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Rayleigh-Bénard turbulence modified by two-way coupled inertial, non-isothermal particles

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Abstract

Direct numerical simulation (DNS) combined with the Lagrangian point particle model is used to study Rayleigh-Bénard convection in order to understand modifications due to the interaction of inertial, non-isothermal particles with buoyancy-driven turbulence. In this system, turbulence can be altered through direct momentum coupling, as well as through buoyancy modification via thermal coupling between phases. We quantify the effect of the dispersed phase by changes to the total integrated turbulent kinetic energy ($TKE$) and Nusselt number ($Nu$). The dispersed particles experience gravitational settling, and are introduced at the lower wall so that turbulence must overcome the settling velocity for the particles to vertically distribute throughout the domain. We focus primarily on particle inertia, settling velocity, mass fraction, and the ratio of the particle to fluid specific heat. Furthermore, individual contributions by the momentum coupling and thermal coupling are studied to see which most significantly changes $Nu$ and $TKE$. Our results show that particles with Stokes number of order unity maximize $Nu$, corresponding to a peak of clustering and attenuation of $TKE$. Increased mass fractions lead to a linear increase of $Nu$ and decrease of $TKE$. With varying specific heat ratio, $Nu$ and $TKE$ exhibit monotonic behaviors, where in the high limit particles become isothermal and depend upon the initialized particle temperature. It is also shown that particles two-way coupled only through momentum attenuate $Nu$ and weakens $TKE$ while thermal-only coupling also weakens $TKE$ but enhances $Nu$. When both couplings are present, however, thermal coupling overwhelms the momentum coupling attenuation and the net result is an enhancement of $Nu$.

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I. INTRODUCTION

In a wide variety of natural and industrial systems, turbulent flows suspend particulate matter which, depending on circumstances, can influence the flow and modify the overall transport of heat, momentum, and mass. This two-way coupling phenomena has been studied extensively in various wall-bounded and homogeneous turbulent flow simulations [1–7], where the influences of particle inertia, concentration, and/or settling velocity on a multitude of statistical and dynamical characteristics of the turbulence have been examined in detail. Relatively speaking, however, numerical studies on the effects of non-isothermal particles are much fewer in number, and primarily focus on the ability of heat conducting particles to modify heat transfer or alter temperature fluctuation statistics of the carrier fluid [8–11]. Even fewer in number are investigations which consider the multiple feedback effects of the particles on the turbulent kinetic energy of the fluid — both via direct momentum exchange as well as thermal feedback through buoyancy forces.

In wall-bounded turbulent flows, it has been repeatedly demonstrated that small, two-way coupled particles can directly weaken near-wall coherent structures, particularly when the response time of the particle is near that of the coherent motions [5, 12]. At the same time, these small particles can have a more complex influence on turbulent kinetic energy since the suppression of these coherent structures can strengthen near-wall streaks, thereby enhancing velocity variance near the walls [13–15]. In the presence of thermally coupled particles and buoyancy-driven turbulence, however, these (and other) influences of momentum coupling between the carrier and particle phases will be combined with the thermally-induced changes to the turbulent flow, since in many cases thermally coupled particles are found to enhance temperature fluctuations and modify mean temperature gradients of the fluid [10, 11].

Recently, Frankel et al. [16] demonstrated that particles heated externally via irradiation in homogeneous turbulence can significantly modify turbulent kinetic energy (TKE) and mean particle settling velocities via buoyant plumes emerging from hot, clustered particles. While in this case the particle temperatures were quite high, buoyant updrafts were created that could exceed the settling velocity of the particles, and the resulting turbulent kinetic energy of the carrier fluid can be enhanced due to increased buoyancy production. Characteristics of this particle/buoyancy-induced turbulence is described by Zamansky et al. [17] and Zamansky et al. [18], where turbulence in otherwise quiescent fields is created by heating
particles and establishes a feedback loop where turbulence causes particle clustering, which concentrates the particle heat sources, thereby causing further turbulence production.

In the present study our aim is to further explore the role of thermally and dynamically coupled particles in buoyancy-driven turbulent flow, however in systems where the particles are not externally heated. We use turbulent Rayleigh-Bénard (RB) flow as an idealized test configuration and focus on the relative balance between dynamic and thermal coupling between the particles and surrounding fluid. In this context, several studies have examined the role of small bubbles in RB flow. It has been observed that vapor bubbles in liquid can contribute in multiple ways to the surrounding flow: their growth/decay indicates a sensible and latent heat exchange with the surrounding fluid and therefore a carrying capacity for heat across the domain, and their buoyancy can strengthen the cellular up- and downdrafts commonly observed in RB convection [19, 20]. In many cases the overall Nusselt number is enhanced, although the bubbles can damp out temperature gradients which give rise to increased buoyant production of TKE. The enhanced Nusselt number is seen over a wide range of flow Rayleigh numbers, and the bubbles are particularly effective when they have large potential for growth [21].

While the effects of bubbles and boiling have far-reaching implications on heat transfer in buoyancy-driven systems, we are instead focused, in this study, on the effects of solid particles in gas-phase turbulence, particularly when the particles must be lifted by the turbulence from the lower boundary into the interior of the domain. In a somewhat similar setup, Oresta and Prosperetti [22] performed simulations of RB flow where solid particles were allowed to settle from the top boundary downwards, and whose temperature was specified (i.e. the particles were isothermal). Simulations were performed over a wide range of particle diameters and it was found that mechanical and thermal coupling can both substantially change the overall heat transfer, mean particle settling velocities, and flow patterns in the system. We intend to further this understanding by investigating particles whose temperature is non-isothermal, and whose suspension is dictated by the turbulence which it can modify through both mechanical and thermal coupling.
II. METHOD

Our goal is to investigate the influence of thermally conducting particles which are smaller than the smallest scales of the turbulence, so we therefore invoke the point particle assumption and track individual particles as Lagrangian points with their own mass and temperature. At the same time, the continuous carrier phase is solved via direct numerical simulation (DNS) on an Eulerian grid and is subject to the Navier-Stokes equations under the Boussinesq approximation:

\[ \frac{\partial u_i}{\partial x_i} = 0, \]  
\[ \rho_f \frac{Du_i}{Dt} = -\frac{\partial p}{\partial x_i} + \mu_f \frac{\partial^2 u_i}{\partial x_j^2} + \beta T' g \rho_f \delta_{ii} + F_i, \]  
\[ \rho_f C_{p,f} \frac{DT}{Dt} = k_f \frac{\partial^2 T}{\partial x_j^2} + S, \]

where \( u_i \) is the fluid velocity, \( \rho_f \) is the fluid density, \( p \) is the pressure, \( \mu_f \) is the fluid dynamic viscosity, \( C_{p,f} \) is the specific heat of the fluid, \( k_f \) is the thermal conductivity of the fluid, \( g \) is the acceleration of gravity, \( \delta \) is the Kronecker delta, and \( \beta = 1/T_0 \) is the thermal expansion coefficient (assuming the Boussinesq limit and an ideal gas, where \( T_0 \) is the reference temperature). The temperature deviation \( T' = T - T_0 \) is the deviation of the temperature from the reference state (taken herein as the cold plate temperature). The terms \( F_i \) and \( S \) represent the momentum and thermal two-way coupling between the carrier and dispersed phases, and are computed by summing the net momentum and energy gained/lost by the particles and distributing to the surrounding grid points.

Likewise, mass, momentum, and energy conservation of the dispersed phase yields the following equations for each individual particle:

\[ \frac{dx_i}{dt} = v_i, \]  
\[ \frac{dv_i}{dt} = \frac{1}{\tau_p} (u_{f,i} - v_i) + g \delta_{3i}, \]  
\[ \frac{dT_p}{dt} = \frac{(T_f - T_p)}{\tau_T}. \]
where terms other than gravity and hydrodynamic drag have been neglected from the particle momentum equation since the density ratio between the carrier and particle phase is large, and Stokes drag is assumed because the particle Reynolds numbers remain small [23]. The particle position $x_i$ evolves according to its velocity $v_i$, and $T_p$ is the particle temperature. The fluid velocity $u_{f,i}$ and temperature $T_f$ at the particle location are interpolated using sixth-order Lagrange interpolation. In equation 5, $\tau_p = (\rho_p d_p^2)/(18 \mu_f)$ is the Stokes acceleration timescale of the particle, where $d_p$ is the particle diameter and $\rho_p$ is the particle density. In equation 6, $\tau_T = (3 \tau_p Pr C_{p,p})/(C_{p,f} Nu_p)$ is the thermal timescale of the particle, where $C_{p,p}$ is the particle specific heat and $Pr = \nu_f/\alpha_f$ is the fluid Prandtl number, where $\nu_f = \mu_f/\rho_f$ is the fluid kinematic viscosity and $\alpha_f = k_f/(\rho_f C_{p,f})$ is the fluid thermal diffusivity. For flow past a spherical droplet, $Nu_p$ is obtained from a dimensionless empirical correlation [24]:

$$Nu_p = 2 + 0.6 Re_p^{1/2} Pr^{1/3},$$

where $Re_p = |v_i - u_{f,i}| \rho_f d_p/\mu_f$ is the particle Reynolds number. The notation $|v_i - u_{f,i}|$ refers to the magnitude of the vector difference between the fluid and particle velocities.

Equations 1 – 6 are solved using the same numerical code as used previously in our research group [7, 25–27], so only a brief summary is provided here. The code is periodic in the horizontal $x$ and $y$ directions, and uses a stretched grid in the vertical $z$ direction. A pseudospectral discretization is used in the horizontal directions, and second-order finite differences are used in the vertical direction. Time integration for both the particle and carrier phases is done with a third-order Runge-Kutta scheme, and the divergence-free velocity field of the carrier phase is enforced via a fractional step method.

The numerical domain is rectangular with a size of $3H \times 3H \times H$ and a grid of $[N_x, N_y, N_z] = [128, 128, 128]$. A stretched, non-uniform grid is used in the $z$-direction to resolve the boundary layers. Boundary conditions for the carrier phase are of Dirichlet type at the upper and lower boundaries: no-slip for all three velocity components and specified temperatures $T_{top} = 300.15$ K and $T_{bot} = 310.15$ K at the upper and lower boundaries, respectively. For the particles, an elastic reflection is enforced at the upper boundary (equivalent to a no-flux condition), and the particle is removed when crossing the lower boundary.

In this study we are particularly interested in systems where the dispersed phase must be
suspended by the turbulence from below, akin to physical processes such as aeolian saltation [28]. Therefore for each particle which is removed at the lower boundary due to gravitational settling, we re-insert a particle which possesses the same velocity and temperature as the exiting particle, and place it at a randomly chosen location in the lower 10% of the domain. By giving the new particle the same properties as the exiting particle, we ensure that the particle phase does not represent an external source of heat or momentum, unlike previous studies [22]. The maximum reinsertion height of $z/H = 0.1$ is based on the crossover point between diffusive and turbulent momentum fluxes; at this point turbulent transport of heat and momentum begins to dominate over diffusive transport, and we take this as a location where turbulence can potentially lift particles into the interior of the domain. The reinjection percentage (the average number of particles reinjected per time step, normalized by the total number of particles) is around 0.2% for $St \approx 10.0$ and much smaller (0.002%) for $St \approx 0.1$ for low settling velocities. This percentage increases with settling velocity at all $St$.

For all numerical simulations herein, the Rayleigh number of the flow, $Ra = (g\beta\Delta T H^3)/(\nu_f\alpha_f)$, is set to $2 \times 10^6$, where $\Delta T = T_{bot} - T_{top}$ is the difference in plate temperature. In all cases, the particle temperature is initialized at $T_{p,init} = 305$ K. Other constant properties of the simulations are shown in Table I. Unladen simulations were validated against experimental $Nu-Ra$ relationships [29], while $Nu$ from isothermal, particle laden simulations were compared to previous numerical results [22] ($Nu$ defined below). The Taylor-scale Reynolds number $Re_\lambda$ is approximately 50. Particle-laden simulations are initialized by placing particles at random locations within a previously-obtained unladen turbulent field. We perform a series of simulations to investigate four key dimensionless parameters which govern this multiphase system:

1. The particle inertia, as described by the Stokes number $St = \tau_p/\tau_f$, where $\tau_p$ was defined above and where $\tau_f$ is chosen here as the Kolmogorov fluid timescale $\tau_f = (\nu/\epsilon)^{1/2}$, where $\epsilon$ is the vertically-averaged rate of dissipation of turbulence kinetic energy. The local Kolmogorov time scale does not vary significantly from the effects of the two-way coupled system, and the vertical profile of $\epsilon$ does not deviate significantly from the vertically averaged value (see Fig. 8(a)).

2. The dimensionless particle settling velocity $V_g/U_{buoy}$, where $V_g = \tau_p g$ is the particle terminal velocity and $U_{buoy} = \sqrt{g\beta\Delta T H}$ is a buoyancy velocity scale.
3. The particle mass fraction $\phi_m$, which is defined as the total mass of particles in the system to mass of carrier phase.

4. The specific heat ratio $C_{p,p}/C_{p,f}$.

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>$Ra$</td>
<td>$2 \times 10^6$</td>
</tr>
<tr>
<td>$H$</td>
<td>0.116 m</td>
</tr>
<tr>
<td>$L_x, L_y$</td>
<td>0.348 m</td>
</tr>
<tr>
<td>$U_{buoy}$</td>
<td>0.204 m s$^{-1}$</td>
</tr>
<tr>
<td>$\rho_f$</td>
<td>1.29 kg m$^{-3}$</td>
</tr>
<tr>
<td>$\nu_f$</td>
<td>$1.37 \times 10^{-5}$ m$^2$ s$^{-1}$</td>
</tr>
<tr>
<td>$\alpha_f$</td>
<td>$2.02 \times 10^{-5}$ m$^2$ s$^{-1}$</td>
</tr>
<tr>
<td>$Pr$</td>
<td>0.678</td>
</tr>
<tr>
<td>$\Delta T$</td>
<td>10.0 °C</td>
</tr>
<tr>
<td>$\phi_m$</td>
<td>0.05</td>
</tr>
<tr>
<td>$C_{p,f}$</td>
<td>1.0 kJ kg$^{-1}$ K$^{-1}$</td>
</tr>
<tr>
<td>$C_{p,p}$</td>
<td>4.179 kJ kg$^{-1}$ K$^{-1}$</td>
</tr>
</tbody>
</table>

We also vary the couplings (i.e. thermal and dynamic) to determine which leads to a larger influence on the carrier phase heat transfer and turbulence. In the next section, the findings from each of these simulation sets will be described in detail.

### III. RESULTS

#### A. Particle inertia and settling velocity

It is well-known that particle inertia leads to preferential clustering [30], and that the combination of inertial clustering and gravitational settling can lead to preferential sweeping
and enhanced vertical velocities \cite{31, 32}. Therefore in light of this interplay between inertia and gravity, we begin by discussing results from a series of simulations which vary $St$ and $V_g/U_{buoy}$ independently, in order to understand the relative roles of inertia and settling on modulation of turbulence in the system. Numerous simulations were run across the two-dimensional parameter space ranging between $10^{-1} < St < 15$ and $10^{-4} < V_g/U_{buoy} < 0.1$. In all cases, once the simulations reaches $t^* \approx 175$, where $t^* = t U_{buoy} / H$, a statistically steady state is achieved. From this point, time averaging is performed until $t^* \approx 1400$, leading to average quantities (such as vertical particle concentration and temperature distribution) statistically converged within 1%.

Fig. 1 qualitatively demonstrates the effect of particle inertia on clustering by providing instantaneous snapshots of normalized fluid temperature (color contours) and particle location (black dots) for varying $St$ at constant $V_g/U_{buoy} = 10^{-3}$. From the figure is it apparent that particles with $St \approx 1$ tend to cluster into bands which are closely aligned with the up- and downdrafts of the RB convection cells, while for much larger and smaller $St$, the particles remain more homogeneously distributed throughout the domain. Note that the number of particles is different since the mass concentration is held constant at $\phi_m = 0.05$ ($\phi_m$ is the ratio of particle mass to fluid mass in the system).

As with shear-driven turbulent flows, particles drift toward the walls in the absence of
gravitational settling due to turbophoresis [33, 34], despite significant differences in near-wall coherent structures between turbulent channel and RB flows. Fig. 2(a) shows that this effect is of similar magnitude at both $St \approx 1$ and $St \approx 10$, and that for $St \approx 0.1$ the particles are more or less distributed evenly across the vertical direction since they behave mostly as fluid tracers (except at the walls, where boundary conditions cause deviations). The relatively small settling velocity in Fig. 2(a), $V_g/U_{buoy} = 10^{-3}$, induces a small measure of asymmetry in all three profiles, but this settling is not large enough to overcome the drift toward both walls.

At a constant Stokes number of $St \approx 1$, the effect of increasing the settling velocity is shown in Fig. 2(b). Here, small increases of settling velocity actually increase the concentrations near the centerline ($z/H = 0.5$), as the downward settling begins to overcome upward turbophoretic drift. Eventually, at strengths around $V_g/U_{buoy} = 0.05$, the gravitational settling becomes too strong for the flow to maintain suspension, and by $V_g/U_{buoy} = 0.1$ the particles are nearly all settled at the bottom. For these largest settling velocities, there is a sharp discontinuity in concentration at $z/H = 0.1$ due to the random injection location of regenerated particles in the bottom 10% of the domain.

As a basis for understanding and explaining modifications to turbulence and heat transfer due to the two-way coupling of the particles, Fig. 3 presents several quantities associated with the particles’ ability to thermally interact with the flow, as a function of $St$ at a constant
$V_g/U_{buoy} = 10^{-3}$. First, Figs. 3(a) and 3(b) show that the overall mean temperature in the system is only slightly modified as a result of the two-way coupled particles, but the particle temperature can deviate substantially from the local fluid temperature. For $C_{p,p}/C_{p,f} = 4.179$, the thermal time scale $\tau_T$ is about 4 times that of the Stokes acceleration time scale $\tau_p$ (see for example Nakhaei and Lessani [11] or Zonta et al. [8]). This, in effect, leads to a thermal Stokes number (defined as $St_T = \tau_T/\tau_f$) to be 4 times that of the
momentum based $St$. As the Stokes number increases, the overall magnitude of the difference between the fluid and particle temperatures increases due to the particles’ higher thermal inertia. The reinjection procedure of setting the new particle temperature equal to the departing particle temperature causes a small kink at $z/H = 0.1$ for high particle inertia, which again results because higher $St$ particles cannot adjust their temperature as quickly as low $St$ particles.

This inability of particles to quickly adjust to the local fluid temperature is what drives the thermal coupling between the dispersed phase and the fluid, which in turn modifies heat transfer and turbulence in the RB system (more will be said on this below). To further quantify this thermal disequilibrium, Fig. 3(c) shows mean profiles of the difference between the particle temperature and the fluid temperature seen by the particles. It is this quantity which appears in the right hand side of Eq. 6, driving heat exchange between the particle and fluid. In the study of Oresta and Prosperetti [22], the particle temperature was assumed constant, so this quantity only reflected fluid temperatures seen by the particle, and would not be as sensitive to the Stokes number. Here, Fig. 3(c) shows that with increasing Stokes number, the overall degree of disequilibrium between the particle temperature and the local fluid temperature increases, which again is consistent with the higher thermal inertia of the larger $St$ particles. A similar feature is seen in Nakhaei and Lessani [11]. Above the reinjection height of $z/H = 0.1$, particles are almost all warmer than their surroundings, while below $z/H = 0.1$ the particles are always cooler due to their removal/replacement as they settle out of the bottom domain.

Finally, Fig. 3(d) shows a slightly different quantity: the difference between horizontally averaged fluid temperature and the average temperature seen by the particles. In the case of particles which are homogeneously distributed across the horizontal plane, this difference would be zero since the particles would uniformly sample all of the fluid temperatures in the plane. Therefore, the difference $\langle T \rangle - \langle T_f \rangle$ indicates particles preferentially collecting in regions where the temperature does not equal the true horizontal mean. Indeed, Fig. 3(d) shows that particles of $St \approx 0.1$ show very small differences between these temperatures, which is consistent with the qualitative observation of Fig. 1(a). In the upper portion of the domain, this difference is maximum and positive for $St \approx 1$, indicating that particles are preferentially residing in areas where the local temperature is cooler than the horizontal average (i.e., downdrafts). Near the bottom, the particles reside in regions warmer than the
mean (i.e., updrafts), and this effect is modified by the lower boundary condition.

An alternative way to identify particle clustering can be done through Voronoi diagrams [35]. Here, Voronoi cells are drawn and their areas are inversely related to the local concentrations; their statistical distribution can be used to quantify clustering. In Fig. 4(a), the Voronoï cell areas are visualized for a representative slice taken at $z/H = 0.5$ for the $St \approx 1$, $V_g/U_{buoy} = 10^{-3}$ case. We then compare the statistical distribution of Voronoï areas to that of a random Poisson process and compare the standard deviation $\sigma_V$ to identify clustering.

![Instantaneous Voronoï diagram for $St \approx 1$ taken at $z/H = 0.5$ for a slice of thickness $2\eta$ (the Kolmogorov length scale) with $V_g/U_{buoy} = 10^{-3}$.](image)

![Standard deviation of the normalized Voronoï area $\sigma_V$, normalized by that of a random Poisson process, $\sigma_{RPP}$, as a function of $St$.](image)

**FIG. 4.** (a) Instantaneous Voronoï diagram for $St \approx 1$ taken at $z/H = 0.5$ for a slice of thickness $2\eta$ (the Kolmogorov length scale) with $V_g/U_{buoy} = 10^{-3}$. (b) Standard deviation of the normalized Voronoï area $\sigma_V$, normalized by that of a random Poisson process, $\sigma_{RPP}$, as a function of $St$.

Figure 4(b) presents the ratio $\sigma_V/\sigma_{RPP}$ as a function of $St$ for $V_g/U_{buoy} = 10^{-3}$, where $\sigma_{RPP}$ is the standard deviation of Voronoï areas if particles were randomly distributed. The figure shows the greatest clustering for $St$ at order unity. In the limits of low and high $St$, $\sigma_V$ approaches $\sigma_{RPP}$ as particles become tracers on the low end, and as particles become unresponsive to velocity fluctuations on the high end.

In this study we focus on two intertwined effects of the particles: altering the cross-channel heat transfer, and modifying the characteristics of the turbulence. The former is quantified by the channel Nusselt number: $Nu = \overline{q}H/(k_f \Delta T)$, where $\overline{q}$ is the total heat flux from the bottom to the top boundary. The latter we measure by the vertically integrated turbulent kinetic energy: $TKE = \frac{1}{H} \int_0^H k dz$, where $k(z) = \frac{1}{2} \langle u'^2 + v'^2 + w'^2 \rangle$ is the mean $TKE$ at each height.
Fig. 5 presents a cross section of our results holding $St \approx 1$ constant while varying the settling velocity, in order to observe changes to heat transfer and turbulence. From Fig. 5(a), the addition of non-isothermal, two-way coupled particles leads to an increased $Nu$ compared to the unladen case, regardless of settling velocity. In the limit of vanishing gravitational settling, $Nu$ approaches a gravity-free limit of roughly 15% higher than the unladen value, while in the limit of high settling velocity, $Nu$ approaches the unladen value as all of the particles are confined to the lowest 10% of the domain. Between these limits, peaking around $V_g/U_{buoy} \approx 10^{-3}$, the enhancement of $Nu$ reaches a maximum, which we argue is linked to the increased concentration in the domain center as gravitational settling overcomes upwards turbophoretic drift (cf. Fig. 2(b)).

Fig. 5(b), on the other hand, shows that the turbulence of the system is actually weakened compared to the unladen case for nearly all settling velocities. Again, the limit of low $V_g/U_{buoy}$ approaches the gravity-free damping of $TKE$ while the upper limit approaches a value near the unladen case, and the peak damping of $TKE$ occurs now closer to $V_g/U_{buoy} \approx 10^{-2}$.

For understanding the effects of particle inertia, Fig. 6 shows the converse of Fig. 5: the settling velocity is held constant at $V_g/U_{buoy} = 10^{-3}$ while $St$ is varied between $0.1 < St < 15$. 
FIG. 6. Normalized (a) $Nu$ and (b) $TKE$ as a function of varying $St$ for $V_g/U_{buoy} = 10^{-3}$ with two-way coupling. The horizontal lines are the unladen values.

Again, $Nu$ is enhanced while $TKE$ is suppressed for all $St$ compared to the unladen values. Fig. 6(a) shows a distinct peak of $Nu$ enhancement at $St \approx 1$, which is associated with particle clustering [36, 37]. In the limits of high and low $St$, $Nu$ approaches its unladen value. The $TKE$ of the system, however, has a more unique dependence on $St$, as shown in Fig. 6(b). Here, there is not a single maximum of $TKE$ reduction, and there exists a local minimum in $TKE$ reduction at $St \approx 1$. We note that for low $St$, Fig. 6(b) does not yet approach the unladen $TKE$ as our thermal inertia based on $\tau_T$ is still non-negligible. Thus $St \approx 0.1$ corresponds to $St_T$ closer to 0.4, and we expect an approach to unladen values of $TKE$ at even lower $St$. Given that we hold $\phi_m$ constant, these simulations are too expensive for the large number of small particles required.

In this flow particles have the ability to modify turbulence in two ways, via direct momentum coupling between phases, and through modifications to the temperature profile. To therefore better understand Fig. 6, Fig. 7 presents two of the normalized components of the heat flux: $\bar{q}_{turb} = \langle w'T' \rangle$, which is the turbulent heat flux, and $\bar{q}_{part}$, which is the vertical heat flux due to the particle source term $S$ in Eq. 3. The modification of $\bar{q}_{turb}$ is non-monotonic with $St$, in that maximum centerline reductions occur for both $St \approx 0.1$ and $St \approx 2.5$ (other $St$ curves are not shown for clarity). These correspond to the maximum $TKE$ reductions in Fig. 6(b), which is consistent since buoyancy production of $TKE$ is proportional to $\langle w'T' \rangle$. For these $St$, the primary effect of the particle is therefore to reduce
FIG. 7. Overall heat flux contributions due to (a) turbulent flux, and (b) particle source flux buoyant production of TKE, while the effect of direct momentum coupling is much smaller (this is further discussed in the next section). The behavior of $q_{\text{part}}$, meanwhile, exhibits only a single peak, with a maximum particle heat flux at $St \approx 1$. This peak is associated with particles clustering in up- and downdrafts of the flow, and leads to the peak of $Nu$ enhancement at $St \approx 1$.

This additional mode of heat transfer, namely that being carried by particles via $q_{\text{part}}$, disrupts certain of the canonical features of Rayleigh-Bénard flow. For instance, the exact relationship between turbulence dissipation and heat transfer, $\epsilon = \nu^3 Ra (Nu - 1)/(H^4 Pr^2)$ [38], no longer holds because the two are not simply linked via local flow quantities. In the present case, for instance, dissipation $\epsilon$ is only slightly changed via two-way coupling (Fig. 8(a)), while $Nu$ can be increased as a result of particles (Fig. 6).

Furthermore, in Fig. 8(b) it is found that, analogous to the turbulence dissipation $\epsilon$, the thermal dissipation rate $\epsilon_T = \alpha_f \langle \nabla T' \cdot \nabla T' \rangle$, where $\nabla T'$ is the temperature fluctuation gradient, does not change significantly due to the particle thermal exchange with the fluid. The profiles in Fig. 8 suggest that dissipation (and other small-scale) mechanisms actually do remain intact in particle-laden Rayleigh Bénard flow, and that the primary effect is the additional heat transfer mechanism through $q_{\text{part}}$ and corresponding reduction of $q_{\text{turb}}$.

Finally, as an overview of the behavior described above, Fig. 9 presents a two-dimensional contour map of the fractional change of $Nu$ and $TKE$ as a function of both $St$ and $V_g/U_{buoy}$, compiled using over 30 simulations. Several important features are noted. First, in Fig. 9(a),
FIG. 8. Dissipation rate $\epsilon$ and thermal dissipation rate $\epsilon_T$ normalized by the vertical average (va) of its corresponding components. Plots have been zoomed in to highlight behavior in the domain center.

FIG. 9. Normalized (a) $Nu$ and (b) $TKE$ as a function of both $St$ and $\log_{10}(V_g/U_{buoy})$. The solid lines represent evenly spaced contour levels for better clarity of the features.

It is clear that the heat transfer enhancement due to particles is much more strongly affected by $St$ than by settling velocity. The primary effect of $V_g/U_{buoy}$ is to shut off the enhancement at sufficiently high values (returning to blue along the top of the figure). At all intermediate and low values of $V_g/U_{buoy}$, $Nu$ behaves in the same way as shown in Fig. 6(a) — a peak at $St \approx 1$, falling off to the unladen value at asymptotically high or low values.

The turbulent kinetic energy, on the other hand, behaves in a more complex way as a
function of $St$ and $V_g/U_{buoy}$. First, for increasing inertia, the amount of gravitational settling needed to approach the unladen case decreases, as identified by the sloping boundary between yellow and blue at the upper right hand corner of Fig. 9(b). Furthermore, the Stokes number corresponding to the minimum in $TKE$ (i.e. the maximum reduction) also changes slightly with settling velocity, as seen by the orientation of the dark blue region on the left side of the contour map. This interdependence is related to the maximum centerline concentration seen in Fig. 2(b) at intermediate settling velocities, and Fig. 9(b) shows that the global minimum of $TKE$ occurs for $St \approx 2$ and $V_g/U_{buoy} \approx 10^{-2}$.

B. Coupling, specific heat ratio, and mass fraction

In the previous section we focused on the role of $St$ and settling velocity on heat transfer and turbulence modulation, and identified that $St$ had the strongest effect on $Nu$ and $TKE$. In this particle-laden Rayleigh-Bénard system, however, a few remaining factors can potentially influence the particle-turbulence interaction.

First, we consider the effects of coupling — thermal versus mechanical — by turning each on and off independently. We take $St \approx 1$ and $V_g/U_{buoy} = 10^{-3}$ for all cases, and Fig. 10 shows the independent contributions of momentum and thermal coupling on both $Nu$ and $TKE$. For any $St$, momentum coupling weakens the heat transfer, while thermal coupling enhances it. From Fig. 10(a), the thermal coupling overwhelms the momentum coupling.
effect, shown by the nearly identical $Nu$ between the both and thermal coupling, resulting in an overall increase in $Nu$. Again, for limits of both high and low $St$, the unladen $Nu$ is recovered. For $St \approx 1$, the effect of preferential concentration exhibits itself only in the thermal coupling, whereas the changes in $Nu$ due to momentum coupling only are a weak function of $St$.

As previously discussed, the interplay between direct momentum coupling and thermally-induced changes to buoyancy are both factors which vary the strength of $TKE$ reduction. Fig. 10(b) shows that, similar to $Nu$, the thermal coupling between phases is a larger portion of the overall effect of $TKE$. However in this case, the direct momentum coupling is similar in magnitude, and both work to reduce $TKE$ compared to the unladen case. With only momentum coupling, a maximum attenuation is found at $St \approx 2$, while thermal coupling again results in a local attenuation minimum around $St \approx 1$. This local peak, seen in Fig. 6(b), is clearly a result of thermal coupling, and as discussed above is caused by the thermally-induced reduction of the turbulent heat flux $\overline{q}_{turb}$ (see Fig. 7(a)).

Secondly, all simulations presented in the previous section had a set specific heat ratio and mass fraction (see Table I). Again holding $St \approx 1$ and $V_g/U_{buoy} = 10^{-3}$, we independently vary these quantities to assess their impact on $Nu$ and $TKE$. Note that the thermal time scale, $\tau_T$, will now vary with $C_{p,p}/C_{p,f}$ while the aerodynamic time constant, $\tau_p$, remains the same. This, in turn, will affect only $St_T$ while the momentum-based $St \approx 1$ is constant. Fig. 11 shows the effect of changing the ratio $C_{p,p}/C_{p,f}$ over 3 orders of magnitude. Above and below a ratio $C_{p,p}/C_{p,f} \approx 1$, the behavior of $Nu$ and $TKE$ is distinct. For low $C_{p,p}/C_{p,f}$, the particles carry vanishingly small amounts of heat relative to the same mass of fluid, and actually reduce $Nu$ relative to the unladen case (Fig. 11(a)). Since the carrying of heat by particles is one of the primary mechanisms for enhancing $Nu$ (see Fig. 7(b)), reducing their heat capacity below that of the fluid means that the same mass of particles carries less heat than the same mass of fluid. Furthermore, in the limit of zero particle heat capacity, the flow is dominated by momentum coupling, which, as shown in Fig. 10(a), results in the attenuation of $Nu$. As $C_{p,p}/C_{p,f}$ increases above unity, the particles effectively become isothermal (a case considered by Oresta and Prosperetti [22]) and therefore $Nu$ depends entirely on their initial temperature ($T_{p,init} = 305.15$ K, the average of the plate temperatures). For $TKE$, (Fig. 11(b)) the results are similar: in the limit of small $C_{p,p}/C_{p,f}$, the attenuation of $TKE$ saturates, since the flow is dominated by momentum coupling alone.
As the heat capacity ratio increases, the enhanced thermal interaction between phases causes a larger decrease of buoyant TKE production, thereby reducing TKE relative to the unladen case.

Finally, Fig. 12 shows the influence of the mass fraction $\phi_m$. In the limit of zero $\phi_m$, the changes of $Nu$ and TKE tend toward the unladen values as expected. From there, increased $\phi_m$ yields an enhancement of $Nu$ (for both couplings) and an attenuation of TKE which is roughly linear with $\phi_m$. For all combinations of thermal and mechanical coupling, this linear relationship is generally true, and for each $\phi_m$, the relative balance between the different couplings is roughly the same. At higher values of $\phi_m$, particle-particle interactions would require consideration [39].

IV. CONCLUSION

In this study, a DNS model is used to simulate canonical Rayleigh-Bénard flow laden with thermally and dynamically coupled particles, to investigate the response of turbulence and heat transfer. We focused in particular on the independent roles of particle inertia, as specified by the Stokes number, and the settling velocity, normalized by a buoyancy velocity scale, all at a constant Rayleigh number. In a broad sense, we are seeking an understanding of the nondimensional functionalities $Nu(\phi_m, C_{p,p}/C_{p,f}, St, V_g/U_{buoy})$ and
FIG. 12. Normalized (a) Nu and (b) TKE with all 3 types of couplings while varying $\phi_m$ at constant $St \approx 1$ and $V_g/U_{buoy} = 10^{-3}$.

$TKE(\phi_m, C_{p,p}/C_{p,f}, St, V_g/U_{buoy})$ at constant $Ra$. We find that the dependence of both of these quantities on the mass fraction $\phi_m$ is roughly proportional, and that both are relatively insensitive to $V_g/U_{buoy}$ for $V_g/U_{buoy} < 10^{-2}$; above this particles settle significantly towards the bottom of the domain and the turbulence and heat transfer approach unladen values.

In this regime, the full dependence of $Nu$ and $TKE$ simplifies to $Nu = \phi_m f(St, C_{p,p}/C_{p,f})$ and $TKE = \phi_m g(St, C_{p,p}/C_{p,f})$, where $f$ and $g$ are dimensionless functions. At constant $C_{p,p}/C_{p,f}$, $Nu$ and $TKE$ ultimately become only a function of $St$, whose shape is indicated in Fig. 6. Likewise, in the limit of vanishing specific heat ratio ($C_{p,p}/C_{p,f} < 10^{-1}$), the particles become permanently in thermal equilibrium with the flow and the momentum coupling is the only means of particle influence on the flow — again $St$ becomes the only meaningful independent parameter.

At all $St$, $Nu$ was enhanced, while the effects of preferential concentration near $St \approx 1$ resulted in a peak of $Nu$ enhancement by up to 20%. Total integrated turbulent kinetic energy was found to be attenuated in all cases, due to both direct momentum coupling as well as reduced buoyancy production via particle-fluid thermal coupling. The primary cause of the enhancement of $Nu$ is due to the direct particle contribution, as particles carry heat upwards during their vertical transport. The turbulent flux of heat is reduced, which is responsible for the decrease in $TKE$. The finding that thermal coupling overwhelms momentum coupling depends on the specific heat ratio, and in the limit of small $C_{p,p}/C_{p,f}$,
momentum coupling dominates. In addition, we anticipate that momentum coupling may be more important relative to thermal coupling in the non-dilute regime, where particle-particle collisions would need to be considered.

In this flow, where two-way coupling has multiple paths of influence (i.e. both thermal and mechanical coupling), we find a complex interplay between the direct momentum feedback between phases, and the modified buoyancy production of turbulent kinetic energy. As a result, some single-phase relationships (i.e. turbulence dissipation and heat transfer) that were established in previous studies are not applicable for coupled particles. Fruitful extensions of this system could include higher Rayleigh numbers, particle evaporation, or particle-particle interaction.

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