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# Universal relations for solitary waves in granular crystals under shocks with finite rise and decay times 

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# Universal Relations for Solitary Waves in Granular Crystals under Finite Rise-decay Duration Shocks 

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#### Abstract

We focus on solitary waves generated in arrays of lightly contacting spherical elastic granules by shock forces of steep rise and slow decay durations, and establish a priori: (i) whether the peak value of the resulting solitary wave would be greater, equal, or less than the peak value of the input shock force; (ii) the magnitude of the peak value of the solitary waves; (iii) the magnitude of the linear momentum in each solitary wave; (iv) the magnitude of the linear momentum added to the remaining granules, if the first granule is ejected; and (v) a quantitative estimate of the effect of the granules' radius, density and stiffness on force amplification/mitigation. We have supported the analytical results by direct numerical simulations.


Keywords: Granular media; solitary waves; shock loading; finite rise and decay durations.

## 1. Introduction

Ordered granular media are a type of nonlinear phononic crystals [1] highly suited for stresswave management $[2,3]$. They may have one-, two-, or three-dimensional alignments [2,4-12], where the nearest neighbors exchange energy and momentum through strongly nonlinear Hertzian interaction [13]. Nesterenko is the first to show that such a nonlinear relation between contact force and position produces a new class of translational solitary waves that involve no vibrations and have a compact support of about 5 granules [2,4] in monodispersed granular chains. They are excellent media for passive energy absorption and shock mitigation [4,10,14,15]. Diatomic and tapered chains are also studied by several researchers analytically,
numerically, and experimentally $[2,16-22]$ as shock protectors. These studies, however, consider impulsive loads. In reality, the applied forces due to impact- or explosive-induced shocks have finite steep rise and slow decay periods that have not been theoretically studied. It may also be possible to produce input forces with slow rise and steep decay periods. While our focus here is on mechanically induced shocks, our approach may also be applicable to input forces with slow rise and fast decay periods, for which one or several granules may be ejected, adding linear momentum to the remaining granules. However, we do not address this issue in the present paper.

## 2. Statement of Problem and System Description

When the applied force is an impulse, imparting an initial velocity to the first granule of an ordered granular crystal, then after an initial transient period, the contact force between the granules forms a single localized wave-form, called solitary wave (SW). We seek to broaden the class of input forces, focusing on realistic shock loads which generally have steep rise and slow decay finite durations.

Consider a set of lightly contacting one-dimensional identical elastic spheres, subjected to an applied force, $F\left(t ; t_{0}\right)$, of finite rise time, $t_{0}$, and finite decay time. The dimensionless governing equations of motion are

$$
\begin{equation*}
x_{1}^{\prime \prime}=\tilde{F}(\tau)-\left[\left(x_{1}-x_{2}\right)_{+}^{3 / 2}\right], \quad x_{i}^{\prime \prime}=\left[\left(x_{i-1}-x_{i}\right)_{+}^{3 / 2}-\left(x_{i}-x_{i+1}\right)_{+}^{3 / 2}\right], \quad x_{N}^{\prime \prime}=\left[\left(x_{N-1}-x_{N}\right)_{+}^{3 / 2}\right], \tag{1}
\end{equation*}
$$

where prime denotes differentiation with respect to normalized time $\tau=t / \beta$, with $t$ being the dimensional time unit, and $\beta=\left[2 \sqrt{2}\left(1-v^{2}\right) \pi\right]^{1 / 2}[\sqrt{(E / \rho)} / R]^{-1}$, where $E$ is the Young's modulus, $v$ is the Poisson's ratio, and $R$ is the radius of a typical granule. The chain is free at both ends. In (1), $x_{i}$ is normalized displacement of the $i^{\text {th }}$ granule, measured relative to its initial position, $i=2, \cdots, N-1, \tilde{F}(\tau)$ is the normalized externally applied force to the first granule, $\tilde{F}(\tau)=F\left(t ; t_{0}\right) / \bar{\alpha}$, where $\bar{\alpha}=\sqrt{2} E R^{2}\left[3\left(1-v^{2}\right)\right]^{-1}$. In the absence of loads, the granules are in light contact with no compression, forming a system of No-tension elastic medium [23].

The considered input (dimensional) shock, $F\left(t ; t_{0}\right)$, is defined by,

$$
\begin{equation*}
F\left(t ; t_{0}\right)=F_{0}\left[F_{1}(t) H\left(t_{0}-t\right)+F_{2}(t) H\left(t-t_{0}\right) H\left(n t_{0}-t\right)\right] ; \quad t \in(0, \infty], \tag{2}
\end{equation*}
$$

which has finite rise and finite decay durations, a common characteristic of an actual shock. Here, $t_{0}$ is the rise time (used as unit of time), $n t_{0}$ is the total duration of the shock with $\{n \in \mathbb{R} \mid n>1\}, F_{0}$ is the peak value of the shock (used as unit of force), $F_{1}(t)$ and $F_{2}(t)$ with peak values of 1 represent shock profiles during rise and decay periods, respectively, and $H(\cdot)$ is the Heaviside step function. Therefore, $F\left(t ; t_{0}\right)=F_{0} F_{1}(t)$ for $0 \leq t \leq t_{0}, F\left(t ; t_{0}\right)=F_{0} F_{2}(t)$ for $t_{0} \leq t \leq n t_{0}, \quad F\left(t ; t_{0}\right)=0$ for $t \geq n t_{0}$, and $\max \left|F_{1}(t)\right|=\max \left|F_{2}(t)\right|=1$.

In general, impact- or explosive-induced shocks have steep rise followed by slow decay periods. We focus on a class of such shocks and limit our attention to realistic cases where the input rise and decay profiles of the applied force, $F_{1}(t)$ and $F_{2}(t)$, satisfy,

$$
\begin{equation*}
\int_{t_{0}}^{n t_{0}} F_{2}(t) d t \geq \int_{0}^{t_{0}} F_{1}(t) d t ; \quad \int_{0}^{t_{0}} F_{1}(t) d t \geq \frac{1}{2} t_{0} ; \quad \int_{t_{0}}^{n t_{0}} F_{2}(t) d t \leq \frac{(n-1)}{2} t_{0} . \tag{3}
\end{equation*}
$$

The first condition guarantees that the rise is faster than the decay, and the second one requires that the area under the rise profile be at least equal to that of a triangle. Finally, the last restriction ensures that the area under the decay profile is not greater than that of a triangle with the same duration.

## 3. Characteristics of SW

The linear momentum of a SW traveling in ordered granular crystals, is $L_{S W}=\int_{0}^{\infty} F_{S W}(t) d t$, where $F_{S W}(t)$ represents the time variation of SW at each neighboring granules contact. The profile of a SW can be expressed in terms of the Padé approximation [24] as follows:

$$
\begin{equation*}
F_{S W}(t) \simeq \frac{\sqrt{2 R} E}{3\left(1-v^{2}\right)}\left[B S\left((B / R)^{1 / 4} t\right)\right]^{3 / 2}, \tag{4a}
\end{equation*}
$$

where $B$ is the peak amplitude of the relative displacement of two contacting granules, and $S(\tau)$ is given by $S(\tau)=\left(1+q_{2} \tau^{2}+q_{4} \tau^{4}+q_{6} \tau^{6}+q_{8} \tau^{8}\right)^{-2}$, with $q_{2}=0.439, q_{4}=0.0994, q_{6}=0.0147$ and $q_{8}=0.0013$; see [24]. According to the Hertzian contact, the peak value of the SW, $\max \left[F_{S W}(t)\right]=F_{\mathrm{SW}_{\max }}$, is given by $\frac{\sqrt{2 R} E}{3\left(1-v^{2}\right)} B^{3 / 2}$. Hence,

$$
L_{S W} \simeq F_{\mathrm{SW}_{\max }} \beta\left(\frac{R}{B}\right)^{1 / 4} \int_{-\infty}^{\infty}\{S(\tau)\}^{3 / 2} d \tau=1.543 F_{\mathrm{SW}_{\max }} \beta\left(\frac{R}{B}\right)^{1 / 4} \simeq 4.06\left(\frac{E}{\left(1-v^{2}\right) \rho^{3 / 2} R^{4}}\right)^{-1 / 3} F_{\mathrm{sw}_{\max }}^{5 / 6}
$$

Our numerical simulations show that the Pade approximation underestimates the value of the integral in the above expression by about 7\%; see Appendix A. Hence the coefficient 4.06 needs to be increased by about $7 \%$ to $13 / 3$, leading to the following fundamental result:

$$
\begin{equation*}
L_{S W} \simeq A F_{\mathrm{sw}}^{5 / 6}, \quad A=\frac{13}{3}\left(\frac{\left(1-v^{2}\right) \rho^{3 / 2} R^{4}}{E}\right)^{1 / 3} \tag{4b,c}
\end{equation*}
$$

The parameter $A$ characterizes the total linear momentum of a fully developed SW that the corresponding chain of contacting granules can support. For a given shock input, the peak value of the resulting $\mathrm{SW}, F_{\mathrm{SW}_{\max }}$, also depends on the parameter $A$, and, as shown later on, this peak value increases with granules' increasing stiffness and granules' decreasing density and radius, according to the structure of parameter $A$. Therefore $A$ embodies significant amount of information about the resulting SW.

## 4. Response of the System

The total linear momentum of the input force (2) is $L_{\text {Applied }} \equiv\left[\int_{0}^{t_{0}} F_{1}(t) d t+\int_{t_{0}}^{n t_{0}} F_{2}(t) d t\right] F_{0}$. In certain cases, the applied force may introduce a train of SWs. Then, only a part of the input momentum will be used to create the primary SW. Let the portion $L_{A p p l i e d}^{P}=A F_{S W_{\max }}^{5 / 6}$ of the total input momentum, $L_{\text {Applied }}$, be used to form the primary SW of the peak amplitude $F_{\mathrm{SW}_{\max }}$, where the superscript " $P$ " stands for the primary SW . Set $t^{P}=\alpha A F_{S W_{\max }}^{-1 / 6}$, where $\alpha$ accounts for the profile of the input force (see comments below) and note,

$$
L_{A p p l i e d}^{P}=\left\{\begin{array}{l}
{\left[\begin{array}{l}
{\left[\int_{0}^{t_{0}} F_{1}(t) d t+\int_{t_{0}}^{n t_{0}} F_{2}(t) d t\right] F_{0}=L_{\text {Applied }} ;} \\
{\left[\int_{0}^{t_{0}} F_{1}(t) d t+\int_{t_{0}}^{\left(\alpha A F_{1 w_{\text {max }}^{-1 / 6}}^{-1 /}\right)} F_{2}(t) d t\right] F_{0}<L_{\text {Applied }} ; \quad \text { if }\left(\alpha A F_{S W_{\max }}^{-1 / 6}\right)<n t_{0}}
\end{array} .\right.} \tag{5a}
\end{array}\right.
$$

The top expression corresponds to cases when the entire linear momentum is used to form a single (primary) SW, and the second expression is for cases when more than one SW would be formed. The time-parameter $t^{P}=\alpha A F_{S W_{\max }}^{-1 / 6} \quad$ can be calculated from $\int_{t^{p}}^{n t_{0}} F_{2}(t) d t=\left(L_{\text {Applied }}-L_{S W}\right) / F_{0}$. For a triangular input shock of peak value $F_{0}$, it can easily be shown that the time parameter $t^{P}$ is given by, $t^{P}=n t_{0}-\sqrt{(n-1) t_{0}\left[n t_{0}-2 A F_{S W_{\max }}^{5 / 6} / F_{0}\right]}$; see Appendix B for details. In this case, we must have

$$
\begin{equation*}
n t_{0} \geq 2 \frac{A F_{S W_{\max }}^{5 / 6}}{F_{0}}=2 \frac{F_{S W_{\max }}}{F_{0}} A F_{S W_{\max }}^{-1 / 6}=\alpha A F_{S W_{\max }}^{-1 / 6}, \tag{5b}
\end{equation*}
$$

which provides an expression for $\alpha$, i.e. $\alpha=2 F_{S W_{\max }} / F_{0}$. For a general input shock profile, $\alpha$ must accounts for the deviation of the input shock profile from the corresponding triangular one. Then, for profiles which satisfy conditions (2), we have observed that the expression,

$$
\begin{equation*}
\alpha=2 \frac{F_{S W_{\max }}}{F_{0}}-\left|\frac{1}{2}-\int_{0}^{1} F_{1}\left(t_{0} \tau\right) d \tau\right|^{3 / 2}-\left|\frac{1}{2}-\frac{1}{(n-1)} \int_{1}^{n} F_{2}\left(t_{0} \tau\right) d \tau\right|^{3 / 2}, \tag{5c}
\end{equation*}
$$

yields accurate results for $t_{0} \geq\left|t_{0}-\hat{t}_{0}\right|$, which we have verified by extensive numerical simulations; see comments in subsections 4.1 and 4.2.

### 4.1. Qualitative Prediction of Peak Contact Force, $F_{\mathrm{SW}_{\max }}$

We now examine the qualitative relation between the peak values of the input force and that of the resulting primary SW . If the peak value of the primary SW equals $F_{0}$, then, according to equation (4b), the portion of the input linear momentum used to form the primary SW would equal $A F_{0}^{5 / 6}$. But, if this portion is greater (less) than $A F_{0}^{5 / 6}$, then the peak value of the primary

SW would by necessity be greater (less) than $F_{0}$. Therefore we can make the following qualitative prediction:
i. The steady-state peak compressive contact force, $F_{\mathrm{SW}_{\text {max }}}$, would relate to the input shock amplitude, $F_{0}$, in the following manner:
a. If $\left(\alpha^{F_{0}} A F_{0}^{-1 / 6}\right)<n t_{0}$, then a train of SWs form and

$$
F_{\mathrm{SW}_{\max }}\left\{\begin{array}{l}
>F_{0}  \tag{5d}\\
\simeq F_{0} \\
<F_{0}
\end{array}\right\} \text { if }\left(\int_{0}^{t_{0}} F_{1}(t) d t+\int_{t_{0}}^{\left(\alpha^{F_{0}} A F_{0}^{-1 / 6}\right)} F_{2}(t) d t\right)\left\{\begin{array}{l}
>A F_{0}^{-1 / 6} \\
\simeq A F_{0}^{-1 / 6} \\
<A F_{0}^{-1 / 6}
\end{array}\right\} .
$$

b. Else, a single SW forms and

$$
F_{\mathrm{SW}_{\max }}\left\{\begin{array}{l}
>F_{0}  \tag{5e}\\
\simeq F_{0} \\
<F_{0}
\end{array}\right\} \text { if }\left(\int_{0}^{t_{0}} F_{1}(t) d t+\int_{t_{0}}^{n t_{0}} F_{2}(t) d t\right)\left\{\begin{array}{l}
>A F_{0}^{-1 / 6} \\
\simeq A F_{0}^{-1 / 6} \\
<A F_{0}^{-1 / 6}
\end{array}\right\}
$$

where $\alpha^{F_{0}}$ is given by,

$$
\alpha^{F_{0}}=2-\left|\frac{1}{2}-\int_{0}^{1} F_{1}\left(t_{0} \tau\right) d \tau\right|^{3 / 2}-\left|\frac{1}{2}-\frac{1}{(n-1)} \int_{1}^{n} F_{2}\left(t_{0} \tau\right) d \tau\right|^{3 / 2} .
$$

Table 1 shows the qualitative comparison between $F_{\mathrm{SW}_{\max }}$ and $F_{0}$ for different input shock profiles, supporting the predictions based on equations (5d,e).

Table 1. Qualitative comparison between peak value of input shock $\left(F_{0}\right)$ and peak value of the resulting primary $\mathrm{SW}\left(F_{\mathrm{SW}_{\max }}\right)$ using equations (5d) and (5e), for different input force profiles with $\quad F_{1}(t)=\left(t / t_{0}\right)^{\eta_{1}} \quad$ and $\quad F_{2}(t)=\left[\left(n t_{0}-t\right) /\left\{(n-1) t_{0}\right\}\right]^{\eta_{2}}$. System parameters: $F_{0}=100 \mathrm{~N}, t_{0}=15 \mu s, E=193 G P a, \rho=7958 \mathrm{~kg} / \mathrm{m}^{3}, D=9.5 \mathrm{~mm}$.

| $\left(n, \eta_{1}, \eta_{2}\right)$ | Qualitative comparison between $F_{\mathrm{SW}_{\max }}$ and $F_{0}$ |  |
| :--- | :---: | :---: |
|  | Exact (Numerical) | Approximate (Using analytic equations (5d) and (5e)) |
| $(10,1,5)$ | $F_{\mathrm{SW}_{\max }} \simeq F_{0}$ | $F_{\mathrm{SW}_{\max }} \simeq F_{0}$ |
| $(6,0.5,6)$ | $F_{\mathrm{SW}_{\max }}<F_{0}$ | $F_{\mathrm{SW}_{\max }}<F_{0}$ |
| $(4,0.25,1)$ | $F_{\mathrm{SW}_{\max }}>F_{0}$ | $F_{\mathrm{SW}_{\max }}>F_{0}$ |

### 4.2. Quantitative Estimate of Peak Contact Force, $F_{\mathrm{SW}_{\max }}$

If all of the input energy and momentum are not used to form a single SW, secondary or even tertiary SWs may be generated.
4.2.1. Estimate of $F_{\mathrm{SW}_{\text {max }}}$ when $L_{\text {Applied }}^{P}<A F_{0}^{5 / 6}$ and $t^{P}=n t_{0}$

In this case the total momentum of the input force would be used to create a single SW, and in addition the first granule would be ejected, imparting additional linear momentum to the system. (The following calculations do not include this effect, and hence the resulting estimates need to be corrected, as detailed below in subsection 4.2.2). From equation (5a) we have $L_{\text {Applied }}^{P}=L_{\text {Applied }}$ $\simeq L_{S W} \simeq A F_{S W_{\text {max }}}^{5 / 6}<A F_{0}^{5 / 6}$ which leads to,

$$
\begin{equation*}
F_{\mathrm{SW}_{\max }} \simeq\left(\frac{L_{\text {Applied }}^{P}}{A}\right)^{6 / 5}<F_{0} . \tag{5f}
\end{equation*}
$$

This is a relation between $L_{\text {Applied }}^{P}$ and $F_{\mathrm{SW}_{\max }}$. It provides a quantitative estimate of the influence of the properties of the granules on the peak-force amplification/mitigation, for finite duration shock loads, showing how the peak value of the SW increases with increasing stiffness and decreasing density and radius of the granules; see equation (4c). For an impulse load, the
qualitative dependence of the peak stress amplification/mitigation on granules' stiffness, mass density, and radius has been recognized in [2,25].
4.2.2. Error estimate when $L_{\text {Applied }}^{P}<A F_{0}^{5 / 6}$ and $t^{P}=n t_{0}$

In this case the first granule is always ejected, imparting additional momentum to the rest of the granules, which the calculation of $F_{\mathrm{SW}_{\text {max }}}$ based on equation (5f) as outlined above, does not include. We now seek to estimate this momentum. Since the duration, $n t_{0}$, of the input force in equation (2) is measured in terms of its rise time, $t_{0}$, we first calculate a virtual rise time, say $\hat{t}_{0}$, such that, when the same input force-profile is measured in terms of this unit of time, $\hat{t}_{0}$, i.e., when the input force is $F\left(t ; \hat{t}_{0}\right)$, it would generate a SW with $F_{\mathrm{SW}_{\max }} \simeq F_{0}$, or $L_{\text {Applied }}^{P} \simeq A F_{0}^{5 / 6}$. Hence $\hat{t}_{0}$ is given by,

$$
\begin{equation*}
\int_{0}^{\hat{t}_{0}} F_{1}(t) d t+\int_{\hat{t}_{0}}^{n \hat{t}_{0}} F_{2}(t) d t \simeq A F_{0}^{-1 / 6} \tag{5~g}
\end{equation*}
$$

which can be calculated, for example, by iteration. The time-difference $\Delta t_{0}=\hat{t}_{0}-t_{0}$, is a measure of the error in the estimate that does not include the additional momentum due to an ejected granule. When $\Delta t_{0} \simeq 0$, then the first granule would not be ejected, and if it should be then its linear momentum would be negligibly small. We estimate the linear momentum that would be generated if such ejection should occur, i.e. if $\Delta t_{0}>0$. The first granule begins to acquire a velocity anti-parallel with the applied force, when its contact area with the next granule begins to recede as the applied force decays. We use the terminal portion of the force $F\left(t ; \hat{t}_{0}\right)$ (the shaded area in Figure 1), to estimate this additional momentum, $\Delta L \simeq 2 \int_{n \hat{t}_{0}-\Delta t_{0}}^{n \hat{t}_{0}} F\left(t ; \hat{t}_{0}\right) d t$. Then, the total input momentum to form the SW would be $L_{A p p l i e d}^{P}+\Delta L \simeq L_{S W}$, from which we obtain,

$$
\begin{equation*}
F_{\mathrm{SW}_{\max }} \simeq\left(\frac{L_{\text {Applied }}^{P}}{A}+2 \frac{F_{0}}{A}\left\{\int_{n \hat{t}_{0}-\Delta t_{0}}^{n \hat{t}_{0}} F_{2}\left(t ; \hat{t}_{0}\right) d t\right\}\right)^{6 / 5} . \tag{5h}
\end{equation*}
$$



Figure 1. Schematic diagram showing the correction plot to estimate $F_{\mathrm{SW}_{\operatorname{mx}}}$.

Table 2 compares values of $F_{\mathrm{SW}_{\text {max }}}$ given analytically by ( 5 h ) with the corresponding values obtain by numerical solution of equations (1). As is seen errors are quite small.

Table 2. Quantitative comparison of peak value of the resulting primary $\mathrm{SW}\left(F_{\mathrm{SW}_{\max }}\right)$ given analytically by (5h) with the corresponding values obtain by numerical solution of equations (1), for different input force profiles with $F_{1}(t)=\left(t / t_{0}\right)^{\eta_{1}}$ and $F_{2}(t)=\left[\left(n t_{0}-t\right) /\left\{(n-1) t_{0}\right\}\right]^{\eta_{2}}$. System parameters: $F_{0}=100 \mathrm{~N}, t_{0}=15 \mu \mathrm{~s}, E=193 G P a, \rho=7958 \mathrm{~kg} / \mathrm{m}^{3}, D=9.5 \mathrm{~mm}$.

| $\left(n, \eta_{1}, \eta_{2}\right)$ | $\left(\hat{t}_{0}, t_{0}\right)$ in $\mu s$ | \% of error in $F_{\mathrm{SW}_{\max }}$ using equation (5h) |
| :--- | :---: | :---: |
| $(3,0.5,1)$ | $(14.4,7.4)$ | 3.0 |
| $(2,1,1)$ | $(24,18)$ | 2.6 |
| $(4,1 \mathrm{e}-5,1)$ | $(9.6,3.6)$ | 0.5 |
| $(6,0.9,1)$ | $(7.9,1.9)$ | 2.6 |
| $(5,0.25,1)$ | $(8.6,2.6)$ | 2.9 |

4.2.3. $\quad$ Estimation of $F_{\mathrm{SW}_{\max }}$ when $L_{\text {Applied }}^{P} \geq A F_{0}^{5 / 6}$ and $t^{P}=n t_{0}$

In this case, the first granule may be ejected and either a single or double localized SWs can be generated; however the secondary SW would carry negligibly small energy. Therefore, it is
reasonable to assume that all of the available input linear momentum is used to generate a single dominant SW wave, carrying almost all of the input momentum, and set $L_{\text {Applied }}^{P} \simeq L_{S W}$ which produces equation (5f). In this case, the calculation of $F_{\mathrm{SW}_{\max }}$ based on equation (5f) does not introduce significant errors when $L_{\text {Applied }}^{P} \geq A F_{0}^{5 / 6}$. This is due to the fact that even though the first granule (and possibly other granules, close to the application point of the input shock) may be ejected, the energy that they carry away would be insignificantly small.

### 4.2.4. Estimation of $F_{\mathrm{Sw}_{\text {max }}}$ when $t^{P}<n t_{0}$

In this case, multiple localized SWs are expected to form. First we estimate the percentage of the input momentum used to generate the $1^{\text {st }}$ (primary) SW. This would serve to show whether or not all the input momentum is required to generate this SW.

Let the input momentum from time $t=0$ to $t=t^{P}\left(=\alpha A F_{S W_{\text {max }}}^{-1 / 6}\right)$ be used to form a primary SW and set

$$
\int_{0}^{\left(\alpha A F_{S V}^{-1 / 6}\right)} F\left(t ; t_{0}\right) d t=\int_{0}^{\infty} F_{S W, 1}(t) d t,
$$

where $F_{S W, 1}(t)$ represents the time variation of the primary SW. The linear momentum of the primary SW of peak amplitude $\max \left[F_{S W, 1}(t)\right]=F_{\mathrm{SW}_{\max }}$ is $L_{S W, 1} \simeq A F_{\mathrm{SW}_{\max }}^{5 / 6}$ and the linear momentum $L_{\text {Applied }}^{P}$ used to form the SW is given by $L_{A p p l i e d}^{P}=\int_{0}^{\left(\alpha A F_{S \text { max }} \mathrm{FV}_{\text {max }}\right)} F\left(t ; t_{0}\right) d t \simeq L_{S W, 1}$, which produces equation (5f).

### 4.2.5. Estimation of $\operatorname{SW}$ Train (SWT) amplitudes $F_{\mathrm{SW}_{\max }, j}$ when $t^{P}<n t_{0}$

If the input force is through impact of a granule on the first granule of the chain of an ordered granular crystals, then depending on the mass of the striking granule, either a single or multiple SWs (SWT) will be generated [2,26-28]. In this section, however, we seek to calculate the number of SWs and their respective amplitudes for finite duration rise-decay shock loads. Let the input linear momentum from $t=0$ to $t=\left(\alpha A F_{S W_{\max }, 1}^{-1 / 6}\right)$ be used to form the primary SW , i.e.,
$\int_{0}^{\left(\alpha A F_{W \text { max }}^{-1 / 6}, 1\right)} F\left(t ; t_{0}\right) d t=\int_{0}^{\infty} F_{S W, 1}(t) d t$. Similarly, let the input momentum from time $t=\left(\alpha A F_{S W_{\max }, 1}^{-1 / 6}\right)$ to $t=\left(\alpha A F_{S W_{\max }, 2}^{-1 / 6}\right)$ be used to form the secondary SW, and so on. Then, in general, for the $j^{t h}$ secondary SW, we have,

$$
\begin{equation*}
F_{\mathrm{SW}_{\max }, j} \simeq\left[\frac{1}{A} \int_{0}^{\left(\alpha A A F_{\mathrm{swnx}}^{-1 / 6}, j\right.} F(t) d t-\sum_{k=2}^{j} F_{\mathrm{SW}_{\max }, k-1}^{5 / 6}\right]^{6 / 5} ; \quad j=1,2, \cdots, \tag{5i}
\end{equation*}
$$

and hence,

$$
\begin{gathered}
\left(\alpha A F_{S W_{\max }, j}^{-1 / 6}\right)=\alpha A F_{\mathrm{SW}_{\max }, 1}^{-1 / 6}+\alpha_{N e w} A \sum_{k=2}^{j} F_{\mathrm{SW}_{\max }, \mathrm{k}}^{-1 / 6} ; \quad j=1,2, \cdots ; \text { and } \\
\alpha_{N e w}=\frac{8-\sqrt{2}}{4}-\left|\frac{1}{2}-\frac{1}{(n-1)} \int_{1}^{n} F_{2}\left(t_{0} \tau\right) d \tau\right|^{3 / 2} .
\end{gathered}
$$

Equation (5i) can be solved by iteration to obtain the peak values $F_{\mathrm{SW}_{\text {mxx }}, j}$ of the $j^{\text {th }} \mathrm{SW}$.

## 5. Discussion and Conclusion

When the input force to a chain of identical elastic spheres, has finite rise and decay durations, a rich set of interesting dynamic issues emerges. We have considered these issues for a general realistic class of shock-induced input force, and provided explicit expressions and procedures to successfully address them without having to numerically solve the required system of equations. Only the knowledge of the input force and the properties of the considered granules are required in our approach. For this, we have identified two fundamental parameters: one materialdependent parameter, $A$, and the other time parameter, $\left(\alpha A F_{S W_{\max }}^{-1 / 6}\right)$, in addition to the input momentum parameter $L_{\text {Applied }}^{P}$. These parameters can be calculated a priori based on the properties of the granules and the time-profile of the input force. Then based on the relations between $L_{\text {Applied }}^{P}$ and $A F_{0}^{5 / 6}$, we have shown that:
i. If $L_{\text {Applied }}^{P}<A F_{0}^{5 / 6}$ and $t^{P}=n t_{0}$, then the first granule would be ejected and a single localized SW would be generated. As a result, the input energy would decrease and the input linear momentum would increase.
ii. If $L_{\text {Applied }}^{P} \geq A F_{0}^{5 / 6}$, then the first granule may be ejected, and at least one secondary SW would be generated.
iii. If $L_{\text {Applied }}^{P} \gg A F_{0}^{5 / 6}$, then the first granule would not be ejected and a train of SWs would be generated.
iv. When the system generates SWT, the number of SWs and their peak amplitudes can be calculated, as outlined in subsection 4.2.5.

In another word, we have shown for the first time that the entire physics of the SWs in our challenging problem is embedded in the values of only three fundamental quantities. In addition, and only from the structure of the parameter $A$, we have shown, quantitatively, how the peak value of $\mathrm{SW}, F_{\mathrm{SW}_{\max }}$, increases with decreasing granules' radius, $R$, and density, $\rho$, and increasing Young's modulus, $E$. These observations have been made before by other researchers [2,25] qualitatively for an impulsive load only. Our equation (5f) provides new direct quantitative relation between the peak value of SW and the contacting granules' properties for finite duration shock loads.

While our focus has been on chains of identical elastic granules with one-directional Hertzian interaction, we hope that our methodology will pave a way to address similar challenges for two- and three-dimensional granular crystals subjected to general finite duration shocks.

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## Appendix A: Representation of the linear momentum of SW

In this Appendix, we show in detail how we have obtained the closed-form expression (equation ( $4 \mathrm{~b}, \mathrm{c}$ ) of the manuscript) for the linear momentum of a SW. The governing equations of motion of a set of contacting granules (in physical units) are,

$$
\begin{align*}
& m \ddot{u}_{1}=F\left(t ; t_{0}\right)-\frac{\sqrt{2 R} E}{3\left(1-v^{2}\right)}\left(u_{1}-u_{2}\right)_{+}^{3 / 2} ; \quad m \ddot{u}_{N}=\frac{\sqrt{2 R} E}{3\left(1-v^{2}\right)}\left(u_{N-1}-u_{N}\right)_{+}^{3 / 2} \\
& m \ddot{u}_{i}=\frac{\sqrt{2 R} E}{3\left(1-v^{2}\right)}\left[\left(u_{i-1}-u_{i}\right)_{+}^{3 / 2}-\left(u_{i}-u_{i+1}\right)_{+}^{3 / 2}\right] ; \quad i=2, \cdots, N-1, \tag{A1}
\end{align*}
$$

where $m$ is the mass and $u_{i}$ the displacement of the $i^{\text {th }}$ granule, measured relative to its initial position. Now we introduce two dimensionless variables: normalized displacement, $x_{i}=u_{i} / R$, and normalized time, $\tau=t / \beta$, and reduce the governing equations of motion to (1). In the Hertzian contact, the time variation of the contacting force is given by (in dimensional unit), $F_{S W}(t)=\frac{\sqrt{2 R} E}{3\left(1-v^{2}\right)}\left(u_{i}-u_{i+1}\right)^{3 / 2}$. Hence, the linear momentum of a SW is $L_{S W}=\int_{0}^{\infty}\left[\frac{\sqrt{2 R} E}{3\left(1-v^{2}\right)}\left(u_{i}-u_{i+1}\right)^{3 / 2}\right] d t$. Now, we express the SW profile in terms of the Padé approximation [24], i.e. we set $\left(u_{i}-u_{i+1}\right) \simeq B S\left\{(B / R)^{1 / 4} t\right\}$, and obtain,

$$
L_{S W} \simeq \int_{-\infty}^{\infty} \frac{\sqrt{2 R} E}{3\left(1-v^{2}\right)}\left[B S\left\{(B / R)^{1 / 4} t\right\}\right]^{3 / 2} d t \simeq F_{\mathrm{SW}_{\max }} \int_{-\infty}^{\infty}\left[S\left\{(B / R)^{1 / 4} t\right\}\right]^{3 / 2} d t
$$

We then consider non-dimensional unit and set $(B / R)^{1 / 4} t / \beta=\hat{\tau}, d t=\beta(R / B)^{1 / 4} d \hat{\tau}$ and obtain,

$$
L_{S W} \simeq F_{\mathrm{SW}_{\max }} \beta(R / B)^{1 / 4} \int_{-\infty}^{\infty}\{S(\hat{\tau})\}^{3 / 2} d \hat{\tau}
$$

The integral in the above equation can be calculated yielding, $\int_{-\infty}^{\infty}\{S(\hat{\tau})\}^{3 / 2} d \hat{\tau}=1.543$. Therefore, since, $R / B=\left[3\left(1-v^{2}\right) F_{\max } / \sqrt{2} E R^{2}\right]^{-2 / 3}$, we obtain

$$
\begin{align*}
L_{S W} & \simeq F_{\mathrm{SW}_{\max }} \beta(R / B)^{1 / 4} \int_{-\infty}^{\infty}\{S(\hat{\tau})\}^{3 / 2} d \hat{\tau} \\
& \simeq F_{\mathrm{SW}_{\max }}\left[2 \sqrt{2}\left(1-v^{2}\right) \pi\right]^{1 / 2}[\sqrt{(E / \rho)} / R]^{-1}\left[\left[3\left(1-v^{2}\right) F_{\max } / \sqrt{2} E R^{2}\right]^{-2 / 3}\right]^{1 / 4}(  \tag{1.543}\\
& \simeq 4.06\left(\frac{E}{\left(1-v^{2}\right) \rho^{3 / 2} R^{4}}\right)^{-1 / 3} F_{\mathrm{SW}_{\max }}^{5 / 6} .
\end{align*}
$$

(A2)
To obtain the above expression for linear momentum, we have used the Padé approximation to represent the profile of SW. As reported in [24], although the Padé approximation captures this profile fairly well, it does slightly deviate from the exact profile (Figure A1), which introduces some error in equation (A2).


Figure A1. Comparison of time series of contact force profile between exact numerical solution (Numerical) and Padé approximation (Theory).

In Figure A1, we have compared the exact solution (numerical) of time series of contact force profile with that given by the Padé approximation (theory). The Padé approximation underestimates the area enclosed by the profile, introducing an error in estimating the linear momentum. Numerically we have estimated this discrepancy to be about 7\%. Hence, the RHS of equation (A2) is corrected, arriving at equation (4b,c).

## Appendix B: Representation of the dimensionless parameter $\alpha$



Figure B1. Representation of the time-parameter $t^{P}$ when $t^{P}<n t_{0}$.
Figure B1 shows the representation of the time-parameter $t^{P}$ when $t^{P}<n t_{0}$. If the first granule does not eject, we can write,

$$
\begin{equation*}
A_{1}+A_{2}=L_{S W} \Rightarrow\left[\int_{0}^{t_{0}} F_{1}(t) d t+\int_{t_{0}}^{t^{p}} F_{2}(t) d t\right] F_{0}=L_{S W} \Rightarrow \int_{t^{P}}^{n t_{0}} F_{2}(t) d t=\frac{L_{A p p l i e d}-L_{S W}}{F_{0}} \tag{B1}
\end{equation*}
$$

For a triangular shock profile, $F_{1}(t)=\left(t / t_{0}\right)^{\eta_{1}}$ and $F_{2}(t)=\left[\left(n t_{0}-t\right) /\left\{(n-1) t_{0}\right\}\right]$. Therefore, $A_{1}=t_{0} F_{0} / 2$, and $A_{2}=F_{0}\left[(n-1)^{2}\left(t_{0}\right)^{2}-\left[n t_{0}-t^{P}\right]^{2}\right] /\left[2 t_{0}(n-1)\right]$. Substituting in (B1),

$$
\begin{aligned}
& \frac{t_{0}}{2}+\left[\frac{1}{2(n-1)\left(t_{0}\right)}\right]\left[(n-1)^{2}\left(t_{0}\right)^{2}-\left[n t_{0}-t^{P}\right]^{2}\right]=A F_{S W_{\max }}^{5 / 6} / F_{0} \\
& \Rightarrow t^{P}=n t_{0}-\sqrt{(n-1) t_{0}\left[n t_{0}-2 A F_{S W_{\max }}^{5 / 6} / F_{0}\right]}
\end{aligned}
$$

In this case, we must have $(n-1) t_{0}\left[n t_{0}-2 A F_{S W_{\max }}^{5 / 6} / F_{0}\right] \geq 0$. Since, $t_{0}$ is always positive, and with the restrictions imposed on input shock profile (equation (3) in the manuscript), $n$ should be greater than 2 , therefore,

$$
\begin{equation*}
n t_{0} \geq 2 \frac{A F_{S W_{\max }}^{5 / 6}}{F_{0}}=2 \frac{F_{S W_{\max }}}{F_{0}} A F_{S W_{\max }}^{-1 / 6}=\alpha A F_{S W_{\max }}^{-1 / 6} \tag{B2}
\end{equation*}
$$

which provides an expression for $\alpha$, i.e. $\alpha=2 F_{S W_{\max }} / F_{0}$. This also signifies that for triangular input shock, if $n t_{0}>2 A F_{0}^{-1 / 6}$, then $F_{S W_{\max }}$ would be always higher than $F_{0}$ and a train of SWs would form. For a general input shock profile, $\alpha$ must accounts for the deviation of the input shock profile from the corresponding triangular one, as given in the manuscript.

## Input forces with slow rise and steep decay periods

Though slow rise and steep decay finite-duration forces are not characterized as "shock loads" and are not easy to realize (even in a laboratory setting), we have examined these cases as well. As shown, the developed analytical tolls can be successfully used to qualitatively and quantitative estimate the peak value of the primary SW. For slow rise and steep decay finiteduration loads, the first granule (and possibly other granules, close to the application point of the input force) would be ejected. In that case, energy would be carried away and momentum would be added to the remaining chain. The resulting error that is introduced by this in the initial estimate can be calculated and corrected. However, we do not address this issue in the present paper. The following numerical results should illustrate what could happen for such cases.

Consider an input force profile such that $F_{1}(t)=t / t_{0}$, and $F_{2}(t)=\left(n t_{0}-t\right) /\left[(n-1) t_{0}\right]$, in order to examine the effect of slow rise and steep decay input forces. For the same peak value, we keep the total pulse duration constant, i.e. fix $n t_{0}$, and vary the rise time. Four examples are shown in Figure B2a. In the inset of Figure B2b, the corresponding terminal velocity of the first granule is plotted. As is seen, the first granule attains a negative velocity for the input force whose rise time exceeds its decay time. The magnitude of this negative velocity increases as the decay duration decreases.

As illustrated by Figure B2, for slow rise and steep decay finite-duration loads (for $n<2$ in Figure B2a), the first granule would be ejected (as shown in Figure B2b by the negative value of the granule's terminal velocity), and hence energy would be carried away and momentum would be added to the remaining chain. The smaller the value of $n$ (smaller $n$ indicates slower rise and steeper decay input load), the higher is the ejection velocity of the first granule.


Figure B2. (a) Triangular input force profile with fixed total pulse duration but variable rise time; and (b) the first granule's velocity profile; here $\operatorname{Min}\left(\mathrm{V}_{1 \text { st }}\right)$ stands for the end condition of the first granule; negative velocity shows the granule will be ejected.

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