacitance without otherwise disturbing the apparatus. The Al block was heated with air 86 that flows past an external filament heater. The IR-transparent oil bath reduced temperature 87 inhomogeneity across the cell (observed with the laser on to be as large as 20 °C in a flowing-88 air oven) to < 1 °C. A 30 W diode-laser array model A317B (QPC Lasers), externally tuned 89 to the 795 nm D_1 resonance and narrowed to ≈ 0.3 nm with a Littrow cavity [13], was 90 mounted on an optical table with the optical axis aligned with the magnet bore (and the 91 cell) for SEOP; the maximum narrowed output was ≈ 20 W. The quarter-wave plate in the 92 optical train was mounted in such a way as to allow precise and reproducible manual rotation 93 of 180° about the vertical axis through its optical post, in order to rapidly reverse the Rb 94 magnetization—this is accomplished in a time on the order of the characteristic optical 95 pumping rate (a few tens of microseconds) after the waveplate has been flipped around; in 96 practice the reversal takes ≈ 0.5 s. 97

Prior to data acquisition, SEOP was performed on the cell for a time $\gtrsim 1$ h, sufficient to build up polarization in both nuclear species. An auto-shimming procedure was performed with the laser blocked using the ³He frequency spectrum to narrow the resonance line to ≈ 5 Hz. After unblocking the laser and allowing a SEOP steady state to be established, two FIDs were acquired with an intervening Rb magnetization reversal. This basic procedure took < 1 s to perform, minimizing the effects of static-field drift; it was then repeated ≈ 15 times before switching to the other nucleus. Provided $|[Rb] \langle S_z \rangle|$ remains constant



FIG. 1. (Color online) Typical ³He and ¹²⁹Xe spectra (shown prior to apodization) from cell 155B acquired one-after-the-other under steady-state SEOP conditions. The narrow ¹²⁹Xe peak at 0 Hz was acquired with the laser blocked; it has been amplitude-normalized to appear on the same graph. The double peak in the ¹²⁹Xe spectra at all but the lowest temperatures represents regions of highly polarized and nearly unpolarized Rb vapor; the lines are broadened and begin to coalesce due to diffusion of ¹²⁹Xe between these two regions. For ³He, the much smaller frequency-shift dispersion and more rapid diffusion yields a single narrow peak in all cases. The respective shifts in the spectral COM upon reversal of the Rb magnetization were used in Eq. (3) to extract (κ_0)_{RbXe}.

¹⁰⁵ throughout the measurement, the resulting frequency spectra yield ³He and ¹²⁹Xe shifts ¹⁰⁶ suitable for use in Eq. (3), although the analysis has several subtleties.

¹⁰⁷ The ¹²⁹Xe spectra in Fig. 1 have a characteristic two-peak structure for both the HES ¹⁰⁸ and LES compared to the narrow single peak acquired with the laser blocked (¹²⁹Xe still ¹⁰⁹ hyperpolarized but Rb unpolarized). In most cases, and particularly at higher temperatures, ¹¹⁰ [Rb] $\langle S_z \rangle$ is inhomogeneous due to lensing and attenuation of the laser light as it propagates ¹¹¹ through the cell; the spectrum is essentially a one-dimensional projection of the NMR fre-

Cell	Xe:N ₂ :He (Torr)	$(\kappa_0)_{\rm RbXe}$
155A	5:160:2200	495 ± 6
155B	10:250:2300	490 ± 5
155C	10:168:2300	530 ± 9
150A	50:175:1000	_
150B	110:350:2040	_
155D	50:172:1200	_

TABLE I. Summary of cell contents. All cells are sealed 7 mm i.d. uncoated Pyrex spheres. Quoted pressures are referenced to 20 °C and the Xe pressure is subject to $\approx 50\%$ uncertainty due to the filling procedure. $(\kappa_0)_{\text{RbXe}}$ is computed for each of the low-[Xe] cells from the weighted average of that cell's data; we have excluded the high-[Xe] cells because of their anomalous behavior at high temperature.

quency shift due to this inhomogeneous distribution of [Rb] $\langle S_z \rangle$. However, the shape is 112 also affected by diffusion. Diffusion of Xe is fast enough on the time scale of the FID that 113 a given spin sees at least a partial average of frequency shifts. We hypothesize that the 114 two-peak structure for ¹²⁹Xe emerges as [Rb] increases due to the abrupt transition in the 115 cell between fully polarized and nearly unpolarized Rb [1]. We thus observed substantial 116 fractions of the cell volume at the highest temperatures where the Rb polarization was quite 117 low. The situation is not unlike chemical exchange (resonant nuclei sampling two chemically 118 distinct sites during the FID), where the spectrum changes from two distinct peaks in the 119 limit $\tau_s \Delta \omega \gg 1$ to a single motionally narrowed peak in the opposite limit. Here, τ_s is the 120 sampling time between sites and is analogous to the diffusion time τ_d across the cell; $\Delta \omega$ is 121 the difference in frequency for the two sites, analogous to the frequency difference between 122 ¹²⁹Xe in contact with polarized and unpolarized Rb. In our ¹²⁹Xe data it is often the case 123 that $\tau_d \Delta \omega \gtrsim 1$; for ³He, with faster diffusion and a much smaller frequency-shift dispersion, 124 we have $\tau_d \Delta \omega \ll 1$, corresponding to a single-peak spectrum (see Fig. 1). 125

The analysis of spectra like those in Fig. 1 rests on the condition that the noble-gas magnetizations are uniform across the cell at all times, i.e., that the diffusion time across the cell τ_d is much shorter than the spin-exchange time for each species, a condition always satisfied for ³He. For ¹²⁹Xe we measured the diffusion coefficient using a standard pulsed-

gradient technique [14] to be $D_{\rm Xe} = 0.53 \pm 0.05 \text{ cm}^2/\text{s}$ in cell 150A at 170 °C. We thus 130 have $\tau_d \approx d^2/6D_{\rm Xe} \approx 150$ ms. The measured value of the spin-exchange time for ¹²⁹Xe 131 was at least 1-2 s in all cases and much longer at lower temperatures. We acquired ¹²⁹Xe 132 magnetic resonance images of cell 150A at 170 °C that further verified the homogeneity of 133 the nuclear magnetization, assuring that all Rb spins in the cell are weighted equally in the 134 NMR spectrum. The shift in the spectral "center of mass" (COM) that occurs when the 135 Rb magnetization is flipped thus corresponds to the volume-averaged frequency shift. The 136 ¹²⁹Xe FIDs are multiplied by an apodizing exponential with a characteristic decay time about 137 four times smaller than that of the FID. The subsequent fast Fourier transform produces 138 a single broad symmetric spectral line that peaks at the spectral COM, the value of which 139 is unchanged by the apodization procedure. As the raw ³He spectra already consist of a 140 single symmetric peak, they need no further analysis prior to measuring the shift. Thus, the 141 shifts $\Delta \nu_{\text{He}}$ and $\Delta \nu_{\text{Xe}}$ are determined by comparing the respective HES and LES spectra 142 and measuring the shift in a single peak. 143

Regarding this analysis we note the following: 1) The volume-averaged frequency shift 144 is independent of sampling-time regime $\tau_s \Delta \omega$. 2) Our laser spectrum was narrow enough 145 $(\approx 140 \text{ GHz})$ that the optical pumping was affected by the $\approx 75 \text{ GHz}$ Zeeman shift of the 146 D_1 resonance in a 2 T field for σ + compared to σ - light. To correct for this effect we either 147 made a small wavelength adjustment after flipping the quarterwave plate or broadened the 148 laser to ≈ 950 GHz. The symmetry of the HES and LES spectra was used as an indicator 149 that the adjustment had been made properly. 3) Rapid spin exchange attenuates/inverts 150 the ¹²⁹Xe magnetization upon Rb-magnetization reversal; we verified that this has no effect 151 on the spectral COM. 152

The calculated values of $(\kappa_0)_{\rm RbXe}$ are plotted vs. temperature T for the three low-[Xe] 153 cells in Fig. 2a and for the three high-[Xe] cells in Fig. 2b. The error bars shown reflect 154 only the statistical uncertainty in the measured frequency-shift ratio and do not include the 155 uncertainty in $(\kappa_0)_{\text{RbHe}}$; they are dominated by the large relative uncertainty in the small ³He 156 frequency shifts. The low-[Xe] cells (both individually and collectively) show no significant 157 temperature dependence between 140-220 °C; the weighted average of all of these points 158 yields an uncertainty of < 1%. If we take the same weighted average on a cell-by-cell basis, 159 there is a larger spread (see Table I), suggesting some unknown systematic errors at the 160 few-percent level: these could include, for example, small cell-dependent geometrical effects. 161



FIG. 2. Enhancement factor $(\kappa_0)_{\text{RbXe}}$ plotted vs. temperature for (a) three low-[Xe] cells and (b) three high-[Xe] cells. The weighted average of all the low-[Xe] data points in (a) is 493, with the estimated uncertainty shown by the hatched region. We take these temperature-independent data to represent the best estimate of $(\kappa_0)_{\text{RbXe}}$. The identical hatched region is shown in (b) for comparison: the high-[Xe] data are consistent with the low-[Xe] data up to about 175 °C; the $\approx 20\%$ drop-off at the highest temperatures is not understood.

In some cases, residual asymmetries are apparent in the HES and LES ¹²⁹Xe spectra with respect to the unpolarized-Rb peak (Fig. 1). These may be due to imperfections or drifts in laser tuning or power and may introduce some additional error at the $\approx 1\%$ level, although we found the two spectral COMs to be equidistant from the unpolarized-Rb peak in all cases. We accordingly increased the uncertainty in the shift ratio, which is represented by the hatched range in Fig. 2a. Finally, we add the 1.8% uncertainty in the value of $(\kappa_0)_{\rm RbHe}$ [8] in quadrature to this range to arrive at our final result in Eq. (1).

Below $T \approx 175$ °C, the data for the high-[Xe] cells are generally consistent with the 169 hatched range (reproduced in Fig. 2b for comparison) that characterizes the low-[Xe] data. 170 However, at the highest temperatures the measured shift ratio drops by about 20%. These 171 ten or so data points out of 60 acquired for all 6 cells are at the extremes of high temperature, 172 high [Rb], and rapid Rb spin destruction (due to higher [Xe]); yet we are unable to connect 173 these physical conditions in a plausible way to the observed systematic depression of the 174 shift ratio. We considered whether fast Rb-¹²⁹Xe spin exchange might lead to a violation of 175 our fundamental assumption of uniform nuclear magnetization, but this would *increase* the 176 shift ratio by preferentially weighting the regions of higher Rb magnetization in the ¹²⁹Xe 177 spectrum. We also tested for extreme geometrical effects by remeasuring the shift ratio for 178 both high- and low-[Xe] cells at a given temperature after significantly decreasing the laser 179 power. The ¹²⁹Xe spectrum changed dramatically under these conditions, but the shift ratio 180 was unchanged within error. We note that this test also served as a check on the robustness 181 of the COM data-analysis method. The anomalous high-Xe high-temperature data points 182 were excluded from the analysis because they are neither consistent from cell to cell nor 183 consistent with a plausible theoretical temperature dependence. 184

We take the global average of the low-[Xe] data, 493 \pm 31, as our best estimate of (κ_0)_{BbXe}. 185 By comparison, Schaefer *et al.* [6] calculated $(\kappa_0)_{\rm RbXe} = 726$ and measured $(\kappa_0)_{\rm RbXe} = 644$ 186 by mapping the ¹²⁹Xe NMR spectrum with the Rb EPR shift at low field. The uncertainty 187 was reported in both the calculated and measured values as 30-40%. However, they were 188 able to measure the ratio $\frac{(\kappa_0)_{\text{RbXe}}}{(\kappa_0)_{\text{RbKr}}} = 2.38 \pm 0.13$ at 90°C much more precisely and, to the 189 extent that $(\kappa_0)_{\rm RbKr}$ is independent of temperature, we obtain $(\kappa_0)_{\rm RbKr} = 207 \pm 17$. Indeed, 190 the theory that Schaefer *et al.* [6] present favors a zero to weakly positive temperature 191 dependence for both $(\kappa_0)_{\text{RbXe}}$ and $(\kappa_0)_{\text{RbKr}}$. 192

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