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M. Serra-Garcia, J. Lydon, and C. Daraio Phys. Rev. E **93**, 010901 — Published 12 January 2016 DOI: 10.1103/PhysRevE.93.010901

Extreme stiffness tunability through the excitation of nonlinear defect modes.

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Abstract

The incremental stiffness characterizes the variation of a material's force response to a small deformation change. In lattices with non-interacting vibrational modes, the excitation of localized states does not have any effect on material properties such as the incremental stiffness. We report that, in nonlinear lattices, driving a defect mode introduces changes in the static force-displacement relation of the material. By varying the defect excitation frequency and amplitude, the incremental stiffness can be tuned continuously to arbitrarily large positive or negative values. Furthermore, the defect excitation parameters also determine the displacement region at which the force-displacement relation is being tuned. We demonstrate this phenomenon experimentally in a compressed array of spheres tuning its incremental stiffness from a finite, positive value, to zero, and continuously down to negative infinity.

Main Text

Defects are ubiquitous in materials. Initially thought to decrease a material's performance, deliberately introducing defects is now key to achieving desirable properties [1]. A characteristic feature of defects is that they allow localized states of vibration to exist in the vicinity of a defect [2]. Previous studies have explored the effect of these defect modes on the electrical [3], thermal [4,5] and optomechanical [6] properties of materials, but no study so far has attempted the deliberate excitation of localized defect modes as a means to change bulk material properties. Having materials with extreme properties is desirable from a practical point of view, because they enable devices that can focus [7], cloak [8-10] or mitigate vibrations [11] with a performance greater than that allowed by conventional wave mechanics. This desire has motivated the use of resonances [9,11], buckling elements [12], negative stiffness inclusions [13,14] or magnetic coupling between particles [15,16] in order to achieve a stiffness that is negative, zero or higher than that of diamond. These principles result in extreme material properties, but only over a narrow range of displacements [12], frequencies [17] or temperatures [13,18].

In this letter we demonstrate a physical mechanism that results in extreme values of the incremental stiffness, defined as the change in the material's reaction force when its deformation is changed. The mechanism is based on the nonlinear interaction between lattice particles. A distinctive property of nonlinear lattices is the presence of thermal expansion [19], in which the lattice expands or contracts as a response to an increase or decrease in its vibrational energy. In our system, we drive a defect mode in a lattice with a harmonic signal. As a consequence of anharmonicity in the lattice, an external deformation affects the resonance frequency of the defect. This causes the defect mode to move in and out of resonance when the lattice is deformed. The resulting changes in the vibrational amplitude cause a dynamic expansion or contraction of the defect. This affects the force at the boundary, and therefore alters the incremental stiffness of the lattice. We use this concept to achieve negative stiffness (Fig. 1a).



FIG. 1 (color online). Tuning the stiffness through dynamic expansion. (a) Schematic diagram of the tunable stiffness mechanism illustrated in a 1-D granular chain. The diagram shows the response of the lattice to a prescribed boundary displacement. During this displacement the defect is subject to a harmonic excitation at fixed frequency and amplitude, as a consequence, it vibrates with an amplitude A. As the lattice is compressed (green arrow), the defect mode is detuned from the excitation signal (red arrows). This results in a negative incremental stiffness due to dynamic contraction of the defect mode. (b) Changes of the driving frequency and amplitude of the excitation determine the incremental stiffness, and (c) the strain point at which the stiffness is being modified. The curves are offset for clarity.

We demonstrate the concept experimentally in a one-dimensional lattice of 9 coupled steel (Young modulus E = 210 GPa) spheres. The spheres have a radius of 9.525 mm and a mass of 28.4 g, except for two particles in the center. (see Fig. 1a, and Supplemental Information [20]). The interaction between the spheres is modeled using the Hertzian contact law[21]. The central particle is a defect that allows the existence of a localized vibrational mode[2,21,22] The defect is a 4.763 mm sphere. The particle next to the defect consists of a piezoelectric actuator sandwiched between two steel cylinders with $r = 20 \ mm$ and $h = 4 \ mm$. This particle is used to harmonically excite the defect mode. The lattice is kept in place using two polycarbonate rods. We monitor the defect mode vibration using a laser Doppler vibrometer pointing at the particle next to the defect. We acquire the guasi-static force-displacement relation of the lattice, by prescribing an external deformation using a piezoelectric actuator placed at one end of the chain, while simultaneously measuring the force at the opposite boundary. The vibration of the defect mode affects the force-displacement relation. The amplitude and frequency of the defect excitation control the mechanical properties of the material. Using these variables we can select both the incremental stiffness magnitude (Fig. 1b and Supplemental Video 1 [23]) and the displacement point where the incremental stiffness is being modified (Fig. 1c and Supplemental Video 2[23]) This allows tuning the force-displacement response of a lattice at a selectable displacement value, a capability that exists in biological organisms[24], but not in systems that exhibit negative stiffness when subject to an external energy input[25,26].

Due to the nonlinearity of the lattice, the measured force depends on both the applied displacement and on the amplitude of the mode, F(X, A). Therefore, the incremental stiffness, defined as the total derivative of the force with respect to the displacement, is given by the equation:

$$\frac{dF}{dx} = \left(\frac{\partial F}{\partial X}\right)_A + \left(\frac{\partial F}{\partial A}\right)_X \frac{\partial A}{\partial X}$$
 Eq. 1

The first term on the right side of Eq. 1 gives the stiffness of the lattice neglecting any change in the defect mode's amplitude. The second term describes the effect of the oscillation of the defect mode. The function $(\partial F/\partial A)_X$ is the change in the force due to a change in amplitude of the defect mode and quantifies the intensity of the thermal expansion. From a dynamical point of view, this arises due to an asymmetry of the interaction potential[19] and in our lattice is always positive (see Supplemental Materials[20]). Finally, the effect of the strain on the amplitude of the mode is contained in the quantity $\partial A/\partial X$.

The vibration amplitude's dependence on strain is a consequence of the harmonic excitation and of the nonlinearity present in the chain. The harmonic excitation results in a defect mode resonance, which occurs when the defect mode's frequency F_0 matches the excitation frequency F_d . The nonlinearity relates the mode's frequency, F_0 , to the lattice strain, X[21]. In our system the Hertzian contact results in the relationship, $F_0 \propto X^{1/4}$. As a result, straining the lattice causes a change in the mode's frequency

(Fig. 2a). If the mode's frequency approaches the excitation frequency, the mode gets closer to resonance, and therefore the oscillation amplitude increases. Conversely, if the mode frequency moves away from the excitation frequency, the oscillation amplitude decreases (Fig 2b.). This strain controlled resonance results in a dependence of amplitude on strain and therefore, in a non-zero $\partial A/\partial x$.

Different excitation frequencies cause the resonance to happen at different strain values (Figs 2a,b). This is due to aforementioned frequency strain relationship, which associates a particular resonance strain to each excitation frequency. By choosing the excitation frequency we are able to set the displacement region where the system is in resonance and the stiffness is being modified (Fig. 2b).



FIG. 2 (color online). Response of the nonlinear defect mode. (a) Theoretical defect mode (blue) and acoustic band (green) frequencies dependence on prescribed displacement. Experimental measurements are plotted as red dots with the four curves in panel (b) marked with black crosses. (b) Normalized experimental velocity of the defect mode as a function of displacement of the lattice. Curves correspond to excitation frequencies of 10(blue, solid), 10.5(green, dashed), 11(red, dashed-dotted) and 11.5 kHz (cyan, dotted). The frequencies in panel (a) are obtained by fitting these curves using a Lorentzian function. (c) Experimental velocity of the defect mode v_d , measured at the site next to the defect particle, for drive amplitudes of 4.2, (blue, solid), 9.8 (green, dashed) and 15.4 nm (red, dotted) all at 10.5 kHz. (d) Numerical results corresponding to c, for defects driven at 20, 50, and 80 nm, respectively. Our discrete particle model (see Methods) qualitatively reproduces the experimental results, but is unable to make precise quantitative predictions, this could be due to the fact that our model neglects experimental factors such as internal particle and actuator resonances, as well as the nonlinear friction between the particles and the rods.

The effect of the excitation amplitude on the defect's vibration is shown in Fig. 2c,d. As expected, driving the defect with larger harmonic forces results in larger oscillations. Furthermore, as the excitation amplitude gets larger the resonance response becomes increasingly asymmetric. This is a common property of driven nonlinear oscillators close to a bifurcation[27]. As nonlinear system's approach bifurcation points, oscillations become extremely sensitive to the strain[28]; in our system the magnitude of $\partial A/\partial x$ approaches minus infinity. This allows us to achieve arbitrarily large negative values of incremental stiffness.

These extreme negative values have been attained experimentally. The measured forcedisplacement curves at four different drive amplitudes are shown in Fig. 3. The incremental stiffness at our selected strain progressively decreases as the defect excitation is increased (Fig. 3a-d). For the largest excitation amplitude, the forcedisplacement curve is discontinuous, indicating that the stiffness is extremely negative (Fig. 3d). This indicates that the excitation is very close or above the bifurcation amplitude. In order to validate that this effect is due to the defect's vibration, we simultaneously measure the defect's mode amplitude, presented below each forcedisplacement curve in Fig. 3a-d. The greatest change in the incremental stiffness happens where the slope, $\partial A/\partial x$, is the most negative. This occurs because larger changes in vibrational amplitude are accompanied by larger changes in dynamic expansion. The forces introduced by this dynamic expansion are small, a feature that we attribute to the dimensions of our system and the properties of the Hertzian interaction. It should be noted that the negative stiffness values are stable because our experiment is done under prescribed displacement boundary conditions.



FIG. 3 (color online). Experimental tuning of the incremental stiffness. Force- displacement curves for excitation amplitudes of (a) 5.9 nm (b) 6.4 nm (c) 7.54 nm (d) 10.9 nm. Shown below are the defect mode velocities (proportional to the mode amplitude, A(x)) as a function of the overall displacement, x, of the lattice. In panel d, the system discontinuously transitions between

two oscillation branches. This introduces a region of completely vertical slope in the forcedisplacement curve. The curves have been measured at an increasing displacement rate of 0.53 nm/s.

Each pair of drive frequency and amplitude results in a determined incremental stiffness at a particular displacement point. We explore this relationship analytically by constructing a discrete particle model. The model accounts for the nonlinear interaction between particles and for losses due to linear damping. (see Supplemental Information for a complete description[20]) in Fig. 4a. The blue lines show contours at the same excitation amplitude and the red lines at the same frequency. To get a particular stiffness at a desired displacement, we select the excitation parameters corresponding to the lines passing through this point. While we only show a finite number of constant lines, all possible values in the shaded region are attainable. In the theoretical model, the stiffness tuning mechanism works to arbitrarily large displacements; in practice, the system will be limited to a smaller range due to the presence of plastic deformation at the contacts.



FIG. 4 (color online). Theoretical Investigation. (a) Map relating the excitation parameters with the modified incremental stiffness and displacement point. Each point position in the map corresponds to tuning the stiffness to the value in the Y-axis at the displacement point indicated by the X-axis. Each dotted red line defines a set of tuned stiffness states that are accomplished by the same excitation frequency. Solid blue lines represent sets of tuned stiffness that are attained by the same excitation amplitude. The intersection between red lines and blue lines determines the excitation frequency and amplitude required to achieve the stiffness labeled by

the Y-axis at the displacement labeled by the X-axis. (b) Zero frequency band gap obtained by choosing excitation parameters corresponding to zero stiffness for the lattice. The blue and green line show the force transmitted with the defect drive on and off, respectively. When the defect excitation is turned off, the lattice acts as a linear spring for small deformations around the prescribed displacement value; when the defect excitation is turned on, there is a band-gap centered at zero frequency. The dotted red line shows the band gap edge frequency, f_c . (c) Force-displacement relationships of the system when it is driven above the bifurcation amplitude. The presence of a tunable hysteresis allows the system to be used as a tunable damper. (d) Analytical force-displacement relation for a lattice of particles with the nonlinear interaction force law $F(\delta) = A\delta^{0.5}$ (See supplemental information for details on the parameters used[20]), with a defect excitation frequency of 13.5 KHz and amplitudes 0.72N (blue, solid), 0.74N (green, dashed), 0.76N (red, dashed-dotted) and 0.78N (cyan, dotted). For this potential exciting the defect mode results in an arbitrarily large positive stiffness.

A remarkable feature of the mechanism presented in this letter is that it results in a zero incremental stiffness for certain defect excitation parameters. In this region the material will support a load, but it will not transmit any vibration to it, which is of great practical relevance [29]. In the zero stiffness region the lattice will have a zero frequency band gap. Tunable band gaps in mechanical metamaterials can be found in the literature [30,31]. However, a distinctive feature of our mechanism is that it leads to band-gaps centered at zero frequency. We simulate the band-gap using our numerical model. In the simulation, the lattice is subject to a static compression. We adjust the defect's excitation frequency and amplitude to tune the stiffness to zero at this compression value. We then apply a very small amplitude periodic deformation in one end of the chain. The deformation has a frequency f_I . Simultaneously, we monitor the transmitted force at the other end (Fig 4b). We can see that the band gap exists only at low frequencies, and that that high frequency deformations can propagate without attenuation. We quantify the width of the band gap by fitting the transmission to a first order high pass filter, $H(f_L) = (f_L/f_c)/\sqrt{1 + (f_L/f_c)^2}$. This results in a cutoff frequency, $f_c = 20.35 \, Hz$. The upper end of the band-gap is a consequence of the fact that the predicted zero stiffness force versus displacement relationship assumes a defect mode oscillating in steadystate. When we change the deformation of the lattice, the steady-state oscillation of the defect is perturbed. The system cannot recover the steady state motion instantaneously. The time it takes for the defect mode to relax back to its steady state limits the upper frequency of the band gap. The speed of the system can be analyzed by using a linear perturbation method (Floquet analysis, see Supplemental Information[20]). It is possible to attain higher cut-off frequencies by using smaller particles (see supplementary information of ref. [21]).

At the point where the stiffness reaches minus infinity, the dynamics undergoes a bifurcation. Bifurcations are known to occur in granular lattices with defects [32]. At this bifurcation point the system goes from having a single solution to having multiple stable solutions[27]. This leads to a hysteretic force-displacement response, with the system following different paths when contracting or expanding (Fig. 4c). The area of the hysteresis loop corresponds to the loss of energy incurred as the lattice is driven around a compression cycle. The non-conservative forces in the system, represented by the damping and the defect excitation, will dissipate the lost energy and return the system to

its initial state after a cyclic deformation. Since changing the drive amplitude can control the area enclosed in the hysteresis loop, this effect can be used to implement tunable dampers. We present an experimental observation of the tunable damping in the Supplemental Information[20].

The changes in the stiffness that we present in this letter arise due to the presence of a strain-controlled resonance and due to thermal expansion. These effects are a consequence of the nonlinear interaction between the lattice particles. The nonlinear interaction potential determines the sign of the thermal expansion, as well as the shape and strain-dependence of the defect resonance. Therefore, the inter-particle interaction potential determines whether the lattice's stiffness will become extremely positive or extremely negative when driving the defect mode. We explore the effect of different interaction potentials in the supplemental information[20]. For the case of a force law of the form $F(\delta) = A\delta^{0.5}$ the excitation of the defect mode results in an increase in the stiffness, that can reach arbitrarily high values. Figure 4D presents the analytical force-displacement curves for this case.

We have investigated the stiffness of a lattice subject to localized defect state excitations. The nonlinearity couples the motion of the defect mode to the bulk properties of the lattice. This results in a stiffness that can take arbitrarily large positive, zero or negative values. This effect can introduce zero frequency band gaps, and for high excitation forces, the system becomes hysteretic, and can act as a tunable damper. Future studies should elucidate the equivalent phenomenon in 2D and 3D lattices, and explore the effect of engineered interaction potentials in the speed and performance of the system. While our study has focused on the effect of localized excitations on mechanical properties, we expect an analogous phenomenon to exist in electromagnetic systems, such as Varactor Loaded Split Ring Resonator arrays. This is due to the fact that those systems present quadratic[33] and cubic[34] nonlinearities, as well as a dependence of resonances on an external static bias[35].

We thank and acknowledge support from the grants US-AFOSR (FA9550-12-1-0332) and Army Research Office MURI grant US ARO (W911NF-09-1-0436).

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