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1 **The role of body stiffness in undulatory swimming: Insights from**
2 **robotic and computational models**

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Abstract

In an effort to understand the locomotion dynamics of a simple vertebrate, the lamprey, both physical and computational models have been developed. A key feature of these models is the ability to vary the passive stiffness of portions of the swimmer, focusing on highly flexible models similar in material properties to lampreys and other anguilliform fishes. The physical model is a robotic lamprey-like swimmer that is actuated along most of its length but has passively flexible tails of different stiffnesses. The computational model is a two-dimensional model that captures fluid-structure interactions using an immersed boundary framework. This simulated lamprey is passively flexible throughout its length, and is also actuated along most of its length by the activation of muscle forces. Although the three-dimensional robot and the two-dimensional computational swimmer are such different constructs, we demonstrate that the wake structures generated by these models share many features and examine how flexibility affects these features. Both models produce wakes with two or more same-sign vortices shed each time the tail changes direction (a ‘2P’ or higher-order wake). In general, wakes become less coherent as tail flexibility increases. We examine the pressure distribution near the tail tip and the timing of vortex formation in both cases and find good agreement. Because we include flexibility, we are able to estimate resonant frequencies for several of the robotic and computational swimmers. We find that actuation at the resonant frequency dramatically increases the distance traveled per tail-beat cycle with only a small increase in the lost kinetic energy in the wake, suggesting that the resonant swimmers are more efficient.

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23 I. INTRODUCTION

24 When fish swim, their bodies bend because of internal muscle forces, but also because of
25 external forces from the environment. These two forces are coupled together by the stiffness,
26 damping, and other passive mechanical properties of the fish's body. The motion that we
27 observe is a delicate balance of these internal and external forces, filtered by the body
28 mechanics [1]. Some fish are stiffer than others [2]; some fish have more internal damping
29 than others [3, 4]. The impact of these differences in material properties is not known.

30 Even though the body's material properties most likely have a strong effect on swimming
31 performance, it is extremely difficult to use animal experiments to identify its role. While
32 one species of fish may be stiffer than another, they also typically differ in numerous other
33 ways, such as the anatomy of the muscle and skeleton and the way they activate their
34 muscles during swimming. Instead, computational or robotic models offer a more controlled
35 way to separate the different contributions of muscle activation patterns, body mechanics,
36 and overall anatomy [*e.g.*, 5, 6].

37 Our recent computational simulations of lamprey swimming [7, 8] showed that stiffer
38 swimmers accelerate faster but use more energy than more flexible swimmers, as long as
39 the peak muscle force increases or decreases to match the body stiffness. Fluid-mechanical
40 resonance may explain some of these differences. Quinn *et al.* [9] and Alben [10] found that
41 flexible flapping or undulating panels can have multiple peaks in thrust or efficiency that
42 depend on a set of nonlinear resonant interactions between the fluid and the body. In our
43 previous work [11], by changing the stiffness, but keeping the activation frequency constant,
44 we were changing how close the system was to one of the resonant interactions.

45 In that study, we did not examine the fluid dynamic mechanisms underlying the per-
46 formance differences. How does the wake structure correlate with swimming performance?
47 When an animal produces a more coherent wake, we expect that it should swim more effi-
48 ciently [12], but at the same time, differently organized wakes can result in similar swimming
49 efficiency [13]. We might expect there to be an optimal stiffness for producing a coherent
50 wake. Animals with very stiff bodies may be able to support a high velocity difference from
51 one side of their body to the other, so that they could shed a strong shear layer into their
52 wake; shear layers tend to be unstable [14], so such a stiff swimmer might produce an inco-
53 herent wake with many extra vortices. At the other end, very flexible bodies may deform

54 too much during motion so that they do not shed individual, concentrated vortices [6]. At
55 some intermediate stiffness, an animal might be able to produce an optimal coherent wake.
56 Because the swimming is a resonant interaction with the fluid, though, the wake will also
57 depend on the oscillation frequency.

58 The wake may also depend on the pressure distribution along the body. Fishes like eels
59 and lampreys, which swim in an anguilliform mode with about one complete undulatory
60 wave on their body [15], will have multiple regions of high and low pressure along their
61 bodies [16, 17]. These changes in pressure tend to correlate with a 2P wake structure [18],
62 in which the swimmer sheds two pairs of vortices in each full tail beat [6, 19]. Fishes like
63 sunfish, which swim in a carangiform mode with much less than a full undulatory wave, will
64 tend to have fewer changes in pressure along their bodies [20, 21]. During steady forward
65 swimming, such fishes generally produce 2S wakes, with two single vortices shed each cycle
66 [15]. Here, we consider how the pressure fluctuations near the tail correspond to vortex
67 shedding into the wake.

68 Both the computational and robotic swimmers that we examine are extremely flexible,
69 compared to previous studies of flexible foils. There are not many measurements of the
70 stiffness of fish bodies, but Long [22] measured eels to have a passive stiffness (Young's
71 modulus, E) as low as 0.39 MPa, corresponding to a bending modulus EI of $1.6 \times 10^{-4} \text{ N m}^2$.
72 Based on our experience, lamprey bodies are even more flexible than those of eels. Most
73 previous studies of flexible propulsors, though, have used thin plastic foils with stiffness
74 in the GPa range, which, because of their thinness, have bending moduli close to those of
75 fish. For example, the most flexible panel in [9] had a modulus $EI = 0.6 \times 10^{-4} \text{ N m}^2$, but
76 $E = 3.8 \text{ GPa}$. To our knowledge, our study is the first to examine flexible propulsors that
77 have material properties similar to those of fish and also match the relative thickness of the
78 body.

79 To study these effects, we compare flow patterns from Leftwich *et al.* [6] around a robotic
80 anguilliform swimmer with a flexible tail to those from Tytell *et al.* [7, 11] around a two-
81 dimensional computational simulation of a flexible anguilliform swimmer. Both models are
82 simplifications. The robotic swimmer has a passively flexible tail, while animal's muscles
83 actively deform their bodies. The computational swimmer has active segments that approx-
84 imate muscle running all the way down to its tail, like animals, but it has only two spatial
85 dimensions, while animals clearly have three. Nevertheless, we show that both models accu-

86 rately capture important features of anguilliform swimming and serve as useful ways to study
87 the interaction of material properties, muscle activation, and wake structure for swimming.
88 Moreover, numerical convergence studies presented below show that high resolution detail
89 of vortex formation in the computational algorithm is not necessary to accurately resolve
90 the coupled fluid-body interaction. Based on these robotic and computational models, we
91 investigate the role of flexibility on both wake formation and swimming performance.

92 II. METHODS

93 A. Methods for simulations

94 Tytell *et al.* [7] completed two-dimensional simulations of anguilliform swimming using
95 an adaptive mesh immersed boundary method [IBAMR; 23, 24]. These simulations dif-
96 fer from much previous work in that the motion of the swimmer is not prescribed. The
97 swimming motion instead is the result of the interaction between the fluid, described by the
98 Navier-Stokes equations, and a body model that describes both the passive elasticity and
99 the active muscle contraction for a lamprey. The body is constructed from three filaments (a
100 “backbone” and left and right sides), which are connected by a network of elastic filaments
101 that approximate the geometry of serial blocks of muscle in fishes, called myomeres [25]. In-
102 ternal filaments are Hookean springs, and resist both compression and extension. Filaments
103 along the left and right sides only resist extension, like collagen fibers. Additionally, left and
104 right side segments produce active forces, which are described by a Hill-type muscle model,
105 after Williams *et al.* [26], Hamlet *et al.* [27].

106 Body stiffness was altered by changing the stiffness of the passive springs that connect
107 the filaments. An effective Young’s modulus was estimated by bending the body through a
108 set of known angles and calculating the energy stored in the springs [28]. At the same time,
109 the maximum muscle force was increased or decreased to match the change in body stiffness;
110 this produced swimmers of different stiffness that swam with similar tail beat amplitude.

111 We prescribed a traveling wave of activation with a defined period (usually 1 s) and one
112 full wave on the body. This wave activated the muscle, which produced force, bending the
113 body and interacting with the fluid. The swimmer was started from rest with a straight
114 body and accelerated until it reaches a steady swimming speed.

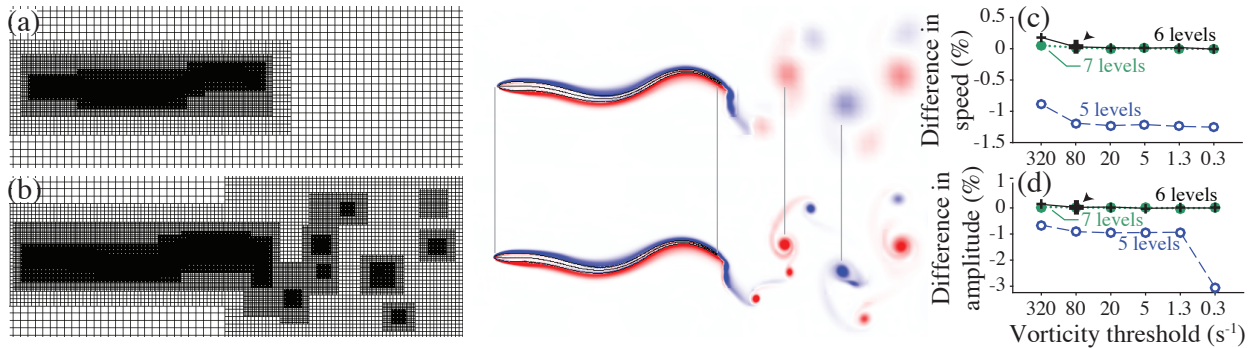


Figure 1: Convergence tests of the adaptive mesh algorithm. Computational meshes and vorticity for (a) 5 refinement levels, vorticity threshold 320 s^{-1} , (b) 6 refinement levels, vorticity threshold 80 s^{-1} . Percent differences in swimming speed (c) and tail amplitude (d) for different vorticity thresholds and levels of refinement. [2 column width.]

115 1. Convergence testing

116 We checked to make sure that the parameters of the adaptive meshing algorithm did not
 117 affect the computed motion of the swimmer. The overall motion was very robust to large
 118 changes in these parameters. This algorithm [23] adaptively increases the grid resolutions
 119 in areas with high vorticity or close to the material points of the swimmer. Two parameters
 120 control the adaptive mesh. First, the resolution doubles each time the vorticity changes by
 121 greater than (1) a vorticity threshold parameter, up to (2) a maximum number of refinement
 122 steps. We tested how these two adaptive mesh parameters would affect the overall motion
 123 of the swimmer.

124 Fig. 1 shows the results of testing with three different maximum grid refinement levels
 125 (5, 6, or 7 levels) and six thresholds for vorticity (from 5 s^{-1} to 320 s^{-1}). The higher the
 126 vorticity threshold, the less often the resolution will increase. At the lowest refinement
 127 and the highest vorticity threshold, the adaptively refined Cartesian grid had on the order
 128 of 5×10^4 grid cells, whereas at the highest refinement and tightest vorticity threshold,
 129 the number of grid cells was approximately 5×10^6 . Although the wake in Fig. 1a is very
 130 smoothed and poorly resolved, the body shape and swimming velocity is not very much
 131 different from the more highly resolved case (Fig. 1b). Additionally, as long as there were
 132 at least 6 levels of refinement, there were negligible differences in the emergent properties
 133 of the coupled fluid-body interaction, such as the overall swimming speed (Fig. 1c) and tail

134 beat amplitude (Fig. 1d). For that reason, all of the simulations here we performed with
135 these intermediate parameters (indicated by an arrowhead in Fig. 1c and d).

136 **B. Methods for robotic experiments**

137 The robotic platform used in this work is a modification of that used by Hultmark *et al.*
138 [29], and the same as that used by Leftwich and Smits [16] and Leftwich *et al.* [6]. It
139 consists of 11 servo motors attached in alternating pairs. Each motor is controlled by a
140 BasicX Stamp micro controller (Parallax, Inc., Rocklin, CA, USA) that is programmed
141 to replicate the growing, traveling sine wave of anguilliform swimming. The motors and
142 controller for the robot are shown in Fig. 3(c) at the top of the panel. The motion of the
143 robot is based on the work of Tytell and Lauder [19] and detailed in Hultmark *et al.* [29].
144 The motors and controller shown in Fig.3(c) were covered in a custom-made latex skin to
145 isolate them from the water. Swimming speed and flow data were taken with three passively
146 flexible tails ($E = 0.12$ MPa, 0.17 MPa and 0.23 MPa, corresponding to bending moduli EI
147 $= 1.0, 1.4,$ and 1.9×10^{-4} N m²). These tails are geometrically similar to the silver lamprey
148 (*Ichthyomyzon unicuspis*) and cast out of flexible PVC gel (M-F Manufacturing Co., Fort
149 Worth, TX, USA). A plastic insert in the center of the flexible tail controls the degree of
150 flexibility of each tail.

151 The experiments were conducted in a closed loop, free surface water channel with a test
152 section that is 0.46 m wide, 0.3 m deep, and 2.5 m long. One honeycomb and three screens sit
153 upstream of the 5:1 contraction. The anterior part of the robot was held in the test section
154 at mid-depth by a frame mounted on an air-bearing sled free to move in the streamwise
155 direction. Waves were eliminated by mounting a clear acrylic plate at the surface. **Free**
156 **swimming speeds were determined based on the water speed in the tunnel when the robot**
157 **did not move in the streamwise direction while activated.**

158 The flowfield generated by the steadily swimming lamprey was measured in the horizontal
159 mid plane of its wake using particle image velocimetry (PIV). The flow was seeded with silver
160 coated hollow ceramic spheres with a diameter of 100 μ m and an average specific gravity of
161 1.01 (Potters Industries Inc. Conduct-O-Fil AGSL-150-30 TRD). A Spectra Physics 2020
162 Argon laser, wavelength of 490 nm (Newport Corporation, Mountain View, CA, USA), was
163 then used to create a light sheet with an optical fiber delivery system and a Powell lens

164 (Oz Optics Ltd, Ottawa, ON, Canada). The sheet thickness was typically 1.5 mm (1/e
 165 thickness). The sheet was oriented parallel to the robot, in the mid-plane of the swimming
 166 robot. A Redlake MotionXtra HG-LE (IDT, Tallahassee, FL, USA) camera was mounted
 167 perpendicular to the laser sheet (above the water channel) and used to capture the image
 168 pairs with 8 to 10 ms between images. Pairs were taken at 10 Hz. Image pairs were captured
 169 with a time delay of 8 to 11 ms between images. Ten image pairs were captured each second.
 170 Exposure times were typically 3 ms. The acquired image pairs were processed using an
 171 in-house PIV code (details of the code are presented in [30]). Interrogation windows of 32
 172 pixels were used with 50% overlap between windows.

173 In addition to the velocity field, the fluctuating pressure signal on the surface of the
 174 swimming body and an actively bending, but otherwise rigid tail was measured using a
 175 Validyne DP 15 (Validyne Engineering Corp in Northridge, CA, USA) differential pressure
 176 transducer. This was connected to a CD379 Validyne carrier demodulator, with a range of
 177 0.85 to 1.4 kPa and an accuracy of $\pm 0.05\%$ of the full scale deflection. Ten pressure ports
 178 were placed on the robotic lamprey. Four were located in the rigid part of the active tail,
 179 and six were imbedded into the latex skin that surrounds the robot. The transducer was
 180 connected to a NI USB-6212 data acquisition board (National Instruments, Austin, TX,
 181 USA) and computer.

182 C. Methods for data analysis

183 1. *Scaling flexibility*

184 The flexibility of the computational swimmer and the robot were scaled according to the
 185 analysis in Quinn *et al.* [9] to produce a nondimensional effective flexibility

$$\Pi_1 = \left(\frac{\rho h l^5 f^2}{EI} \right)^{1/2} \quad (1)$$

186 where ρ is fluid density, h is the average height of the swimmer, l is the length, EI is the
 187 bending modulus, and f is the tail beat frequency. For the 2D computational swimmer, we
 188 assume that h is equal to the average width.

189 2. *Measuring the resonant frequency*

190 For both robotic and computational models, we identified the mechanical resonant fre-
191 quency of several of the models by oscillating them up and down in water and measuring
192 the frequency that produced the highest amplitude motion. For the robot, this produced a
193 clear resonant peak at 0.28 Hz for the 0.17 MPa tail. For the computational swimmer, the
194 resonance was more complicated, with peaks at least at 0.5 Hz and 1.5 Hz; see the discussion
195 in Tytell *et al.* [11]. However, we observed a clear maximum in tailbeat amplitude for the
196 freely swimming model at 0.5 Hz and so we refer to this as the resonant frequency [11].

197 3. *Material properties of the swimmers*

198 Throughout this paper, we are comparing a robot with three different passive tails, one
199 of which was also oscillated at its resonant frequency (Table I). The body anterior to the
200 tail was moved actively using servomotors that matched a given waveform. The tails were
201 shaped to match the shape of a lamprey’s tail, and were 20% of the total length of the
202 robot (0.9 m). See Hultmark *et al.* [31] and Leftwich *et al.* [6] for more details on the robot.
203 Similarly, we compare the computational swimmer with five different passive stiffnesses, one
204 of which was oscillated at the resonant frequency (Table I). Different from the robot, in the
205 computational model, we adjusted the underlying material properties, but kept active force
206 generation along most of the entire length. See Tytell *et al.* [7] and Tytell *et al.* [11] for
207 more details on the computational swimmer.

208 4. *Phase averaging for experimental measurements*

209 The velocity data from the PIV experiment and the pressure data, both obtained with
210 the robotic lamprey, were phase averaged over 20 cycles of motion. For the PIV data, 10
211 velocity fields were measured per second. The robot was programmed to have a period
212 of motion of exactly 1.8 s per cycle. Therefore, images at the same cycle of motion (i.e
213 images, 1, 19, 37, 55, *etc.*) were averaged to produce 18 velocity fields representing the
214 entire cycle of motion. The same method was employed to determine the average pressure
215 field throughout the cycle. However, the temporal resolution of the pressure transducer was
216 significantly higher (1 kHz). Again, 20 cycles of motion was used to calculate the average

	E	EI	Frequency	Π_1
	(MPa)	($\times 10^{-5} \text{ N m}^2$)	(Hz)	
Robot	0.12	10	0.56	3.10
	0.17	14	0.56	3.61
	0.23	19	0.56	4.27
	0.17	14	0.28	1.81
CFD	0.59	0.21	1.0	6.63
	0.64	0.23	1.0	6.36
	0.76	0.26	1.0	5.87
	0.98	0.34	1.0	5.15
	1.06	0.37	1.0	4.96
	1.06	0.37	0.5	2.49

Table I: Parameters for robotic and computational experiments

217 pressure cycle.

218 *5. Power calculations*

219 We calculated a measure of efficiency for each swimmer by estimating the kinetic energy
 220 flux \dot{K} (a measure of the wake power):

$$\dot{K} = \rho h \int_C \mathbf{u}^2 \mathbf{u} \cdot \mathbf{n} ds \quad (2)$$

221 where ρ is the density of the fluid, h is the vertical height of the swimmer, \mathbf{u} is the fluid
 222 velocity, \mathbf{n} is the unit vector normal to the edge of the planar control area C , ds is the
 223 distance along the edge of the control area. The wake power was scaled for each swimmer
 224 to produce a power coefficient C_K [32, 33] by dividing by the power required to overcome
 225 drag:

$$C_K = \frac{\dot{K}}{0.5\rho S U^3}, \quad (3)$$

226 where S is the wetted surface area of the swimmer, and U is the mean swimming speed.
 227 Error on C_K was calculated for experimental measurements based on the standard deviation

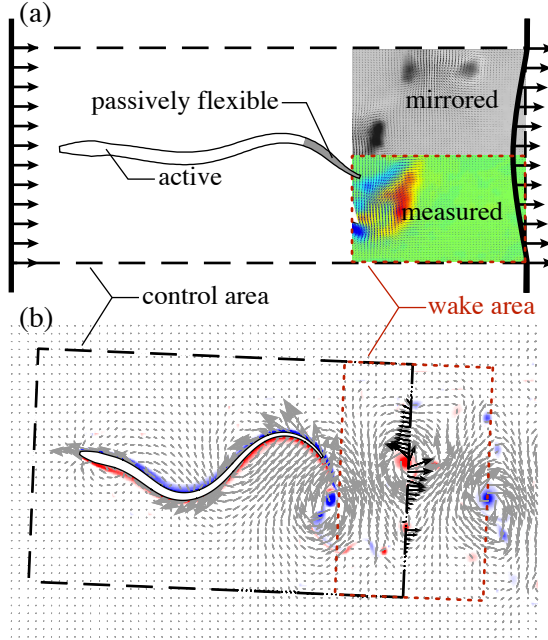


Figure 2: Example control areas for the swimmers. (a) Robot. (b) Computational simulation. The boundary of the control area is given by a black dashed line, and the wake region for turbulence estimation is outlined with a red dashed line. [1 column width.]

228 of the PIV measurements [34]

229 The \dot{K} is the flux through a control volume containing the entire robot. The incoming
 230 velocity was fixed for each experiment, and was assumed to be uniform. While the PIV data
 231 only encompasses the left side of the wake, the full wake can be constructed by mirroring
 232 the data and offsetting it by one half of a cycle (labeled “mirrored” in Fig. 2a). In this
 233 analysis, we neglect the contributions through the sides of the control volume upstream of
 234 the tail, for which there is no velocity data.

235 For the computational swimmer, we defined an area (Fig. 2b) surrounding the swimmer,
 236 moving at a constant velocity \bar{U} equal to the mean swimming speed and direction. Because
 237 of initial transients, the swimming direction was often angled relative to the horizontal axis,
 238 but the control area was aligned to the swimmer, as shown in Fig. 2b. Fluid velocity was
 239 interpolated from the grid to the contour surrounding the area and integrated to estimate
 240 thrust, lateral forces, and wake power, all of which are defined per unit height.

242 To assess the coherence and regularity of the wake, we estimated a phase-dependent
 243 turbulence intensity of the wake. First, we phase averaged the wake at 18 different phase
 244 values over at least three cycles and then computed the relative mean squared difference of
 245 the instantaneous fields with the phase averages:

$$I = \frac{1}{2} \left\langle \frac{(u - \overline{u_\phi})^2 + (v - \overline{v_\phi})^2}{\overline{u_\phi}^2 + \overline{v_\phi}^2} \right\rangle \quad (4)$$

246 where $\overline{u_\phi}$ and $\overline{v_\phi}$ are the average mean components of velocity at a particular location and
 247 phase ϕ , and $\langle \cdot \rangle$ denotes an average over both space and phase. The value of I does depend
 248 on the number of cycles averaged, but the pattern relative to flexibility was very robust.

249 Note that (u, v) includes the mean swimming speed U , even though it has been subtracted
 250 in figures showing wake flow patterns. For the robot, we used the measured wake region,
 251 which included half the wake laterally and approximately one spatial period behind the tail
 252 (Fig. 2a). Error on I was calculated for experimental measurements based on the error of
 253 the PIV measurements [34]. For the computational swimmer, we used a domain in the wake
 254 from just behind the mean tail position to one full wake cycle ($= Uf$, where U is the mean
 255 swimming speed and f is the tail beat frequency) downstream (Fig. 2b).

256 III. RESULTS AND DISCUSSION

257 A. Wake structures of the robot, the simulations, and living eels

258 All of the swimmers shed a wake with two pairs of primary vortices shed per cycle,
 259 and the basic structures match qualitatively (Fig. 3). In both the wake of the the living
 260 eel (swimming at $1.5 \text{ lengths s}^{-1}$; [19]) and robotic swimmer [31] (shown in Fig. 3a and c
 261 respectively) a strong, repeatable pair of similar strength vortices was shed each half cycle—
 262 a two pair (2P) wake structure [35]. The underlying wake structure for the computational
 263 swimmer is also a 2P structure [7]. However, in Fig. 3(b) we see that the primary vortex
 264 (1) often splits a second time (1a). Thus, each cycle the computational swimmer produces
 265 a primary and secondary vortex of similar strength, as well as a weak tertiary vortex. In
 266 no case did any of the swimmers produce a 2S wake, although the wake of accelerating eels
 267 becomes closer to a 2S pattern [36]. Previous studies have observed that flapping propulsors

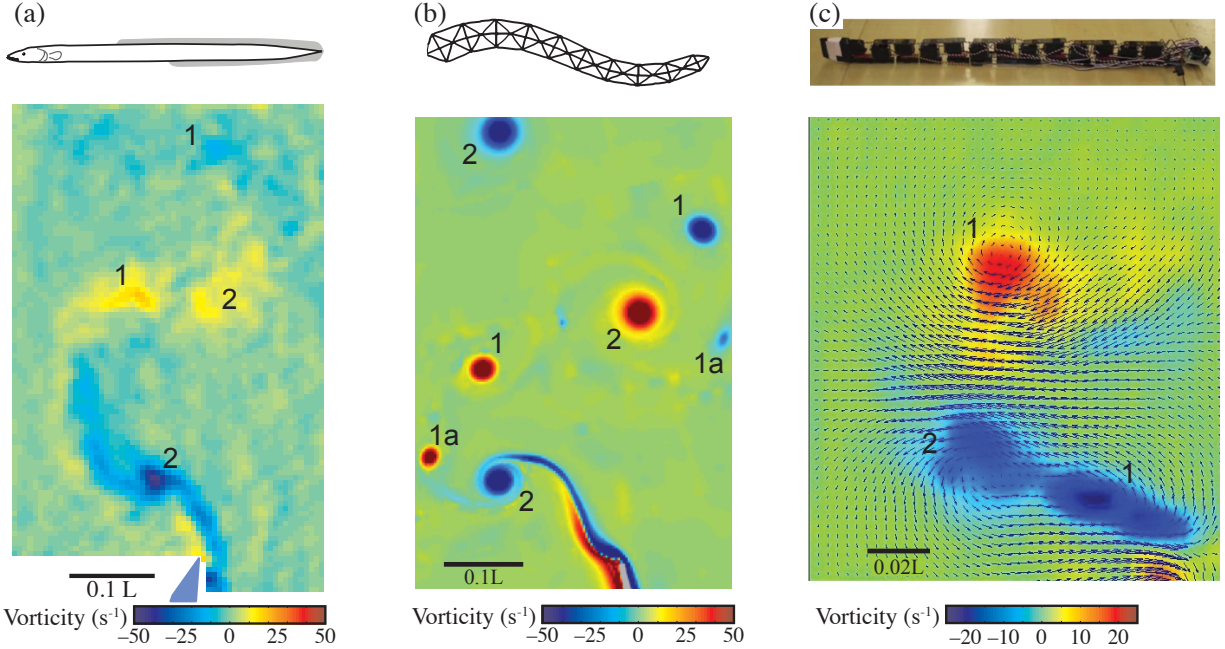


Figure 3: Wakes of a swimming eel (a), the computational swimmer (b), and the robotic swimmer (c) all show the same overall 2P wake structure. The tails of the swimmers are at the bottom of each panel. Data replotted from [7, 19, 31].

268 tend to produce 2P wakes when Strouhal number St is high [37, 38], as it is for our swimmers
 269 ($St \geq 0.5$ for all cases). Using a more fish-like geometry, Borazjani and Sotiropoulos [39]
 270 also found that lamprey shaped swimmers almost always produced 2P wakes, except when
 271 the Strouhal number was 0.2 or less.

272 Both the computational and robotic swimmers that generated the data presented in
 273 Fig. 3(b) and (c) had active tails. The muscles or motors extended to the end of the
 274 swimming body. The coherence and repeatability of the wake degraded as the tail became
 275 more passive. Additionally, the presence of higher order wake patterns was more prominent
 276 in these cases. While the main structure generally remained a 2P wake, it was common to
 277 see distinct structures in addition to the primary and secondary pair. These structures were
 278 neither repeatable nor predictable from cycle to cycle, but may contribute to the increase
 279 in the wake power for more flexible swimmers.

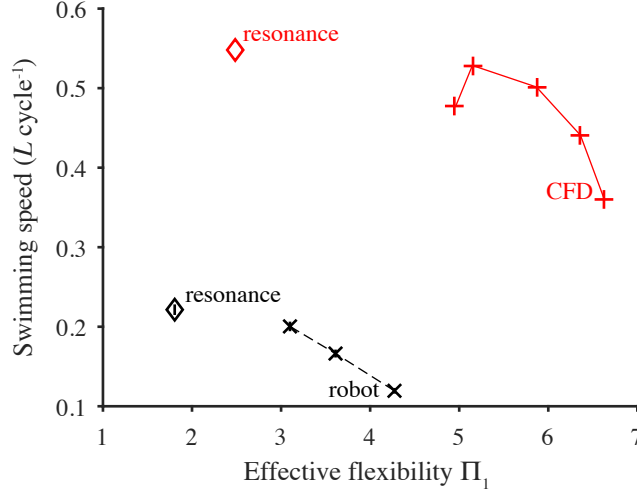


Figure 4: Normalized swimming speed for robotic (black) and computational (red) swimmers of different stiffness, when oscillating at a constant frequency (dash lines) and at a resonant frequency (diamonds). Error bars may be smaller than symbols. *[1 column width.]*

280 B. Very flexible swimmers swim slower, but resonance increases swimming speed

281 For very flexible swimmers (Young’s modulus $E < 1$ MPa, which included all of the
 282 robotic swimmers and all but the least flexible computational swimmer; Table I), both
 283 computational and robotic, decreasing stiffness leads to decreasing swimming speed (Fig. 4).
 284 For both types of swimmers, we adjusted the passive stiffness and then tuned the muscle force
 285 or motor power so that the overall tail beat amplitude remained approximately the same.
 286 For the computational swimmer, doubling (or halving) the peak muscle force to match a
 287 doubling (or halving) of the body stiffness tended to preserve the overall amplitude [7]. For
 288 comparison, eels have a stiffness of approximately 0.39 MPa [22]. Under these conditions,
 289 increasing flexibility leads to decreasing swimming speed (Fig. 4). When the motion is
 290 determined by a balance of fluid forces and internal forces, as it is for the computational
 291 swimmer, there is an optimal stiffness for maximum swimming speed (Fig. 4b) at the same
 292 tail beat frequency. For flexible bodies, like the robot tail, fluid structure interactions can
 293 deform the body, reducing the transfer of momentum from the body to the water and
 294 lowering the swimming speed.

295 If the tail beat frequency changes, mechanical resonance can improve the swimming
 296 speed. Oscillating at the resonant frequency decreases the effective flexibility (see Eq. 1)

297 and produces dramatic increases in the distance traveled per tail beat cycle (red diamonds
 298 in Fig. 4). For both types of swimmer, the resonant frequency was lower than the frequency
 299 used in most of the tests; this means that the absolute swimming speed in m s^{-1} is lower for
 300 the resonant swimmers. But in each case, swimming at the resonant frequency is much more
 301 effective, traveling much further during each tail beat than the non-resonant swimmers.

302 Quinn *et al.* [9] found a complex relationship between thrust force and stiffness for teth-
 303 ered flexible panels when they heave from side to side. They measured force and propulsive
 304 efficiency for foils with different stiffness, as they varied the flapping frequency and the
 305 oncoming flow speed. They found multiple distinct resonant peaks in thrust force as fre-
 306 quency varied. In general, however, at a given frequency, they found that more flexible
 307 foils produced lower forces than stiffer foils [9], matching our results. Similarly, numerical
 308 simulations of freely swimming foils in an inviscid fluid showed resonant peaks in swimming
 309 speed, but with speed decreasing on average as flexibility increased [40].

310 The mechanical resonant frequency of a flapping foil in water is not necessarily the fre-
 311 quency that produces the fastest swimming speed [41], but often the optimal frequency is
 312 close to the mechanical resonance. When both the robot and the computational swimmer
 313 used frequencies above the resonant frequency, they swam slower than when they used the
 314 resonant frequency. It is possible that even lower frequencies might have produced faster
 315 swimming, but we did not test those frequencies.

316 C. Pressure increases near the tail as vortices are shed

317 The fluctuations in pressure near the tail correspond to the timing of vortex shedding.
 318 Fig. 5 shows the pressure coefficient C_P as a function of time for two full tail beat cycles for
 319 both the robotic and computational swimmer. The pressure coefficient is defined as

$$C_p = \frac{p}{\frac{1}{2}\rho U^2} \quad (5)$$

320 where p is the pressure measured from the transducer as discussed in section II B. In both
 321 cases, the pressure value used was taken from $0.98L$ from the head of the swimmer (very
 322 near the tip of the tail).

323 When C_P hits a local maximum (labeled with numbers), vortices are shed into the wake
 324 (right side). The global peak, labeled ‘1’ in both Fig. 5a and b comes just before the tail

325 changes direction and begins moving away from the pressure port. This is just after the
326 maximum acceleration of the tail at that location. The pressure takes over half the cycle
327 (82% for the robotic swimmer and 60% for the computational swimmer) to reach its global
328 minimum.

329 Using the velocity fields measured or computed, we can compare the pressure signal to
330 the coherent structures present near the swimming surface. In Fig. 5 the panels on the
331 right show the vorticity near the tail at the times marked in the figure. Panel (1) shows the
332 velocity field at the global maximum of the pressure. We see that the large starting-stopping
333 vortex that will form the primary vortex 1a from this half cycle has just detached from the
334 tail. As this happens, the pressure rises dramatically, just before the peak labeled ‘1’.
335 This point occurs when the tail has just passed the midpoint of the robot and is moving to
336 the left (indicated by the gray arrow). Point ‘2’ is the second maximum—occurring just
337 after the tail reaches its maximum amplitude and has changed directions. At this time, the
338 pressure has decreased somewhat as the local boundary layer has weakened. These spatial
339 and temporal fluctuations in the pressure gradients then change the local freestream velocity,
340 which changes the local circulation. Ultimately, the time varying circulation establishes the
341 strength of the trailing vortices shed into the wake.

342 **D. Very flexible tails produce less repeatable wakes**

343 For very flexible swimmers, increasing flexibility leads to increasingly disorganized wakes
344 (Fig. 6, 7). The phase-dependent turbulence intensity (Fig. 8) shows that, at a constant
345 frequency, the wakes become less organized as flexibility increases, at least for very flexible
346 swimmers ($E < 1$ MPa). Regardless of flexibility, the robot (Fig. 6) always produces a 2P
347 wake, with two pairs of coherent structures that largely contain opposite signed vorticity.
348 As the flexibility increases, the robot’s wakes become far more chaotic: the structures have
349 less concentrated vorticity and more often have patches of oppositely signed vorticity. This
350 is seen in the differences between panels (a) and (b) of Fig. 6. While in both cases, a positive
351 and negative vortex can be identified, the coherent, oppositely signed pair—the hallmark of
352 the 2P wake—is clearly visible immediately downstream of the body for the moderately stiff
353 tail (panel b). The very flexible tail (panel a) produces a pair that is of unequal strength
354 and inconsistent location in the wake.

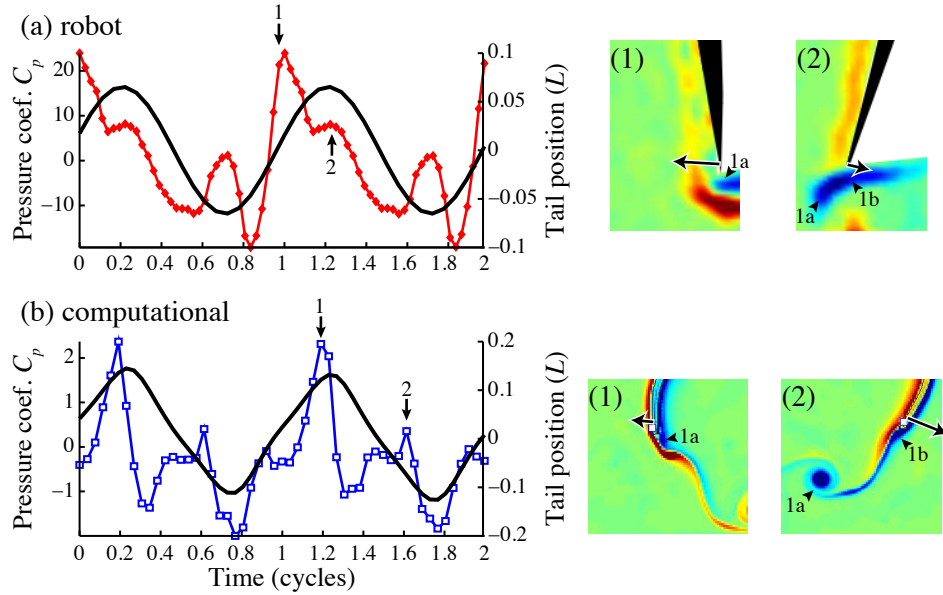


Figure 5: Pressure traces and flow fields near the tail for the robotic (a) and computational (b) swimmers. Panels on the right show example wakes at the times labeled with arrows and the approximate tail velocity is shown with a gray arrow. [1.5 column width.]

355 For the computational swimmer (Fig. 7), very flexible swimmers also produce less coherent
 356 wakes, but the pattern is different: rather than shedding disorganized structures, the
 357 computational swimmer produces more vortices of alternating sign that are less repeatable
 358 (Fig. 7a). For example, in Fig. 7a, note the presence of small packets of vorticity near the
 359 vortex at the top of the panel; these do not tend to line up well with the black contours from
 360 the previous cycle. As in the case of the robot, the wake of the computational swimmer
 361 begins to regain coherence as the tail is stiffened (Fig. 7b and c). Fewer structures are
 362 found in each cycle, and the vortex locations are more consistent from cycle to cycle. This
 363 can be seen in the greater overlap between the colored vortices and the black outlines in
 364 Fig. 7c, compared to Fig. 7a particularly. Because the computational swimmer is 2D, it
 365 almost always produces a shear layer off the tip of the tail that then rolls up into additional
 366 vortices in the wake. An equivalent 3D swimmer would most likely produce a less intense
 367 shear layer, because flow could move vertically around the tail, rather than just being shed
 368 off in the horizontal plane.

369 Phase-dependent turbulence intensity follows the patterns seen in Fig. 6 and 7. For the
 370 robot, the swimmer with the stiffest tail has the lowest turbulence intensity and the highest

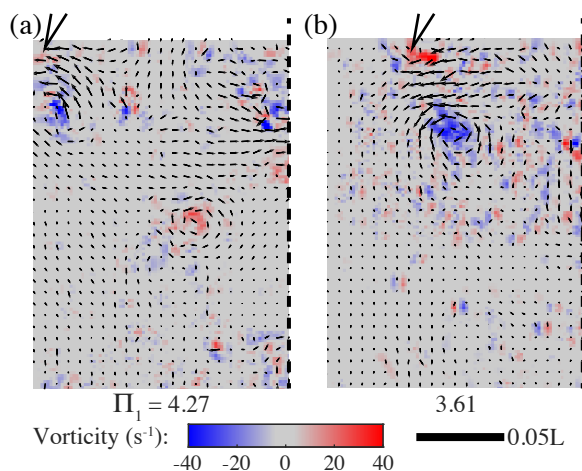


Figure 6: Flow patterns in the wake of the robotic lamprey with a very flexible tail (a) and a moderately stiff tail (b). The approximate position of the tail is shown at the top and the dashed line indicates the middle plane of symmetry [1 column width.]

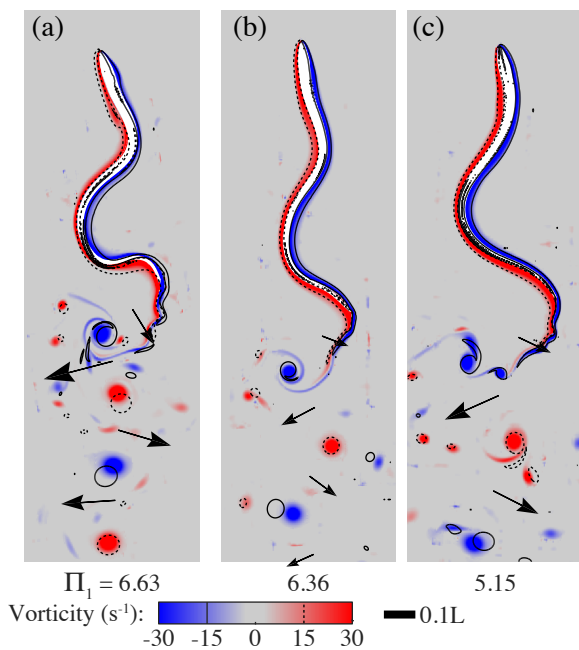


Figure 7: Vorticity in the wake of the computational swimmer with a very flexible body (a), a moderately flexible body (b), and a relatively stiff body (c). Vorticity in one cycle is shown in color, while vorticity contours from the previous cycle are overlaid in black. [1 column width.]

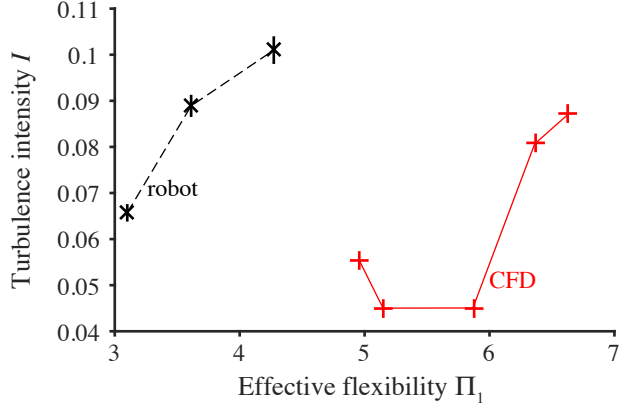


Figure 8: Phase-dependent turbulence intensity for the robot (black) and computational swimmer (red). [1 column width.]

371 swimming speed (Fig. 8a, 4a). For the simulation, the intermediate stiffnesses have the
 372 lowest turbulence intensity, but the stiffer swimmer, which has a somewhat less repeatable
 373 wake, swims faster (Fig. 8b, 4b). Resonance seems to increase the regularity of the wake,
 374 particularly for the robot, but since the resonant frequencies were much lower than the test
 375 frequencies shown in Fig. 8, the turbulence intensity results from resonant trials are not
 376 directly comparable and are therefore not shown.

377 For the computational swimmer, we were able to examine how the changes in pressure
 378 near the tail relate to the stiffness of the body and the coherence of the wake. Fig. 9 shows
 379 that, for the two most flexible swimmers, the pressure varies over a higher range than the
 380 stiffer swimmers ($E > 0.76$ MPa). For the stiffest swimmer ($E = 1.06$ MPa), the pressure
 381 tends to have small jumps, while at intermediate stiffness, the pressure varies both smoothly
 382 and over a smaller range. These swimmers also swim fastest (Fig. 4b) and have wakes with
 383 lower turbulence intensity (Fig. 8). At an intermediate stiffness (0.98 MPa) we find that the
 384 pressure fluctuates the least. The intermediate swimmer also has the most coherent wake
 385 (Fig. 7b, Fig. 8). The larger fluctuations in pressure for the high and low stiffness swimmers
 386 corresponds to increased vortex shedding and a less coherent wake (Fig. 7a and c).

387 E. Wake power

388 We find that more flexible swimmers dump relatively more kinetic energy into the wake
 389 than stiffer swimmers, resulting in a larger wake power coefficient (Fig. 10). This repre-

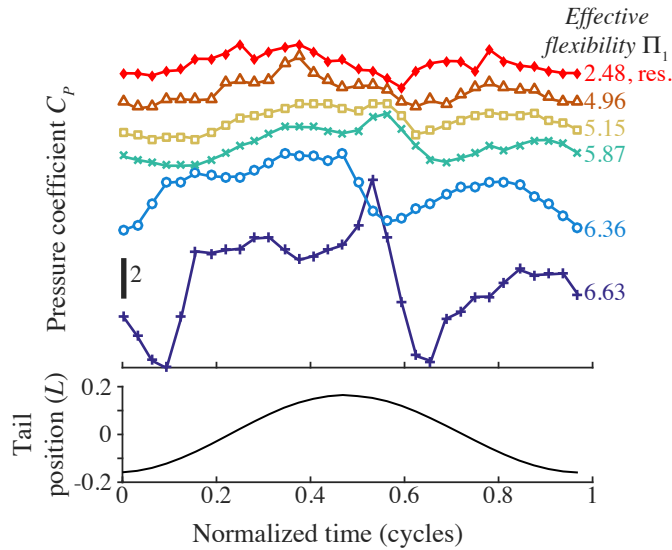


Figure 9: Pressure coefficient near the tail tip and tail position for computational simulations with different stiffnesses. For visibility, the pressure traces have been offset from one another, arranged from the most flexible on the bottom to the stiffest on the top. The top (red) trace shows pressure from the 0.5 Hz swimmer, which is at its resonant frequency. [1 column width.]

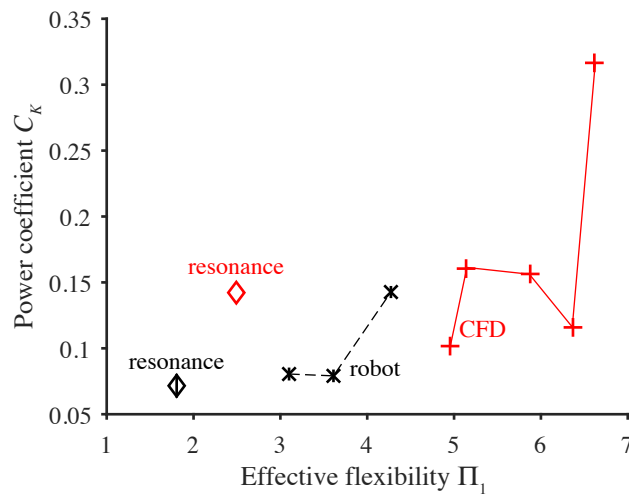


Figure 10: Wake power coefficients for the robotic (black) and computational (red) swimmers with different effective flexibility. [2 column width.]

390 sends wasted energy, the portion of the overall energetic budget that does not help propel
 391 the animal forward, indicating that the more flexible swimmers are probably less efficient
 392 than the stiffer swimmers. Efficiency is difficult to assess during steady free swimming

393 [32, 33, 42]. The total force on a swimmer, averaged over a cycle period, must be zero,
394 because the swimmer is neither accelerating nor decelerating. For anguilliform swimmers
395 like those studied here, the thrust and drag forces also balance fairly evenly along the body
396 [42]. Thus, neither thrust nor drag can be measured directly, even for the computational
397 swimmer. Various groups [43, 44] have used different approximations for thrust or drag.
398 In particular, Raspa *et al.* [44] found that thrust from elongated body theory matched the
399 drag due to streamwise vortices shed along the top and bottom of a flapping plate. It is
400 not clear, however, how this would apply to a 2D swimmer, which cannot shed these types
401 of vortices. Instead, we use the wake power, a different measure of efficiency. Higher wake
402 power indicates a less efficient swimmer [42].

403 In a previous paper [6], we used elongated body theory to estimate the mean thrust for
404 the robot with flexible tails. We found that the thrust decreased as flexibility increased.
405 Here, we find that the wake power coefficient increases with increasing flexibility (Fig. 10);
406 together, the decrease in thrust and increase in wasted power show that efficiency drops
407 dramatically as flexibility increases, for these very flexible swimmers. Other groups [e.g.
408 45, 46] have found that a passively flexible tail or trailing edge flap can increase swimming
409 performance, but these studies used much stiffer materials.

410 The increase in wake power is largely due to an increase in the lateral velocities in the
411 wake. Fig. 6 and 7 show the wakes for the computational and robotic swimmers, with
412 representative velocity vectors show in the jet regions of the wake. Note that the lateral
413 velocities are higher for the more flexible swimmers (Fig. 6a and 7a, particularly), while the
414 axial velocities are fairly similar.

415 Quinn *et al.* [9] found that maximum efficiency at resonant frequencies increased for
416 flapping foils as they became more flexible, while we found that more flexible foils were
417 less efficient. However, these results do not contradict ours, because the relationship was
418 quite complex; depending on the flow speed and frequency, the efficiency could increase or
419 decrease as stiffness changed [9].

420 IV. CONCLUSIONS

421 To understand the role of body stiffness in the swimming performance of fish, it is very
422 challenging to study actual fish. Related species may differ in stiffness [2], but they often

423 have many other differences. Instead, robotic and computational models offer a controlled
424 way to analyze flow features and mechanics as a function of body flexibility [47]. While
425 the models presented here are great simplifications of the true animal, they are not simple.
426 The robotic model undulates its body to produce thrust, but its tail flexes passively in
427 response to fluid forces. These interactions are still poorly understood. The computational
428 model couples an actuated, elastic swimmer to the full Navier-Stokes equations, albeit in
429 2D. In order to characterize the bending modulus and the resonant frequency of the flexible
430 components of each model, similar experiments that bent or oscillated the robot tail and the
431 computational swimmer in a physical water tank or an *in-silico* tank were performed. Even
432 though the 3D robot and the 2D computational swimmer are such different constructs chosen
433 to represent an anguilliform swimmer, we see excellent qualitative agreement when comparing
434 many metrics. In both, an active swimmer produces a 2P wake structure, and increasing
435 flexibility decreases the wake coherence and increases the instances of spontaneous vortex
436 shedding. The coefficient of pressure very near the tip of the tail in both cases contains three
437 local maxima per cycle. These maxima are connected to vortex shedding at the tail and
438 vorticity fields show this connection. Not surprisingly, the wake features of the 3D robot
439 match those of a swimming eel more closely than the 2D computational swimmer.

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