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# Turning depths: evanescent to propagating wave kinetic energy density

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## Abstract

Tidal flow over oceanic topography generates internal waves when the natural frequency ( $N$ ) of the water is greater than the tidal frequency ( $\omega$ ). When  $N < \omega$ , evanescent waves are generated. Although the amplitude and kinetic energy of evanescent waves decay rapidly, if the wave reaches a turning depth, where  $N = \omega$ , and moves into a region where  $N > \omega$ , the evanescent wave becomes an internal wave. This work expands upon previous research of varying stratifications by investigating the kinetic energy density in internal waves generated by evanescent waves passing through a turning depth. An analytical model is presented and compared to synthetic schlieren experiments of two Gaussian shaped topographies. The model and experiments both indicate that the kinetic energy density of internal waves increases with decreasing topographic slope, when the distance between the topography and the turning depth decreases, and when the average Froude number in the evanescent region is close to one. The model is used to estimate the normalized kinetic energy density of internal waves generated from an oceanic feature located within an evanescent region.

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## 19 I. INTRODUCTION

20 Internal waves are uniquely formed in stratified fluids such as the atmosphere and ocean.  
21 The strength of the stratification is proportional to the variation in density in a fluid and is  
22 defined by the natural frequency of unforced oscillations,  $N$  which is defined as

$$23 \quad N^2 = \frac{-g}{\rho_0} \frac{d\rho}{dz} \quad (1)$$

24 where  $g$  is the gravitational constant,  $\rho_0$  is a reference density, and  $d\rho/dz$  is the change in  
25 density with respect to height. One well known generator of internal waves in the ocean is  
26 tidal flow over oceanic bathymetry, specifically the M2 semidiurnal tide, with a frequency  
27  $\omega_{M2} = 1.4052 \times 10^{-4} \text{ s}^{-1}$  [1]. The kinetic energy of internal waves generated from oceanic  
28 topography depends on many factors, including the strength of the stratification and the  
29 shape of the topography. The strength of the stratification defines whether internal waves  
30 or evanescent waves will be formed. Internal waves are formed when  $N$  is greater than the  
31 excitation frequency ( $\omega$ ) and they suffer little to no viscous dissipation as they propagate.  
32 Figure 1a depicts an internal wave generated by tidal motion across an idealized oceanic  
33 topography. Evanescent waves form in the opposite scenario, where  $N < \omega$  as depicted in  
34 Fig. 1b. An evanescent wave has no vertical structure as a propagating wave does and as it  
35 transmits energy vertically the amplitude decays at an exponential rate [2]. King et al. [1]  
36 used data from the World Ocean Circulation Experiment (WOCE) to estimate variations in  
37  $N$  across the oceans in order to locate evanescent regions and turning depths, or locations  
38 where the natural frequency is equal to the forced wave frequency associated with  $\omega_{M2}$ .  
39 They found that these turning depths occur frequently in deep oceans where east-west tides  
40 dominate. If an evanescent wave reaches a turning depth, it becomes a propagating internal  
41 wave, as shown in Fig. 1c where the evanescent wave reaches the turning depth (dashed  
42 line) and then forms a propagating internal wave [2]. While internal waves are known to  
43 have significant energy and are widely studied, evanescent waves are not often considered  
44 to have an impact on the ocean due to the rapid decay rate of the amplitude and energy  
45 content. However, if a significant portion of the original evanescent wave energy reaches a  
46 propagating region, the internal waves formed may have an important impact on the ocean  
47 energy budget.

48 Significant research has been accomplished in both varying stratifications and internal  
49 waves approaching evanescent regions. Pedlosky [3] used linear theory and the WKB ap-

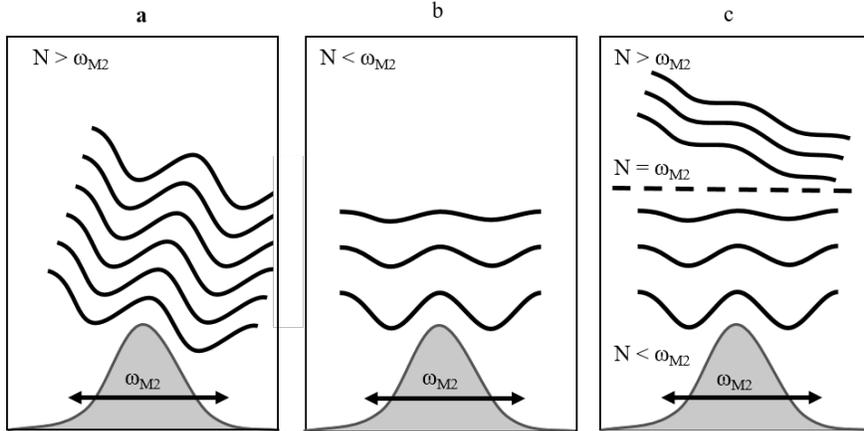


FIG. 1. A propagating internal wave is shown in (a) and the vertically decaying evanescent wave is seen in (b). In (c), the a turning depth indicates the boundary between the evanescent and propagating regions, with the evanescent wave becoming an internal wave as it pass through the turning depth.

50 proximation to account for wave propagation in non-uniform stratifications in propagating  
51 regions. For multi-layered stratification profiles, internal waves have been shown to tunnel  
52 through an evanescent region of fluid and the transmission coefficient of incident internal  
53 wave energy across the evanescent region can be calculated with linear theory [4]. Further  
54 work on tunneling includes smooth changes in natural frequency and the inclusion of a  
55 shear flow [5, 6]. Gregory and Sutherland [7] found that the transmission coefficient was  
56 larger for internal waves that tunneled through a weakly stratified region instead of a well-  
57 mixed region. Mathur and Peacock [8] extended this work for transmission and reflection  
58 of internal waves and varied the scale of the transitional region. They found that a wave  
59 beam will adjust to a varying stratification and be either amplified or diminished based on  
60 the characteristics of the stratification, as long as the changes in the stratification occurred  
61 over a sufficiently large distance. Rapid changes in stratification led to wave scattering.  
62 Sutherland [9] found an analytical solution for the transmission coefficient for an arbitrary  
63 number of density staircases that are all equal in size, and also used simulations to calculate  
64 the transmission coefficient for uneven length staircases. Sutherland found, similar to the  
65 results of Ghaemsaidi et al. [10], that density staircases can act as a filter allowing only  
66 internal waves with long horizontal wavelengths and high frequencies to completely pass  
67 through the staircase region. Paoletti and Swinney [11] used exponential density profiles

68 and stratifications to investigate internal wave reflection and transmission from a turning  
69 depth. Their results compared well with the viscous theory of Kistovich and Chashechkin  
70 [12] which allowed for arbitrary stratifications. Each of these cases assumed that internal  
71 waves were formed in a propagating region and then pass into an evanescent region, but did  
72 not investigate waves formed in an evanescent region passing into a propagating region.

73 Few studies have been conducted which investigate both evanescent and propagating  
74 regions. Using linear theory, Nappo [2] showed that in a two-layer, constant  $N$  fluid, with an  
75 abrupt change from an evanescent to a propagating region, propagating internal wave energy  
76 is dependent upon the strength of the stratification in the propagating region. Paoletti et  
77 al. [13] used numerical simulations validated with experiments to characterize the radiated  
78 power of internal waves generated from a turning depth with varying stratifications and  
79 compared their results to an estimated maximum tidal power. The radiated power was  
80 calculated at a fixed location near the topography while the turning depth location was  
81 varied. They found that steep-sloped topography generated waves with less power than  
82 topography with more gentle slopes. They also saw that the presence of a turning depth  
83 greatly reduced the radiated power compared to the internal waves formed in a propagating  
84 region from the same topography. Their work provides valuable insight on relative power  
85 transferred from the tides into wave motion near topography. In this work, we investigate  
86 the kinetic energy transmitted to propagating waves only. We will use experiments and a  
87 linear theory analysis to explore the effect of non-uniform stratification on wave generation  
88 in evanescent regions and focus on the resultant internal wave kinetic energy in propagating  
89 regions.

90 As mentioned previously, the shape of the topography from which waves are generated  
91 has an important affect on the energy content of the waves. When investigating topograph-  
92 ically generated internal waves, topographies are frequently divided into different categories  
93 based on criticality. Criticality is defined  $\epsilon = S_{top,m}/S_{wave}$  where  $S_{top,m}$  is the maximum to-  
94 pographical slope and  $S_{wave} = \sqrt{\omega^2/(N^2 - \omega^2)}$  is the slope of the generated waves (assuming  
95 no rotation). Topographies in propagating regions are considered subcritical ( $\epsilon < 1$ ), critical  
96 ( $\epsilon = 1$ ), or supercritical ( $\epsilon > 1$ ). Internal wave energy has been estimated for subcritical  
97 topography for constant stratifications [14], depth varying stratifications and a finite depth  
98 ocean [15, 16]. Work has also been done for supercritical topographies both experimentally  
99 and with a viscous linear theory model [17, 18]. However, for evanescent waves,  $\epsilon$  is unde-

100 fined because  $S_{wave}$  is imaginary in an evanescent region. Paoletti et al. [13] used a novel  
101 technique to define an effective height of the topography, based on both the slope of the  
102 topography and the stratification profile. Using this, they could estimate radiated power  
103 for internal waves generated from evanescent waves formed from topography. They found  
104 that internal wave power is significantly decreased in the presence of a turning depth. Their  
105 results compared well with previous research on topographically generated internal waves  
106 and varying stratifications.

107 In this work we account for the effects of topography shape and the distance from the  
108 topography to the turning depth in realistic stratifications to investigate the influence of  
109 turning depths on the local kinetic energy of internal waves generated from evanescent  
110 regions. Specifically, experiments and a new linear model are used with an exponential  $N$   
111 profile such that waves are generated in an evanescent region and pass into a propagating  
112 region. Average internal wave kinetic energy is quantified in the propagating region as a  
113 function of average Froude number in the evanescent region ( $\overline{Fr}_1 = \omega/N$ ) and  $H/D$ , the  
114 relative distance between the topography and the turning depth. These results represent  
115 the first ever analytical model of an evanescent wave generating an internal wave through a  
116 turning depth with varying natural frequency and the kinetic energy associated with each  
117 wave. The numerical theory is supported by experiments.

118 The paper is outlined as follows. Section II describes the experimental setup and analysis,  
119 and details the analytical model. Results are given in Section III, with an oceanic case study  
120 in IV. Section V concludes with a summary of the work.

## 121 II. METHODOLOGY

### 122 A. Experimental Procedures

123 All experiments were performed in an acrylic tank with a length, width, and height of  
124 2.45 m, 0.15 m, and 0.91 m, respectively. To create the density profile, a modified version  
125 of the double bucket method was used [19]. Two peristaltic pumps controlled the flow rates  
126 of fresh and salt water which were joined and slowly filled the tank. Density measurements  
127 using an Anton Par density meter were taken every 2 cm before experiments began, and then

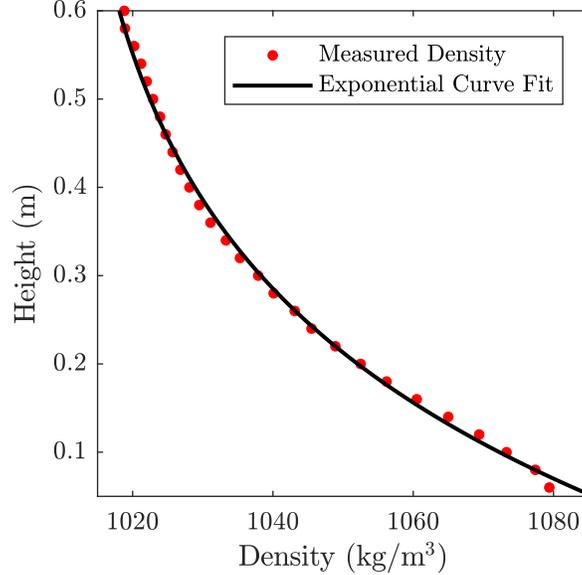


FIG. 2. The measured density is shown in red points and the exponential curve fit is the black line.

128 every 5 cm after every fourth experiment. Density measurements were fit to the equation

$$129 \quad \rho = a \exp(bz) + c \quad (2)$$

130 where  $\rho$  and  $z$  have units of  $\text{kg}/\text{m}^3$  and meters, and  $a$  ( $\text{kg}/\text{m}^3$ ),  $b$  ( $\text{m}^{-1}$ ), and  $c$  ( $\text{kg}/\text{m}^3$ ) are  
 131 coefficients calculated from the exponential fit with an average  $R^2 = 0.997$  for all cases. This  
 132 density profile ensures a varying  $N$  profile for every experiment, with  $N$  defined by Eq. (1)  
 133 and ranging from 0.3 to 2.0  $\text{s}^{-1}$ . In Fig. 2 the measured density and calculated exponential  
 134 curve fit is shown. These data come from Case 17 shown in Table I. The density increases  
 135 with decreasing height, starting at the top of the tank ( $z = 0.6$  m) and moving down to the  
 136 bottom at  $z=0$  m.

137 As shown in Fig. 3, the ocean-topography system is inverted with the topography at the  
 138 surface and lower values of  $N$  at the base of the topography. As  $z$  decreases,  $N$  increases.  
 139 A stepper motor controls the oscillation frequency and excursion length of the topography  
 140 generating waves. Matting was placed at the bottom of the tank to dampen reflections.  
 141 Two Gaussian topographies were used in the experiments with curves of the form

$$142 \quad h = H \exp(-x^2/B^2) \quad (3)$$

143 where  $H$  is the peak height of the topography and  $B^2 = W^2/18$ . Here,  $W$  is the width  
 144 of the topography when the height of the topography has decayed to 1% of  $H$ . The first

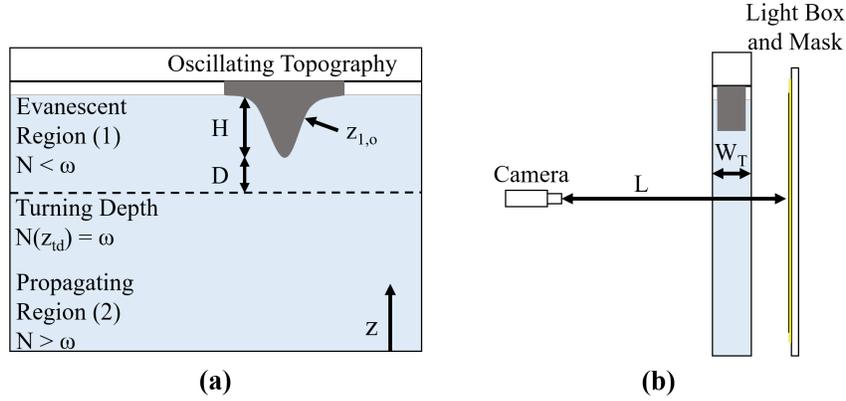


FIG. 3. Experimental tank and visualization system schematic. In (a), the front view of tank is shown with internal wave regions and turning depth as labeled. In (b), the side view of the setup with camera for synthetic schlieren imaging is shown.

145 topography is defined by  $W/H = 1.8$  (medium topography) and the second by  $W/H = 0.45$   
 146 (steep topography).  $H = 10$  cm for both topographies.

147 Two non-dimensional numbers were used to describe each experimental setup. First,  
 148  $H/D$  is a ratio of the height of the topography to the distance between the tip of the  
 149 topography and the turning depth ( $D$  in Fig. 3a). This ratio provides a relative measure  
 150 of the number of topographic heights between the source and propagating region. Values of  
 151  $H/D$  ranged from 0.311 to 2.128, where the higher values indicate the topography is closer  
 152 to the turning depth. The other non-dimensional number is the average Froude number in  
 153 the evanescent region which is defined as

$$154 \quad \overline{Fr}_1 = \omega_f / \overline{N}_1 \quad (4)$$

155 where the subscript “1” refers to the evanescent region (see Fig. 3a) and  $\omega_f$  is the forcing  
 156 frequency of the topography. The Froude number is used to characterize the stratification  
 157 profile in the evanescent region. Table I provides the details of each case, including the  
 158 coefficients for the density profile [Eq. (2)], the height of the water in the tank, the horizontal  
 159 wavenumber, the oscillation frequency of the topography, the height of the turning depth,  
 160 the excursion length of the topography, and values for  $H/D$  and  $\overline{Fr}_1$ .

163 The topography was forced at an oscillation frequency  $\omega_f$ . The location of the Gaussian

TABLE I. A summary of experiments and experimental parameters. Cases 1-14 used the medium topography ( $W/H = 1.8$ ), while cases 15-24 used the steep topography ( $W/H = 0.45$ ). Water height,  $z_{td}$ , and  $L$  are given in centimeters.

Case	$a$ (kg/m <sup>3</sup> )	$b$ (m <sup>-1</sup> )	$c$ (kg/m <sup>3</sup> )	Water Height	$k_d$ (m <sup>-1</sup> )	$\omega_f$ (s <sup>-1</sup> )	$z_{td}$	$L$	$H/D$	$\overline{Fr_1}$
1	100	-2.36	993	57.5	28.39	1.04	32.7	4.13	0.67	1.15
2	97.7	-2.35	994	57.3	28.26	1.00	34.9	4.23	0.81	1.14
3	95.2	-2.55	998	57.3	28.48	0.95	38.1	4.07	1.09	1.13
4	110.4	-1.35	975	67.3	28.57	0.95	35.9	3.99	0.47	1.11
5	101.6	-1.51	984	67.2	28.26	1.04	21.9	4.23	0.28	1.18
6	89.8	-2.17	999	63.4	28.29	0.85	45.3	4.21	1.23	1.10
7	84.9	-2.48	1005	63.3	28.09	0.85	42.4	4.37	0.92	1.14
8	92.6	-2.39	997	61.7	28.28	0.86	45.5	4.22	1.62	1.10
9	86.9	-2.81	1004	61.1	28.51	0.81	46.5	4.04	2.15	1.11
10	92.6	-2.39	997	57.5	28.26	0.93	38.4	4.24	1.10	1.12
11	95.2	-2.64	1003	61.7	32.29	1.21	19.8	1.46	0.31	1.30
12	95.2	-2.64	1003	61.4	31.04	1.08	28.1	2.24	0.43	1.24
13	119	-1.87	982	63.5	28.39	1.13	28.8	4.14	0.41	1.17
14	117	-1.76	981	63.3	28.15	1.00	40.3	4.32	0.77	1.10
15	88.8	-3.71	1008	69.3	67.64	1.04	29.7	4.34	0.38	1.41
16	87.8	-3.50	1007	69.3	62.85	1.24	19.3	5.05	0.28	1.50
17	87.8	-3.50	1007	60.6	63.95	1.17	22.6	4.88	0.41	1.37
18	92.2	-4.01	1011	60.5	70.96	0.96	34.1	3.90	0.71	1.29
19	94.7	-4.49	1014	61.0	57.67	0.81	41.2	5.94	1.25	1.24
20	85.1	-4.27	1014	60.9	67.38	0.86	36.9	4.38	0.85	1.28
21	89.6	-4.38	1014	60.8	65.76	0.86	37.8	4.61	0.91	1.27
22	91.8	-4.54	1014	60.5	67.57	0.77	42.7	4.35	1.62	1.22
23	91.8	-4.54	1014	60.4	67.52	1.00	31.1	4.36	0.60	1.37
24	89.8	-4.52	1015	60.2	66.03	1.00	30.6	4.57	0.59	1.37

164 profile in space and time is described as

$$165 \quad z_{top}(x, t) = H \exp \left[ \frac{-(x - L \sin \omega t)^2}{B^2} \right] \quad (5)$$

166 where  $L$  is the excursion length of the topography,  $-0.09 \leq x \leq 0.09$  m for the medium  
 167 topography, and  $-0.0225 \leq x \leq 0.0225$  m for the steep topography. After 15 oscillation  
 168 periods of the topography, which allowed the waves to reach steady state, images were  
 169 recorded with a jAi Cv-M4+Cl progressive scan camera for three minutes at 6 fps and  
 170 processed with the commercial software DigiFlow [20]. The camera shown in Fig. 3b was  
 171 focused on the mask of random dots illuminated by a light box behind the tank and synthetic  
 172 schlieren was used to calculate variations in density for each experiment. Digiflow calculates  
 173 values of  $\nabla \rho' / \rho_0$ , where  $\rho'$  is the density perturbation. Using the  $z$  derivative and multiplying  
 174 these values by the gravitational constant, an equation for the variation in the natural  
 175 frequency between the initial undisturbed image and each subsequent image, similar to Eq.  
 176 (1) is derived:

$$177 \quad \Delta N^2 = \frac{-g}{\rho_0} \frac{\partial \rho'}{\partial z} \quad (6)$$

178 With  $\Delta N^2$ , the kinetic energy of the internal waves can be estimated using the method  
 179 described by Wunsch and Brandt [21]. By using the continuity equation

$$180 \quad \frac{\partial u}{\partial x} + \frac{\partial w}{\partial z} = 0 \quad (7)$$

181 and defining

$$182 \quad \frac{\partial \Delta N^2}{\partial t} = -\frac{\partial (N^2 w)}{\partial z} \quad (8)$$

183 the WKB approximation is used to approximate kinetic energy. Internal wave velocities and  
 184 the natural frequency are defined as planar waves multiplied by slowly varying amplitudes:

$$185 \quad u(x, z, t) = \int \tilde{U} \exp [i(kx + mz - \omega t)] dk d\omega \quad (9)$$

$$186 \quad w(x, z, t) = \int \tilde{W} \exp [i(kx + mz - \omega t)] dk d\omega \quad (10)$$

$$187 \quad \Delta N^2(x, z, t) = \int \Delta \tilde{N}^2 \exp [i(kx + mz - \omega t)] dk d\omega \quad (11)$$

188 where  $\tilde{U}$ ,  $\tilde{W}$  and  $\Delta \tilde{N}^2$  are Fourier amplitudes. Using Eqs. (7) and (8), where the derivatives  
 189 of the amplitudes are assumed negligible, and taking a two dimensional Fourier transform  
 190 along the horizontal ( $x$ ) direction and through time ( $t$ ), Wunsch and Brandt derive

$$191 \quad KE_2 = \frac{\omega^2 N^2}{k^2 (N^2 - \omega^2) + (\omega \partial_z N^2 / N^2)^2} \left| \frac{\Delta \tilde{N}^2}{N^2} \right|^2 \quad (12)$$

192 where  $KE = |\tilde{U}|^2 + |\tilde{W}|^2$ ,  $k$  is the horizontal wavenumber, and the subscript “2” indicates  
 193 the propagating region. Unfortunately, this equation is not valid in the evanescent region  
 194 because of the exponential decay of evanescent wave amplitudes and imaginary vertical  
 195 wavenumber. These are accounted for by first defining

$$196 \quad q^2(z) = k^2(1 - N^2(z)/\omega^2) \quad (13)$$

197 where  $m = iq$  is the imaginary vertical wavenumber in the evanescent region [2, 3]. The  
 198 velocities and natural frequency then become

$$199 \quad u(x, z, t) = \int \tilde{U} \exp(qz) \exp[i(kx - \omega t)] dk d\omega \quad (14)$$

$$200 \quad w(x, z, t) = \int \tilde{W} \exp(qz) \exp[i(kx - \omega t)] dk d\omega \quad (15)$$

$$201 \quad \Delta N^2(x, z, t) = \int \Delta \tilde{N}^2 \exp(qz) \exp[i(kx - \omega t)] dk d\omega \quad (16)$$

202 Following the same methodology described above for Eq. (12), we find

$$203 \quad KE_1 = \left| \frac{-q\omega \Delta \tilde{N}^2}{k(\partial_z N^2 + qN^2)} \right|^2 + \left| \frac{i\omega \Delta \tilde{N}^2}{\partial_z N^2 + qN^2} \right|^2 \quad (17)$$

204 for the evanescent region. We will denote this as  $KE_1$  as it is the first region where waves  
 205 are formed.

206 To use Eq. (12) and Eq. (17), the experimental data is first filtered by performing a  
 207 Fourier transform in the vertical direction. The vertical wavenumber will vary throughout  
 208 the experiment due to the variation in  $N$ . The Fourier coefficients corresponding to the  
 209 lowest possible vertical wavenumber ( $m = 0$ ) and above the highest expected wavenumber  
 210 are zeroed. The highest expected wavenumber is defined as  $m_{max}^2 = k^2(N_{max}^2/\omega^2 - 1)$ . An  
 211 inverse Fourier transform is then applied to the filtered data and is sorted into a timeseries  
 212 of rows representing horizontal slices of the experimental data. Each row is the height of  
 213 a single pixel. A 2D Fourier transform in  $x$  and  $t$  is then performed on a timeseries row  
 214 to create  $\Delta \tilde{N}^2$ . Results are shown for Case 2 at two different locations in Fig. 4 with  
 215 contours of  $\Delta \tilde{N}^2$  plotted against frequency ( $\omega$ ) and horizontal wavenumber ( $k$ ). In Fig.  
 216 4a, the horizontal slice is at  $z = 0.4$  m, in the evanescent region, while the data in Fig.  
 217 4b is in the propagating region at  $z = 0.22$  m. The excitation frequency for this case is  
 218  $\omega_f = 1.00$ . Comparing the two figures, this frequency peak is seen clearly. The expected

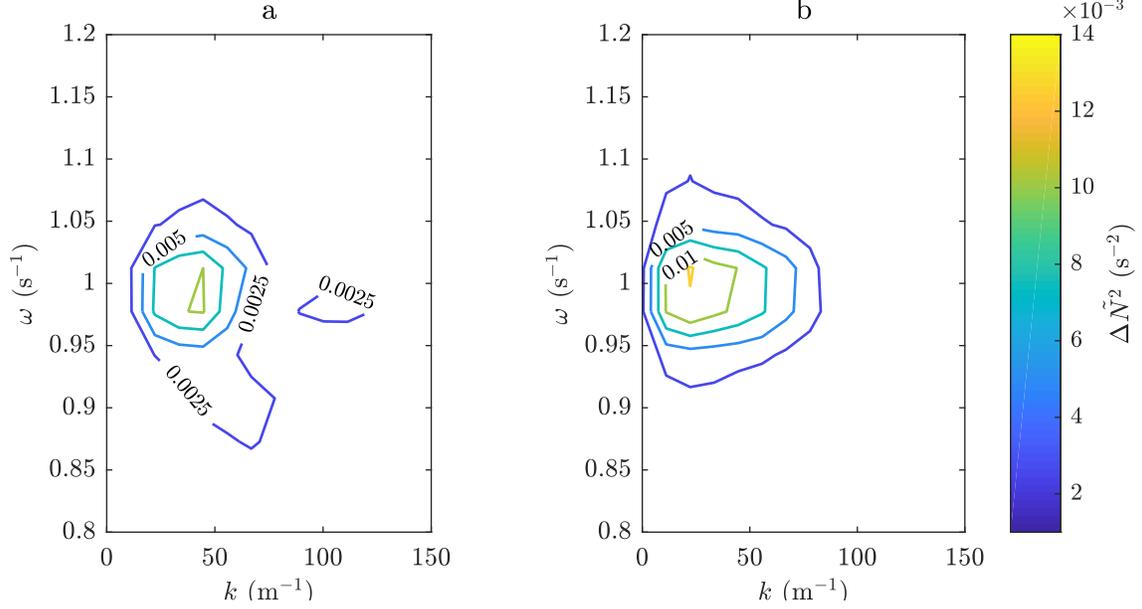


FIG. 4. Fourier amplitudes of  $\Delta N^2$  in the evanescent region (a) and propagating region (b) are shown in contours increasing by 0.0025 for each line. Both figures uses the same scaling. The highest value for the contour lines for (a) is  $0.01 \text{ s}^{-2}$  and for (b) is  $0.0125 \text{ s}^{-2}$ .

219 dominant horizontal wavenumber,  $k_d$ , for a specific case is found by defining the horizontal  
 220 wavelength,  $\lambda_x$ , as the width of the topography plus the excursion length or

$$221 \quad \lambda_x = W + L \quad (18)$$

222 Then the wavenumber for each case,  $k_d = 2\pi/\lambda_x$ , gives  $k_d = 28.26 \text{ m}^{-1}$  for Fig. 4. Although  
 223 the Fourier amplitudes show a peak near the expected wavenumber and excitation frequency,  
 224  $\Delta \tilde{N}^2$  amplitudes do not match exactly with the expected frequency and wavelength and there  
 225 is some leakage into nearby frequencies and wavenumbers. The kinetic energy is calculated  
 226 at all wavenumbers and frequencies for each individual row with its corresponding  $N^2$  and  
 227  $\partial_z N^2$  values using Eq. (12) and Eq. (17). Kinetic energy data is then filtered by summing  
 228 energy values for the three wavenumbers and three frequencies nearest to the expected  
 229 values. This is done to allow for a comparison to the linear theory model, which uses only  
 230 one wavenumber,  $k_d$ , and the forcing frequency,  $\omega_f$ , while also preventing an underestimate  
 231 of kinetic energy due to the  $k - \omega$  spreading. Also, because of the topography and the local  
 232 turbulence in its wake, the kinetic energy of the evanescent region is only calculated below  
 233 the tip of the topography.

234 **B. Theory**

235 Using the WKB approximation, a linear, Boussinesq, 2D model was used calculate the  
 236 kinetic energy that passes from the evanescent region through the turning depth and into  
 237 the propagating region, accounting for the exponential natural frequency profile. Linear  
 238 theory is a good approximation because  $u_{top}/(\omega_f W) < 1$  for all cases [15], where  $u_{top}$  is  
 239 the average velocity of the topography. The maximum value in our cases is 0.38 and the  
 240 effects of this will be discussed further in Section III. The WKB approximation is valid away  
 241 from the turning depth where  $N^2 \gg \lambda_z(\partial N^2/\partial z)$  [3]. In the following sections we will  
 242 analytically calculate kinetic energy in the evanescent region and the propagating region,  
 243 and then demonstrate how the two regions can be matched at the turning depth where the  
 244 WKB approximation is not valid. Within each region the vertical velocity ( $w$ ) is defined  
 245 and the horizontal velocity ( $u$ ) is found from continuity [See Eq. (7)].

246 With both  $u$  and  $w$  defined, the kinetic energy is defined as

$$247 \quad KE = u^2 + w^2 \quad (19)$$

248 for comparison with experiments. Each case in Table I is reproduced with a linear theory  
 249 analysis using the given experimental parameters, including the calculated  $\lambda_x$  and  $k_d$  from  
 250 Eq. (18). No other data from the synthetic schlieren experiments are needed to initialize  
 251 the theoretical analysis.

252 *1. Evanescent Region*

253 The vertical velocity in the evanescent region varies due to the variation in the  $N$  profile  
 254 which affects the vertical wavelength. In the same manner as the experimental energy  
 255 calculations in Section II A, the vertical wavenumber will be defined as  $m = iq$ , with  $q$   
 256 defined by Eq. (13). Following the work of Pedlosky [3] in a propagating region with  
 257  $N = f(z)$ , for the evanescent region we introduce  $\theta_1$ ,

$$258 \quad \theta_1(z) = \int_{z_{1,0}}^z q dz \quad (20)$$

259 where the subscript “1” refers to the evanescent region.  $A_{1,0}$  and  $q_{1,0}$  are defined at the  
 260 height  $z_{1,0} = h(B)$  as shown in Fig. 3a for the medium Gaussian topography. Using  $q$  and

261  $\theta$ , the vertical velocity can be defined as

$$262 \quad w_1(x, z, t) = A_1 \exp[i(kx - \omega t)] \exp(\theta_1) \quad (21)$$

$$263 \quad A_1(z) = A_{1,0}/(q/q_{1,0})^{1/2} \quad (22)$$

264 Assuming a slip condition at the topography [22], the wave velocity can be calculated by  
 265 using the time derivative of Eq. (5) and setting it equal to Eq. (21) such that  $dz_{top}/dt =$   
 266  $w_1(x = B, t = 0)$  [See Eq. (3)].

267 Using continuity [Eq. (7)], the horizontal velocity is computed as

$$268 \quad u_1(x, z, t) = \frac{-w_1}{ik} \left[ \frac{-dq/dz}{2q} + q \right] \quad (23)$$

269 The kinetic energy of the evanescent region is calculated using  $KE_1 = u_1^2 + w_1^2$ .

## 270 2. Propagating Region

271 Following the work of Pedlosky [3], velocities in the propagating region, assuming a  
 272 varying natural frequency, are defined by

$$273 \quad w_2(x, z, t) = A_2 \exp(i(kx - \omega t + \theta_2)) \quad (24)$$

$$274 \quad u_2(x, z, t) = \frac{-w_2}{k} \left[ \frac{-dm/dz}{2im} + m \right] \quad (25)$$

$$275 \quad A_2(z) = A_{2,0}/(m/m_0)^{1/2} \quad (26)$$

$$276 \quad \theta_2(z) = \int_{z_0}^z mdz \quad (27)$$

$$277 \quad m^2(z) = k [N(z)^2/\omega^2 - 1] \quad (28)$$

278 where continuity has again been used to define  $u_2$ . Note that the subscript 2 refers to the  
 279 propagating region. The kinetic energy in the propagating region is calculated by  $KE_2 =$   
 280  $u_2^2 + w_2^2$ . In both the evanescent and propagating regions, the amplitude,  $A$ , of the velocities  
 281 varies with height. This is due to the varying natural frequency, which causes the varying  
 282 vertical wavenumber, and is necessary to conserve energy [3].

## 283 3. Airy Integral Matching

284 As the evanescent wave moves from the topography toward the turning depth, the WKB  
 285 assumptions are violated near the turning depth because  $N^2 \sim \lambda_z(\partial N^2/\partial z)$ . This also causes

286  $q$  to decrease to zero, creating a discontinuity at the turning depth. The Airy function can  
 287 be used to patch over the discontinuity [23, 24] if the WKB approximation is extended past  
 288 where it is valid [6]. This patch is used to match the vertical velocity of the evanescent wave  
 289 to the propagating region. Following Lighthill [23], the vertical wave velocity with the Airy  
 290 integral is

$$291 \quad w_a(x, z, t) = Q_{0,w} \text{Ai}(\beta^{1/3}z - \beta^{1/3}z_{td}) \exp[i(kx - \omega t)] \quad (29)$$

292 where  $\beta$  is defined by  $\beta = m^2/(z_{td} - z)$ . The amplitude of  $Q_{0,w}$  is found by matching Eq.  
 293 (29) to Eq. (21) at  $z_{1,a} = z_{td} + 0.01(2\pi/\bar{q})$ , or 1% of the average vertical wavelength ( $\lambda_z$ )  
 294 above the turning depth in the evanescent region. A range of percentages from 0.1% to 10%  
 295 were compared to understand the effect of the start and end points of the Airy integral.  
 296 Decreasing the percentage causes a decrease in the average kinetic energy, but the changes  
 297 of kinetic energy below 1% were minimal, both for the medium and steep topographies. This  
 298 percentage should be altered if there is a significant increase in the model domain and may  
 299 be dependent on the vertical resolution of the model.

300 Continuity and  $w_a$ , Eq. (7) & Eq. (29) are used to derive the form of the horizontal  
 301 velocity in the Airy integral

$$302 \quad u_a(x, z, t) = Q_{0,u} \frac{i\beta^{1/3}}{k} \text{Ai}'(\beta^{1/3}z - \beta^{1/3}z_{td}) \exp[i(kx - \omega t)] \quad (30)$$

303 where  $\text{Ai}'$  is the first derivative of the Airy function with respect to  $z$ .

304 Above the turning depth, the vertical velocities are set equal such that  $w_1 = w_a$  at  
 305  $z = z_{1,a}$  and  $Q_{0,w}$  is solved. This procedure is repeated for the horizontal velocity with  
 306  $u_1 = u_a$  at  $z = z_{1,a}$  to find  $Q_{0,u}$ . While continuity is used to find the form of  $u_a$ , using the  
 307 same amplitude as  $w_a$  defines a horizontal velocity in the Airy region that is inconsistent  
 308 with the horizontal velocity in the evanescent and propagating regions. The amplitude  $Q_{0,u}$   
 309 provides better consistency throughout the Airy region, but is not used in the propagating  
 310 region. Instead, the wave amplitude below the turning depth,  $A_{2,0}$  is calculated by setting  
 311  $w_a = w_2$  at  $z_{a,2} = z_{td} - 0.01(2\pi/\bar{m})$ , and continuity is used to define  $u_2$  from  $w_2$ , as defined  
 312 in the previous section. It is assumed that both  $Q_{0,w}$  and  $Q_{0,u}$  are constant through the  
 313 Airy integral region as the variation in the natural frequency is small over the small change  
 314 in height.

316 We now explore the importance of the terms  $(-dq/dz)/2q$  and  $(-dm/dz)/2im$  in Eqs.  
 317 (23) and (25), respectively. These higher order terms, which are not usually found in the  
 318 horizontal velocity, appear because the amplitude of the velocity is a function of depth. When  
 319 assuming that the amplitude, natural frequency, and vertical wavenumbers vary slowly, the  
 320 variation of the vertical wavenumbers ( $dq/dz$  or  $dm/dz$ ) is relatively small and can be  
 321 neglected. This assumption breaks down near the turning depth, due to the rapid variation  
 322 of  $q$  and  $m$  in that region, indicating they should remain in the equations for velocity.  
 323 However, the use of the Airy integral to connect the evanescent and propagating regions  
 324 does not include these terms. Figure 5 depicts the two different scenarios for Case 4 with  
 325 height on the ordinate and kinetic energy on the abscissa. The dashed line indicates the  
 326 location of the turning depth with the evanescent region above the turning depth and the  
 327 propagating region below. The horizontal dash-dot line below the turning depth marks the  
 328 height corresponding to a 10% increase in  $N$  relative to the excitation frequency of  $0.95 \text{ s}^{-1}$ .  
 329 Kinetic energy with the higher order terms included is indicated by the solid line, while the  
 330 dotted line represents kinetic energy when these terms are neglected. For both scenarios,  
 331 kinetic energy begins at a maximum at the top of the figure and then decreases as the wave  
 332 moves through the evanescent region. An increase in energy is seen near the turning depth,  
 333 with a larger increase when the higher order terms are included. Below the turning depth,  
 334 both scenarios decrease in kinetic energy through the propagating region. Away from the  
 335 turning depth, the kinetic energy collapses to a single line. Each of the 24 experimental  
 336 cases were compared with and without the higher order terms and the average error from  
 337 the region between the end of the Airy integral and a 10% increase in  $N$  is 20%. However  
 338 the majority of this error is due to the sharp increase comes from the sharp increase in  
 339 amplitude at the end of the Airy integral. Neglecting this increase and again comparing  
 340 the kinetic energy, the average error is 13%. We will ignore the higher order terms in this  
 341 work, but it may be necessary to retain them in future work if more rapid changes in natural  
 342 frequency are of interest.

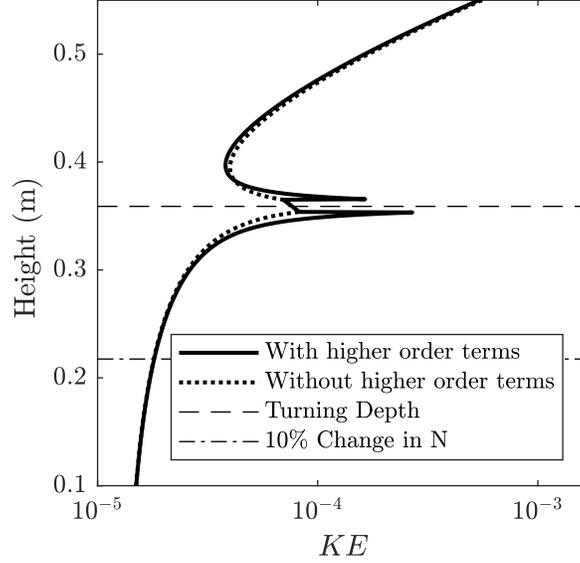


FIG. 5. The kinetic energy as a function of height is shown for the scenarios of including or excluding  $dq/dz$  and  $dm/dz$  when calculating the horizontal velocity. The turning depth is shown by the dashed horizontal line and the dash-dot line indicates the height of a 10% increase in  $N$  from the turning depth.

### 343 III. RESULTS

344 First, the normalized kinetic energy,  $KE^*$ , over the height of the experiment is analyzed.  
 345 Figure 6 shows both the experimentally calculated and theoretically predicted  $KE^*$  over  
 346 height for four cases. The ordinate is height in meters where  $z = 0$  is at the bottom  
 347 of the tank. The abscissa is  $KE^*$ , or  $KE/KE_{norm}$  where  $KE_{norm}$  is the average of the  
 348 kinetic energy of the three pixel locations below the topography height,  $z = z_{total} - H$ .  
 349 Because the presence of the topography generated spurious values near the topography in the  
 350 experimental data, only data below the topography was analyzed. To maintain consistency  
 351 between the model and the experimental analysis, the kinetic energy at the same three height  
 352 locations were averaged to calculate  $KE_{norm}$  in the theoretical model as well. However, the  
 353 model was averaged over only one period and one horizontal wavelength because of its  
 354 periodic nature. All experimental tests were run for three minutes which provided between  
 355 21 and 35 periods for the different test cases. At least two horizontal wavelengths were  
 356 captured in the field of view in the experiments for the medium topography and at least  
 357 five for the steep. Figures 6a and 6b compare the model and experimental  $KE^*$  values for

358 Cases 1 and 8 respectively, where the medium topography was explored. Figures 6c and 6d  
 359 are Cases 18 and 20, steep topography test cases. In all graphs, the solid line represents  
 360 experimental data while the dotted line represents model results. The horizontal dashed  
 361 line shows the location of the turning depth ( $z_{td}$ ), which is determined by  $N(z_{td}) = \omega_f$ .  
 362 Although the ordinate is the same across all four plots, the abscissa varies for each. Starting  
 363 in the upper right hand corner of each plot (near the topography), normalized kinetic energy  
 364 is at a maximum and as height decreases, and  $N$  increases, the normalized kinetic energy  
 365 decreases exponentially as the evanescent wave travels downward and decays. At the turning  
 366 depth there is a slight increase in energy due to the decrease in  $q$  as  $N$  approaches  $\omega_f$  which  
 367 causes an increase in the amplitudes of  $u$  and  $v$  [See Eqs. (21) and (22)]. The Airy integral  
 368 is used to connect the two evanescent and propagating region. Below this, a propagating  
 369 internal wave exists with relatively constant normalized kinetic energy. Within Fig. 6,  
 370 there are variations in the vertical structure of the experimental energy, the model generally  
 371 overestimates the kinetic energy for the medium topography, and the model significantly  
 372 underestimates kinetic energy of the steep topography. Each of these results will be explored  
 373 in the following paragraphs.

375 Differences in the vertical structure of  $KE^*$  between the model and the experiments may  
 376 be partially explained by the density profile. In Fig. 2, although the curve fit used in  
 377 the model follows the density measurements well, with  $R^2 = 0.997$ , there are some local  
 378 variations in the density profile within the experimental tank that do not match exactly  
 379 with the curve fit. Density values vary both slightly above and slightly below the curve  
 380 fit. These local fluctuations can lead to variations in the experimental energy profile that is  
 381 not reflected in the model. Also, because each of the four cases shown here have different  
 382 density profiles and experimental setups, they all have different structures so an averaging  
 383 scheme is introduced below. The experimental energy for Fig. 6a and b show an added  
 384 decay in kinetic energy far from the turning depth. This decay is possibly due to reflected  
 385 wave beams destructively interfering with the main propagating wave as it nears the bottom  
 386 of the tank. For all cases, this interference was not seen near the turning depth. Because  
 387 of this, the kinetic energy in the propagating region was averaged over a region below the  
 388 turning depth by

$$\overline{KE_2} = \frac{1}{\Delta z_{Fr2}} \int KE dz \quad (31)$$

390 where  $\Delta z_{Fr2}$  is the height from the end of the Airy integral ( $z_{2,a}$ ) to the height where the av-

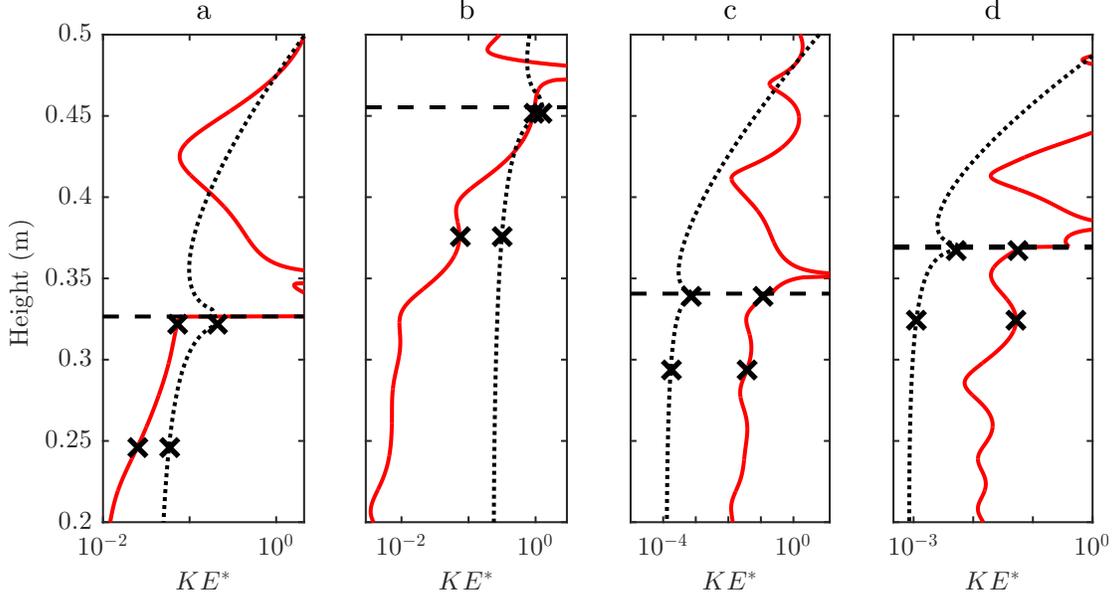


FIG. 6. Normalized kinetic energy is shown as a function of height for two cases. The solid lines are experimentally calculated  $KE^*$  while the dotted represent model results. Data from (a) and (b) come from Cases 1 and 8 which used the medium topography, while (c) and (d) are Cases 18 and 20 and used the steep topography. The turning depth location,  $z_{td}$  is marked with a dashed line. The black x markers indicate the distance over which kinetic energy is averaged in the propagating region.

391 erage Froude number in the propagating region is 0.952. This corresponds to a 10% increase  
 392 in  $N$  from the turning depth into the propagating region. This relatively short distance is  
 393 considered here to focus directly on kinetic energy transferred through the turning depth  
 394 and into the propagating region. Starting and ending locations of  $\Delta z_{Fr_2}$  are demarcated in  
 395 Fig. 6 with black x's for each case. This average kinetic energy is also normalized giving  
 396  $\overline{KE_2^*} = \overline{KE_2} / KE_{norm}$ .

397 For the medium topography in Figs. 6a and 6b, the average, normalized kinetic energy  
 398 of the experiment is  $\overline{KE_2^*} = 0.048$  and  $\overline{KE_2^*} = 0.335$ , respectively. This means that ap-  
 399 proximately 5% and 34% of the kinetic energy near the topography is transferred into the  
 400 propagating region. The model predicts percentage of kinetic energy transfer for these two  
 401 cases to be 9% and 48%. This overestimate is most likely due to non-linearities, such as  
 402 viscosity, within the experiment that are not accounted for in the model.

403 In the step topography cases shown in Fig. 6c and Fig. 6d, the experiment and model

404 follow the same qualitative trends, however the model underestimates  $\overline{KE^*}$  throughout the  
 405 majority of both the evanescent region and propagating region. For Fig. 6c, the model  
 406 predicts  $\overline{KE_2^*} = .00028$  while the experiment indicates  $\overline{KE_2^*} = 0.049$ . Similarly for Fig.  
 407 6d,  $\overline{KE_2^*} = 0.00026$  for the model and  $\overline{KE_2^*} = 0.033$  for the experiment. We explain this  
 408 difference by noting the movement of the steep topography creates turbulence near the to-  
 409 pography and turbulence generated internal waves are seen within the experiments. These  
 410 turbulence generated waves have a variety of wavelengths, but also show signs of resonant  
 411 triad behavior in some cases. Near the turning depth, an exchange of energy was seen  
 412 between the turbulence generated waves and the topographically generated waves. For ex-  
 413 ample, in Cases 15 and 16 the turbulence generated waves had a frequency of approximately  
 414 half of the forcing frequency, and as the topographically generated evanescent wave passed  
 415 into the propagating region, the turbulence waves lost energy while the newly formed inter-  
 416 nal waves increased in energy. Similar to Fig. 4, Fig. 7 shows the Fourier amplitudes of  
 417  $\Delta\tilde{N}^2$  (scaled by a factor of  $10^3$ ) in the evanescent (a) and propagating (b) regions of Case  
 418 15. The scales for both (a) and (b) are the same, but here the frequency is normalized by  
 419 the forcing frequency,  $\omega_f$ , and the horizontal wavenumber is normalized by  $k_d$  from Table  
 420 I. In Fig. 7a, there are peaks at  $k^* = 0.15$  and  $0.95$ , with  $\omega_f^* = 0.5$ . These peaks are no  
 421 longer clear in Fig. 7b, but these two waves approximately sum to 1 in both frequency  
 422 and wavenumber, forming a triad with the expected frequency and wavenumber, and could  
 423 be feeding into the peak seen at (1,1) in Fig. 7b. Because the linear theory model does  
 424 not take into account the generation or interaction of turbulence generated waves, there are  
 425 steep topography cases where the model underestimates  $KE^*$ . Further investigation into  
 426 the combined effect of turning depths and resonant triads could provide new information  
 427 into the influence of turbulence generated waves in the ocean, but is beyond the scope of  
 428 this work.

429 To understand the effects of topography placement relative to the turning depth (see  $D$   
 430 in Fig. 3a) on propagating internal wave energy, Fig. 8 shows  $\overline{KE_2^*}$  as a function of  $H/D$   
 431 for all 24 cases. Circles represent the medium topography and triangles represent the steep  
 432 topography. Filled in markers are values from experiments and open markers are calculated  
 433 using the linear theory model. Normalized average kinetic energy is shown on the ordinate  
 434 with a logarithmic scale, and  $H/D$  is the abscissa with a linear scale. Four trend lines have  
 435 been added to the data, one for each of the four symbols. In all cases, the data show that

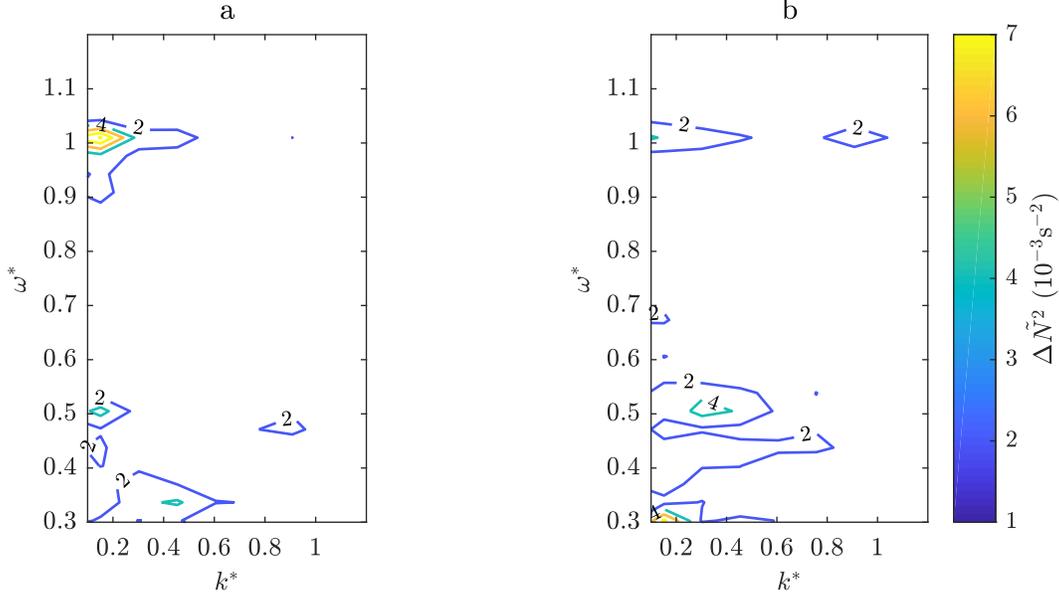


FIG. 7. Contours of  $\Delta\tilde{N}^2$  for Case 15 as a function of  $\omega^*$  and  $k^*$  in the evanescent (a) and propagating (b) regions.  $\Delta\tilde{N}^2$  values have been scaled by a factor of  $10^3$ .

436 increasing  $H/D$ , which decreases the relative distance from the topography to the turning  
 437 depth, leads to an increase in kinetic energy in the propagating region. Since the evanescent  
 438 wave decays over a shorter distance for high values of  $H/D$ , more kinetic energy is present  
 439 at the turning depth and is subsequently transferred to the propagating region.

440 For the medium topography, the model trend line is similar to the experimental trend  
 441 line. Each fit is defined by

$$442 \quad \overline{KE}_2^* = \exp[C_1(H/D)^{C_2}] \quad (32)$$

443 The experimental values of  $C_1$  and  $C_2$  are -1.68 and -1.89 with  $R^2 = 0.86$ , while the model  
 444 values are -1.42 and -1.40 with  $R^2 = 0.98$ . Here  $R^2$  refers to the goodness of fit between  
 445 the trend line and the data points, with  $R^2 = 1$  indicating a perfect fit. For  $H/D < 0.72$ ,  
 446 both the model and the experiment trend lines show  $\overline{KE}_2^* < 0.1$  and further decreases in  
 447  $H/D$  leads to a large decrease in kinetic energy transmitted into the propagating region.  
 448 For  $H/D > 0.72$ , the model over estimates the normalized kinetic energy of the experiment.  
 449 At  $H/D = 2.2$ , the experiment trend indicates that 43.5% of the initial energy from the  
 450 evanescent region will pass into the propagating region, while the model predicts 62.5%.  
 451 When  $H/D > 0.72$ , the experiment and model values match well, with the model indicating,  
 452 on average, 11.9% more energy passing into the propagating region.

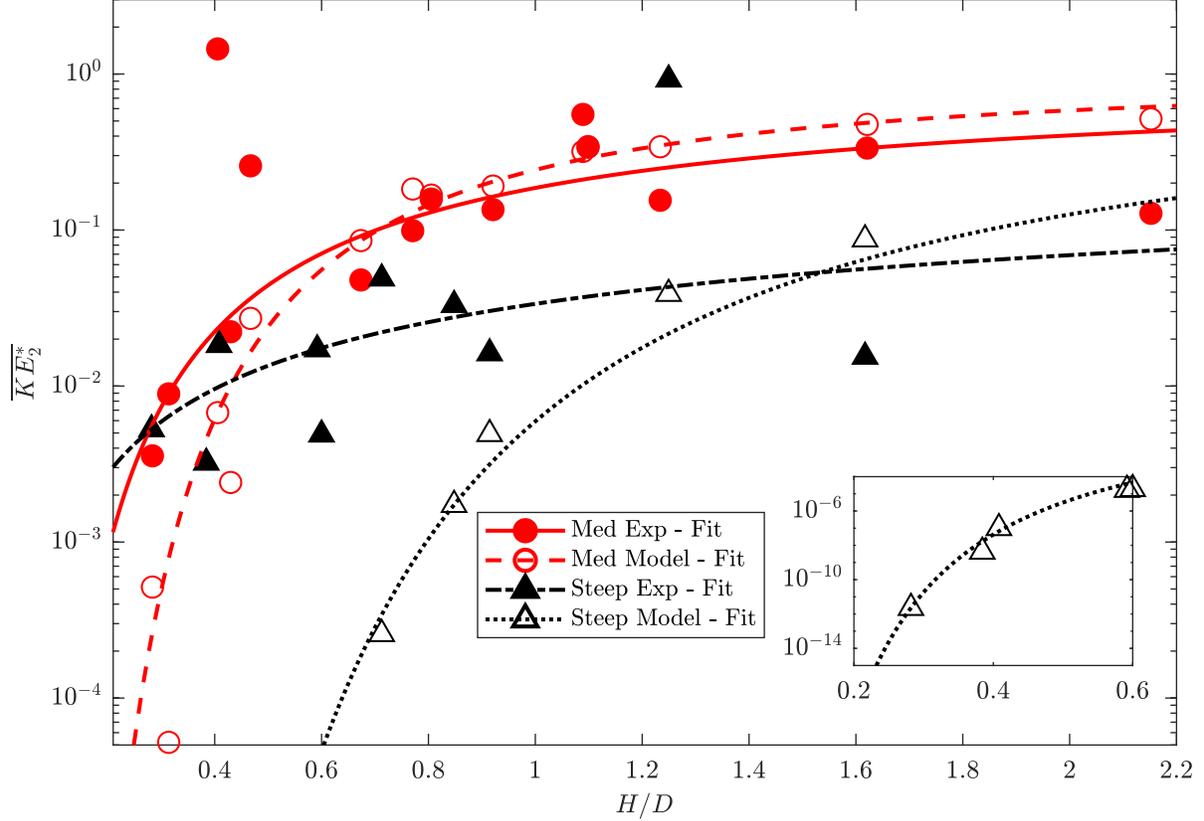


FIG. 8. The average, normalized, kinetic energy in the propagating region as a function of  $H/D$  for both the medium and steep topographies with experimental and model values. Red circles represent the medium topography, with closed filled circles representing experimental data and open for the model. Step topography data is represented with black triangles, again with the filled triangles representing experimental data and open for the model. The inset contains five steep topography model points with normalized kinetic energy values less than  $10^{-5}$ .

453 For the steep topography, the model generally underestimates the experimental values.  
 454 Equation (32) was also used to fit trend lines to the data with  $C_1 = -5.04$ ,  $C_2 = -0.42$   
 455 and  $R^2 = 0.53$  for the experimental data and  $C_1 = -5.13$ ,  $C_2 = -1.30$  and  $R^2 = 0.99$  for  
 456 the model data. As mentioned previously, some of the tests showed an interaction between  
 457 the turbulence generated waves and the internal waves in the propagating region. The  
 458 large difference in experimental and model values occurs for low values of  $H/D$  and for  
 459  $\overline{KE}_2^* < 0.001$ . It is possible that the turbulence generated waves contribute a relatively  
 460 constant amount of energy to the internal wave field, and at lower values of  $H/D$  this  
 461 is more significant because less topographically generated energy is present. Also, one of

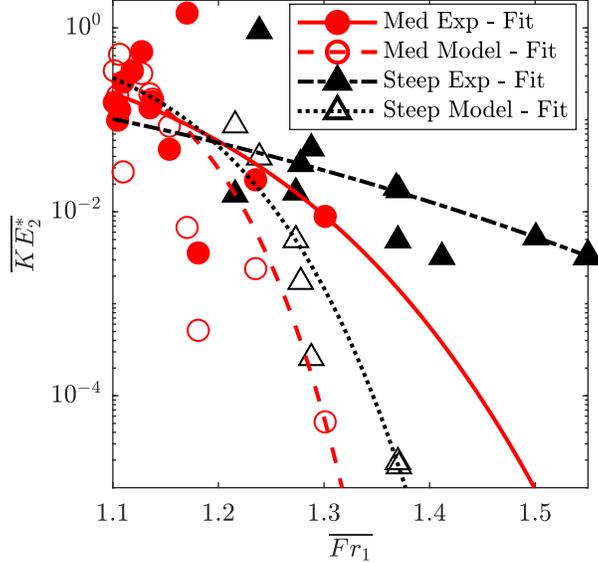


FIG. 9.  $\overline{KE}_2^*$  is shown as a function of  $\overline{Fr}_1$ . The symbols and lines follow the same legend as shown in Fig. 8.

462 the requirements for using linear theory is that the  $u_{top}/(\omega_f W) < 1$ , meaning that the  
 463 excursion length must be less than the length scale of the topography [15]. While the  
 464 medium topography always met this criteria with values of  $O(10^{-2})$  the steep topography  
 465 had values of  $O(10^{-1})$ .

466 Figure 8 also indicates that for  $H/D > 0.25$ , the medium topography has a higher relative  
 467 kinetic energy in the propagating region than the steep topography. Linear theory shows  
 468 that without a turning depth present, a steep, narrow topography generates internal waves  
 469 with higher kinetic energy than shallower, wide topography [25]; however, the presence of  
 470 a turning depth introduces new dynamics. The medium topography, which has a larger  
 471 wavelength, generates more kinetic energy in the propagating region than the steep topog-  
 472 raphy, which has a smaller wavelength. This phenomena was seen by Paoletti et al. in their  
 473 experiments and numerical models [13]. They also used a medium and steep topography  
 474 with the same  $W/H$  ratios as reported here and found that in the presence of a turning  
 475 depth, the medium topography has about an order of magnitude higher radiated internal  
 476 wave power. We also see this trend for normalized kinetic energy for  $H/D > 0.25$ .

477 An approximation of the strength of the evanescent region can be represented by  $\overline{Fr}_1$   
 478 [Eq. (4)]. As  $\overline{Fr}_1$  increases, the strength or size of the evanescent region also increases.  
 479 The averaged, normalized kinetic energy in the propagating region as a function of  $\overline{Fr}_1$  is

480 shown in Fig. 9. For both the medium and the steep topographies, increasing  $\overline{Fr_1}$  decreases  
481  $\overline{KE_2^*}$  and at  $\overline{Fr_1} > 1.2$ ,  $\overline{KE_2^*}$  decreases rapidly. A higher value of  $\overline{Fr_1}$  is indicative of a  
482 high  $\omega_f$  or low  $N$  and thus a relatively weak wave as the fluid cannot sustain the motion  
483 of the evanescent wave [See Eqs. (20-23)].  $\overline{Fr_1}$  has less of an influence on normalized,  
484 propagating kinetic energy for the steep topography in the experiments than is seen for  
485 the medium topography. The greatest discrepancy between the model and the experiments  
486 for the steep topography occurs when  $\overline{Fr_1} > 1.3$  and  $\overline{KE_2^*} < 10^{-3}$ . This discrepancy for  
487 the steep topography is likely due to the non-linear effects seen in the steep topography  
488 experimental data that are not accounted for in the model.

489 The curve fits follow Eq. (32), replacing  $H/D$  with  $\overline{Fr_1}$ . The medium topography  
490 experimental curve fit to the data ( $C_1 = -0.90$ ,  $C_2 = 6.29$ ,  $R^2 = 0.37$ ) follows the general  
491 trends of the model curve fit ( $C_1 = -0.35$ ,  $C_2 = 12.72$ ,  $R^2 = 0.94$ ), but with greater kinetic  
492 energy when  $\overline{Fr_1} > 1.16$ . The curve fits for the steep topography experiment ( $C_1 = -1.76$ ,  
493  $C_2 = 2.68$ ,  $R^2 = 0.36$ ) and model ( $C_1 = -0.49$ ,  $C_2 = 9.85$ ,  $R^2 = 0.90$ ) show significant  
494 differences, but the model line follows the trend of the medium topography curves, especially  
495 for the experimental values. While not all of the cases are shown in Fig. 9, each curve was  
496 fit to the entire applicable set of data. For high  $\overline{Fr_1}$ , the steep topography maintains more  
497 kinetic energy in the propagating region than the medium topography. This will be explored  
498 further with the model in the following paragraphs. The medium and steep topography trend  
499 lines for the model predict a maximum  $\overline{KE_2^*}$  of 0.30, meaning 30% of the original kinetic  
500 energy is retained in the propagating region. However, the experiment trend line for the  
501 medium topography indicates almost 20%, while the steep topography experiments are just  
502 over 10%.

503 With the experimental and model relation established, we now exercise the model further  
504 to explore a more direct relationship between the different dimensionless variables. Figure  
505 10 shows  $\overline{KE_2^*}$  as a function of both  $H/D$  (shown with different line markers) and  $\overline{Fr_1}$   
506 (abscissa). Here three different values of  $H/D$  are chosen for each topography and  $\overline{Fr_1}$  is  
507 varied by changing the height of the evanescent region and the height of the topography  
508 while other variables ( $\omega_f$ ,  $W/H$ , and  $N$  profiles) are held constant. As seen in the previous  
509 figures, increasing  $H/D$  and decreasing  $\overline{Fr_1}$  leads to an increase in relative kinetic energy.  
510 For the medium topography at  $\overline{Fr_1} = 1.11$ , the average kinetic energy transmitted into the  
511 propagating region increases from 6% to 78% by increasing  $H/D = 1$  to  $H/D = 3$ . This

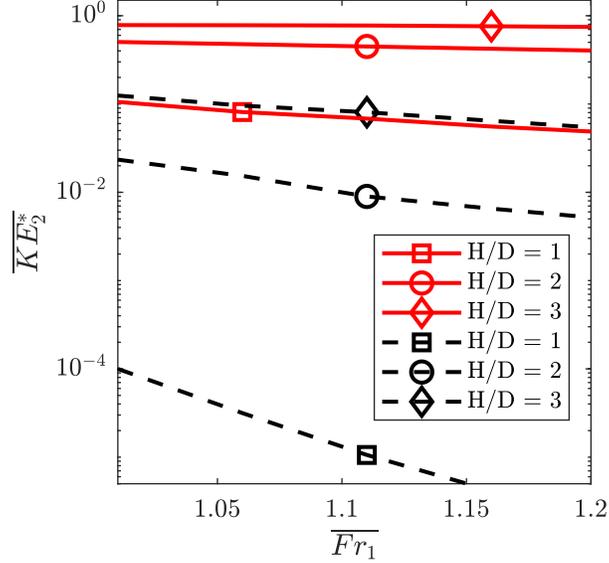


FIG. 10.  $\overline{KE}_2^*$  as a function of  $H/D$  and  $\overline{Fr}_1$  for the analytical model. The solid red line indicates the medium topography, while the dashed black line is the steep topography. Markers for  $H/D$  as shown.

512 increase is larger for the steep topography under the same condition and  $\overline{KE}_2^*$  increases  
 513 from less than 0.001% to 8%. The model also shows that with a high  $H/D$  for the steep  
 514 topography and low  $H/D$  for the medium topography, the steep topography can transmit  
 515 greater kinetic energy to the propagating region than the medium topography for the same  
 516  $\overline{Fr}_1$ . This was seen in Fig. 8 where some cases of the steep topography had higher kinetic  
 517 energy than the medium topography, but only when the steep topography has a higher  $H/D$   
 518 value.

519 Figure 11 depicts scenarios for varying topographic slope and stratification profiles. In  
 520 Fig. 11a,  $\overline{KE}_2^*$  increases with increasing  $W/H$ , which represents the relative slope of the  
 521 topography. The width of the topography was varied while maintaining a constant height  
 522 of 10 cm, which also varied the horizontal wavelength according to Eq. (18). The Gaussian  
 523 parameter  $B$  [Eq. (3)] was varied based on  $W$ . Parameters for the density profile were held  
 524 constant and follow Case 4 from Table I. The excursion length and excitation frequency  
 525 were also maintained as values from Case 4. With  $W/H = 10$ , almost 80% of the kinetic  
 526 energy from the evanescent region is transmitted into the propagating region. For Case  
 527 4, with  $W/H = 1.8$ , marked on Fig. 11a as a red circle, only 2.5% of the initial kinetic  
 528 energy passes into the propagating region. As shown previously, in the presence of a turning

529 depth, topography with steep slopes generate internal waves with less kinetic energy in the  
 530 propagating region for a given  $H/D$  or  $\overline{Fr}$ . Also  $W$ , the width of the topography, indicates  
 531 an increase in the wavelength of the topography. A topography with a larger wavelength  
 532 will generate evanescent waves with higher kinetic energy which will then pass into the  
 533 propagating region.

534 In Fig. 11b and 11c, the influence of the exponential stratification is explored. With a  
 535 density profile of  $\rho = a \exp(bz) + c$ , the stratification is defined as  $N^2 = -gab \exp(bz)/\rho_0$ . For  
 536 both Fig. 11b and 11c,  $H/D$ ,  $\omega_f$ ,  $W/H$ ,  $L$ , and  $\overline{Fr}_1$  are held constant and match Case 4. The  
 537 topography height varies to maintain  $H/D$ , and width is defined by  $W = 1.8H$ , maintaining  
 538 the same  $W/H$  ratio as the medium topography. In Fig. 11b,  $a$  is normalized by the reference  
 539 density  $\rho_0$ . Increasing  $a/\rho_0$  from 0.092 to 0.149 causes a 95% decrease in the normalized,  
 540 average kinetic energy in the propagating region. Although a weaker stratification leads  
 541 to initially more energetic evanescent waves, the stratification also increases more rapidly  
 542 throughout the evanescent region with a larger value of  $a$ , causing an overall decrease in  
 543 the kinetic energy in the propagating region. However, as shown in Fig. 11c, increasing  
 544  $bH$  causes an overall increase in the kinetic energy in the propagating region. Here,  $b$  is  
 545 normalized by  $H$ , the height of the topography. Increasing  $bH$  causes an initially weaker  
 546 stratification but a larger  $b$ , meaning a value that is less negative, causes the stratification  
 547 to increase at a slower rate. Thus the evanescent wave does not decay as rapidly and more  
 548 kinetic energy passes through the evanescent region into the turning depth. Although  $bH$   
 549 changes by less than one order of magnitude,  $\overline{KE}^*$  increases by three orders of magnitude.

#### 550 IV. OCEAN CASE STUDY

551 We now use the linear model to investigate the propagating internal wave kinetic energy  
 552 generated by an oceanic feature. To use the linear model we estimate the shape of the  
 553 topography, the natural frequency profile, and the velocity of the tide and assume a frame  
 554 of reference where the topography moves through quiescent water. Feature data comes from  
 555 the *Ocean Data View 4* using a GEBCO 2014 6' worldwide bathymetry map [26]. The  
 556 feature is at 15° N, ranges from 129.6° to 130.2° E, and can be approximated as a Gaussian  
 557 topography as seen in Fig. 12. In the figure, the data from the GEBCO bathymetry map is  
 558 shaded and the Gaussian curve fit laid over the feature of interest with a dashed line. For

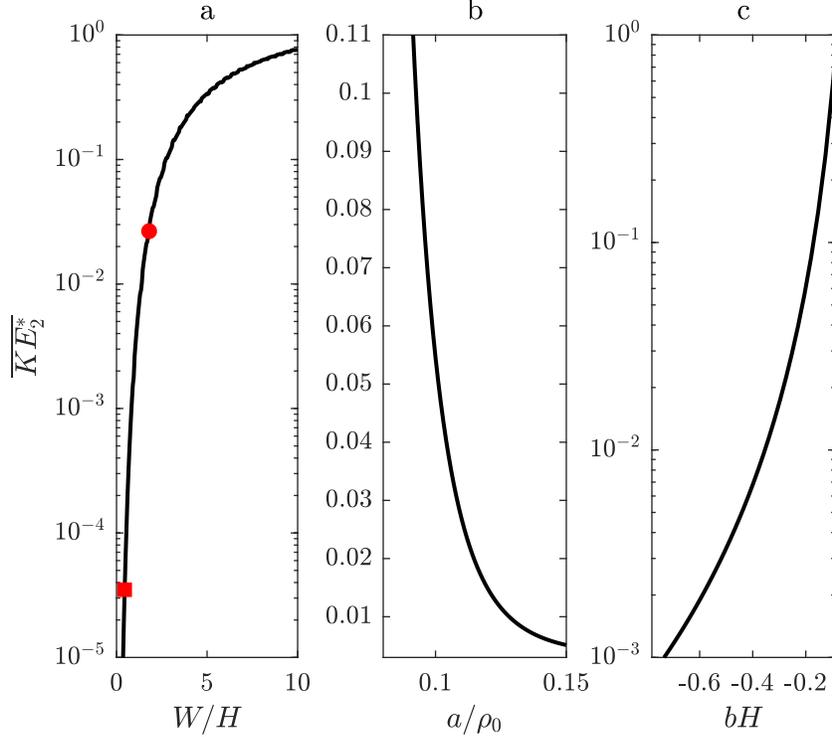


FIG. 11.  $\overline{KE}_2^*$  is shown as a function of  $W/H$ ,  $a/\rho_0$ , and  $bH$ , showing the effects of topographic shape (a) and an exponential density profile in (b) and (c). In (a), the square and circle indicate  $W/H = 0.45$  and  $1.8$ , or the steep and medium topographies, respectively.

559 use in the linear theory model, the Gaussian curve fit is centered at zero. The equation for  
 560 the fit is given by

$$561 \quad z_{top,ocean} = 5868 - 831.3 \exp\left(\frac{-x^2}{10970^2}\right) \quad (33)$$

562 with  $-20000 < x < 20000$  m and the base of the feature at a depth of 5868 m. In order to  
 563 apply the feature to the model, it is assumed that the feature is two dimensional. We assume  
 564 a tidal velocity of 4 cm/s for the M2 semidiurnal tide based on the work by Poulain and  
 565 Centurioni [27], who also indicate that in the Philippine Sea the M2 tide oscillates zonally,  
 566 or left to right over the topography shown in Fig. 12.

567 Using data from the World Ocean Circulation Experiment (WOCE) for cruise P08N  
 568 located at  $129.99^\circ$  E,  $15.01^\circ$  N, the natural frequency profile was calculated. This location is  
 569 the closest data near the chosen oceanic topography [28]. We followed the method of King  
 570 et al. [1] to smooth and average the CTD data. Temperature and salinity data is averaged  
 571 over a set depth or bin size and then the natural frequency is calculated with the Gibbs Sea

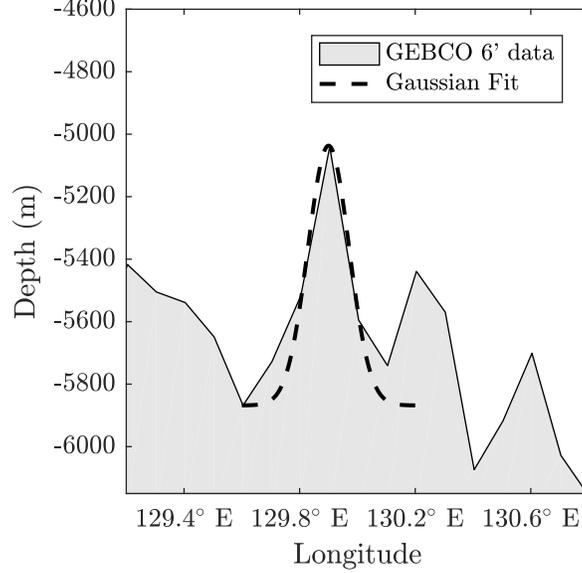


FIG. 12. Data from the GEBCO worldwide bathymetry map is indicated by the shaded portion, with a Gaussian curve fit through topographical feature analyzed in this work.

572 Water TEOS-10 Matlab tool box [29]. King et al. recommend a bin size of between 100  
 573 and 200 m and we chose 200 m for this data set because it provided a smooth curve while  
 574 retaining the major characteristics of the profile. The natural frequency profile indicates a  
 575 turning depth at a height of 4367 m, which is above the topography. However, the profile  
 576 does not extend down to the bottom of the oceanic feature. A curve fit was applied to the  
 577 smoothed data to extend the profile to the bottom of the topography. The curve fit is given  
 578 by

$$579 \quad \ln(N^2) = a_1 \exp \left[ \frac{-(z - b_1)^2}{c_1} \right] + a_2 \exp \left[ \frac{-(z - b_2)^2}{c_2} \right] \quad (34)$$

580 where  $a_1 = -15.14$ ,  $b_1 = 4831$ ,  $c_1 = 6553$ ,  $a_2 = -5.788 \times 10^{12}$ ,  $b_2 = 3.658 \times 10^4$ , and  
 581  $c_2 = 5993$  and  $\ln$  refers to the natural logarithm. The natural frequency profile is plotted  
 582 in Fig. 13a. To maintain consistency between this figure and those given previously, the  
 583 evanescent region is at the top of the figure with the propagating region beginning at 4367 m.

585

586 Based on the oceanic feature and natural frequency profile, we use the analytical model to  
 587 calculate a kinetic energy profile shown in Fig. 13b. Kinetic energy is again normalized by  
 588 the evanescent wave energy at the tip of the topography to be consistent with the previous  
 589 results. Starting at the top left corner,  $KE^*$  decreases rapidly through the evanescent

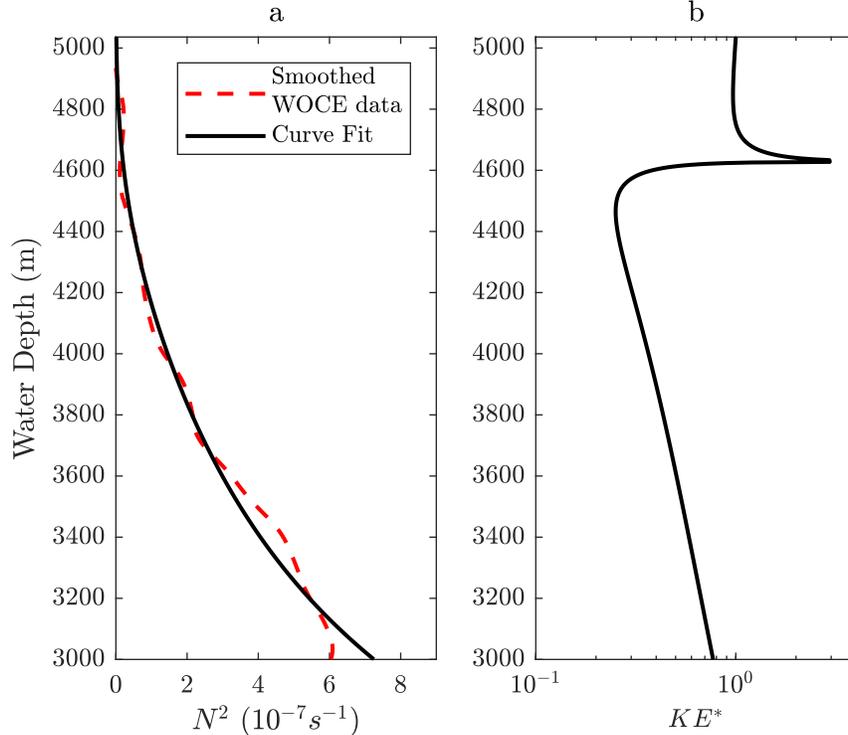


FIG. 13. WOCE data is used to calculate  $N^2$  indicated by the red dashed line in (a), while the black line is the curve fit of the data used for the model analysis. The normalized kinetic energy calculated from the model is shown in (b) as a function of depth.

590 region until it reaches the turning depth. The Airy integral provides the needed patch  
 591 into the propagating region, where the kinetic energy of the internal wave at first decreases  
 592 and then increases. In the experimental cases, shown previously, this increase was not  
 593 seen due to the limited depths of the propagating region. Kinetic energy increases due to  
 594 increasing  $N$  which causes an increase in  $m$  as well. Although the velocity amplitudes are  
 595 inversely proportional to  $m^{1/2}$ , kinetic energy is proportional to  $A^2$  and  $m^2$ , leading to an  
 596 overall increase in energy. However, the energy flux,  $c_{gz}\{E\} = -\rho_0 A^2 m \omega / (2k^2)$ , is constant  
 597 throughout the propagating region [3].

598 The Airy integral in Fig. 13b uses a smaller percentage of the vertical wavelength than  
 599 the experiments. Testing the model with the experiments indicated that using 1% of the  
 600 vertical wavelength to start and end the Airy integral minimized the effects of the matching  
 601 condition (See Section II B 3). For this oceanic scenario, this percentage is reduced to 0.001%.  
 602 Increasing or decreasing this value led to an increase in the overall kinetic energy in the  
 603 propagating region. The minimum value was chosen to prevent an overestimate of the

604 kinetic energy.

605 The average, normalized kinetic energy from the end of the Airy region to  $\overline{Fr}_2 = 0.952$   
606 at a depth of 4587 m is  $\overline{KE}_2^* = 0.57$ . The minimum  $KE^*$  in the propagating region occurs  
607 near the turning depth at a depth of 4466 m with a value of 0.25. This 25% transmission  
608 could be taken as the energy that is able to pass through the turning depth and into the  
609 propagating region, and is a nontrivial portion of the original kinetic energy of the evanescent  
610 wave. While this model is a linear approximation of a non-linear event, it does indicate that  
611 internal waves generated from evanescent waves passing through the turning depth can still  
612 maintain a significant portion of the original kinetic energy formed from M2 tidal oscillations  
613 across oceanic bathymetry within evanescent regions.

## 614 V. CONCLUSION

615 Past investigations of the influence of evanescent regions on internal waves have focused  
616 on an internal wave approaching an evanescent region and the subsequent reflection and/or  
617 transmission of internal wave energy at the turning depth. Here, we studied the scenario  
618 where evanescent waves approach a turning depth and become propagating internal waves.  
619 We expanded upon the work of Paoletti et al [13] by creating an analytical model which  
620 predicts the kinetic energy of internal waves generated from an evanescent region. The  
621 model is then compared to experiments and the effects of topographical shape, stratification  
622 profile ( $\overline{Fr}_1$ ), and the relative distance between the topography and the propagating region  
623 ( $H/D$ ) on internal wave kinetic energy were explored.

624 Similar to Paoletti et al [13], we found that the medium Gaussian topography, with a more  
625 gentle slope, has a higher kinetic energy in the propagating region than the steep Gaussian  
626 topography. For high  $H/D$  and low  $\overline{Fr}_1$ , the medium topography theory showed that the  
627 evanescent waves transmit up to 62.5% of the kinetic energy at the topography surface  
628 into internal waves in the propagating region, while the experiments indicated a maximum  
629 of 43.5% (See Fig. 8). While not an exact match, the model predicts similar values to  
630 the experiment. However, the model does not match well with the steep topography as it  
631 approaches the limit of criticality. The experiments for the steep topography indicate the  
632 maximum kinetic energy in the propagating region is near 10% of the original kinetic energy  
633 at the tip of the topography, while the model indicates closer to 20% (See Fig. 9). As seen

634 in Fig. 11, decreasing the slope, indicated by an increasing  $W/H$ , increases the percentage  
635 of energy transmitted into the propagating region. Also, Fig. 10 indicates that only with  
636 larger values of  $H/D$  does steep topography generate internal waves with higher kinetic  
637 energy than medium topography.

638 The experiments and model also indicate the importance of the stratification in estimating  
639 internal wave kinetic energy. Increasing  $\overline{Fr}_1$ , indicating a large, or strong, evanescent region,  
640 causes a decrease in propagating region kinetic energy. For the exponential density profile,  
641 the model indicates that low values of  $a/\rho$  and high values of  $bH$  increase  $\overline{KE}_2^*$  due to a  
642 slow increase in the natural frequency in the evanescent region, causing a slower decay of  
643 the evanescent waves and more kinetic energy transferred into the propagating region.

644 To show a potential use of this analytical model, an oceanic case study was also explored  
645 and results show the average kinetic energy that passed from the evanescent region, through  
646 the turning depth and into the propagating region had 25% of the original kinetic energy  
647 of the evanescent wave. While this is only one case, it indicates that evanescent waves that  
648 become internal waves could transfer significant energy from tidal motions away from the  
649 topography and into the general ocean.

650 Future work with this model could include applying it to more oceanic topographies  
651 which are situated in evanescent regions (relative to the M2 tidal frequency) to provide  
652 an overall estimate of the kinetic energy of internal waves generated from tidal motions  
653 across topography. Also, continued investigations into the turbulence generated waves could  
654 provide insight in how to improve the model, possibly by including viscosity. As both  
655 topography shape and stratification profile impact the overall kinetic energy, this work  
656 could also be expanded upon by exploring more complex topographies and other realistic  
657 stratification profiles.

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