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Shape-induced pairing of spheroidal squirmers

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The “squirmers model” is a classical hydrodynamic model for the motion of interfacially-driven microswimmers, such as self-phoretic colloids or volvocine green algae. To date, most studies using the squirmers model have considered spherical particles with axisymmetric distribution of surface slip. Here, we develop a general approach to the pairing and scattering dynamics of two spheroidal squirmers. We assume that the direction of motion of the squirmers is restricted to a plane, which is approximately realized in many experimental systems. In the framework of an analytically tractable kinetic model, we predict that, for identical squirmers, an immotile “head-to-head” configuration is stable only when the particles have oblate shape and a non-axisymmetric distribution of surface slip. We also obtain conditions for stability of a motile “head-to-tail” configuration: for instance, the two particles must have unequal self-propulsion velocities. Our analytical predictions are compared against detailed numerical calculations obtained using the boundary element method.

INTRODUCTION

Self-assembly [1–4], clustering [5–9], and particle motility alignment [10–14] are among the most discussed topics in the active matter community. In each of these phenomena, collective behavior emerges from non-equilibrium interactions between self-motile microscopic particles. These particles usually self-propel through liquid, making hydrodynamic interactions – interactions mediated by flow in the suspending medium – an important and ubiquitous non-equilibrium effect [15].

A broad class of synthetic and biological microswimmers propel themselves by driving flow within a thin layer at the fluid/solid interface. For instance, ciliated microorganisms are covered by a thin carpet of thread-like appendages that beat in a coordinated fashion. The squirmers model, first introduced by Lighthill [16] and Blake [17], was originally developed to describe the motion of ciliated, spherical micro-organisms [18]. In the simplest version of this model, the detailed, time-dependent motion of the cilia is coarse-grained as a prescribed steady tangential slip velocity. This slip velocity provides the interfacial actuation (*i.e.*, thrust) needed for self-propulsion. Additionally, the slip velocity drives flow in the surrounding solution, leading to long-ranged hydrodynamic interactions between the squirmers and other objects in the solution. These features have made the squirmers model a popular approach for understanding the flow-mediated interactions between swimming microorganisms, as well as between microorganisms and bounding surfaces [19–25]. For instance, Ishikawa *et al.* exhaustively cataloged the collision and scattering dynamics of squirmers pairs [19]. As another example, various studies have addressed nutrient uptake (*i.e.*, feeding) of microorganisms in the framework of the squirmers model [26, 27].

Since its original development, the squirmers model has found applications well beyond its initial purpose. For in-

stance, synthetic active colloids driven by self-generated gradients of a thermodynamic variable (e.g., temperature, chemical potential, or electrical potential [28–31]) can often be approximated as “effective squirmers.” Instead of resolving the propulsion mechanism in detail, a slip velocity on the surface of the particle is prescribed [32]. The slip determines two major swimming properties, speed U_s and stresslet \mathbf{S} [33, 34]. As an example that justifies this approach, it was recently observed that metallo-dielectric Janus discoids, energized by AC fields, tend to form “head-to-head” bound pairs [35]. Modeling of the propulsion mechanism (induced charge electrophoresis [36, 37]) revealed that hydrodynamic interactions dominated interactions between particles, *i.e.*, the particles behaved as effective squirmers.

One microscopic property that has proven to be important in active matter is particle geometry [38–41]. Shape can impact the swimming speed of an active particle, the rate of working, and the flow field sourced by the particle [42–47]. Collisions between rod-like particles can lead to nematic ordering in an active suspension [48]. In view of the importance of shape, various studies have considered non-spherical squirmers – usually prolate spheroids [23, 42, 49, 50]. For instance, Ishikawa and Hoto modeled the paramecium *P. caudatum* with a prolate spheroid actuated by interfacial slip. The slip was assumed to be a superposition of five harmonic functions of the elevation angle [51]. In an effort to fully generalize the squirmers model to both prolate and oblate spheroids, we recently developed and characterized a complete set of orthogonal, axisymmetric squirming modes in spheroidal coordinates [52]. We found that the odd-numbered squirming modes contribute to the self-propulsion velocity, while the even-numbered contribute to the stresslet.

For interfacially-driven microswimmers, a second means of controlling their motion is offered by breaking symmetries of the slip velocity. This symmetry breaking can be imposed, as when a self-phoretic particle is fabricated

with non-axisymmetric surface chemistry [53, 54], or can emerge *in situ*, due to effects of confinement [37, 55] or symmetry-breaking fields [36, 56]. So far, applications of the squirmer model have mostly been restricted to axisymmetric slip, although more general slip has been considered for spherical squirmers [57–60]. For instance, Burada *et al.* consider the far-field interaction of two spherical squirmers with a chiral distribution of slip. They find that these spheres can exhibit oscillatory “bounded states” in which they orbit around a common average trajectory [61].

THEORY

In this work, we develop a framework to study the consequences of particle shape and non-axisymmetry of the surface slip for interactions between interfacially-driven microswimmers. We develop analytical predictions in a far-field, “point-particle” model, building on the Saintillan-Shelley kinetic theory of microswimmers [62–65]. Our analytical predictions are supported by high resolution numerical calculations using the squirmer model, which resolve the finite size of the particle and near-field hydrodynamic interactions. We show that both non-spherical shape and breaking of the axisymmetry are necessary conditions to form stable “head-to-head” bound pairs. These immotile bound states are held together by (far-field) hydrodynamic interactions. Similarly, we find that motile “head-to-tail” bound pairs can be stable only when the particles are non-spherical (although they can be axisymmetric.) Overall, we find good agreement between theory and numerics, suggesting that our framework offers a promising approach for studying self-organization in heterogeneous active suspensions.

Minimal model

We model swimmer $\alpha \in \{1, 2\}$ as a point-like particle with swimming direction $\hat{d}^{(\alpha)}$ and self-propulsion velocity $U_s^{(\alpha)} \geq 0$. Swimmers are coupled by the flows they generate. The velocity of swimmer α is

$$\mathbf{U}^{(\alpha)} = U_s^{(\alpha)} \hat{d}^{(\alpha)} + \mathbf{u}(\mathbf{x}_\alpha). \quad (1)$$

In the second term, the swimmer is advected by the ambient flow, evaluated at its position \mathbf{x}_α . (The finite size of the swimmer is neglected.) For the rotation of the swimmer, we write the Jeffery equation [64]

$$\dot{\hat{d}}^{(\alpha)} = \left(\mathcal{I} - \hat{d}^{(\alpha)} \hat{d}^{(\alpha)} \right) \cdot \left(\Gamma_\alpha \mathbf{E}(\mathbf{x}_\alpha) + \mathbf{W}(\mathbf{x}_\alpha) \right) \cdot \hat{d}^{(\alpha)}. \quad (2)$$

Here, Γ_α is a shape parameter that is zero for a sphere, positive for a prolate spheroid that has its major axis aligned with $\hat{d}^{(\alpha)}$, and negative for an oblate spheroid

that has its minor axis aligned with $\hat{d}^{(\alpha)}$. The tensors $\mathbf{E}(\mathbf{x}_\alpha)$ and $\mathbf{W}(\mathbf{x}_\alpha)$ are the rate-of-strain and vorticity, respectively, evaluated at \mathbf{x}_α , where $\mathbf{E} = \frac{1}{2} (\nabla \mathbf{u} + \nabla \mathbf{u}^T)$ and $\mathbf{W} = \frac{1}{2} (\nabla \mathbf{u} - \nabla \mathbf{u}^T)$. \mathcal{I} is the identity tensor.

To model swimmer-generated flow, we associate an active “stresslet” with each swimmer. In general, the stresslet provides the slowest decaying contribution of a force-free, rigid microswimmer to the surrounding flow field. It can be obtained from the surface traction [19, 66]:

$$S_{ij}^{(\alpha)} = \frac{1}{2} \int_{\Sigma_\alpha} [\sigma_{ik} \hat{n}_k x_j + \sigma_{jk} \hat{n}_k x_i] dS - \frac{1}{3} \int_{\Sigma_\alpha} \sigma_{lk} \hat{n}_k x_l dS \delta_{ij}. \quad (3)$$

The integral is taken over the surface Σ_α of particle α , \hat{n} points from the surface of the particle into the surrounding fluid, and $\boldsymbol{\sigma} = -p\mathcal{I} + \mu(\nabla \mathbf{u} + \nabla \mathbf{u}^T)$ is the stress tensor for a Newtonian fluid. Here, $p(\mathbf{x})$ is the pressure and μ is the dynamic viscosity of the fluid. The velocity field due to a stresslet located at the origin is given by:

$$u_i = \frac{1}{8\pi\mu} \left(\frac{x_i \delta_{jk}}{r^3} - \frac{3x_i x_j x_k}{r^5} \right) S_{jk}^{(\alpha)}, \quad (4)$$

where r is distance from the origin and x_i is a location in the fluid. For a swimmer with an *axisymmetric* surface actuation, the stresslet can be written as [67]

$$\mathbf{S}^{(\alpha)} = \sigma_0^{(\alpha)} \left(\hat{d}^{(\alpha)} \hat{d}^{(\alpha)} - \frac{\mathcal{I}}{3} \right). \quad (5)$$

The sign of $\sigma_0^{(\alpha)}$ determines the “pusher” ($\sigma_0^{(\alpha)} < 0$) or “puller” ($\sigma_0^{(\alpha)} > 0$) character of the swimmer.

However, not all microswimmers have axisymmetric actuation. For instance, consider metallo-dielectric particles that are energized by an AC electric field and swim via induced charge electrophoresis (ICEP) [36]. The applied field can break axisymmetry. Thus, we consider a more general stresslet, written in a frame aligned with the principal axes \hat{c} , \hat{d} , and \hat{e} of $\mathbf{S}^{(\alpha)}$:

$$\mathbf{S}^{(\alpha)} = S_{cc}^{(\alpha)} \hat{c} \hat{c} + S_{dd}^{(\alpha)} \hat{d} \hat{d} + S_{ee}^{(\alpha)} \hat{e} \hat{e}, \quad (6)$$

with $\text{tr}(\mathbf{S}^{(\alpha)}) = 0$. Since $\mathbf{S}^{(\alpha)}$ is symmetric and real-valued, its principal axes are orthogonal, and we define $\hat{c} \times \hat{d} = \hat{e}$. This form of the stresslet tensor is generic. However, for simplicity, we make the assumption that the direction of propulsion of an isolated particle is \hat{d} , *i.e.*, aligned with a principal axis. This assumption is realized by an ICEP particle with spheroidal shape and axisymmetric metal coverage, swimming in unbounded solution (Fig. 1(a)). If the electric field is in the \hat{z} direction and the particle axis of symmetry is given by \hat{d} , the particle will rotate such that \hat{d} is perpendicular to \hat{z} [36, 40]. After rotation, the particle will swim strictly in \hat{d} with a stresslet tensor in the form of Eq. 6. A detailed technical discussion of \mathbf{S} and the assumption concerning \hat{d} is provided in the Supplemental Material (SM) [68].

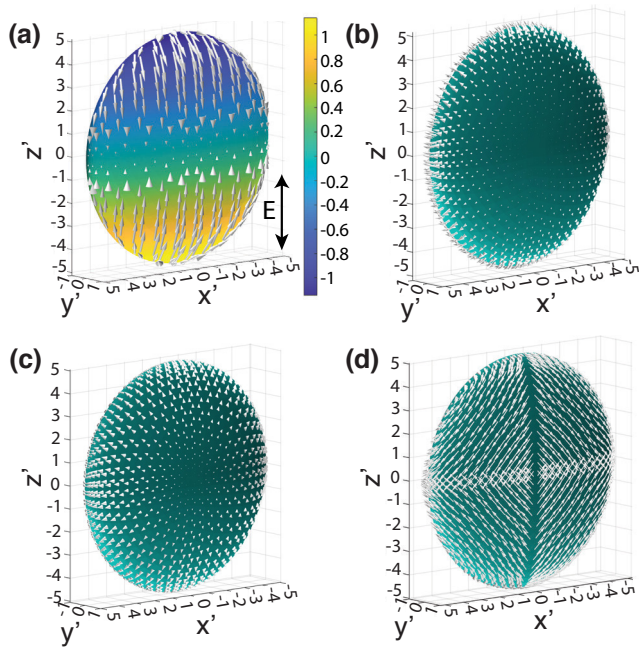


FIG. 1. (a) An oblate particle that self-propels in the presence of an AC electric field (black arrow) by ICEP. The background color indicates the electrostatic potential (arbitrary units) on the particle surface for the half-period in which the field is pointing in the negative z' direction. White arrows show the surface slip, which is non-axisymmetric. (b) Distribution of slip for the first squirming mode B_1 . (c) Distribution of slip for B_2 . (d) Non-axisymmetric slip for \tilde{B} , following the definition in Eq. 7. In all panels, $r_e = 5$.

Additionally, we note that Eq. 6 reduces to Eq. 5 when $S_{dd}^{(\alpha)} = 2/3\sigma_0$ and $S_{cc}^{(\alpha)} = S_{ee}^{(\alpha)} = -\sigma_0/3$.

In the following, we restrict our consideration to two swimmers moving in the xy plane, and study conditions for obtaining stable bound states. The instantaneous configuration of the system is specified by the center-to-center distance d and the angles ϕ_1 and ϕ_2 , where ϕ_α is the angle between $\hat{d}^{(\alpha)}$ and a fixed axis, chosen as the x -axis (see Fig. 1 in the SM). We assume that $\hat{c}^{(\alpha)}$ and $\hat{d}^{(\alpha)}$ lie within the xy plane. For convenience, we specify that swimmer 1 is instantaneously at $\mathbf{x}_1 = (0, 0, 0)$. Swimmer 2 has position $\mathbf{x}_2 = (x, y, 0)$. We construct \hat{x} , \hat{y} , $\hat{\phi}_1$, and $\hat{\phi}_2$ as functions of x , y , ϕ_1 , and ϕ_2 , and look for fixed point configurations at which these functions evaluate to zero, representing a bound state. For simplicity, we consider only bound states in which the propulsion axes are aligned with the center-to-center axis.

The point-particle model is analytically tractable and yields a wealth of predictions. However, we wish to compare these predictions against numerical results that account for finite size and do not truncate the particle-generated flow field to the leading-order term.

Squirmer model

We consider $N \in \{1, 2\}$ spheroidal particles in unbounded Newtonian fluid. Following Ref. 52, for particle α , we take the length of the semi-axis of symmetry to define $b_y^{(\alpha)}$, and the length of the other semi-axes to define $b_x^{(\alpha)}$. Thus, each particle has an aspect ratio $r_e^{(\alpha)}$ defined by $r_e \equiv b_x/b_y$, with $r_e < 1$ for an prolate spheroid, $r_e = 1$ for a sphere, and $r_e > 1$ for an oblate spheroid. The quantity Γ_α is related to $r_e^{(\alpha)}$ by $\Gamma = (1-r_e^2)/(1+r_e^2)$. The characteristic size of the particle, $L_0^{(\alpha)}$, is chosen as $b_y^{(\alpha)}$.

The center of particle α is located at \mathbf{x}_α . The fluid velocity $\mathbf{u}(\mathbf{x})$ is governed by the Stokes equation $-\nabla p + \mu\nabla^2\mathbf{u} = 0$ and the incompressibility condition $\nabla \cdot \mathbf{u} = 0$. On the surface Σ_α of particle α , the velocity obeys $\mathbf{u} = \mathbf{U}^{(\alpha)} + \boldsymbol{\Omega}^{(\alpha)} \times (\mathbf{x} - \mathbf{x}_\alpha) + \mathbf{v}_s^{(\alpha)}(\mathbf{x})$. Additionally, $|\mathbf{u}| \rightarrow 0$ as $|\mathbf{x}| \rightarrow \infty$. Each particle is force-free and torque-free: $\int_{\Sigma_\alpha} \boldsymbol{\sigma} \cdot \hat{\mathbf{n}} dS = 0$ and $\int_{\Sigma_\alpha} (\mathbf{x} - \mathbf{x}_\alpha) \times \boldsymbol{\sigma} \cdot \hat{\mathbf{n}} dS = 0$.

For each swimmer, the slip $\mathbf{v}_s^{(\alpha)}$ is fixed in a frame attached to the swimmer. It is specified via a set of squirming mode amplitudes. In previous work, we generalized the axisymmetric squirring modes to spheroidal particles. The amplitudes are denoted by $B_i^{(\alpha)}$, with $i \geq 1$ [52], and here are assumed to be given in units of an arbitrary characteristic velocity. The first two modes are shown in Fig. 1(b) and (c). Here, in order to break axisymmetry, we develop a new squirring mode $\tilde{B}^{(\alpha)}$ inspired by the slip profile of ICEP particles. This squirring mode has slip distribution

$$\mathbf{v}_s^{(\alpha)}(\mathbf{x}) = \tilde{B}^{(\alpha)}[\text{sign}(z')(\cos(\varphi)\hat{e}_\xi - \hat{e}_\varphi(\hat{e}_\xi \cdot \hat{e}_{z'})) - \text{sign}(x')(\sin(\varphi)\hat{e}_\xi - \hat{e}_\varphi(\hat{e}_\xi \cdot \hat{e}_{x'}))] \cdot H(y'), \quad (7)$$

shown in Fig. 1(d). Here, $H(y')$ is the step function, and \hat{e}_φ and \hat{e}_ξ are two surface tangential basis vectors in a particle-centered spheroidal coordinate system. (The prime symbol is used to distinguish the coordinate system in Fig. 1 from the coordinate system used for studying pair interactions.)

We briefly discuss the properties of a single squirmer. From solution of the governing equations, we obtain U_s and \mathbf{S} for a given r_e and set of squirring mode amplitudes. Due to the linearity of the Stokes equation, the contribution of each squirring mode can be calculated individually and superposed. For the axisymmetric modes, Fig. 2 in the SM shows how the B_i contribute to $U_s^{(\alpha)}$ and $\sigma_0^{(\alpha)}$. For the non-axisymmetric mode, we show $S_{cc}^{(\alpha)}$, $S_{dd}^{(\alpha)}$ and $S_{ee}^{(\alpha)}$ as a function of r_e in SM Fig. 5. This squirring mode makes no contribution to $S_{dd}^{(\alpha)}$ or $U_s^{(\alpha)}$, and contributes anti-symmetrically to $S_{cc}^{(\alpha)}$ and $S_{ee}^{(\alpha)}$.

For $N = 2$, we solve for the particle velocities numerically, using the boundary element method (BEM) [69].

We obtain trajectories using a rigid body dynamics engine [42]. For simplicity, we assume that $L_0^{(1)} = L_0^{(2)}$. (The point-particle model has no inherent length scale. Since $S_{ij} \sim L_0^3$ and $U_s \sim L_0^2$ for a squirmer, differences in size can be straightforwardly accommodated in our model.)

RESULTS

Head-to-head pairing

We look for fixed point solutions of the point-particle model with $(x, y, \phi_1, \phi_2) = (d_0, 0, 0, \pi)$. Through a detailed derivation in the SM, we obtain

$$d_0 = \sqrt{\frac{-3(S_{dd}^{(1)} + S_{dd}^{(2)})}{8\pi\mu(U_s^{(1)} + U_s^{(2)})}}. \quad (8)$$

Given that $U_s^{(\alpha)} > 0$, to obtain a finite separation $d > 0$, it is required that $(S_{dd}^{(1)} + S_{dd}^{(2)}) < 0$. In other words, the pair must have a net ‘‘pusher’’ character. In the SM, we present a general linear stability analysis. Here, we discuss identical swimmers, i.e., $U_s^{(1)} = U_s^{(2)}$, $\mathbf{S}^{(1)} = \mathbf{S}^{(2)}$, and $\Gamma_1 = \Gamma_2$. As conditions for stability, we obtain $\Gamma < -1/3$ and $[S_{cc}(-1+\Gamma) + S_{dd}(1+2\Gamma)][S_{cc}(-1+\Gamma) - S_{dd}(1+4\Gamma)] < 0$, given that $S_{dd} < 0$. Notably, the requirement $\Gamma < -1/3$ corresponds to an oblate shape, recalling the discoidal particles in Ref. 35. Intriguingly, head-to-head pairing cannot be obtained for *axisymmetric* swimmers (Eq. 5). For $S_{dd} = 2\sigma_0/3$ and $S_{cc} = S_{ee} = -\sigma_0/3$, with $\sigma_0 < 0$, the second condition reduces to $\Gamma > -1/9$. This cannot be reconciled with $\Gamma < -1/3$. Thus, this work completes the analysis of Ref. 35, which assumed an axisymmetric stresslet. Here, we have shown that non-axisymmetry is a necessary ingredient in the pairing observed in Ref. 35.

To further investigate deviation from axisymmetry, we consider stresslets of the form

$$\mathbf{S} = \mathbf{S}_{ax} + \sigma_0 \delta (\hat{c}\hat{c} - \hat{e}\hat{e}), \quad (9)$$

where \mathbf{S}_{ax} is equal to the right hand side of Eq. 5, and δ is dimensionless. We obtain $\Gamma < -1/3$ and $(-1 + 3\Gamma(-3 + \delta) - 3\delta)(1 + \Gamma + \delta(-1 + \Gamma)) < 0$. Notably, these requirements are independent of σ_0 and U_s . In Fig. 2(a), the background color shows the predicted phase map. We also show two types of numerical data. Crosses represent squirmers with a non-axisymmetric squirming mode. This mode introduces the perturbation δ in a controllable manner (see Fig. 5 in the SM). Circles show the results for an effective squirmer model for ICEP particles. Red symbols indicate pairs without a stable bound state. The theoretical and numerical results largely agree with each other. The one area of significant mismatch is

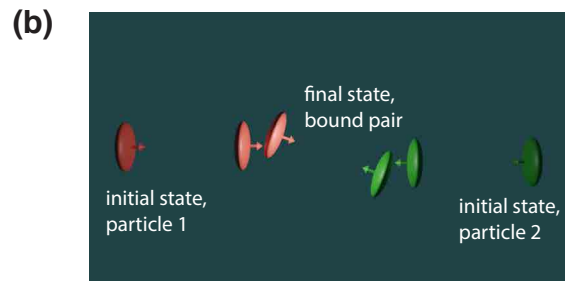
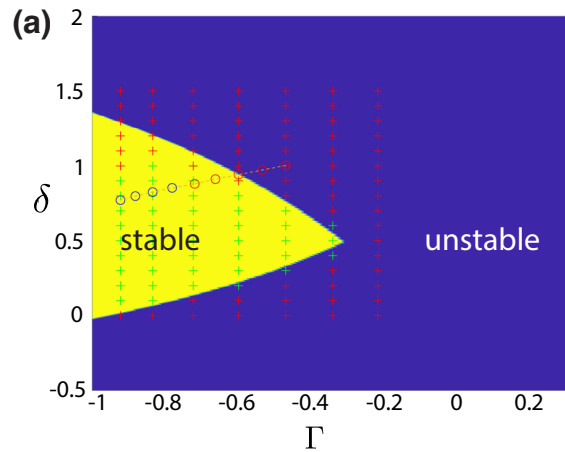


FIG. 2. (a) Phase map for head-to-head pairs. The background colors show the stability predicted by the analytical model. Crosses represent the results of numerical calculations for non-axisymmetric squirmers (Eq. 7) with modes $B_1^{(\alpha)} = 0.1$, $B_2^{(\alpha)} = -1$ and varying \tilde{B} and Γ . Circles indicate numerical data for the ICEP effective squirmer model, and are connected by a line to guide the eye. Green and blue symbols indicate pairs with with a stable bound state; red symbols represent unstable pairs. (b) Trajectory obtained for $\Gamma = -0.835$ and $\tilde{B} = 1.68$.

for $\Gamma \approx -1$, i.e., oblate spheroids with large r_e . Recalling that b_y was chosen as a characteristic length, oblate particles with large r_e also have large b_x . When $b_x \gg d_0$, the point particle assumption is expected to be erroneous. In Fig. 2(b), we show pair formation for $\Gamma = -0.835$ and $\tilde{B} = 1.68$.

The condition $\Gamma < -1/3$ has a straightforward physical interpretation. At the location of a particle, the rate-of-strain tensor \mathbf{E} has two principal axes. Spheroidal particles tend to align their long axes with the local axis of extension [70, 71]. For an axisymmetric stresslet (Eq. 5) located at the origin and oriented in the x-direction, we evaluate \mathbf{E}_{ax} at the position $x = d$, $y = 0$. From the eigenvalues and eigenvectors of this quantity, we find that the axis of extension is indeed in the y-direction. Thus, the straining component of flow will tend to stabilize the orientation of oblate spheroids in a head-on collision. Furthermore, we note that δ does not appear in the condition $\Gamma < -1/3$. As a consistency check, we form the

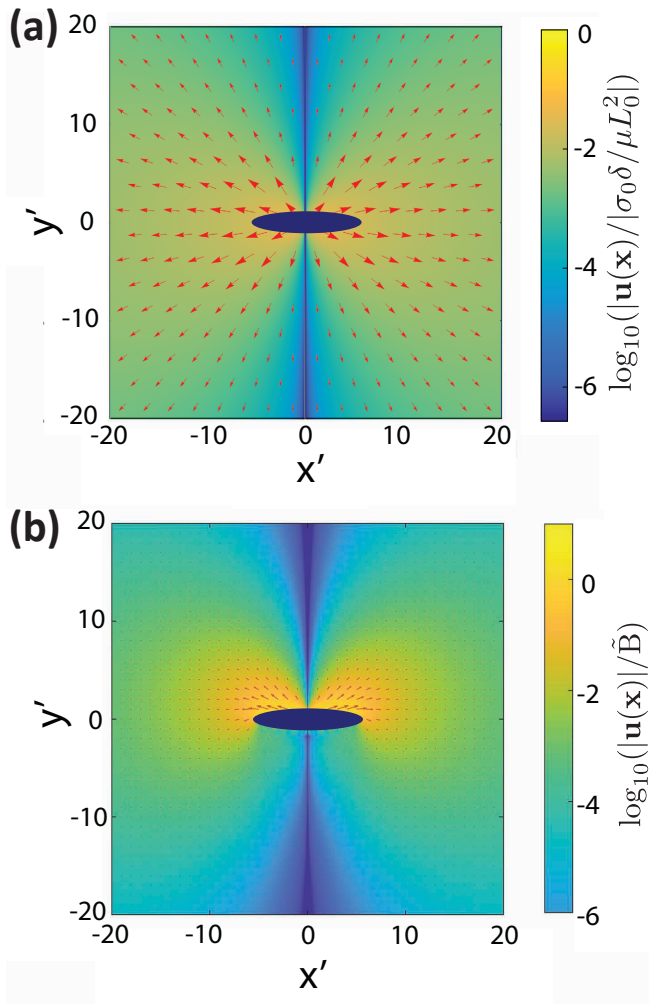


FIG. 3. (a) Flow field due to a non-axisymmetric stresslet located at the origin, with \hat{c} in the x' direction and \hat{e} in the z' direction. The direction of the flow is shown for the case $\sigma_0\delta < 0$. The flow is radially outward, but the magnitude is anisotropic. An oblate spheroid is shown for comparison with (b). (b) Flow field due to the non-axisymmetric \tilde{B} mode for the oblate spheroidal particle shown in Fig. 1(d). In both (a) and (b), the flow velocity is zero at $x' = 0$.

rate-of-strain tensor \mathbf{E}_δ for the non-axisymmetric contribution to flow (second term in Eq. 9), assuming that $\hat{d} = \hat{x}$ and $\hat{c} = -\hat{y}$. We find that it indeed evaluates to zero at $x = d$, $y = 0$. Additionally, in Fig. 3(a), we plot the flow from the idealized non-axisymmetric stresslet. On the \hat{d} axis (in the figure, the y' axis), it evaluates to zero, which explains why d_0 is determined by the axisymmetric component of the stresslet (Eq. 8).

The second requirement for linear stability, $(-1 + 3\Gamma(-3 + \delta) - 3\delta)(1 + \Gamma + \delta(-1 + \Gamma)) < 0$, is more difficult to interpret. The quantities Γ and δ are implicated, both individually and as a product with each other. Additionally, by introducing dummy variables into the Jacobian, we have confirmed that both vorticity and transverse ad-

vection (*i.e.*, motion in y , transverse to the center-to-center vector) contribute to this condition. Some insight can be obtained from the form of the Jacobian in SM Eq. 40. The stresslet component S_{cc} appears only in off-diagonal terms that couple transversal displacements and particle rotations. This suggests that the non-axisymmetric stresslet is important in the intricate dance in which particles simultaneously rotate to face each other and slide laterally into register, as shown in Fig. 2 (b). In contrast, it is known that spherical squirmers in a head-on collision are unstable to maneuvering past each other in a process involving rotations and transversal motion [35]. Looking at the flow for the non-axisymmetric stresslet in Fig. 3(a), some stabilizing roles of this radially outward flow may be in hindering the particles from moving past each other and in contributing to alignment. Regarding alignment, we recall that the magnitude and sign of the contribution of the rate-of-strain tensor to rotation is controlled by the shape parameter Γ (Eq. 2). We also note that while the flow fields close to the particle can differ significantly between the idealized non-axisymmetric stresslet in Fig. 3(a) and the non-axisymmetric squirming mode in Fig. 3(b), far from the particle, both flow fields are radially outward.

Head-to-tail pairs

Now we look for fixed point solutions with $(x, y, \phi_1, \phi_2) = (d_0, 0, 0, 0)$. We obtain

$$d_0 = \sqrt{\frac{3(S_{dd}^{(1)} + S_{dd}^{(2)})}{8\pi\mu(U_s^{(2)} - U_s^{(1)})}}. \quad (10)$$

Notably, the two particles must have unequal speeds U_s for $d_0 > 0$. The bound pair moves with a steady speed given by Eq. 27 in the SM. Regarding stability against displacements in x , we again obtain the “net pusher” condition $(S_{dd}^{(1)} + S_{dd}^{(2)}) < 0$. From Eq. 10, this implies $U_s^{(1)} > U_s^{(2)}$. The other stability conditions are $S_{dd}^{(2)}(1 + 3\Gamma_1) + S_{dd}^{(1)}(1 + \Gamma_2) > 0$ and Eq. 48 in the SM. For axisymmetric swimmers, we can obtain stable pairing. Specifically, $\sigma_0^{(1)} + \sigma_0^{(2)} + 3(\sigma_0^{(2)}\Gamma_1 + \sigma_0^{(1)}\Gamma_2) > 0$ and Eq. 13 in the SI. Overall, the phase behavior is determined by four parameters: Γ_1 , Γ_2 , $S \equiv \sigma_0^{(2)}/\sigma_0^{(1)}$, and $V \equiv U_s^{(2)}/U_s^{(1)}$. For the slice of phase space in Fig. 4 (a), we fix $V = 0.8$ and $\Gamma_2 = -0.8$, but vary Γ_1 and S . In the numerics, B_1 and B_2 are chosen to vary S while keeping $V = 0.8$. The model has good agreement with the numerics. There are two areas of significant disagreement. Similar to head-to-head pairs, one is for oblate particles with large r_e . The other is the slim area bordering $S = -1$, where $d_0 \rightarrow 0$. An example trajectory is shown in Fig. 4 (b).

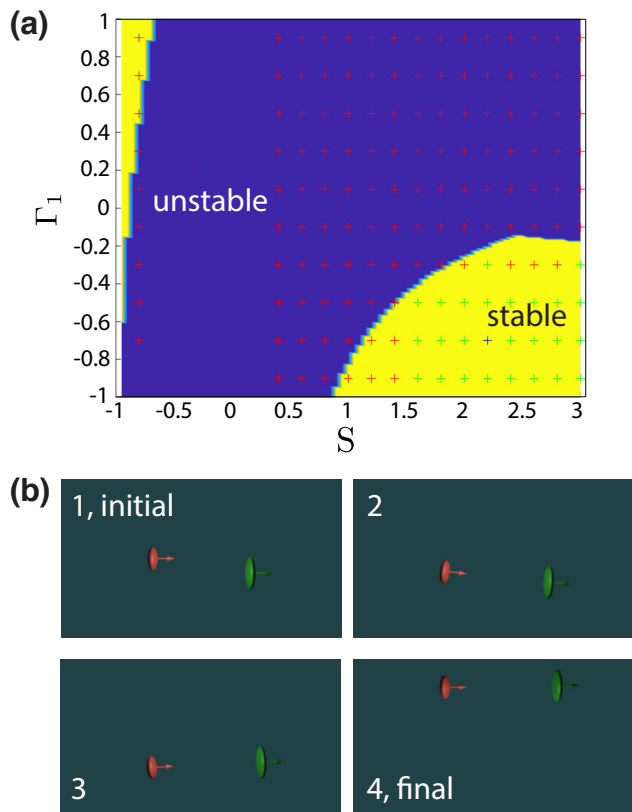


FIG. 4. (a) Phase map for head-to-tail pairs with $\Gamma_2 = -0.8$ and $V = 0.8$ and varying S and Γ_1 . The background colors show the stability predicted by our model and symbols represent the results of numerical calculations. Green and blue symbols indicate pairs with a stable bound state; red symbols indicate pairs without a stable bound state. (b) Snapshots of an example trajectory for $S = 2.2$ and $\Gamma_1 = -0.7$. This pair is represented by a blue cross in (a). The particles are initially separated by $x_{2,\text{initial}} = 3$ and $y_{2,\text{initial}} = 20$.

CONCLUSIONS

We have shown that non-spherical active particles can form bound pairs through far-field hydrodynamic interactions. A surprising finding of our work is that squirmers with non-axisymmetric surface slip may be capable of pairing behaviors that are *not* obtainable for squirmers with axisymmetric slip.

We restricted our consideration to swimmers moving in the plane containing their center-to-center vector (the xy plane). For non-axisymmetric particles defined by Eq. 9, a 90° rotation of both particles around their \hat{d} axes will invert the sign of δ . Therefore, when head-to-head bound states, aligned with x , are stable against perturbations in the xy plane, they will be unstable in the yz plane. However, our quasi-2D assumption is realized in most active matter experiments. For head-to-tail pairs of axisymmetric particles, the stability conditions found here apply to general three-dimensional motions.

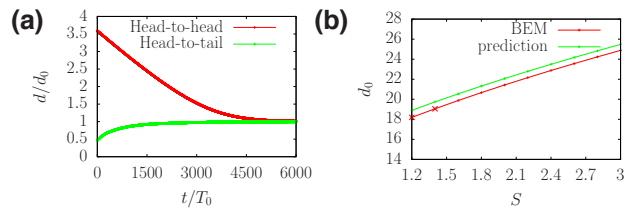


FIG. 5. (a) Separation d for two squirmers forming a stable bound state. For the head-to-tail pair, $\Gamma_1 = -0.7$, $\Gamma_2 = -0.8$, $S = 2.2$ and $U = 0.8$, with $x_{2,\text{initial}} = 3$ and $y_{2,\text{initial}} = 10$. For the head-to-head pair, $B_1 = 0.1$, $B_2 = -1$, $\tilde{B} = 1.6833$ and $\Gamma = -0.835$, with $x_{\text{initial}} = 2$ and $y_{\text{initial}} = 55$. The particle parameters correspond to Figs. 2 (b) and 4 (b), respectively. (b) The predicted and numerically calculated steady separations for head-to-tail pairs with $\Gamma_1 = -0.7$, $\Gamma_2 = -0.8$, $V = 0.8$ and varying S , corresponding to the second row from the bottom in Fig. 4 (a). Symbols indicate values of S for which theory and numerics disagree concerning stability.

Future work could incorporate the effects of inertia and/or near-field hydrodynamic interactions [25, 72]. Lubrication interactions can induce bound states for spherical squirmers near contact [21, 25]. Additionally, making use of the Faxén relations for spheroids would account for the finite size of a particle in its response to ambient flow [66, 73]. Our model may have stable bound states in which particle orientations are not aligned in the direction of propulsion. Finally, the bound states found here may have implications for hierarchical self-organization and collective behavior. For instance, Ref. 35 observed that initial formation of immotile head-to-head bound states locally promoted formation of additional bound states in a feedback loop, ultimately leading to phase separation. This mechanism could be studied in the framework of the present work.

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