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Rotational dynamics of a particle in a turbulent stream

Yayun Wang^{*} and Adam Sierakowski[†]

Department of Mechanical Engineering, Johns Hopkins University, 3400 North Charles Street, Baltimore, MD 21218, USA

Andrea Prosperetti[‡]

Department of Mechanical Engineering, University of Houston, 4726 Calhoun Rd, Houston, TX 77204-4006, USA and Faculty of Science and Technology and J.M. Burgers Centre for Fluid Dynamics, University of Twente, P.O. Box 217, 7500 AE Enschede, The Netherlands

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Abstract

The paper presents results for the resolved numerical simulation of a turbulent flow past a homogeneous sphere and a spherical shell of equal mass and radius (and, therefore, with a larger moment of inertia) free to rotate around a fixed center. This situation approximates the behavior of a particle whose relative motion with respect to the fluid is driven by external forces, such as a density difference in a gravitational field. Holding the center fixed makes it possible to have precise information on the turbulent flow incident on the particle by repeating the same simulations without the particle. Two particle Reynolds numbers based on the mean velocity, $Re_p = 80$ and 150, are investigated; the incident turbulence has $Re_{\lambda} = 36$ and 31, respectively. The particle diameter is an order of magnitude larger than the Kolmogorov length scale and close to the integral length scale.

The turbulent eddies that interact most strongly with the particle are characterized. Their size is found to increase with Re_p due to the interplay of the convection time scale, the particle time scale and the eddy time scale, but remains of the order of the particle diameter. The sign of the hydrodynamic torque is likely to persist much less than the convection time, although longer durations are also found, revealing the effect of occasional interactions with larger eddies. The autocorrelation of the torque changes sign at shorter and shorter fractions of the convection time as the Reynolds number increases. Significant cross-stream forces are found. An analysis of their magnitude shows that they are mostly due to induced vortex shedding combined with a weaker Magnus-like mechanism.

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^{*}Electronic address: WangYayun1990@gmail.com; URL: www.physaliscfd.org

[†]Electronic address: sierakowski@jhu.edu

[‡]Electronic address: aprosper@central.uh.edu

I. INTRODUCTION

The development of new experimental techniques [see e.g. 1–9] and numerical methods [see e.g. 10–15] is making possible the study of the interaction of turbulence with particles significantly larger than the Kolmogorov scale for which rotation cannot be disregarded and the earlier point-particle models [see e.g. 16, 17] are inappropriate. Much of this work has dealt with aspects of the translational motion of particles, such as the statistics of particle velocity and acceleration |2|. Less attention has been paid to particle rotation. The rotational intermittency and lift experienced by a spherical, neutrally buoyant particle in homogeneous turbulence were studied in Refs. [1, 2]. The particle size was comparable to the integral scale and the particle Reynolds numbers were of the order of 1000. The results showed a strong intermittency of the angular dynamics, with the Probability Density Function (PDF) of the angular acceleration having a flatness of about 7, considerably larger than that of the angular velocity, which was close to 4. The root-mean-square (RMS) angular acceleration was found to be of the order of $(u'/2a)^2$, with u' the RMS of the turbulent velocity fluctuations and a the particle radius. The authors write "Which properties of the turbulent flow control the rate of rotation of the particle also remains to be elucidated ... Small eddies acting on the particle in a spatially incoherent manner would result in a significantly reduced torque acting on the particle. This suggests a much more coherent flow pattern, in fact consistent with the recent numerical results of" Ref. [18].

The extent of the fluid region most influencing the motion of neutrally buoyant spheres in homogeneous turbulence was studied in Ref. [3]. The conclusion was that flow structures somewhat larger than the particle diameter interact most strongly with it. A similar result has been found in studies directed primarily to the investigation of the effects of particle shape, including ellipsoids, disks and rods [4, 5]. While spherical particles were found to have a larger effect on the fluid turbulence than prolate ellipsoids, the auto-covariances of ellipsoids and spheres were statistically identical. From this observation the authors conclude that rotation is controlled by turbulent scales larger than the particle size. The results of Ref. [8] and [9] show that the rotation statistics of particles of various shapes is most sensitive to the volume-equivalent spherical diameter, which again leads to similar conclusions. A qualitatively similar result is reported in [19] for the rotational dynamics of neutrally buoyant rods. For all rod lengths, the correlation time of the Lagrangian autocorrelation of the rotation rate scales as the turn-over time of eddies of the size of the rod.

In the studies mentioned so far neutrally or nearly-neutrally buoyant particles were used. More recently, particles with a density significantly smaller than the surrounding liquid were used in Refs. [6, 7]. This difference caused a larger particle-liquid relative velocity with a Galilei number in the range 30 - 3000 and the development of a wake and vortex shedding. As a consequence, unlike the equal-density case, both velocity and acceleration de-correlate at the same rate, which is explained by the determining influence of vortices shed in the wake.

In this paper, as a step toward a better understanding of the "properties of the turbulent flow [which] control the rate of rotation of the particle" (Ref. [2]), we use fully resolved numerical simulations to study the rotational dynamics of a single spherical particle free to rotate around its center held fixed in an decaying incident turbulent stream at two Reynolds numbers, $Re_p = 80$ and 150. By keeping the particle center fixed, and comparing with the identical incident flow in the absence of the particle, we can relate the particle rotational motion to features of the incident turbulence.

Our interest lies in particles with a size close to the integral scale, two or three times larger than the Taylor microscale and over a factor of 20 larger han the Kolmogorov scale. For such particles, which have a size comparable to that of the objects used in the experiments mentioned before, rotational inertia is important. The consideration of moments of inertia in the ratio 1:5/3 provides further insight into the role of inertia. The fact that the fluid flow has a non-zero mean velocity and that the particle is allowed to rotate are the main differences between the present work and our earlier study [18] in which the particle was held fixed in a homogeneous isotropic turbulent field.

A point to stress is that the numerical method used in this work is singularly appropriate for this problem as it leads to a very accurate evaluation of the hydrodynamic torque on the particle, as documented in [20].

The forced stationarity of the particle center approximates the buoyant relative motion studied in [6, 7]. As in that paper, we find significant effects of vortex shedding induced by the turbulence transported by the mean flow. We present some evidence suggesting the presence of Magnus-like forces which, however, are found to play a lesser role compared with those due to vortex shedding.

II. NUMERICAL METHOD

The simulations are performed with the Physalis method, a complete description of which is available in several papers including, most recently, [21]; implementation details are described in [22]. The Navier-Stokes equations are solved on a fixed Cartesian grid by a projection method. A characteristic feature of the method is the way in which the fluid is coupled to the particle, assumed to have a no-slip spherical surface. The coupling is based on the recognition that, in the vicinity of the particle surfaces, the fluid motion differs little from a rigid-body motion. This circumstance permits the Navier-Stokes equations to be linearized to the Stokes form, for which the general exact solution, obtained by Lamb [23, 24], is available. This analytical solution is used as a "bridge" between the particle surface and the closest nodes of the Cartesian grid thus bypassing the difficulties deriving from the complex geometrical relationship between the spherical particles and the underlying Cartesian grid. The particle orientation is updated on the basis of the calculated hydrodynamic torque L:

$$I\frac{d\mathbf{\Omega}}{dt} = \mathbf{L}\,,\tag{1}$$

in which I is the particle moment of inertia and Ω is the particle angular velocity.

The method, which has been extensively validated in earlier papers [see e.g. 20, 21], is accurate and efficient. Since the Lamb solution is expressed as a series of spherical harmonics, the error decreases exponentially, rather than algebraically, as the number of degrees of freedom used to describe each particle is increased. This feature is in marked contrast with the algebraic error decrease of most other methods, such as the immersed-boundary method. The no-slip condition at the particle surface is satisfied exactly for any degree of truncation of the series expansion. A unique feature of Physalis, which makes it very suitable for the present study, is that the coefficients of the expansion directly furnish the torque acting on the particle with no need for additional calculations. For these reasons the method furnishes the torque on the particle with a very high degree of accuracy which would be difficult to approach with conventional immersed-boundary methods. In the present work, the Lamb expansion was truncated keeping terms of order 0, 1, and 2, which corresponds to retaining a total of 25 coefficients as in [25].

Isotropic, homogeneous turbulence is generated in a $210 \times 210 \times 210$ -cells cubic domain using the linear forcing scheme of refs. [26–28]. We checked that the features of the turbulence, and in particular the intensity and integral length scales, matched the results reported

Re_p		Re_{λ}	η/d	λ_g/d	L/d	u'/U	$\eta/\Delta x$
80	Inlet	59.1	0.0223	0.337	1.33	_	0.668
	Particle center	36.1	0.0366	0.431	1.06	1.04	1.10
150	Inlet	47.2	0.0313	0.423	1.33	_	0.938
	Particle center	30.7	0.0454	0.495	1.03	0.413	1.36

TABLE I: Simulation parameters at the inlet plane and at the plane containing the particle center for $Re_p = 80$ (upper two lines) and 150; d is the particle diameter; $\eta = (\nu^3/\epsilon)^{1/4}$ the Kolmogorov length scale, λ_g the Taylor length scale, $L = u'^3/\epsilon$ the integral length scale, u' the RMS of velocity fluctuations and Δx the mesh length.

in [27]. This turbulent field, augmented by a constant velocity U along the z direction, is imposed at the inlet of an equal domain containing the particle in the manner described in Ref. [25]. The integral time scale, defined below in Eq. (2), is at least four times shorter than the convection time over the length of the computational domain, which ensures the absence of artificial periodicity in the convected turbulence as discussed in [25]. As shown in Table I, the turbulence undergoes a strong decay as it is transported toward the particle.

We use 30 mesh lengths Δx per particle diameter d which, on the basis of our previous experience, provides a very good accuracy in the range of Reynolds numbers relevant for this study. The sides of both domains have a length of 7d so that the area blockage due to the particle is less than 2%. We consider two different particle Reynolds numbers $Re_p = dU/\nu$ (with ν the kinematic viscosity of the fluid), $Re_p = 80$ and 150. The particle center is fixed at a distance 3.5d downstream of the inlet boundary in a symmetric position with respect to the lateral boundaries of the domain. The turbulence decays as it is convected toward the particle, and the forcing is adjusted so that, at the plane of the particle center, the values of the Taylor microscale Reynolds number, Re_{λ} , are comparable, 36 for $Re_p = 80$ and 31 for $Re_p = 150$.

III. PARAMETER VALUES

Values of the parameters characterizing the incident flow are shown in Table I. By the time the turbulence has reached the plane of the particle center, the Taylor microscale is about 1/3-1/2 of the particle diameter, while the Kolmogorov length $\eta = (\nu^3/\epsilon)^{1/4}$ is more than one order of magnitude smaller. From the last column of the table, showing values of $\eta/\Delta x$, it can be seen that the Kolmogorov scale is adequately resolved [see e.g. 29, p. 347].

Several time scales are relevant for the present problem. In the first place, the turbulence is characterized by the Kolmogorov time scale τ_K and the integral time scale τ_E , respectively given by

$$\tau_K = \sqrt{\frac{\nu}{\epsilon}}, \qquad \tau_E = \frac{k}{\epsilon},$$
(2)

with k the turbulent kinetic energy and ϵ the dissipation, both evaluated at the particle plane. The particle response time for rotational motion is given by

$$\tau_p = \frac{I}{8\pi\mu d^3},\tag{3}$$

where μ is the fluid viscosity. On the basis of these three time scales we can define two Stokes numbers:

$$St_K = \frac{\tau_p}{\tau_K}$$
 and $St_E = \frac{\tau_p}{\tau_E}$. (4)

Numerical values of these and other normalized scales to be introduced presently are provided in Table II.

In spite of the fact that our particle size is close to the integral length scale, inertialrange scaling provides a convenient, if approximate, framework to analyze the particleturbulence interaction as confirmed by the fact that the scaled mean angular velocities and hydrodynamic torques are numbers of order 1 (see Table III below). On this basis, we normalize the particle angular velocity by the angular velocity of an eddy of the same size of the particle which, for homogeneous isotropic turbulence, can be estimated to be

$$O_{fl} = \frac{1}{2} \left(\frac{\epsilon}{d^2}\right)^{1/3} , \qquad (5)$$

where the factor $\frac{1}{2}$ reflects the relation between the vorticity and the angular velocity of a fluid particle.

We define a dimensionless moment of inertia I^* by

$$I^* = \frac{I}{\frac{1}{10} \left(\frac{\pi}{6} d^3 \rho\right) d^2},$$
 (6)

with ρ the fluid density. We consider two different values of this quantity, $I^* = 2$ and 10/3, the former corresponding, for example, to a particle with a uniform density $\rho_p/\rho = 2$, the

Re_p	τ_c/τ_E	τ_c/τ_p	St_K	St_E	$\tau_E O_{fl}$	$ au_p O_{fl}$	$ u au_E/d^2$	ℓ_p/d
80	0.669	0.376	25.37	1.78	0.778	1.38	0.0187	4.59
150	0.271	0.152	16.33	1.78	0.763	1.36	0.0246	4.49

TABLE II: Numerical values of several quantities characterizing the simulations; $\tau_c = d/U$ is the convection time past the particle, τ_E the integral time scale, St_K and St_E the Stokes numbers based on the Kolmogorov and integral time scales; O_{fl} , defined in (5) is the mean angular velocity of a fluid eddy with the size of the particle; ℓ_p/d is the size of eddies having the same time constant τ_p as the particle. The particle time scale shown here has been calculated for the solid sphere; that for the shell is a factor 10/3 larger.

latter to a thin spherical shell with the same radius and mass as the particle. The particle time scale (3) is, in terms of I^* , $\tau_p = (I^*/480)d^2/\nu$.

The length scale ℓ_p of eddies having the same time scale as the particle is readily estimated by equating the time scale τ_{ℓ} of an inertial-range eddy of size ℓ , as given by the theory of homogeneous isotropic turbulence,

$$\tau_{\ell} = \left(\frac{\ell^2}{\epsilon}\right)^{1/3},\tag{7}$$

to τ_p given in (3) to find

$$\frac{\ell_p}{d} = \left(8\,\tau_p O_{fl}\right)^{3/2}\,.$$
(8)

The values of ℓ_p/d produced by this relation are shown in Table II. For both values of Re_p we find $\ell_p/d \sim 4.5$, which is significantly larger than the integral length scale. Even if present, therefore, such long-lived eddies will be very infrequent in both situations investigated. Thus, since the particle will mostly interact with eddies having a smaller characteristic time than its own, one may expect that the angular velocity that it will pick up will be smaller than that of the eddies with which it interacts. Another factor reinforcing this expectation is that, as Table II shows, the convection time τ_c past the particle,

$$\tau_c = \frac{d}{U},\tag{9}$$

is also shorter than the time scale τ_p of the particle.

As shown in Table I, u'/U is about 1 for $Re_p = 80$, and about 0.4 for $Re_p = 150$. A Taylorhypothesis-like picture of turbulent eddies frozen in the flow is therefore inappropriate in the first case and not very well justified even in the second one. Nevertheless it is of some interest to estimate the spatial scale ℓ_c of an eddy having the same time scale as the convection time (9) past the particle at the velocity of the imposed mean flow. Unless the eddy persisted at least this long, it would be unlikely that it be able to impart much rotation to the particle. Upon setting $\tau_{\ell} = \tau_c$ and solving for $\ell \equiv \ell_c$ we find

$$\frac{\ell_c}{d} = \frac{1}{Re_p^{3/2}} \left(\frac{d}{\eta}\right)^2. \tag{10}$$

For $Re_p = 80$ and $Re_p = 150$ this relation gives $\ell_c/d \simeq 1.04$ and $\ell_c/d \simeq 0.264$, respectively. On average, eddies smaller than the scale indicated by this relation will not maintain their integrity for the time during which they are able to interact with the particle. As Re_p increases, this threshold becomes less stringent and more eddies will last long enough to interact with the particle. However, the effect of this trend is countered by the fact that smaller eddies have a smaller energy even though they carry a larger vorticity.

An eddy of size ℓ cannot impart to the particle a greater angular velocity than its own, of order $1/\tau_{\ell}$, nor can it give the particle more kinetic energy that itself has, of the order of $\rho\ell^3\epsilon\tau_{\ell}$. By equating this energy to that of a particle rotating with the eddy's angular velocity $1/\tau_{\ell}$ we can estimate the length scale of these hypothetical "asymptotic eddies" (in the sense that they transfer all their energy to the particle and give it the largest angular velocity that they can impart) to be $\ell/d \sim (\pi I^*/120)^{1/5} \simeq 0.55$ for $I_* = 2$. The numerical constant is just a crude estimate as it will depend on the shape of the eddy, on whether the particle angular velocity is taken as $1/\tau_{\ell}$ or $1/\frac{1}{2}\tau_{\ell}$ and so on, but it nevertheless shows that an eddy too much smaller than the particle diameter cannot impart to the particle a significant angular velocity even if the interaction occurred under the most favorable idealized conditions. All of these considerations lead us to expect that the angular velocities picked up by the particle will be relatively small and unlikely to be larger (in modulus) than the scale O_{fl} defined in (5).

For each value of Re_p and each realization of the turbulent flow, three types of simulations were carried out, one without the particle, one with a particle with $I^* = 2$, referred to as "solid sphere", and one with a spherical shell of equal radius and mass, having the larger moment of inertia $I^* = 10/3$. To mitigate the effect of statistical fluctuations, the results that we present have been obtained by averaging over seven different realizations of the turbulent field, each one lasting between 60 and 100 integral times as calculated in correspondence

	$Re_p = 80$	$Re_p = 150$
	sphere shell	sphere shell
$\Omega_x^{*\prime}$	$0.580 \ \ 0.424$	0.378 0.328
$\Omega_y^{*\prime}$	$0.492 \ \ 0.438$	0.356 0.329
$\Omega_z^{*\prime}$	$0.503 \ \ 0.469$	0.389 0.306
$L_x^{*\prime}$	$1.525 \ 1.716$	1.275 1.630
$L_y^{*\prime}$	$1.469 \ 1.665$	$1.255 \ 1.517$
$L_z^{*\prime}$	$1.295 \ 1.572$	1.008 1.193

TABLE III: Root mean square values of the normalized angular velocity and torque components.

of the inlet conditions. Each set of simulations required about 12 weeks on a single Nvidia K80s GPU installed in a Dell PowerEdge R730 with dual Intel Haswell Xeon E5-2680v3 and two Nvidia K80s.

For fixed, non-rotating spheres in steady uniform flow, experimental values of the drag coefficient $C_D = F_z/(\frac{\pi}{8}\rho d^2 U^2)$ are 1.23 for $Re_p = 80$ and 0.894 for $Re_p = 150$ [30]. The wellknown Schiller-Naumann correlation [see e.g. 31] gives 1.21 and 0.910 respectively. Drag coefficients in turbulent flow are larger [see e.g. 32]. In our case, for $Re_p = 80$ we find C_D $= 1.31 \pm 0.52$ while, for $Re_p = 150$, $C_D = 1.05 \pm 0.09$. These values have been calculated by averaging over the last 40 integral times to avoid the effect of the initial transients. The large standard deviation for $Re_p = 80$ is due to the very strong turbulence intensity (see Table I). Due to the strong intermittency, convergence of the averaging is very slow in this case. Interestingly, the results for the spherical shell are different, $C_D = 1.06 \pm 0.44$ and 1.19 ± 0.15 for $Re_p = 80$ and $Re_p = 150$, respectively. It may be observed that the average values for the solid sphere decrease with increasing Re_p , as expected, while those for the shell seem to slightly increase. Whether this is a consequence of insufficient statistics or a genuine physical effect cannot be determined on the basis of the numerical results. Generally speaking, one would expect that, on increasing the moment of inertia, the particle should become more and more reluctant to rotate and, therefore, behave more and more like a fixed sphere. On this basis, we incline toward the first explanation.

IV. RESULTS: ANGULAR VELOCITY AND ACCELERATION

We non-dimensionalize the particle angular velocity in terms of O_{fl} , the angular velocity of eddies having the scale of the particle diameter, defined in (5):

$$\mathbf{\Omega}^* = \frac{\mathbf{\Omega}}{O_{fl}}.\tag{11}$$

In the calculation of O_{fl} , ϵ is evaluated on the plane of the particle center in the absence of the particle. The RMS values of the three components of this normalized angular velocity are shown in Table III.

The rotational Reynolds number for the particle is given by

$$Re_{\Omega} = \frac{d^2\Omega}{\nu} = \frac{1}{2} \left(\frac{d}{\eta}\right)^{4/3} \Omega^*.$$
(12)

With the numerical values of Tables I and III this is of the order of 20. Inertial effects are therefore important, but it is convenient to non-dimensionalize the torque on a viscous scale by

$$\mathbf{L}^* = \frac{\mathbf{L}}{8\pi\mu d^3 O_{fl}}.$$
(13)

The RMS values of the three components of this normalized torque are also shown in Table III. The results for both Ω^* and L^* are numbers of order one, which shows the adequacy of the non-dimensionalizations adopted in spite of the magnitude of the rotational Reynolds number. For both quantities, the components in the cross-stream plane are comparable, as expected. The angular velocities for the sphere are somewhat larger than for the shell, which can be imputed to the smaller rotational inertia. For the same reason, the torques acting on the shell are larger than those acting on the sphere, in agreement with the results shown later in figure 2. It appears likely that this feature is a consequence of the larger difference between the angular velocity of the the fluid and that of the shell which, due to its larger inertia, opposes a greater resistance to rotation. The torque in the flow direction is somewhat smaller than that in the cross-stream plane, which suggests some difference in the way in which the turbulent eddies responsible for rotation in the different directions interact with the particle.

It was suggested in [19] that the mean square particle angular velocity $\langle \Omega \cdot \Omega \rangle$ satisfies a relation of the form

$$\langle \mathbf{\Omega} \cdot \mathbf{\Omega} \rangle \tau_K^2 \left(\frac{\hat{d}}{\eta} \right)^{4/3} \simeq 2.$$
 (14)

The length \hat{d} used in [19] was the length of their rods. A similar relation was also found to be verified in Refs. [8] and [9], where \hat{d} was taken as the volume-equivalent spherical diameter of their particles. In terms of the dimensionless variables used here, the left-hand side of this relation is simply

$$\frac{1}{4} [(\Omega_x^{*\prime})^2 + (\Omega_y^{*\prime})^2 + (\Omega_z^{*\prime})^2].$$
(15)

With the results shown in Tables I, II and III for the sphere this quantity evaluates to 0.208 and 0.105 for $Re_p = 80$ and 150, respectively. The order-of-magnitude difference with the reported experimental values is likely due to the fact that the particles used in the experiments were free to move and neutrally buoyant. Thus, they were able to interact with the turbulent eddies for a much longer time than the convection time τ_c for which our fixed particle remained exposed to them. The fact that the quantity (15) is smaller for the larger convection velocity (i.e., the larger particle Reynolds number and the shorter interaction time) lends some support to this conjecture. Of course, the much larger Re_{λ} in the experiment (about 250, vs. the present one close to 30) may also play a role. Since (15) is independent of d/η , the validity of the scaling (14) would also imply a constant value of the dimensionless angular velocity (15) irrespective of the Reynolds number. The fact that the right-hand side, gives another indication of the difference between our situation and the experiments referred to.

Another interesting remark to be made in connection with the results of Table III is that the root mean square values of the angular velocities are larger for $Re_p = 80$ than $Re_p = 150$. This result is likely due to the fact that, for the same convected turbulence, a particle will be exposed to eddies having size and energy able to set it into rotation for a shorter and shorter time as the speed of the incident flow increases.

The two panels in figure 1 show the PDF of $\Omega_{x,y}^*$, the particle angular velocity in the plane perpendicular to the mean incident velocity. Since the directions x and y are equivalent, here and in the graphs that follow we have not distinguished between them in calculating the PDFs. The upper panel is for $Re_p = 80$ and the lower one for $Re_p = 150$. The tallest, most peaked curves (red) are for the shell and the somewhat lower and broader ones (black) for the solid sphere. The lowest curve (blue) is the PDF for the fluid angular velocity (i.e., vorticity/2) averaged over a sphere of radius d/2 while the other one (yellow) is for the fluid



FIG. 1: PDF of the angular velocity in the cross-stream plane (x, y) normalized as in (11) for $Re_p = 80$ (above) and 150. The highest-peaked (red) and second-highest peaked (black) curves are for the shell and the sphere, respectively. The broadest curve (blue) is for the fluid angular velocity (i.e., $\frac{1}{2} \times \text{vorticity}$) averaged over a sphere of radius d/2 (RMS value 3.7179); the other curve (yellow) is for the fluid angular velocity averaged over a sphere of radius d (RMS value 1.8361), both centered at the position of the particle center in the absence of the particle. The dashed lines are Gaussian fits.

angular velocity averaged over a sphere of radius d, both calculated at the particle position in the absence of the particle. The dashed lines are Gaussian fits. The RMS values are 3.7179 (for the blue curve) and 1.8361 (for the yellow curve). These values are larger than those for the particle shown in Table III, which is another indication of the inability of the smaller eddies to impart a significant angular velocity to the particle in spite of their larger angular velocity.

The PDF's for the solid sphere and the shell closely approximate Gaussian distributions with a flatness deviating by less than 5% from 3. The PDF's for the angular velocity in the flow direction (not shown) are similar. The PDF's of the averaged fluid vorticity are much broader and exhibit intermittency with a flatness of about 4.2, although they appear to exhibit only a small difference from the corresponding Gaussian fits (dashed lines). These results agree with the observations reported in Ref. [8], which suggest that particles with η/d < 0.037, in a turbulent field with $Re_{\lambda} \simeq 250$, "do not respond, in any distinct way, to the intermittency of the surrounding turbulence." Ref. [8], however, also reports that the particle angular velocity has a statistical distribution similar to that of the vorticity filtered over scales comparable with the particle diameter. This generates a paradox; citing from [8]: "This statistical analogy [the Gaussianity of the particle angular velocity and other results suggests that inertial particles experience turbulence only as random forcing without responding to any structure of turbulence. On the other hand, it contradicts evidences that these particles do respond to turbulence structures equal to or larger than their size, which should lead to structured motion following the non-random statistics of the coarse-grained velocity gradient tensor." The paradox may perhaps be explained in the following way: as argued in the previous section, the smaller eddies, which have the largest vorticity, cannot impart a large angular velocity to the particle. By the law of large numbers, the accumulation of successive small torques imparted by them will then tend to generate an approximately Gaussian angular velocity distribution. In view of the randomness of the direction of the torque, the angular velocity generated by this process will also necessarily tend to be small, as indeed shown in figure 1. Large angular velocities can only be the result of interactions with the less frequent larger eddies, the probability distribution of which is closer to a Gaussian. By these distinct mechanisms, therefore, both the small- and the large-scale eddies conspire to impart to the overall probability distribution of the particle an angular velocity close to a Gaussian.

The PDF's of the dimensionless torque are shown in figure 2. Unlike the particle angular velocity, these PDF's are non-Gaussian and exhibit a relatively strong intermittency. The flatness is about 4 which, not coincidentally given that the torques are due to interaction with the fluid vorticity, is quite close to the flatness of the latter. Qualitatively, these results



FIG. 2: PDF of the dimensionless torque in the cross-stream plane (x, y) (black lines, squares) and in the direction of the incident flow (red lines, circles) normalized as in (13) for $Re_p = 80$ (above) and 150. The solid lines and solid symbols are for the solid sphere and the dashed lines and open symbols for the spherical shell.

are similar to those reported in Ref. [7], which deals with a buoyant particle rising in a downward turbulent flow, and which also reports a Gaussian distribution for the angular velocity and significant tails for the angular acceleration. However, the particle Reynolds number in this study was significantly greater than ours and the particle dynamics was strongly affected by vortex shedding. Ref. [2] reports a flatness of about 4 for the angular velocity and of about 7 for the angular acceleration. While the relation between the particle size and the integral scale in that study is similar to ours, the two differ substantially in the turbulence level ($Re_{\lambda} = 300$ in [7] vs. our $Re_{\lambda} \sim 30$) and, more importantly, in the fact that the particle of Ref. [2] was neutrally buoyant, free to move and not subjected to a mean flow.

It is also interesting to note that the PDF of the torque acting on the spherical shell has somewhat broader wings than that on the solid sphere. The effect is particularly noticeable for the larger (absolute) values of the torque. A likely explanation is that the greater inertia makes the spherical shell less responsive to the vorticity of the flow, with a consequent larger relative angular velocity and, therefore, larger torques. (An illuminating analogy is the flow past a plate free to move: the faster the plate picks up speed, the faster the drag force decreases.) Another interesting point is the narrower PDF in the direction of the incident flow than in the cross-stream plane, consistently with the smaller values for L_z^* shown in Table III.

A simple calculation shows that

$$\frac{\mathbf{\Omega}d}{u'} = \frac{1}{2Re_p} \left(\frac{d}{\eta}\right)^{4/3} \frac{\mathbf{\Omega}^*}{u'/U}.$$
(16)

With the data of Tables I, II and III we find results of the order of 0.25 for $Re_p = 80$ and 0.18 for $Re_p = 150$. In spite of the difference mentioned before between the situations investigated, these magnitudes are comparable to the value ~ 0.3 reported in Ref. [2] for neutrally buoyant particles in homogeneous turbulence. The authors point out that these values are consistent with "the rotation that would result from imposing a velocity difference equal to almost u' across the diameter of the sphere."

Figure 1 shows that the range of appreciable particle angular velocities extends little beyond the scale of the angular velocity of fluid eddies having the scale of the particle. In the previous section we have provided several considerations on the basis of which this result was to be expected. We reiterate here the importance of the particle rotational inertia demonstrated by the fact that the RMS angular velocity of the shell is smaller than that of the solid sphere and its PDF distribution is narrower and more peaked around zero. Another contributing factor, also mentioned before, is the limited time available for energetic incident turbulent eddies to interact with the particle.

To cast some light on this latter aspect figure 3 shows a portion of the time history of one



FIG. 3: Time history of the dimensionless torque L_x^* for the sphere with $Re_p = 150$. Time is normalized by the convection time $\tau_c = d/U$. The persistence time of the sign of L_x^* is denoted by Δ .

component of the normalized torque acting on the sphere for $Re_p = 150$; time is normalized by the convection time τ_c . Rapid sign changes of the torque are evident here, but one also notices a few more extended time intervals, lasting several convection times, in which the torque maintains the same sign.

To investigate the matter quantitatively we consider the sign persistence Δ of the torque, defined in the figure. The solid lines in the two panels of figure 4 show the PDF of this quantity for the two values of Re_p . The first data points of the curves correspond to $\Delta/\tau_c =$ 0.100 and 0.187 in the upper and lower panels, respectively, which are the intervals separating two successive data outputs of the simulations. The lack of smoothness displayed by these results is due to the strong intensity of the turbulence the effects of which have not been completely removed by the limited averaging over seven realizations used in this work. The dashed lines show the PDF's of the sign persistence of the cross-stream component of the fluid vorticity, in the absence of the particle, averaged over volumes with radii d/4 (solid square), d/2 (solid circle), d (open square) and 1.5d (open circle). Of course the turbulent eddies will interact with the particle and considering their characteristics disregarding this interaction as we do here requires some justification, which is presented in the Appendix.



FIG. 4: The solid lines show the PDF's of the sign persistence of the components of the torque in the cross-stream plane acting on the sphere for $Re_p = 80$ (upper panel) and $Re_p = 150$. The dashed lines are the sign persistence of the cross-stream vorticity in the absence of the particle averaged over spherical volumes with radius d/4 (solid square), d/2 (solid circle), d (open square) and 1.5d (open circle).

Briefly, although appeal to the rapid distortion theory of turbulence is only marginally justified even for $Re_p = 150$, this theory suggests that incident eddies will retain their sign while interacting with the particle.

These figures present several elements of interest. In the first place, for Δ/τ_c greater than about 1-2, it is seen that the sign persistence for the fluid angular velocity agrees with what would be expected on the basis of (7): the intrinsic time scale of eddies increases with the eddy size, which would lead to a longer sign persistence. (Smaller eddies can also have a longer persistence, but with a low probability as shown in the figure.) The ordering is reversed for small values of Δ , as the lifetime τ_{ℓ} of eddies of scale ℓ decreases with ℓ as shown by (7) (and a short persistence of larger eddies is unlikely).

If the sign persistence of the torque is dependent on the sign persistence of the eddies, one would expect the torque to be generated by eddies which, in the absence of the particle, would have a longer lifetime than the torque itself, as these would be damped by the interaction with the particle. We see that, for Δ/τ_c small ($\Delta/\tau_c < 0.5$ for $Re_p = 80$ and $\Delta/\tau_c < 1$ for $Re_p = 150$) eddies with a sign persistence longer than that of the particle angular velocity have a radius of about d/4 for $Re_p = 80$ which increases to between d/2 and d for $Re_p = 150$. These results suggest that the interactions giving rise to the most probable sign persistence of the particle angular velocity are with eddies the size of which increases somewhat with the particle Reynolds number, while remaining of a size comparable with that of the particle. The considerations on the "asymptotic eddies" made in section III may explain this result. Eddies with scales between d/4 and d would typically have an angular velocity slightly larger than O_{fl} but a time scale much shorter than τ_p , so that the angular velocity they can impart to the particle will be quite a bit smaller than O_{fl} , as indeed shown by in figure 1.

Figure 1, however, also shows values of the particle angular velocity comparable with O_{fl} . These larger values must arise from interactions with larger eddies. Indeed, figure 4 shows the presence of eddies with a diameter of order d or larger with a sign persistence larger than that of the torque on the particle. As measured on the convective time scale τ_c , the sign persistence increases with Reynolds number, probably as a consequence of the estimate (10) of the scale of eddies lasting a convection time. Another way to look at this feature is to consider the ratio of the characteristic time τ_{ℓ} for an eddy of size ℓ to the convection time:

$$\frac{\tau_{\ell}}{\tau_c} = \left(\frac{\ell}{d}\right)^{1/3} \frac{\eta}{d} Re_p \,. \tag{17}$$

With the data of Table I, for the two values of Re_p , we find $(\eta/d)Re_p = 2.93$ for $Re_p = 80$ and $Re_p = 6.81$ for $Re_p = 150$. Thus, for the same ℓ/d , on the scale τ_c eddies at $Re_p = 150$ have a longer lifetime than at $Re_p = 80$ and, therefore, the torque that they cause also has a longer persistence.

The previous considerations as to the size of eddies responsible for the particle torque are confirmed by an analysis of the auto-correlation time of the torque and of the vorticity averaged over volumes of different sizes, which is shown in figure 5. Once again we see that, for $Re_p = 80$, the torque auto-correlation is intermediate between that of the vorticity



FIG. 5: The solid lines show the auto-correlation of the cross-stream components of the torque acting on the sphere for $Re_p = 80$ (upper panel) and $Re_p = 150$. The dashed lines are the auto-correlations of the vorticity averaged over spherical volumes with radius d/4 (solid square), d/2 (solid circle), d (open square) and 1.5d (open circle) in the absence of the particle.

averaged over volumes of radii d/4 and d/2 while the scale increases somewhat for Re_p =150. It was pointed out earlier after (8) that eddies with a time scale close to that of the particle are larger than the particle diameter, which would lead one to expect that the auto-correlation of the torque would be closer to that of the larger, rather than the smaller, eddies. Figure 5 shows in fact that the opposite is true. This result suggests that the torque



FIG. 6: Auto-correlation of the cross-stream components of the particle angular velocity. The solid lines are for the sphere and the dashed lines for the spherical shell. The squares are for $Re_p = 80$ and the circles for $Re_p = 150$.

is mostly influenced by eddies smaller than d, which are more numerous than larger ones and buffet the particle in greater number as they are convected past it.

For increasing time lags the averaged fluid vorticity becomes only very weakly anticorrelated with itself, in contrast with the torque on the particle, which exhibits a much stronger anti-correlation. This difference is likely a consequence of the particle inertia: the rotation produced by an eddy will cause a torque in the opposite sense whenever another eddy is convected by the incident flow, even if it has the same sign as the previous one (but a different angular velocity), or even if it carries zero vorticity. As Re_p increases, the first zero crossing of the torque occurs earlier and earlier, and significantly before a convection time has elapsed, indicative of the effect of smaller eddies quickly swept by the flow.

Figure 6 shows the auto-correlation of the particle angular velocity normalized by the integral time scale. Two features of this figure demonstrate the effect of rotational inertia. In the first place, the auto-correlation of the angular velocity is seen to be much longer that that of the torque shown in figure 5 (note that a given value of t/τ_E in figure 6 corresponds to a value of t/τ_c smaller by a factor of about 0.7 for for $Re_p = 80$ and 0.3 for $Re_p = 150$). Secondly, the first zero crossing for the shell occurs later than for the solid sphere because of



FIG. 7: The shedding of counter-clockwise (negative) vorticity on the right imparts to the particle a (negative) reaction force F_x to the left and, by conservation of angular momentum, a clockwise (positive) rotation Ω_y . As a consequence, this process is associated with a negative value of the products $F_x \Omega_y$ and $F_x L_y$.

the longer persistence of the angular velocity associated with a larger moment of inertia. A third feature present in the numerical results, which we do not show for brevity, is the longer sign persistence of the angular velocity for the shell in comparison with the solid sphere. The auto-correlation decays faster at the larger Re_p , likely for the same reason mentioned before in connection with the zero-crossing of the auto-correlation of the torque.

V. RESULTS: FORCES

Although the force component in the flow direction is dominant, as expected, there are significant forces in the cross stream plane. A possible origin of these components are the velocity fluctuations the action of which may be interpreted as tilting the mean flow incident on the particle as found, for example, in [25]. Another possibility is vortex shedding. Although the mean-flow Reynolds numbers considered here are much below the threshold for this phenomenon in a uniform steady flow, which is close to 280 [see e.g. 33], vortex shedding can be induced by the vorticity transported near the particle by the flow as found in [25]. A third possibility, investigated experimentally in [2] for a neutrally buoyant particle in a



FIG. 8: PDF of the normalized product $F_x \Omega_y$ for the sphere with $Re_p = 150$. The slight bias toward negative values is compatible with a cross-stream force component due to vortex shedding.

homogeneous turbulent field, is a Magnus lift force caused by the interaction of the particle rotation with the incident flow [34–37].

The vortex shedding studied in [6, 7] would tend to impose a relation between the sign of the force induced by the shedding and that of the shed vorticity. Indeed, a vortex shed on one side of the particle imparts to it a force directed toward the opposite side and conservation of angular momentum suggests that the particle would tend to rotate in the direction opposite that of the shed vortex (figure 7). With the present choice of axes, a consideration of the signs of forces and torques shows that a positive/negative F_x should be associated with a negative/positive Ω_y , while F_y and Ω_x should have the same sign. Figure 8 shows the PDF of the product $F_x \Omega_y$ normalized by the product of the RMS values. The PDF is slightly skewed to the left, showing a prevalence of negative values of $F_x \Omega_y$, which is compatible with the vortex shedding mechanism.

The previous argument suffers from the weakness that, when the particle sheds the vortex, it might already be rotating in the "wrong" direction due to prior encounters with turbulent eddies. In this case vortex shedding would retard the rotation but not necessarily be strong enough to reverse it. To strengthen the previous conclusion it is therefore useful to consider the hydrodynamic torque acting on the particle to which, after all, the acquisition of rotation in the "right" direction after the vortex shedding event would be due. It is clear that the



FIG. 9: Scatter plot of L_y^* vs. F_x^* (left) and L_x^* vs. F_y^* for the sphere with $Re_p = 150$ normalized as in (13) and (18), respectively. In spite of the large scatter, a trend compatible with vortex shedding from the particle is clearly visible.

mutual sign relation of $F_{x,y}$ and $L_{x,y}$ should be the same as that between $F_{x,y}$ and $\Omega_{x,y}$ mentioned before. The two panels in figure 9 show scatter plots of L_y^* vs. F_x^* and L_x^* vs F_y^* for a single realization with $Re_p = 150$; here the dimensionless force components are defined by

$$\mathbf{F}^* = \frac{\mathbf{F}}{\frac{\pi}{8}d^2\rho U^2},\tag{18}$$

while \mathbf{L}^* is as defined in (13) above. Both figures show a significant amount of scatter, but a general trend compatible with the sign considerations just described is clear. This conclusion can be reinforced by a consideration of figure 10, which shows the PDF of the product $F_x L_y$ normalized by the respective RMS values for all 7 simulations with $Re_p = 150$. Here, the bias toward opposite signs is even clearer than in figure 8. Numerous instances of vortex shedding can be seen in visualizations of the vorticity distribution. An example is shown in the sequence of figure 11 and the movie clip from which this sequence has been extracted is available as Supplemental Material [38].

Plots analogous to that of figure 8 for $Re_p = 80$ give PDF's that are very nearly symmetric about zero. In this case the turbulence intensity is very large (close to 100% in fact, as shown in Table I) and force components in the cross-stream directions are mostly due to the rapidly changing direction of the incident flow rather than to vortex shedding (weakened by the smaller incident velocity) or Magnus mechanisms.



FIG. 10: PDF of the product $F_x L_y$ normalized by the respective RMS values of the two factors for the sphere with $Re_p = 150$. The prevalence of negative values is compatible with the effect of vortex shedding in generating the cross-stream force component F_x .

The Magnus force on a stationary particle subjected to an incident flow with velocity \mathbf{V} and rotating with angular velocity $\mathbf{\Omega}$ is given by [see e.g. 2, 35, 39] $\mathbf{F} = C_L \rho v \mathbf{V} \times \mathbf{\Omega}$, with C_L a numerical coefficient of order 1 (Ref. [36] reports $C_L \simeq 0.55$, Ref. [35] $C_L = \frac{1}{2}$) and $v = \frac{1}{6}\pi d^3$ the particle volume. Upon using this formula with $V_z = U + u'_z$, $V_{x,y} = u'_{x,y}$ (in which U is the imposed mean flow and u'_{xyz} are the fluctuating velocity components) we find

$$F_x = C_L \rho v \left[\Omega_z u'_y - \Omega_y (U + u'_z)\right], \qquad F_y = C_L \rho v \left[\Omega_x (U + u'_z) - \Omega_z u'_x\right].$$
(19)

In the present situation, at least for $Re_p = 150$, U (which is positive) is dominant and therefore we should expect that, to a very rough approximation,

$$F_x \simeq -C_L \rho v \Omega_y U, \qquad F_y \simeq C_L \rho v \Omega_x U.$$
 (20)

The relative sign between force and angular velocity components exhibited by these relations is the same as that due to the vortex shedding phenomenon considered before. Thus, the Magnus mechanism would reinforce vortex shedding to produce a lift force on the sphere, a conclusion also reached in [2] in spite of the difference in the Reynolds numbers investigated and of the fact that, in that study, the particle was free to move. In order to gain sme insight into the relative importance of the two mechanisms we can study the magnitude of the forces (20). By non-dimensionalizing them according to (18); we find

$$F_x^* \simeq -\frac{2}{3} \frac{1}{Re_p} \left(\frac{d}{\eta}\right)^{4/3} C_L \Omega_y^*, \qquad F_y^* \simeq \frac{2}{3} \frac{1}{Re_p} \left(\frac{d}{\eta}\right)^{4/3} C_L \Omega_x^*.$$
 (21)



FIG. 11: Six successive images separated by 0.1875 τ_c showing an example of the induced shedding of positive (red) vorticity Ω_y^* from the sphere for $Re_p = 150$. The range of the blue to red color scale is $-2.5 \leq \Omega_y^* \leq 2.5$. The mean incident flow is vertically upward in the z direction; the x axis is horizontal to the right and the y axis into the page. This sequence is part of the movie clip available as Supplemental Material [38]; the first image (a) corresponds to approximately $t = 31.7 \tau_c$ after the start of the movie and the last one (f) to about $t = 32.6 \tau_c$.

According to Table II the factor multiplying $C_L\Omega_{x,y}^*$ is approximately 0.274 fo $Re_p = 150$. Since both C_L and $\Omega_{x,y}^*$ are smaller than 1 while, from figure 9, the normalized crossstream forces are of order 1, this result suggests that the Magnus mechanism is somewhat less effective than vortex shedding in generating a cross-stream force on the sphere. This conclusion is not necessarily in contradiction with the results of [2] because, with a neutrally buoyant sphere free to move, one may expect a much smaller fluid-sphere relative velocity and, therefore, a significantly reduced vortex shedding. For $Re_p = 80$ the factor above is 0.686, but it is difficult to draw definite conclusions due to the very strong turbulence buffeting the sphere in this case.

VI. SUMMARY AND CONCLUSIONS

In this paper we have presented results of the fully-resolved numerical simulation of turbulent flow $(Re_{\lambda} \simeq 31 - 36)$ past a solid sphere and a spherical shell free to rotate around a fixed center. This situation approximates the behavior of a particle whose relative motion with respect to the fluid is driven by a density difference in the gravitational field. The somewhat artificial condition imposed by the fixity of the particle center is balanced by the advantage of being able to have precise information on the turbulent flow incident on the particle by repeating the same simulation with the particle removed.

By studying the auto-correlation of the torques acting on the particle and the persistence of their sign we have concluded that, at the lower Reynolds number considered, Re_p = 80, the particle is mostly influenced by turbulent eddies somewhat smaller than itself. As the Reynolds number of the incident flow increases to $Re_p = 150$, the scale of the eddies interacting with the particle also increases. The explanation resides in the effects of rotational inertia, as acquiring a significant angular velocity requires exposure of the particle to a fluid torque of the appropriate sign and direction over a certain amount of time. With increasing flow velocity (and, therefore, increasing Re_p), eddies pass by the particle faster and the required exposure can only take place if the scale of the eddies increases. These results are in qualitative agreement with the experimental observations reported in [3] (at a higher Reynolds number and with $Re_{\lambda} = 400$) according to which the particle is influenced by flow structures with a scale of the order of its diameter. While vortex structures of these scales appear to be most important for the rotational dynamics of the particle, the high frequency of sign reversals of the torque suggests that the effect of these relatively large eddies is modulated by the smaller eddies that they contain.

We have examined the numerical results to detect the presence of cross-stream forces due to vortex shedding and to a Magnus-like mechanism due to the interaction of the particle rotation with the incident flow. Vortex shedding is found to be clearly detectable for Re_p = 150, in spite of the fact that this Reynolds number is much below that for spontaneous vortex shedding in a uniform flow. The vortex shedding that we find is induced by the interaction with the turbulence transported by the incident flow as was observed an earlier work [25]. A semi-quantitative analysis of the results is consistent with the presence of a Magnus mechanism which, however, is found to be less significant than vortex shedding in generating the cross-stream force.

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APPENDIX: PERSISTENCE OF THE SIGN OF VORTICITY

According to the rapid distortion theory of turbulence [see e.g. Ref. 29], the vorticity transported by a mean flow \mathbf{V} satisfies the approximate relation

$$\frac{d\boldsymbol{\omega}}{dt} = (\boldsymbol{\omega} \cdot \nabla) \mathbf{V}, \qquad (A.1)$$

in which the convective derivative in the left-hand side is with the velocity \mathbf{V} . We approximate the mean incident flow \mathbf{V} as a potential flow, which is reasonable upstream of the separation region, so that

$$\mathbf{V} = U\mathbf{k} + \nabla \left[Uz \left(1 + \frac{d^3}{16r^3} \right) \right], \qquad (A.2)$$

in which **k** is the unit vector in the direction z of the incident flow, U is the magnitude of the mean incident flow upstream of the sphere and $r = \sqrt{x^2 + y^2 + z^2}$. If we focus on ω_x we need to calculate the derivatives of V_x which are

$$\frac{\partial V_x}{\partial x} = -\frac{3}{16} \frac{U d^3 z}{r^5} \left(1 - 5 \frac{x^2}{r^2} \right) \simeq -\frac{3}{16} \frac{U d^3 z}{|z|^5}, \tag{A.3}$$

$$\frac{\partial V_x}{\partial y} = \frac{15}{16} \frac{U d^3 x y z}{r^7} \simeq 0, \qquad (A.4)$$

$$\frac{\partial V_x}{\partial z} = -\frac{3}{16} \frac{U d^3 x}{r^5} \left(1 - 5\frac{z^2}{r^2}\right) \simeq 0, \qquad (A.5)$$

where in the last steps we have made the near-axis approximation $|x, y| \ll |z|$. With these results (A.1) gives, approximately,

$$\frac{d\omega_x}{dt} \simeq -\frac{3}{16} \frac{U d^3 z}{|z|^5} \omega_x \,. \tag{A.6}$$

This expression shows that, upstream of the sphere (where z < 0), the incident ω_x -vorticity increases as the fluid particles approach the sphere. Since, upstream of the sphere, $d\omega_x/dt$ and ω_x have the same sign, it is evident that ω_x cannot change sign as the eddy approaches the sphere.

As for the applicability of the rapid distortion theory we can consider the magnitude of

$$\tau_E \left| \frac{dV_x}{dx} \right| \simeq \frac{3}{16} \tau_E \frac{Ud^3}{z^4} = \frac{3}{16} Re_p \frac{\tau_E \nu}{d^2} \frac{d^4}{z^4}.$$
 (A.7)

Near the sphere, where $z \sim d$, with the data shown in Table II, this quantity is found to be somewhat less than 1, which puts into question the exact applicability of the rapid distortion theory. Nevertheless, the result is suggestive of the character of the interaction of the eddies with the sphere which appear unlikely to be so strong as to flip the sign of the incident vorticity. Another indication of a certain robustness of the eddy properties as they interact with the particle is given by the near equality of the flatness of the vorticity and of the particle torque noted in section IV.

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