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# A two-phase continuum theory for windblown sand

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We outline the derivation of a two-phase continuum theory for grains, jumping above a bed of sand, while accelerated by a turbulent shearing flow, colliding with the bed, rebounding and, perhaps, generating other grains. Relations between the shear and normal stresses and vertical derivatives of components of the average particle velocity are determined by averaging the dynamical equations for the particle trajectories. This provides the closure for the system of differential equations that govern the behavior of the wind and particles above the bed. Boundary conditions are obtained by averaging the results of experiments on rebound and ejection of particles from a particle bed. We solve the resulting system of equations subject to the derived boundary conditions for steady, uniform flows over both particle and rigid beds, and obtain unsteady uniform solutions and steady, non-uniform solutions that provide information regarding saturation times and lengths, respectively.

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#### I. INTRODUCTION

When a turbulent wind blowing over bed of sand becomes sufficiently strong, a grain may be lifted from the bed by a strong, localized turbulent eddy. The drag of the air then accelerates it and it collides with the bed with increased momentum. Impacting grains rebound and eject other grains that may also be accelerated by the wind until a sufficient number of grains are participating in the process to diminish the wind near the bed and create a steady balance in the exchanges of momentum between the grains and the wind and the grains and the bed. The result is a steady cloud of grains with diameters between 100 and 500 microns that jump (Latin: saltare) over the bed. This saltation and an associated creep of particles rolling and sliding along the bed are the primary modes of the initial sand movement [1-5]. Here, we ignore the creeping grains and sketch the development of a two-phase continuum theory for saltation that may be unsteady and/or non-uniform.

Most existing transport models for unsteady or non-uniform Aeolian flows focus on the relaxation to equilibrium that results from a temporal or spatial change of the flow strength. The characteristic time or length scale necessary to recover the equilibrium regime of transport is often referred to as the saturation time or saturation length, respectively [6]. One important issue is to determine the key physical mechanisms that drive this process.

It has been suggested [7, 8] that the acceleration of the transported particles due to fluid drag is the limiting relaxation mechanism, resulting in an inertial saturation length that scales linearly with the product of the fluid-to-particle density ratio and the particle diameter. However, this line of argument disregards other mechanisms, such as the entrainment of bed particles by the fluid drag and/or grain-bed collisions [6], and the feedback of the transport layer on the fluid velocity. Later, it was argued [9] that the negative feedback of the particles on the fluid flow is the limiting mechanism in the saturation process, also controlled by the inertial length. Measurements in wind-tunnel experiments [9] provide an estimation of the saturation length compatible with the inertial length.

Pahtz, et al. [10, 11] have recently developed an analytical model based on a depth-averaged continuum approach that takes into account the previously neglected mechanisms. The results of the model indicate that the relaxation of the particle speed and the particle concentration occur at the same time scale and the two relaxation mechanisms interact. This is clearly different from that based on the inertial length, but shares some common features: first, it is also independent of the flow strength, because the equilibrium speed does not depend on the turbulent shear rate; and second, it provides a similar order magnitude of about 2000 particle diameters for Aeolian sand. The common features prevent the making of a definite statement concerning the relevant mechanism involved in the relaxation process.

In this paper, we follow the template established in the continuum modeling of Sauermann, et al. [6] that initiated the renewed interest in the physics of windblown sand and the creation and migration of sand dunes. They formulated a depth-averaged, two-dimensional phenomenological model to predict the evolution in space and time of the average particle density and velocity, driven by a turbulent shearing flow over a horizontal particle bed. The model contained parameters that in the absence of better information were evaluated in comparisons with experiments and numerical simulations. The model predicted the dependence of the saturation flux on the strength of the steady, uniform, turbulent shearing flow and the times and distances necessary to reach saturation after changes in the strength of the flow or conditions at the bed, these mechanisms included both the drag of the wind and the collisional flux of particles from the bed. The predictions were in good agreement with those measured in experiments and simulations. Here, we take advantage of progress made in characterizing the interactions

of particles with the bed and in the development of local continuum relations for the particle shear and normal stress above the bed to phrase a more detailed continuum model. It involves a system of partial differential equations and boundary conditions for the values above the bed of particle concentration, particle velocity parallel and perpendicular to the bed, and wind velocity. In principle, averaging these equations through the depth of the flow would permit us to recover the simpler model of Sauermann, et al. [6].

In what follows, we first indicate how measurements of particle collisions with a bed of like particles and simple averaging lead to conditions on the average exchange of particle mass and momentum at the surface of the bed. This extends calculations in Creyssels, et al. [12] to finite particle fluxes. We then review how local relations between components of particle stresses and the particle velocity derivatives may be obtained by averaging the equations that govern the trajectories of single particles at each height of the trajectory. Here, the calculation of the particle shear stress by Jenkins et al. [13] is reviewed and extended to include a normal component that is proportional to the vertical derivative of the vertical particle velocity. Finally, we use these relation, the expression for the particle pressure, and the usual mixing length model for the turbulent shear flow, modified by the drag of the particles, in the equations of balance for the mass, horizontal and vertical momentum of the particles, and horizontal momentum for the wind and obtain numerical solutions to boundary-value problems for a variety of flows. The theory may be extended to incorporate turbulent suspension [13, 14] and the particle shear stress and pressure associated with particle collisions above the bed [15, 16].

In steady, uniform situations, we calculate profiles of particle concentration and particle and gas velocities for flows that interact with a particle bed over a range of wind strengths and flows that interact with a rigid bed for a single wind strength and a range of particle holdups. Then, we consider a uniform, unsteady situation, associated with a change in the strength of the wind over a particle bed, and determine the change in profiles with time. Next, we calculate the evolution with distance of steady profiles over a rigid bed and determine that some of these steady solutions are unstable. Finally, taking as an upstream boundary condition the profiles associated with the flow of maximum particle flux over a rigid bed at a given wind speed, we determine their evolution with distance over a particle bed.

The structure of the continuum theory differs from those derived in the past in two respects. It includes expressions for the particle shear and normal stresses [13], calculated from rough averages of the equations for a particle trajectory, and it employs boundary conditions at the bed [12], determined from averages of mass and momentum exchanges measured in experiments [17] and numerical simulations [18, 19]. The theory is complementary to recent discrete numerical simulations of saltation and creep [20-24] that have the capacity to describe both steady and unsteady states. Consequently, they also can address issues such as the times and distances necessary to equilibrate after a change in the strength of the wind or the nature of the bed [10, 11, 24] and have the capacity to test the modeling assumptions made in deriving the continuum theory. An understanding of the mechanisms that underlie the times and distances that link steady states is important to an understanding of the instability of a flat bed [8, 22, 25-27] and the possible evolution of a sand heap into crescent dune with a slip face [8, 25, 27-32].

The mass density and viscosity of the wind are denoted by  $\rho^{f}$  and  $\mu^{f}$  respectively; and, in what follows, lengths are made dimensionless by the grain diameter *d*, velocities by  $(gd)^{1/2}$ , where *g* is the gravitational acceleration, and stresses by  $\rho^{s}gd$ , where  $\rho^{s}$  is the mass density of the material of the grains.

#### **II. BOUNDARY CONDITIONS**

We first consider the interaction between grains of the flow and grains of a particle bed. We denote the velocity of a grain by  $\boldsymbol{\xi}$ , its magnitude by  $\boldsymbol{\xi}$ , and its vertical component by  $\boldsymbol{\xi}_{y}$ . Measurements of collisions of single grains with a bed of like grains [17] show that the averages of the speed and vertical velocity components after a collision are related to values before a collision by

$$\overline{\xi}' = e(\xi)\xi = (0.87 - 0.72\sin\theta)\xi \text{ and } \overline{\xi}'_y = e_y(\xi) \left|\xi_y\right| = \left(\frac{0.30}{\sin\theta} - 0.15\right) \left|\xi_y\right|, \tag{1}$$

where the primes denote upward values, *e* and *e<sub>y</sub>* are coefficients of restitution, and  $\theta$  is the angle between the incoming velocity vector and the surface of the bed. The total number, *N*, of particles leaving the bed is seen to be  $1+13(1-e^2)(\xi/40-1)$ , if  $\xi > 40$ ; if  $1 \le \xi \le 40$ ; and 0, if  $\xi < \sqrt{2} / \sin \theta = 3.70$ . In the last, more exactly than Creyessels, et al. [12], we employ the vertical component of the impact velocity, when defining the particles captured by the bed as those that rebound less than a diameter.

We introduce the simple velocity distribution function

$$f(\mathbf{\xi}) = \frac{n_0}{2\pi T} \exp\left[\frac{-(\xi_x - u_0)^2 - \xi_y^2}{2T}\right],$$
(2)

in which the *n*, *u*, and *T* are, respectively, the dimensionless number density, average flow velocity, and mean square of the velocity fluctuations, the subscript 0 indicates a quantity evaluated at the bed, and *T* is uniform through the flow. The dimensionless number density is related to the concentration *c* by  $n = 6c/\pi$ . We use the distribution function and Eq. (1) to calculate fluxes of mass and momentum at the bed. It differs from that measured by Ho, et al. [33] in that it ignores the creeping particles.

The flux of mass,  $\dot{m}$ , from the bed is calculated in the Appendix:

$$\dot{m} = \int_{\xi_{y} \leq 0} (N-1)\xi_{y} f(\xi) d\xi = c_{0} \frac{13}{40} \frac{6}{\pi^{2}} \frac{T^{3/2}}{u_{0}} \left\| \left( 1 - (0.87)^{2} + 6800\pi (0.87)(0.72) e^{-\frac{u_{0}^{2}}{2T}} - 2(0.72)^{2} \frac{T}{u_{0}^{2}} \right) \right\| \\ \times \left\{ \frac{u_{0}}{\sqrt{T}} e^{-\frac{(40-u_{0})^{2}}{2T}} + \left(\frac{\pi}{2}\right)^{1/2} \left[ 1 - \frac{u_{0}(40-u_{0})}{T} \right] efrc\left(\frac{40-u_{0}}{\sqrt{2T}}\right) \right\} + 0.64 \left(\frac{\pi}{2}\right)^{1/2} (0.72)^{2} \frac{T}{u_{0}^{2}} efrc\left(\frac{40-u_{0}}{\sqrt{2T}}\right) \right\|.$$
(3)

This expression improves upon an approximation in Creyssels, et al. [12] that is limited to values of the slip velocity,  $u_0$ , less than 40. The corresponding fluxes of momentum in the horizontal and vertical directions are, respectively [12],

$$\dot{M}_{x} = -\frac{\pi}{6} \int_{\xi_{y} \le 0} (\overline{\xi}'_{x} - \xi_{x}) \xi_{y} f(\xi) d\xi = c_{0} T \left( 0.35 + 0.07 \frac{u_{0}}{T^{1/2}} - 0.33 \frac{T^{1/2}}{u_{0}} \right)$$
(4)

and

$$\dot{M}_{y} = -\frac{\pi}{6} \int_{\xi_{y} \le 0} (\overline{\xi}_{y}' - \xi_{y}) \xi_{y} f(\xi) d\xi = c_{0} T \left[ 0.12 \left( \frac{u_{0}}{T^{1/2}} + \frac{T^{1/2}}{u_{0}} \right) - 0.08 \right] + \frac{c_{0} T}{2}.$$
(5)

In unsteady flows above a particle bed, Eq. (3) provides a relation between the values at the bed of the averages of the vertical velocity,  $v_0$ , the concentration,  $c_0$ , and the particle velocity,  $u_0$ . In such flows, we employ it either in its exact form or as a linearization about the steady value of

the slip velocity,  $u_0^0$ :  $\dot{m} = v_0 c_0 = \beta (u_0 - u_0^0)$ , where  $\beta = 1.7 \times 10^{-3}$ . When the rate of vertical momentum transfer,  $\dot{M}_y$ , is equated to the pressure at the bed,  $p_0 = c_0 T$ , Eq. (5) determines the ratio  $u_0^0 / T^{1/2}$  to be 4.6. Then Eq. (4) relates the shear stress at the bed to the pressure,  $\dot{M}_x = s_0 = 0.6 p_0$ . In steady flows, the mass flux vanishes; then, Eq. (3) requires that  $u_0^0 = 23.06$  and T = 25.14.

Numerical simulations of collisions of single grains with a bumpy, rigid bed [34] show that the averages of the speed and vertical velocity components after a collision are related to values before a collision by

$$\overline{\xi}' = e(\xi)\xi = (0.90 - 0.25\sin\theta)\xi \text{ and } \overline{\xi}'_{y} = e_{y}(\xi)\left|\xi_{y}\right| = \frac{0.65}{\sin\theta}\left|\xi_{y}\right|.$$
(6)

In this case, the mass flux (3) is not relevant, while [12]

$$\dot{M}_{x} = c_{0}T\left(0.13 + 0.04\frac{u_{0}}{T^{1/2}} + 0.36\frac{T^{1/2}}{u_{0}}\right)$$
(7)

and

$$\dot{M}_{y} = c_{0}T \left[ 0.26 \left( \frac{u_{0}}{T^{1/2}} + \frac{T^{1/2}}{u_{0}} \right) \right] + \frac{c_{0}T}{2}.$$
(8)

With these, at y = 0,  $u_0 = 4.6T^{1/2}$  and  $s_0 = 0.53c_0T$ . Here, for simplicity, we ignore the detailed difference between the coefficients of restitution, the momentum fluxes, and the particle shear stress at rigid and particle beds and employ the values for a particle bed for both particle and rigid beds. Finally, in steady flows over a rigid bed, the particle, or slip, velocity is not specified, but is given as a part of the solution when the dimensionless measure of the total mass over a unit area of the bed, the particle holdup  $M^*$ , is given. This is done by introducing an additional differential equation for the partial hold-up,  $I(y) = \int_0^y c(\varsigma) d\varsigma$ , with boundary conditions I(0) = 0 and  $I(h) = M^*$ .

### **III. Particle stress**

We next consider the motion of grains above the bed and the transfers of momentum associated with their trajectories in a turbulent shearing flow and review how manipulating these equations and averaging the result leads to continuum relations between components of the particle stress and derivatives of the components of the particle velocity across the flow [13].

When the vertical drag on a particle is neglected, the *x*-components of the equations of motion for the upward and downward parts of a particle trajectory may be written

$$\xi_{y}^{\prime} \frac{d\xi_{x}^{\prime}}{dy} = \frac{D}{\sigma} (U - \xi_{x}^{\prime}) \text{ and } \xi_{y}^{\prime} \frac{d\xi_{x}}{dy} = -\frac{D}{\sigma} (U - \xi_{x}), \tag{9}$$

where y is the upward vertical coordinate, D is the dimensionless drag coefficient,  $\sigma \equiv \rho^s / \rho^f$ and U is the local average fluid velocity. When we multiply these by  $c\xi'_y$ , sum them, and average, we obtain

$$c\xi_{y}^{\prime 2}\frac{d}{dy}\left(\xi_{x}^{\prime}+\xi_{x}\right)=c(\overline{D/\sigma})\xi_{y}^{\prime}(\xi_{x}^{\prime}-\xi_{x}).$$
(10)

In this, we make the identifications of the average particle velocity,  $2u \equiv (\overline{\xi'_x} + \overline{\xi_x})$ , the average particle pressure,  $p \equiv c\overline{\xi'_y}^2 = cT$ , and the average particle shear stress  $2s \equiv c\overline{\xi'_y}(\overline{\xi'_x} - \overline{\xi_x})$ , and assume that the averages of products are products of averages. The result is a relation between the particle shear stress and the derivative of the particle velocity that involves the particle pressure and the drag coefficient [13]:

$$s = \frac{\sigma p}{\alpha D} \frac{du}{dy}.$$
(11)

Comparison with discrete numerical simulations [13] indicates that the coefficient  $\alpha$  is about 20.

Repeating the argument for the y-components of the equations of motion of the upward and downward trajectories with vertical drag now incorporated results in a similar relation between the vertical derivative of the vertical velocity  $v \equiv (\overline{\xi'_y} + \overline{\xi_y})/2$  the vertical component of the normal stress  $b \equiv -c\overline{\xi'_y}(\xi'_y + \overline{\xi_y})/2$ , where the quantity in parenthesis vanishes when the upward and downward velocities have equal magnitude..

These expressions for the shear and normal stress of the particles permit the phrasing of a continuum theory for unsteady, uniform flows or steady, inhomogeneous flows that interact with rigid or particle beds.

# **IV. GOVERNING EQUATIONS**

Here, we write down the continuum equations that govern unsteady, non-uniform flow. We then employ the Matlab solver bvp4c to obtain steady, uniform solutions and the solver pdepe to obtain solutions for both uniform, unsteady flows and steady, developing flows. The uniform, unsteady solutions are associated with the evolution with time of a uniform flow to a change in the shear stress of the wind at a large distance above the bed, and the steady, developing solutions apply to the adjustment with horizontal coordinate x of a flow at constant wind speed to a change from a rigid to a particle bed. The advantage in writing continuum equations is that these existing solvers can easily be implemented to generate numerical solutions, and other mechanism of momentum transfer such as turbulent suspension [13, 14], and collisions above the bed [15], can easily be incorporated into the theory. Solutions of the resulting equations can then provide information regarding characteristic times or distances associated with each mechanism.

#### A. Unsteady, non-uniform flows

The system of equations that governs an unsteady, uniform, turbulent shearing flow with height h of sand grains in air subject to a dimensionless turbulent shear stress  $S^*$  at the top of the flow is the balance of horizontal particle momentum,

$$c\frac{\partial u}{\partial t} + cu\frac{\partial u}{\partial x} + cv\frac{\partial u}{\partial y} = \frac{\partial s}{\partial y} + c\frac{D}{\sigma}(U-u),$$
(12)

where s is given by Eq. (11) and

$$D \equiv 0.3 \left[ \left( U - u \right)^2 + v^2 \right]^{1/2} + 18.3 / \text{Re},$$
(13)

with  $\text{Re} \equiv d(gd)^{1/2} / (\mu^f / \rho^f)$ , in which  $g = 980 \text{ cm/sec}^2$  is the gravitational acceleration; the balance of vertical particle momentum,

$$c\frac{\partial v}{\partial t} + cu\frac{\partial v}{\partial x} + cv\frac{\partial v}{\partial y} = \frac{\partial}{\partial y}(-p+b) - c\frac{D}{\sigma}v - c,$$
(14)

where  $b = \sigma p (\partial v / \partial y) / (\alpha D)$ ; the conservation of particle mass,

$$\frac{\partial c}{\partial t} + c\frac{\partial u}{\partial x} + u\frac{\partial c}{\partial x} + c\frac{\partial v}{\partial y} + v\frac{\partial c}{\partial y} = \varepsilon\frac{\partial}{\partial y}\left(\frac{\partial c}{\partial y} - \frac{c}{T}\right),\tag{15}$$

where the last term is included to facilitate the numerical solution with the solver pdepe that requires a flux term in each equation, here with  $\varepsilon = 0.01$  a small parameter and an expression for the flux that vanishes in a steady, uniform flow; and the balance of horizontal fluid momentum,

$$\frac{\partial U}{\partial t} + U \frac{\partial U}{\partial x} = \sigma \frac{\partial S}{\partial y} - cD(U - u), \tag{16}$$

where, with the assumption that the bed is hydro-dynamically rough,  $S = (1/\sigma) \left[ \kappa (y + y_0) (\partial U / \partial y) \right]^2$ , in which  $\kappa = 0.41$  and  $y_0 = 1/30$  is the bed roughness. For a hydro-dynamically smooth bed, not considered here,  $S = (1/\sigma) \left[ 1/\operatorname{Re} + \ell^2 (\partial U / \partial y) \right] \partial U / \partial y$ , where, following van Driest [35],  $\ell = \kappa y \left\{ 1 - \exp \left[ - \left( \sqrt{\rho_f S^{0*} y / \mu_f} \right)^{1/2} / 19 \right] \right\}$ .

Because the value of T in these equations is to be a constant, equal to that at the bed, where it is related to the particle velocity, we employ the diffusion equation,  $\partial T / \partial t = \delta \partial^2 T / \partial y^2$ , with  $\delta$  a constant of order one, to propagate the value of T at the bed into the interior. Because pdepe requires a finite interval, the height of the flow is taken to be 500 particle diameters. A definite flow height also facilitates comparison between different flows over a rigid bed and the transition between flows over rigid and particle beds.

The boundary conditions employed in the Matlab solver pdepe at y = 0 at a particle bed are U = 0, s = 0.6cT,  $v = \beta(u-u^0)$ , and  $\partial c/\partial y = -c/T$ , where  $T = (u/4.6)^2$ . At a rigid bed, there is no vertical velocity and the particle holdup or the value of some other parameter must be provided. The boundary conditions at y = h are s = 0,  $S = S^{*0} + S^{*'}$ , b = 0, and  $\partial c/\partial y = -c/T$ , where here, the prime denotes a perturbation from a steady solution and, again, the superscript 0 denotes a value in the steady flow.

#### B. Steady, uniform flows

In steady, uniform flows, the vanishing of the mass flux at the bed determines that u(0) = 23.06 and, in the system (12), (14), (15) and (16), the vertical particle velocity and derivatives with respect to t and x vanish. Then, Eq. (12) is

$$\frac{ds}{dy} = -c\frac{D}{\sigma}(U-u); \tag{17}$$

the inversion of Eq. (11) serves to determine the particle velocity:

$$\frac{du}{dy} = \alpha D \frac{s}{p},\tag{18}$$

where  $\alpha = 20$ ; the particle mass balances reduces to

$$\frac{dc}{dy} = -\frac{c}{T},\tag{19}$$

where T = 25.14; and the fluid velocity is determined by

$$\frac{dU}{dy} = \frac{\left[\left(S^* - s\right)\sigma\right]^{1/2}}{\kappa\left(y + y_0\right)}.$$
(20)

1.0

The Matlab solver bvp4c is used to determine steady solutions, only some of which we later show to be stable. At y = 0, this solver employs the boundary conditions u = 23.06, s = 0.6cT, and U = 0 at a particle bed. The last two of these are given at a rigid bed and, while it is natural to specify the mass holdup at a rigid bed, we find it easier to obtain convergence by specifying the slip velocity and calculating the associated mass holdup. At y = h, we assume that s = 0. For both beds, the concentration at the bed is determined as part of the solution.

Because pdepe and bvp4c employ slightly different boundary conditions, a steady solution of bvp4c may differ slightly from the corresponding long-time, stable, solution of pdepe.

## **V. NUMERICAL SOLUTIONS**

#### A. Steady, uniform flows

#### 1. Particle bed

We first obtain a solution of the system of Eqs. (13) through (15) for a steady, uniform flow, in which u = 23.06, T = 25.14, and s = 0.6cT at the bed, using the Matlab solver byp4c for two-point boundary-value problems. We take the distant shear stress  $S^*$  to be 0.035, 0.050, 0.065 and 0.080 and consider sand particles with diameter d = 0.025 cm., specific mass in air  $\sigma = 2200$ , and kinematic viscosity  $\mu^f / \rho^f = 0.15$  cm<sup>2</sup>/sec.



**Fig. 1** Logarithm of the concentration versus dimensionless height for d = 0.025 cm. spherical grains of sand in air at  $S^* = 0.035$ , 0.059, 0.065 and 0.080. Line weights increase with increasing values of  $S^*$ 

The parts of the predicted concentration profiles above a concentration of  $10^{-5}$  are shown in Fig. 1. Jenkins, at al. [13] indicate the relationship between these and those measured in experiments by Creyssels, et al. [12]; the decay of the predicted concentration profiles is about half as fast as that measured in the experiments, but it can be increased to a value near that measured by incorporating suspension by the turbulent velocity fluctuations in the particle vertical momentum balance.



**Fig. 2** Average dimensionless particle and gas velocities versus dimensionless height for d = 0.025 cm. spherical grains of sand in air at  $S^* = 0.035, 0.059, 0.065$  and 0.080. Line weights increase with increasing values of  $S^*$ .

The predicted profiles of particle and gas velocity are shown in Fig. 2. Again, Jenkins, et al. [13] indicate that these profiles are in good agreement with those measured by Creyssels, et al. [12], and the agreement is improved by incorporating suspension by the turbulent velocity fluctuations.

We next test the profile of the stress predicted in such a steady, uniform situation against the results of a relatively simple numerical simulation [12]. The simulation employs particles ejected into a turbulent shearing flow with a Gaussian distribution of initial velocities and allows them to achieve a steady state through repeated interactions with the drag of the gas and collisions with the bed. The comparison is shown in Fig. 3. The agreement is good and offers additional support to the modeling in Sec. 3 that led to continuum expressions for the shear and normal stresses.



Fig. 3 Dimensionless continuum particle shear stress (dashed lines) and measured simulated particle shear stress (solid lines) versus dimensionless height for d = 0.025 cm. spherical grains of sand in air for  $u_0 = 23.06$ , T = 25.14, S<sup>\*</sup> = 0.050 and  $\alpha = 20$ .

# 2. Rigid bed

We now obtain solutions of the system of Eqs. (13) through (15) for a steady, uniform flow over a rigid bed. In this case, because the particle velocity at the bed is not specified, in addition to the distant shear stress, the total particle holdup,  $M = \int_0^h c \, dy$ , or the total particle flux,  $Q = \int_0^h c u \, dy$ , must be specified. However, we find it easier to obtain convergence if the problem is phrased in terms of the particle velocity at the bed - the slip velocity - rather than the flux or holdup. We take S<sup>\*</sup> = 0.05, consider a range of slip velocities, and use the one-to-one relationship between the slip velocity and the holdup to relate the two parameterizations. As before, we consider sand particles with diameter d = 0.025 cm. specific mass in air  $\sigma = 2200$ , and kinematic viscosity  $\mu^f / \rho^f = 0.15$  cm<sup>2</sup>/sec.

In Figs. 4 and 5 we show profiles of concentration and velocities for four values of the slip velocity. The height of the profiles increases with slip velocity, as the flow becomes less concentrated. The relationship between the total particle flux and the slip velocity is shown in Fig. 6, and Fig. 7 indicates the relationship between the particle flux and the holdup. Both the particle flux and the particle holdup are doubled-valued over the range of slip velocity. Jenkins and Valance [34] and Berzi, et al. [36] obtained a similar but less complete relationship between the flux and holdup when considering periodic trajectories. They conjectured that the branch on which the flux decreases with increasing holdup is unstable. We will address this in a later section.



Fig. 4 Concentration versus dimensionless height for slip velocities of 15, 33, 48 and 60, with the line weights increasing with slip velocity. The corresponding values of the particle flux and holdup are 0.7 and 0.04, 1.8 and 0.04, 2.1 and 0.03, and 1.8 and 0.02, respectively.



Fig. 5 Dimensionless particle and gas velocities versus dimensionless height for slip velocities of 20, 39, 59 and 80, with the line weights increasing with slip velocity. The corresponding values of the particle flux and holdup are 1.8 and 0.072, 3.5 and 0.065, 4.3 and 0.052, and 3.5 and 0.032, respectively.



Fig. 6 The relationship between dimensionless particle flux and dimensionless particle slip velocity for  $S^* = 0.05$ .



Fig 7 The relationship between dimensionless particle flux and dimensionless particle holdup for  $S^* = 0.05$ .

# B. Unsteady, uniform flow over a particle bed

We first use the unsteady solver to determine the evolution of the flow over a particle bed after a perturbation,  $S^{*'} = 0.0025$  to an initial, steady solution for which  $S^* = 0.05$ ,  $u_0^0 = 23.06$ , and h = 500. The resulting profiles of particle concentration and the horizontal particle and gas velocities differ little from those at  $S^* = 0.05$  and are not shown. The evolution of the profiles of vertical particle velocity and temperature are shown in Figs. 8 and 9.



Fig. 8 Evolution of the dimensionless particle vertical velocity in space at three equal intervals between 0 and 12,000 in units of dimensionless time with  $\delta = 10$ , for d = 0.025 cm spherical grains of sand in air at  $S^{*'} = 0.0025$ ,  $S^* = 0.05$  and  $u_0^0 = 23.06$ . Line weights increase with dimensionless time.

The evolution of the profile of the particle vertical velocity is somewhat complicated; while, in contrast, that of the temperature, with the value of the parameter  $\delta = 10$ , remains relatively uniform as it varies in time.



Fig. 9 Evolution of the dimensionless temperature in space at in space at three equal intervals between 0 and 12,000 in units of dimensionless time with  $\delta = 10$ , for d = 0.025 cm. spherical grains of sand in air at  $S^* = 0.0025$ ,  $S^*0 = 0.05$  and u00 = 23.06. Line weights increase with dimensionless time.

If the unsteady versions of Eqs. (12) and (15) are combined and rearranged, an equation of evolution for the local particle flux, cu, is obtained:

$$\frac{\partial}{\partial t}(cu) = -\frac{\partial}{\partial y}(vcu) + \frac{\partial s}{\partial y} + \frac{D}{\sigma}(cU - cu) + \varepsilon u \left(\frac{\partial c}{\partial y} - \frac{c}{T}\right)$$
(21)

If we average this equation through the depth of the flow and neglect the term proportional to  $\varepsilon$ , we obtain an expression for the total particle flux,

$$\frac{dQ}{dt} = v_0 c_0 u_0 - s_0 + \frac{1}{\sigma} \int_0^h D(cU - cu) dy,$$
(22)

where we have assumed that the product vcu is negligible at the top of the flow. Assuming that the depth average of a product is the product of the depth averages and using the boundary condition on v, this may be written as

$$\frac{dQ}{dt} = \beta c_0 u_0 \left( u_0 - u_0^0 \right) - s_0 + \frac{\overline{D}}{\sigma} \left( h \overline{c U} - Q \right).$$
(23)

in which the over-bars denote depth averages. Similarly, if the unsteady version of Eq. (15) for the concentration is averaged through the depth, we obtain an equation for the total particle hold-up,

$$\frac{dM}{dt} = c_0 v_0. \tag{24}$$

Eqs. (23) and (24) correspond roughly to the two-equation continuum model of Sauermann, et al. [6], which they express more simply by modeling some terms and closing others by comparison with experiment.



Fig 10 Dimensionless particle flux versus time in seconds for spherical grains of sand in air showing the evolution with time on a particle bed for  $S^* = 0.05$ , from initial states that are steady states on a rigid bed with slip velocities of 19.0, 21.6, 23.1, 24.4 and 26.1, indicated by increasing line weights. Here, d = 0.25 mm,  $\varepsilon = 0.01$ , and  $\delta = 5$ ,

In Fig. 10, we show the variation of the total particle flux with time in the numerical solutions that evolve with time over a particle bed from different initial conditions. These show the particle fluxes evolving toward an equilibrium value from values below and above from initial conditions that correspond to steady states over a rigid bed. The slip velocity of the steady state on the particle bed at  $S^* = 0.05$  is  $u_0 = 23$ , so for d = 0.025 cm, ten seconds corresponds to roughly, eleven and one-half meters. Bagnold (Fig. 6 in [1]) shows that uniform flow is attained in about seven meters and, when the initial particle flux is much smaller than the equilibrium particle flux, there is no overshoot; Andreotti, et al. (Fig. 1 in [9]) see similar behavior over a shorter distance.

In Fig. 11, we show the evolution with time from an initial condition that corresponds to a steady state with a very small flux on a particle bed with increases in Shields number from 0.002 to 0.008, corresponding to a range of friction velocities from 0.22 to 0.30 m/sec. This figure reproduces the both overshoot and monotone approaches to equilibrium seen in experiments and simulations (e.g, [36]). The simple two-equation model of Sauermann, et al. [6] also has the capacity reproduce the relaxation seen in Figs. 10 and 11, and Fig. 11 is similar their Fig. 3 generated using the two-equation model; however, our results exhibit a slightly larger relaxation time. While we believe that the variation of Q seen in experiments can be described as the behavior of a damped oscillator and, consequently, a linear two-equation model, we have not been able to write the right-hand sides of Eqs. (23) and (24) solely in terms of Q and M. That is, we have not yet been successful at deriving a two-equation model from the system of equations (12) through (16).



Fig 11 Dimensionless particle flux versus time in seconds showing the evolution with time on a particle bed from a very small initial value (Q = 0.005 at S\* = 0.009) for increases in Shields numbers of 0.002, 0.003, 0.004, 0.006 and 0.008, indicated by increasing line weights. Here, d = 0.25 mm, with  $\varepsilon$  = 0.01 and  $\delta$  = 1.

#### C. Steady, non-uniform flow over a rigid bed

We next use the Matlab solver pdepe on the non-uniform version of the system of Eqs. (9) through (12) over a rigid bed to test the stability of steady solutions by using them as initial conditions. In earlier work [34, 37], we anticipated that solutions over a rigid bed for which the particle flux decreased as the particle hold-up increased were unstable. We test this by determining whether a steady uniform solution will change when allowed to move along the rigid bed. This change can occur because of the presence of the terms on the left-hand side of the system, and an unstable solution and its particle flux can evolve with distance into that of a stable solution.

In Fig, 12, we show the persistence of the particle flux of a stable solution and the migration of the particle flux from an unstable, steady solution to that of the steady, stable solution with the maximum particle flux.



**Fig. 12** Evolution of dimensionless total particle flux with distance over a rigid base for  $S^* = 0.05$ . The slip velocities of the three stable solutions (solid) are 59, 78, and 83; while those of the unstable solution are 37 (dotted), 40 (dot-dashed), and 45 (dashed).

Finally, we consider the steady, non-uniform situation in which a steady, uniform flow over an upstream rigid bed encounters and flows over a particle bed, adjusting with distance along the particle bed to the new conditions. We solve the non-uniform form of Eqs. (9) through (12) for a Shields parameter of 0.05, using the steady solution over the rigid bed with the maximum particle flux for  $u_0 = 47$ . This is the stable solution over the rigid bed that involves the largest particle flux.

Fig. 13 shows the significant change in the profiles of concentration with distance along the particle bed.



Fig. 13 Concentration versus height for four equal intervals of dimensionless distance between 0 and  $10^6$ , with  $S^* = 0.05$ ,  $u_0 = 59$  and  $\delta = 5$ . Line weights increase with distance.

As shown in Fig. 14, the initial velocity profiles approach the final velocity profiles in a nonmonotonic way and the difference between the initial and final particle profiles is significant, particularly within a hundred particle diameters of the bed.



Fig. 14 Horizontal Velocities versus height for four equal intervals of dimensionless distance between 0 and  $10^6$ , with  $S^* = 0.05$ ,  $u_0 = 59$  and  $\delta = 5$ . Line weights increase with distance.

Figs. 15 and 16 show profiles of particle vertical velocity and temperature. These profiles have nearly returned to zero and uniformity at a steady value for a particle bed after $10^6$  diameters of evolution.



Fig. 15 Vertical Velocity versus height for four equal intervals of dimensionless distance between 0 and  $10^6$ , with  $S^* = 0.05$ ,  $u_0 = 59$  and  $\delta = 5$ . Line weights increase with distance.



Fig. 16 Granular Temperature versus height for four equal intervals of dimensionless distance between 0 and  $10^6$ , with  $S^* = 0.05$ ,  $u_0 = 59$  and  $\delta = 5$ . Line weights increase with distance.



Fig. 17 Total particle flux versus dimensionless downstream distance, with  $S^* = 0.05$ ,  $u_0 = 59$  and  $\delta = 5$ .



Fig. 18 Initial variation of the total particle flux versus dimensionless downstream distance, with  $S^* = 0.05$ ,  $u_0 = 59$  and  $\delta = 5$ .

Fig. 17 indicates that the total particle flux has ceased to vary after this distance; while Fig. 18 focuses on the initial variation, which is significant over a distance of  $10^4$  diameters. This is the variation that is accessible in laboratory wind tunnels [1, 2, 9, 12, 33, 38, 39].

#### **VI.** Conclusions

We have outlined the development of a two-phase continuum theory for unsteady or non-uniform saltation that includes boundary conditions and stress relations for the particle phase.

We have employed the Matlab solver pdepe to solve the resulting system of equations for the uniform evolution in time that resulted from a small increase in the shear stress of the wind far from a particle bed. For these, the predictions of our model are similar to those of Sauermann, et al. [6] who employ a simpler model, but differ from those of the Pahtz model [11, 12] in at least one respect. For an arbitrary small change of the fluid shear stress, we obtain a nonmonotone relaxation characterized by damped oscillations that are absent in the Pahtz model. Regarding the characteristic length scale of the relaxation process, our model suggest that the saturation length is proportional to  $T/(\beta g) = (u_0^0)^2/(23\beta g) \approx 30(u_0^0)^2/g$ , where  $\beta$  is the coefficient in the linearized vertical flux. This result is analogous to those of the Sauermann, et al. [6] and Pahtz models. It emphasizes that the relaxation process is crucially dependent of the splash process through the coefficient  $\beta$ .

We also treated non-uniform flows over a rigid base, which are considered here for the first time. We found, in particular, that for a given Shields number, the steady states for which the particle flux decreases with increasing particle hold-up are unstable when permitted to spatial evolve and they relax to the solution with the peak value of the particle flux. During the relaxation process, the particle hold-up has to decrease to the value of the peak. Thus, the characteristic length scale of this process is expected to depend both on the deposition rate and the saltation hop length over a rigid bed. We find that for  $S^* = 0.05$ , the saturation length is about 100 times the saltation hop length, or about 10<sup>7</sup> particle diameters.

Finally, we determined the steady, non-uniform solution for the flow over a particle bed that develops with distance from a steady solution over a rigid bed. The behavior of the particle flux jn this transition has been successfully described by Sauermann, et al. [6] in their two-equation continuum model. Our treatment involves boundary conditions that can distinguish between a rigid and a particle bed and a system of partial differential equations that predict profiles of concentration, particle and wind velocity over the beds. This provides more information to test against measurements, in the context of a theory that employs fewer modeling parameters than that of Sauermann, et al. [6]. We hope eventually to find way to close the depth-averaged version of our equations and to better understand the relationship between the two models.

In any case, we believe that the development of the continuum theory outlined here should encourage additional measurements made in developing flows in wind tunnels (e.g., [1, 2, 9, 12, 33, 38, 39]) and tests of the modeling assumptions against the results of discrete simulations of saltation over rigid and particle beds [20-24]. We look forward to this activity.

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# Appendix

Here, we calculate the upward velocity

$$v_{up} = \frac{N_0}{\xi_0} \frac{6}{\pi^2 T} \int_{\xi_0}^{\infty} \int_0^{\pi} (1 - e^2) (\xi - \xi_0) \xi^2 e^{-\frac{1}{2T} \left[ (\xi \cos \theta - u_0)^2 + \xi^2 \sin^2 \theta \right]} \sin \theta d\theta d\xi,$$
(A1)

(A2)

in which  $1 - e^2 = 1 - A^2 + 2AB\sin\theta - B^2\sin^2\theta$ .

The coefficient of 
$$1 - A^2$$
 is  

$$\int_{\xi_0}^{\infty} \int_{0}^{\pi} (\xi - \xi_0) \xi^2 e^{-\frac{1}{2T} \left[ (\xi \cos \theta - u_0)^2 + \xi^2 \sin^2 \theta \right]} \sin \theta d\theta d\xi = \int_{\xi_0}^{\infty} (\xi - \xi_0) \xi^2 e^{-\frac{\xi^2 + u_0^2}{2T}} \int_{0}^{\pi} e^{\frac{\xi u_0 \cos \theta}{T}} \sin \theta d\theta d\xi$$

$$= \frac{T}{u_0} \int_{\xi_0}^{\infty} (\xi - \xi_0) \xi e^{-\frac{\xi^2 + u_0^2}{2T}} \left( e^{\frac{\xi u_0}{T}} - e^{-\frac{\xi u_0}{T}} \right) d\xi = \frac{T}{u_0} \int_{\xi_0}^{\infty} (\xi - \xi_0) \xi \left[ e^{-\frac{(\xi - u_0)^2}{2T}} - e^{-\frac{(\xi + u_0)^2}{2T}} \right] d\xi.$$

Then,

$$-\int_{\xi_{0}}^{\infty} (\xi - \xi_{0}) \xi e^{-\frac{(\xi + u_{0})^{2}}{2T}} d\xi = -\sqrt{2T} \int_{\frac{\xi_{0} + u_{0}}{\sqrt{2T}}}^{\infty} \left[ 2Tv_{1}^{2} - \sqrt{2T} \left( 2u_{0} + \xi_{0} \right) v_{1} + u_{0} \left( u_{0} + \xi_{0} \right) \right] e^{-v_{1}^{2}} dv_{1}$$

$$= -\sqrt{2T} \left[ \frac{\xi_{0} + u_{0}}{\sqrt{2T}} T - \left( \frac{T}{2} \right)^{1/2} \left( 2u_{0} + \xi_{0} \right) \right] e^{-\frac{(\xi_{0} + u_{0})^{2}}{2T}} - \left( \frac{\pi T}{2} \right)^{1/2} \left[ T + u_{0} \left( u_{0} + \xi_{0} \right) \right] e^{frc} \left( \frac{\xi_{0} + u_{0}}{\sqrt{2T}} \right)$$
(A3)

and

$$\begin{split} & \int_{\xi_{0}}^{\infty} \left(\xi - \xi_{0}\right) \xi e^{-\frac{\left(\xi - u_{0}\right)^{2}}{2T}} d\xi = \sqrt{2T} \int_{\frac{\xi_{0} - u_{0}}{\sqrt{2T}}}^{\infty} \left[ 2Tv_{2}^{2} + \sqrt{2T} \left(2u_{0} - \xi_{0}\right) v_{2} + u_{0} \left(u_{0} - \xi_{0}\right) \right] e^{-v_{2}^{2}} dv_{2} \\ &= \sqrt{2T} \left[ \frac{\xi_{0} - u_{0}}{\sqrt{2T}} T + \left(\frac{T}{2}\right)^{1/2} \left(2u_{0} - \xi_{0}\right) \right] e^{-\frac{\left(\xi_{0} - u_{0}\right)^{2}}{2T}} + \left(\frac{\pi T}{2}\right)^{1/2} \left[ T + u_{0} \left(u_{0} - \xi_{0}\right) \right] e^{\operatorname{free}} \left(\frac{\xi_{0} - u_{0}}{\sqrt{2T}}\right). \end{split}$$
(A4)

The coefficient of 2AB is

$$\int_{\xi_0}^{\infty} \int_{0}^{\pi} (\xi - \xi_0) \xi^2 e^{-\frac{1}{2T} \left[ (\xi \cos \theta - u_0)^2 + \xi^2 \sin^2 \theta \right]} \sin^2 \theta d\theta d\xi = \int_{\xi_0}^{\infty} (\xi - \xi_0) \xi^2 e^{-\frac{\xi^2 + u_0^2}{2T}} \int_{0}^{\pi} e^{\frac{\xi u_0 \cos \theta}{T}} \sin^2 \theta d\theta d\xi.$$
(A5)

With

$$\int_{0}^{\pi} e^{\frac{\xi u_{0} \cos \theta}{T}} \sin^{2} \theta \, d\theta = \int_{-1}^{1} e^{\frac{\xi u_{0} v}{T}} \left(1 - v^{2}\right)^{1/2} dv = \frac{T}{\xi u_{0}} \pi I_{1} \left(\frac{\xi u_{0}}{T}\right), \tag{A6}$$

$$\int_{\xi_{0}}^{\infty} \int_{0}^{\pi} (\xi - \xi_{0}) \xi^{2} e^{-\frac{1}{2T} \left[ (\xi \cos \theta - u_{0})^{2} + \xi^{2} \sin^{2} \theta \right]} \sin^{2} \theta d\theta d\xi = \pi \frac{T}{u_{0}} \int_{\xi_{0}}^{\infty} (\xi - \xi_{0}) \xi I_{1} \left( \frac{\xi u_{0}}{T} \right) e^{-\frac{\xi^{2} + u_{0}^{2}}{2T}} d\xi$$

$$= \pi \frac{T^{2}}{4.6} e^{-\frac{(4.6)^{2}}{2}} \int_{x_{0}}^{\infty} (x - x_{0}) x I_{1} (4.6x) e^{-\frac{x^{2}}{2}} dx,$$
(A7)

where  $\mathbf{x} \equiv \xi / \sqrt{T}$ ,  $\mathbf{x}_0 \equiv \xi_0 / \sqrt{T}$ , and  $4.6 = u_0 / \sqrt{T}$ .

With the approximation  $I_1(4.6x)e^{-\frac{x^2}{2}} \doteq 3400e^{-\frac{(x-4.6)^2}{2}}$ ,

$$\pi \frac{T^2}{4.6} e^{-\frac{(4.6)^2}{2}} \int_{x_0}^{\infty} (x - x_0) x I_1(4.6x) e^{-\frac{x^2}{2}} dx = 3400\pi \frac{T^2}{4.6} e^{-\frac{(4.6)^2}{2}} \int_{x_0}^{\infty} (x - x_0) x e^{-\frac{(x - 4.6)^2}{2}} dx,$$
(A8)

where

$$\int_{x_{0}}^{\infty} (x - x_{0}) x e^{-\frac{(x - 4.6)^{2}}{2}} dx = \sqrt{2} \int_{\frac{x_{0} - 4.6}{\sqrt{2}}}^{\infty} (\sqrt{2}v + 4.6 - x_{0}) (\sqrt{2}v + 4.6) e^{-v^{2}} dv$$

$$= \sqrt{2} \int_{\frac{x_{0} - 4.6}{\sqrt{2}}}^{\infty} \left[ 2v^{2} + \sqrt{2} (9.2 - x_{0})v + 4.6 (4.6 - x_{0}) \right] e^{-v^{2}} dv$$

$$= \sqrt{2} \left\{ \left[ \frac{\xi_{0} - u_{0}}{\sqrt{2T}} + \frac{\sqrt{2}}{2} (9.2 - x_{0}) \right] e^{-\frac{(\xi_{0} - u_{0})^{2}}{2T}} + \frac{1}{2} \left[ 1 + 4.6 (4.6 - x_{0}) \right] \sqrt{\pi} erfc \left( \frac{\xi_{0} - u_{0}}{\sqrt{2T}} \right) \right\}.$$
(A9)

The coefficient of  $-B^2$  is

$$\int_{\xi_0}^{\infty} \left(\xi - \xi_0\right) \xi^2 e^{-\frac{\xi^2 + u_0^2}{2T}} \int_0^{\pi} e^{\frac{\xi u_0 \cos\theta}{T}} \sin^3\theta \, d\theta \, d\xi.$$
(A10)

With

$$\int_{0}^{\pi} e^{\frac{\xi u_0 \cos\theta}{T}} \sin^3\theta \, d\theta = \int_{-1}^{1} e^{\frac{\xi u_0 v}{T}} \left(1 - v^2\right) dv = 4 \left(\frac{T}{\xi u_0}\right)^3 \left[\frac{\xi u_0}{T} \cosh\left(\frac{\xi u_0}{T}\right) - \sinh\left(\frac{\xi u_0}{T}\right)\right],\tag{A11}$$

$$\begin{split} & \int_{\xi_{0}}^{\infty} \left(\xi - \xi_{0}\right) \xi^{2} e^{\frac{\xi^{2} + u_{0}^{2}}{2T}} \int_{0}^{\pi} e^{\frac{\xi u_{0} \cos\theta}{T}} \sin^{3}\theta \, d\theta \, d\xi \\ &= 4 \left(\frac{T}{u_{0}}\right)^{3} \int_{\xi_{0}}^{\infty} \frac{\left(\xi - \xi_{0}\right)}{\xi} \left[\frac{\xi u_{0}}{T} \cosh\left(\frac{\xi u_{0}}{T}\right) - \sinh\left(\frac{\xi u_{0}}{T}\right)\right] e^{-\frac{\xi^{2} + u_{0}^{2}}{2T}} \, d\xi \\ &= 2 \left(\frac{T}{u_{0}}\right)^{3} \int_{\xi_{0}}^{\infty} \left(\xi - \xi_{0}\right) \left[\frac{u_{0}}{T} \left(e^{-\frac{\left(u_{0} - \xi\right)^{2}}{2T}} + e^{-\frac{\left(u_{0} + \xi\right)^{2}}{2T}}\right) - \frac{1}{\xi} \left(e^{-\frac{\left(u_{0} - \xi\right)^{2}}{2T}} - e^{-\frac{\left(u_{0} - \xi\right)^{2}}{2T}}\right)\right] d\xi. \end{split}$$
(A12)

Then

$$\int_{\xi_{0}}^{\infty} \left(\xi - \xi_{0}\right) \left(\frac{u_{0}}{T} - \frac{1}{\xi}\right) e^{-\frac{\left(\xi + u_{0}\right)^{2}}{2T}} d\xi = \sqrt{2T} \int_{\frac{\xi_{0} + u_{0}}{\sqrt{2T}}}^{\infty} \left[\sqrt{2T}v_{1} - \left(\xi_{0} + u_{0}\right)\right] \left(\frac{u_{0}}{T} - \frac{1}{\sqrt{2T}v_{1} - u_{0}}\right) e^{-v_{1}^{2}} dv_{1}$$

$$= \sqrt{2T} \int_{\frac{\xi_{0} + u_{0}}{\sqrt{2T}}}^{\infty} \left[\sqrt{2T} \frac{u_{0}}{T}v_{1} - 1 - \left(\xi_{0} + u_{0}\right) \frac{u_{0}}{T} + \frac{\xi_{0} / \sqrt{2T}}{v_{1} - u_{0} / \sqrt{2T}}\right] e^{-v_{1}^{2}} dv_{1},$$
(A13)

in which

$$\int_{\frac{\xi_{0}+u_{0}}{\sqrt{2T}}}^{\infty} \frac{\xi_{0}/\sqrt{2T}}{v_{1}-u_{0}/\sqrt{2T}} e^{-v_{1}^{2}} dv_{1} = \frac{\xi_{0}}{\sqrt{2T}} \int_{1}^{\infty} \frac{1}{w} e^{-\frac{(\xi_{0}w+u_{0})^{2}}{2T}} dw \doteq \frac{\xi_{0}}{\sqrt{2T}} \int_{1}^{\infty} e^{-\frac{(\xi_{0}w+u_{0})^{2}}{2T}} dw$$
$$= \frac{\xi_{0}}{\sqrt{2T}} \int_{\frac{\xi_{0}+u_{0}}{\sqrt{2T}}}^{\infty} e^{-v^{2}} dv = \frac{\xi_{0}}{\sqrt{2T}} \frac{\sqrt{\pi}}{2} erfc\left(\frac{\xi_{0}+u_{0}}{\sqrt{2T}}\right)$$
(A14)

and

$$\int_{\frac{\xi_{0}+u_{0}}{\sqrt{2T}}}^{\infty} \left[ \sqrt{2T} \frac{u_{0}}{T} v_{1} + 1 - \left(\xi_{0}+u_{0}\right) \frac{u_{0}}{T} \right] e^{-v_{1}^{2}} dv_{1}$$

$$= \left(\frac{T}{2}\right)^{1/2} \frac{u_{0}}{T} e^{-\frac{\left(\xi_{0}+u_{0}\right)^{2}}{2T}} + \left[1 - \left(\xi_{0}+u_{0}\right) \frac{u_{0}}{T}\right] \frac{\sqrt{\pi}}{2} \operatorname{erfc}\left(\frac{\xi_{0}+u_{0}}{\sqrt{2T}}\right).$$
(A15)

Similarly,

$$\int_{\xi_{0}}^{\infty} \left(\xi - \xi_{0}\right) \left(\frac{u_{0}}{T} - \frac{1}{\xi}\right) e^{-\frac{\left(\xi - u_{0}\right)^{2}}{2T}} d\xi = \sqrt{2T} \int_{\frac{\xi_{0} - u_{0}}{\sqrt{2T}}}^{\infty} \left[\sqrt{2T}v_{2} - \left(\xi_{0} - u_{0}\right)\right] \left(\frac{u_{0}}{T} - \frac{1}{\sqrt{2T}v_{2} + u_{0}}\right) e^{-v_{2}^{2}} dv_{2}$$

$$= \sqrt{2T} \int_{\frac{\xi_{0} - u_{0}}{\sqrt{2T}}}^{\infty} \left[\sqrt{2T} \frac{u_{0}}{T}v_{2} + 1 - \left(\xi_{0} - u_{0}\right) \frac{u_{0}}{T} - \frac{\xi_{0}/\sqrt{2T}}{v_{2} + u_{0}/\sqrt{2T}}\right] e^{-v_{2}^{2}} dv_{2},$$
(A16)

in which

$$-\int_{\frac{\xi_{0}-u_{0}}{\sqrt{2T}}}^{\infty} \frac{1}{v_{2}+u_{0}/\sqrt{2T}} e^{-v^{2}} dv_{2} = -\int_{\xi_{0}/\sqrt{2T}}^{\infty} \frac{1}{w} e^{-(w-u_{0}/\sqrt{2T})^{2}} dw \doteq -0.32 \int_{\frac{\xi_{0}-u_{0}}{\sqrt{2T}}}^{\infty} e^{-v^{2}} dv$$
$$= -0.32 \frac{\sqrt{\pi}}{2} erfc \left(\frac{\xi_{0}-u_{0}}{\sqrt{2T}}\right)$$
(A17)

and

$$\int_{\frac{\xi_{0}-u_{0}}{\sqrt{2T}}}^{\infty} \left[ \sqrt{2T} \frac{u_{0}}{T} v_{2} + 1 - (\xi_{0} - u_{0}) \frac{u_{0}}{T} \right] e^{-v^{2}} dv_{2}$$

$$= \left( \frac{T}{2} \right)^{1/2} \frac{u_{0}}{T} e^{-\frac{(\xi_{0}-u_{0})^{2}}{2T}} + \left[ 1 - (\xi_{0} - u_{0}) \frac{u_{0}}{T} \right] \frac{\sqrt{\pi}}{2} \operatorname{erfc}\left( \frac{\xi_{0} - u_{0}}{\sqrt{2T}} \right)$$
(A18)

Upon collecting only those terms with an argument  $(\xi_0 - u_0)/\sqrt{2T}$ ,

$$\begin{aligned} v_{up} &= \frac{N_0}{\xi_0} \frac{6}{\pi^2 T} \\ \left(1 - A^2\right) \frac{T^{5/2}}{u_0} \left\{ \frac{u_0}{\sqrt{T}} e^{-\frac{(\xi_0 - u_0)^2}{2T}} + \left(\frac{\pi}{2}\right)^{1/2} \left[ 1 + \frac{u_0 \left(u_0 - \xi_0\right)}{T} \right] efrc\left(\frac{\xi_0 - u_0}{\sqrt{2T}}\right) \right\} \\ &+ 2AB(3,400) \pi \frac{T^{5/2}}{u_0} e^{-\frac{u_0^2}{2}} \left\{ \frac{u_0}{\sqrt{T}} e^{-\frac{(\xi_0 - u_0)^2}{2T}} + \left(\frac{\pi}{2}\right)^{1/2} \left[ 1 + \frac{u_0 \left(u_0 - \xi_0\right)}{T} \right] erfc\left(\frac{\xi_0 - u_0}{\sqrt{2T}}\right) \right\} \\ &- B^2 2 \frac{T^{5/3}}{u_0} \frac{T}{u_0^2} \left\{ \frac{u_0}{\sqrt{T}} e^{-\frac{(\xi_0 - u_0)^2}{2T}} + \left(\frac{\pi}{2}\right)^{1/2} \left[ 1 + \frac{u_0 \left(u_0 - \xi_0\right)}{T} - 0.32 \right] erfc\left(\frac{\xi_0 - u_0}{\sqrt{2T}}\right) \right\}, \end{aligned}$$
(A19)

or

$$v_{up} = \frac{N_0}{\xi_0} \frac{6}{\pi^2} \frac{T^{3/2}}{u_0} \left\| \left( 1 - A^2 + 6,800\pi AB e^{-\frac{u_0^2}{2T}} - 2B^2 \frac{T}{u_0^2} \right) \right. \\ \left. \times \left\{ \frac{u_0}{\sqrt{T}} e^{-\frac{(\xi_0 - u_0)^2}{2T}} + \left(\frac{\pi}{2}\right)^{1/2} \left[ 1 - \frac{u_0(\xi_0 - u_0)}{T} \right] efrc\left(\frac{\xi_0 - u_0}{\sqrt{2T}}\right) \right\} \right. \\ \left. + 2(0.32)B^2 \frac{T}{u_0^2} \left(\frac{\pi}{2}\right)^{1/2} efrc\left(\frac{\xi_0 - u_0}{\sqrt{2T}}\right) \right\|.$$
(A20)

Expressing this as a function of  $u_0$ , using  $T = (u_0/4.6)^2$ ,

$$v_{up} = \frac{N_0}{\xi_0} \frac{6}{\pi^2} \frac{T^{3/2}}{u_0} \left[ \left( 1 - A^2 + 6800\pi ABe^{-\frac{u_0^2}{2T}} - 2B^2 \frac{T}{u_0^2} \right) \right] \\ \times \left\{ \frac{u_0}{\sqrt{T}} e^{-\frac{(\xi_0 - u_0)^2}{2T}} + \left(\frac{\pi}{2}\right)^{1/2} \left[ 1 - \frac{u_0(\xi_0 - u_0)}{T} \right] efrc\left(\frac{\xi_0 - u_0}{\sqrt{2T}}\right) \right\} \\ + 0.64 \left(\frac{\pi}{2}\right)^{1/2} B^2 \frac{T}{u_0^2} efrc\left(\frac{\xi_0 - u_0}{\sqrt{2T}}\right) \right].$$
(A21)

Finally, with A = 0.87 and B = 0.72,

$$v_{up} = 0.002u_0^2 \left[ 0.53 \left\{ 4.6e^{-\frac{(4.6)^2(40-u_0)^2}{2u_0^2}} + \left(\frac{\pi}{2}\right)^{1/2} \left[ 1 - \frac{(4.6)^2(40-u_0)}{u_0} \right] efrc\left( 4.6\frac{40-u_0}{\sqrt{2}u_0} \right) \right] + 0.02efrc\left( 4.6\frac{40-u_0}{\sqrt{2}u_0} \right) \right].$$
(A22)

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